

# [MS-XCEP]: X.509 Certificate Enrollment Policy Protocol

---

## Intellectual Property Rights Notice for Open Specifications Documentation

- **Technical Documentation.** Microsoft publishes Open Specifications documentation for protocols, file formats, languages, standards as well as overviews of the interaction among each of these technologies.
- **Copyrights.** This documentation is covered by Microsoft copyrights. Regardless of any other terms that are contained in the terms of use for the Microsoft website that hosts this documentation, you may make copies of it in order to develop implementations of the technologies described in the Open Specifications and may distribute portions of it in your implementations using these technologies or your documentation as necessary to properly document the implementation. You may also distribute in your implementation, with or without modification, any schema, IDL's, or code samples that are included in the documentation. This permission also applies to any documents that are referenced in the Open Specifications.
- **No Trade Secrets.** Microsoft does not claim any trade secret rights in this documentation.
- **Patents.** Microsoft has patents that may cover your implementations of the technologies described in the Open Specifications. Neither this notice nor Microsoft's delivery of the documentation grants any licenses under those or any other Microsoft patents. However, a given Open Specification may be covered by Microsoft [Open Specification Promise](#) or the [Community Promise](#). If you would prefer a written license, or if the technologies described in the Open Specifications are not covered by the Open Specifications Promise or Community Promise, as applicable, patent licenses are available by contacting [iplg@microsoft.com](mailto:iplg@microsoft.com).
- **Trademarks.** The names of companies and products contained in this documentation may be covered by trademarks or similar intellectual property rights. This notice does not grant any licenses under those rights. For a list of Microsoft trademarks, visit [www.microsoft.com/trademarks](http://www.microsoft.com/trademarks).
- **Fictitious Names.** The example companies, organizations, products, domain names, email addresses, logos, people, places, and events depicted in this documentation are fictitious. No association with any real company, organization, product, domain name, email address, logo, person, place, or event is intended or should be inferred.

**Reservation of Rights.** All other rights are reserved, and this notice does not grant any rights other than specifically described above, whether by implication, estoppel, or otherwise.

**Tools.** The Open Specifications do not require the use of Microsoft programming tools or programming environments in order for you to develop an implementation. If you have access to Microsoft programming tools and environments you are free to take advantage of them. Certain Open Specifications are intended for use in conjunction with publicly available standard specifications and network programming art, and assumes that the reader either is familiar with the aforementioned material or has immediate access to it.

## Revision Summary

Date	Revision History	Revision Class	Comments
12/05/2008	0.1	Major	Initial Availability
01/16/2009	0.1.1	Editorial	Revised and edited the technical content.
02/27/2009	0.1.2	Editorial	Revised and edited the technical content.
04/10/2009	0.2	Minor	Updated the technical content.
05/22/2009	1.0	Major	Updated and revised the technical content.
07/02/2009	2.0	Major	Updated and revised the technical content.
08/14/2009	3.0	Major	Updated and revised the technical content.
09/25/2009	3.1	Minor	Updated the technical content.
11/06/2009	3.1.1	Editorial	Revised and edited the technical content.
12/18/2009	3.2	Minor	Updated the technical content.
01/29/2010	3.2.1	Editorial	Revised and edited the technical content.
03/12/2010	3.3	Minor	Updated the technical content.
04/23/2010	4.0	Major	Updated and revised the technical content.
06/04/2010	4.1	Minor	Updated the technical content.
07/16/2010	5.0	Major	Significantly changed the technical content.
08/27/2010	6.0	Major	Significantly changed the technical content.
10/08/2010	6.0	No change	No changes to the meaning, language, or formatting of the technical content.
11/19/2010	6.0	No change	No changes to the meaning, language, or formatting of the technical content.
01/07/2011	6.0	No change	No changes to the meaning, language, or formatting of the technical content.
02/11/2011	6.0	No change	No changes to the meaning, language, or formatting of the technical content.
03/25/2011	6.0	No change	No changes to the meaning, language, or formatting of the technical content.
05/06/2011	6.0	No change	No changes to the meaning, language, or formatting of the technical content.
06/17/2011	6.1	Minor	Clarified the meaning of the technical content.

<b>Date</b>	<b>Revision History</b>	<b>Revision Class</b>	<b>Comments</b>
09/23/2011	6.1	No change	No changes to the meaning, language, or formatting of the technical content.
12/16/2011	7.0	Major	Significantly changed the technical content.
03/30/2012	7.0	No change	No changes to the meaning, language, or formatting of the technical content.
07/12/2012	7.1	Minor	Clarified the meaning of the technical content.
10/25/2012	8.0	Major	Significantly changed the technical content.
01/31/2013	8.0	No change	No changes to the meaning, language, or formatting of the technical content.
08/08/2013	9.0	Major	Significantly changed the technical content.
11/14/2013	9.0	No change	No changes to the meaning, language, or formatting of the technical content.

# Contents

<b>1 Introduction</b>	<b>6</b>
1.1 Glossary	6
1.2 References	7
1.2.1 Normative References	7
1.2.2 Informative References	8
1.3 Overview	8
1.4 Relationship to Other Protocols	9
1.5 Prerequisites/Preconditions	9
1.6 Applicability Statement	9
1.7 Versioning and Capability Negotiation	9
1.8 Vendor-Extensible Fields	9
1.9 Standards Assignments	9
<b>2 Messages</b>	<b>10</b>
2.1 Transport	10
2.2 Common Message Syntax	10
2.2.1 Namespaces	10
2.2.2 Messages	10
2.2.3 Elements	10
2.2.4 Complex Types	10
2.2.5 Simple Types	10
2.2.6 Attributes	10
2.2.7 Groups	11
2.2.8 Attribute Groups	11
2.3 Directory Service Schema Elements	11
<b>3 Protocol Details</b>	<b>12</b>
3.1 IPolicy Server Details	12
3.1.1 Abstract Data Model	12
3.1.2 Timers	13
3.1.3 Initialization	13
3.1.4 Message Processing Events and Sequencing Rules	13
3.1.4.1 GetPolicies Operation	13
3.1.4.1.1 Messages	13
3.1.4.1.1.1 GetPolicies Message	14
3.1.4.1.1.2 GetPoliciesResponse Message	14
3.1.4.1.2 Elements	14
3.1.4.1.2.1 GetPolicies	14
3.1.4.1.2.2 GetPoliciesResponse	15
3.1.4.1.3 Complex Types	15
3.1.4.1.3.1 Attributes	16
3.1.4.1.3.2 CA	20
3.1.4.1.3.3 CACollection	20
3.1.4.1.3.4 CAReferenceCollection	21
3.1.4.1.3.5 CAURI	21
3.1.4.1.3.6 CAURICollection	22
3.1.4.1.3.7 CertificateEnrollmentPolicy	22
3.1.4.1.3.8 CertificateValidity	23
3.1.4.1.3.9 Client	23
3.1.4.1.3.10 CryptoProviders	24

3.1.4.1.3.11	EnrollmentPermission .....	24
3.1.4.1.3.12	Extension.....	24
3.1.4.1.3.13	ExtensionCollection .....	25
3.1.4.1.3.14	FilterOIDCollection .....	25
3.1.4.1.3.15	KeyArchivalAttributes .....	25
3.1.4.1.3.16	OID.....	26
3.1.4.1.3.17	OIDCollection .....	27
3.1.4.1.3.18	OIDReferenceCollection .....	27
3.1.4.1.3.19	PolicyCollection.....	27
3.1.4.1.3.20	PrivateKeyAttributes .....	28
3.1.4.1.3.21	RARrequirements .....	28
3.1.4.1.3.22	RequestFilter.....	29
3.1.4.1.3.23	Response.....	29
3.1.4.1.3.24	Revision .....	30
3.1.4.1.3.25	SupersededPolicies .....	31
3.1.5	Timer Events .....	31
3.1.6	Other Local Events .....	31
<b>4</b>	<b>Protocol Examples.....</b>	<b>32</b>
4.1	Standard GetPolicies Request and GetPoliciesResponse Response Message Sequences .	32
4.1.1	Initial Certificate Enrollment Policy Retrieval .....	32
4.1.1.1	Initial GetPolicies Client Request.....	32
4.1.1.2	GetPoliciesResponse Response .....	33
4.1.2	Certificate Enrollment Policy Retrieval Using LastUpdateTime.....	35
4.1.2.1	Client Request with Provided LastUpdateTime .....	35
4.1.2.2	Server Response .....	36
<b>5</b>	<b>Security.....</b>	<b>38</b>
5.1	Security Considerations for Implementers.....	38
5.2	Index of Security Parameters .....	38
<b>6</b>	<b>Appendix A: Full WSDL .....</b>	<b>39</b>
6.1	WSDL.....	39
6.2	XML Schema .....	39
<b>7</b>	<b>Appendix B: Product Behavior .....</b>	<b>45</b>
<b>8</b>	<b>Change Tracking.....</b>	<b>46</b>
<b>9</b>	<b>Index .....</b>	<b>47</b>

# 1 Introduction

This protocol specification describes the **X.509** Certificate Enrollment Policy Protocol, a protocol between a requesting client and a responding server for the exchange of a certificate enrollment policy.

The communication is initiated by a requesting client that requests either the full certificate enrollment policy, or a subset, by passing in a filter. A server processes the identity of the client and an optionally provided client filter, and generates a response with a collection of certificate enrollment policy objects accompanied by a collection of certificate issuers. The returned certificate issuers provide X509v3 Security Token issuance using [\[MS-WSTEP\]](#).

The X.509 Certificate Enrollment Policy Protocol is a minimal messaging protocol that includes a single client request message (GetPolicies) with a matching server response message (GetPoliciesResponse). The server may alternatively respond with a **SOAP fault** message.

Sections 1.8, 2, and 3 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. Sections 1.5 and 1.9 are also normative but cannot contain those terms. All other sections and examples in this specification are informative.

## 1.1 Glossary

The following terms are defined in [\[MS-GLOS\]](#):

**Abstract Syntax Notation One (ASN.1)**  
**certificate**  
**certificate authority (CA)**  
**certificate template**  
**common name (CN)**  
**enroll/enrollment**  
**extended key usage (EKU)**  
**object identifier (OID)**  
**private key**  
**public key**  
**public key infrastructure (PKI)**  
**registration authority (RA)**  
**relative distinguished name (RDN)**  
**security descriptor**  
**SOAP fault**  
**URI**  
**Web Services Description Language (WSDL)**  
**X.509**  
**XML**  
**XML namespace**  
**XML schema (XSD)**

The following terms are specific to this document:

**certificate enrollment:** See **certificate** and **enrollment**.

**certificate enrollment policy:** The collection of **certificate templates** and **certificate** issuers available to the requestor for **X.509 certificate enrollment**.

**Security Descriptor Definition Language (SDDL):** A formal way to specify Windows **security descriptors** or text strings that describe who owns various objects such as files in the system. The **security descriptor** may also provide an access control list for an object or group of objects.

**MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as described in [\[RFC2119\]](#). All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

## 1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

A reference marked "(Archived)" means that the reference document was either retired and is no longer being maintained or was replaced with a new document that provides current implementation details. We archive our documents online [\[Windows Protocol\]](#).

### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact [dochelp@microsoft.com](mailto:dochelp@microsoft.com). We will assist you in finding the relevant information. Please check the archive site, <http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624>, as an additional source.

[MS-ADLS] Microsoft Corporation, "[Active Directory Lightweight Directory Services Schema](#)".

[MS-CRTD] Microsoft Corporation, "[Certificate Templates Structure](#)".

[MS-WCCE] Microsoft Corporation, "[Windows Client Certificate Enrollment Protocol](#)".

[MS-WSTEP] Microsoft Corporation, "[WS-Trust X.509v3 Token Enrollment Extensions](#)".

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

[RFC3066] Alvestrand, H., "Tags for the Identification of Language", RFC 3066, January 2001, <http://www.ietf.org/rfc/rfc3066.txt>

[RFC5280] Cooper, D., Santesson, S., Farrell, S., et al., "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile", RFC 5280, May 2008, <http://www.ietf.org/rfc/rfc5280.txt>

[WSDL] Christensen, E., Curbera, F., Meredith, G., and Weerawarana, S., "Web Services Description Language (WSDL) 1.1", W3C Note, March 2001, <http://www.w3.org/TR/2001/NOTE-wsdl-20010315>

[XMLNS] Bray, T., Hollander, D., Layman, A., et al., Eds., "Namespaces in XML 1.0 (Third Edition)", W3C Recommendation, December 2009, <http://www.w3.org/TR/2009/REC-xml-names-20091208/>

[XMLSCHEMA1] Thompson, H.S., Beech, D., Maloney, M., and Mendelsohn, N., Eds., "XML Schema Part 1: Structures", W3C Recommendation, May 2001, <http://www.w3.org/TR/2001/REC-xmlschema-1-20010502/>

[XMLSCHEMA2] Biron, P.V., and Malhotra, A., Eds., "XML Schema Part 2: Datatypes", W3C Recommendation, May 2001, <http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/>

## 1.2.2 Informative References

[MS-CERSOD] Microsoft Corporation, "[Certificate Services Protocols Overview](#)".

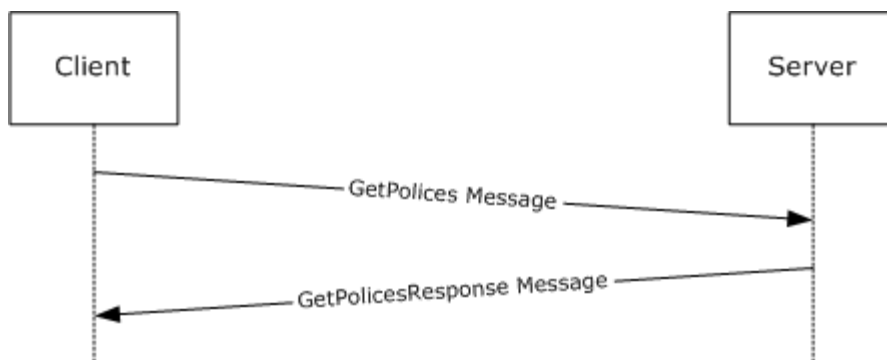
[MS-GLOS] Microsoft Corporation, "[Windows Protocols Master Glossary](#)".

[RFC4262] Santesson, S., "X.509 Certificate Extension for Secure/Multipurpose Internet Mail Extensions (S/MIME) Capabilities", RFC 4262, December 2005, <http://www.ietf.org/rfc/rfc4262.txt>

[RFC4523] Zeilenga, K., "Lightweight Directory Access Protocol (LDAP) Schema Definitions for X.509 Certificates", RFC 4523, June 2006, <http://www.ietf.org/rfc/rfc4523.txt>

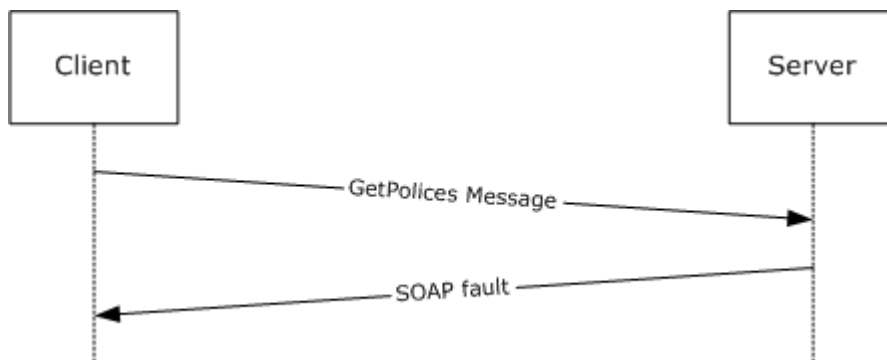
## 1.3 Overview

The X.509 **certificate enrollment policy** defines the properties and characteristics for the **certificate enrollment** process. The set of policies is stored and managed by the PKI administration. The X.509 Certificate Enrollment Policy Protocol is used by the caller to retrieve enrollment policies that the PKI administrator has defined for use by the caller. This protocol begins with initialization of the secure tunnel over HTTPS, followed by message exchange (request/response) and subsequent closure of the secure tunnel. This specification does not describe the setup and closure of the HTTPS transport.



**Figure 1: Typical sequence for certificate enrollment**

The server responds to a [GetPolicies](#) message with a [GetPoliciesResponse](#) message or a SOAP fault message.

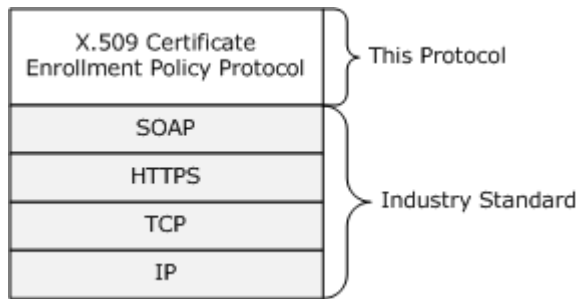


**Figure 2: Typical sequence when server responds with SOAP fault message**



## 1.4 Relationship to Other Protocols

The following figure shows the X.509 Certificate Enrollment Policy Protocol stack diagram.



**Figure 3: Stack diagram for the X.509 Certificate Enrollment Policy Protocol**

## 1.5 Prerequisites/Preconditions

The server that implements the X.509 Certificate Enrollment Policy Protocol requires the client to be preconfigured with the **URI** location of the Web service. Authentication using Kerberos will require a compliant Kerberos client. For information about the data model initialization requirements, see section [3.1.3](#).

## 1.6 Applicability Statement

The X.509 Certificate Enrollment Policy Protocol is recommended for use as part of a managed **PKI** to provide clients with policy guidance for the X.509 **certificate** life cycle. It is possible to enroll for a certificate (to request and receive one) without knowing the policy information provided by this protocol, and therefore, use of this protocol is optional. However, with the policy information, a client can avoid making requests that would be rejected, and can therefore save time and network bandwidth. If the client is running, for example, an autoenrollment process ([\[MS-CERSOD\]](#) sections [2.1.2.2](#) and [2.1.2.2.2](#)), that process might require this policy information.

## 1.7 Versioning and Capability Negotiation

None.

## 1.8 Vendor-Extensible Fields

Vendor extensibility is provided through the use of individual extension points (the <##any> element) as described in sections [3.1.4.1.3.1](#), [3.1.4.1.3.7](#), [3.1.4.1.3.9](#), [3.1.4.1.3.16](#), [3.1.4.1.3.22](#), and [3.1.4.1.3.23](#).

## 1.9 Standards Assignments

None.

## 2 Messages

### 2.1 Transport

The X.509 Certificate Enrollment Policy Protocol makes use of the HTTPS transport for message exchange.

### 2.2 Common Message Syntax

This section contains common definitions used by the X.509 Certificate Enrollment Policy Protocol. The syntax of the definitions use **XML schema** as defined in [\[XMLSCHEMA1\]](#) and [\[XMLSCHEMA2\]](#), and **Web Services Description Language (WSDL)** as defined in [\[WSDL\]](#).

#### 2.2.1 Namespaces

The X.509 Certificate Enrollment Policy Protocol defines and references various **XML namespaces** using the mechanisms specified in [\[XMLNS\]](#). Although this protocol associates a specific XML namespace prefix for each XML namespace that is used, the choice of any particular XML namespace prefix is implementation-specific and not significant for interoperability.

Prefix	Namespace URI	Reference
wsse	<a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd</a>	<a href="#">[XMLNS]</a>
xsi	<a href="http://www.w3.org/2001/XMLSchema-instance">http://www.w3.org/2001/XMLSchema-instance</a>	<a href="#">[XMLNS]</a>
wsa	<a href="http://www.w3.org/2005/08/addressing">http://www.w3.org/2005/08/addressing</a>	<a href="#">[XMLNS]</a>
xs	<a href="http://www.w3.org/2001/XMLSchema">http://www.w3.org/2001/XMLSchema</a>	<a href="#">[XMLNS]</a>
xcep	<a href="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy">http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy</a>	<a href="#">[XMLNS]</a>
wSDL	<a href="http://schemas.xmlsoap.org/wSDL/">http://schemas.xmlsoap.org/wSDL/</a>	<a href="#">[WSDL]</a>

#### 2.2.2 Messages

This specification does not define any common XML Schema message definitions.

#### 2.2.3 Elements

This specification does not define any common XML Schema element definitions.

#### 2.2.4 Complex Types

This specification does not define any common XML Schema complex type definitions.

#### 2.2.5 Simple Types

This specification does not define any common XML Schema simple type definitions.

#### 2.2.6 Attributes

This specification does not define any common XML Schema attribute definitions.

## 2.2.7 Groups

This specification does not define any common XML Schema group definitions.

## 2.2.8 Attribute Groups

This specification does not define any common XML Schema attribute group definitions.

## 2.3 Directory Service Schema Elements

This protocol accesses the following Directory Service schema classes and attributes listed in the following table.

For the syntactic specifications of the following **<Class>** or **<Class><Attribute>** pairs, refer to Active Directory Lightweight Directory Services (AD/LDS) ([\[MS-ADLS\]](#)).

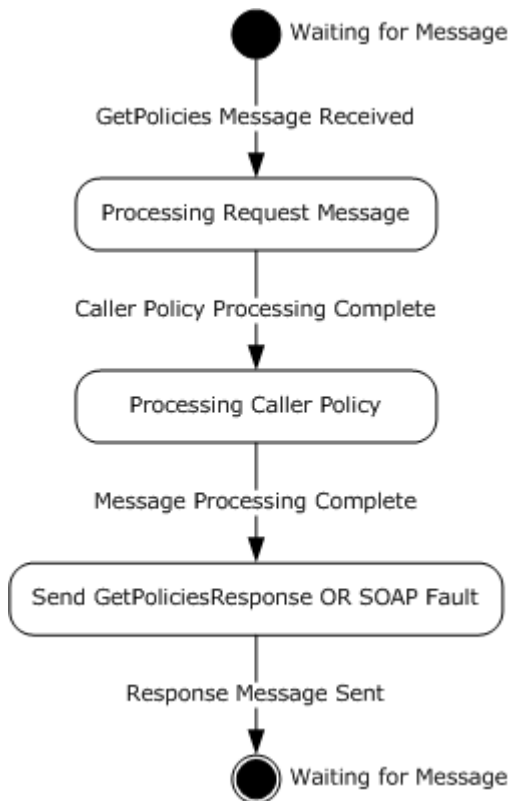
Class	Attribute
User	userCertificate

### 3 Protocol Details

The client side of this protocol is simply a pass-through. That is, no additional timers or other state is required on the client side of this protocol. Calls made by the higher-layer protocol or application are passed directly to the transport, and the results returned by the transport are passed directly back to the higher-layer protocol or application.

#### 3.1 IPolicy Server Details

The IPolicy server hosts a message endpoint that receives [GetPolicies \(section 3.1.4.1.1.1\)](#) messages. Once received, the server processes the client request, formulates a response, and sends either a [GetPoliciesResponse \(section 3.1.4.1.1.2\)](#) response message or a SOAP fault. Once the message has been sent to the client, the server returns to the waiting state.



**Figure 4: X.509 Certificate Enrollment Policy Protocol session state diagram**

##### 3.1.1 Abstract Data Model

**CertificateEnrollmentPolicyStore:** A repository where a certificate enrollment policy resides. The enrollment policy in the store is the basis for a server's X.509 Certificate Enrollment Policy response.

**SupportedLanguages:** A list of language identifiers supported by the server. The set of languages are of type xml:lang and defined in [\[RFC3066\]](#).

**DefaultLanguage:** A data element that is used to store the server's default language for localized resources.

**LastUpdateTime:** A data element that specifies the last date and time when the **CertificateEnrollmentPolicyStore** was updated or modified.

### 3.1.2 Timers

None.

### 3.1.3 Initialization

The **CertificateEnrollmentPolicyStore** data element MUST be initialized with the available certificate enrollment policy. The initialization MUST also set the value for the **LastUpdateTime** data element.

A server MUST initialize the **DefaultLanguage** data element with the language identifier that is to be used when responding to requests when a caller has not specified a preferred language, or when the specified preferred language is not available in the set of **SupportedLanguages**.

### 3.1.4 Message Processing Events and Sequencing Rules

Operation	Description
<a href="#">GetPolicies (section 3.1.4.1)</a>	The GetPolicies operation defines the client request and server response messages that are used to complete the act of retrieving a certificate enrollment policy.

#### 3.1.4.1 GetPolicies Operation

The GetPolicies operation defines the client request and server response messages that are used to complete the act of retrieving a certificate enrollment policy.

```
<wsdl:portType name="IPolicy">
  <wsdl:operation name="GetPolicies">
    <wsdl:input
      wsaw:Action=http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/IPolicy/GetPolicies
      message="xcep:IPolicy_GetPolicies_InputMessage"/>
    <wsdl:output
      wsaw:Action=http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/IPolicy/GetPoliciesResponse
      message="xcep:IPolicy_GetPolicies_OutputMessage"/>
    </wsdl:operation>
  </wsdl:portType>
```

#### 3.1.4.1.1 Messages

Message	Description
<a href="#">GetPolicies</a>	Sent from the client to the server to retrieve certificate enrollment policies.
<a href="#">GetPoliciesResponse</a>	Sent from the server to the client that contains the requested certificate enrollment policy.

### 3.1.4.1.1.1 GetPolicies Message

GetPolicies is a request message. It is the message sent from client to server to retrieve certificate enrollment policies.

```
<wsdl:message name="IPolicy_GetPolicies_InputMessage">
  <wsdl:part name="request" element="xcep:GetPolicies"/>
</wsdl:message>
```

**xcep:GetPolicies:** An instance of a <GetPolicies> element as defined in section [3.1.4.1.2.1](#). This element contains the client request.

### 3.1.4.1.1.2 GetPoliciesResponse Message

GetPoliciesResponse is a response message. It is the message sent from the server to the client containing the requested certificate enrollment policy.

```
<wsdl:message name="IPolicy_GetPolicies_OutputMessage">
  <wsdl:part name="response" element="xcep:GetPoliciesResponse"/>
</wsdl:message>
```

**xcep:GetPoliciesResponse:** An instance of a <GetPoliciesResponse> element as defined in section [3.1.4.1.2.2](#). This element contains the server response.

### 3.1.4.1.2 Elements

Element	Description
<a href="#">&lt;GetPolicies&gt; (section 3.1.4.1.2.1)</a>	Contains the body of the request for the <b>GetPolicies</b> operation.
<a href="#">&lt;GetPoliciesResponse&gt; (section 3.1.4.1.2.2)</a>	Contains the server response to a <b>GetPolicies</b> request message.

### 3.1.4.1.2.1 GetPolicies

The <GetPolicies> element contains the client request.

```
<xs:element name="GetPolicies">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="client" type="xcep:Client" />
      <xs:element name="requestFilter" nillable="true" type="xcep:RequestFilter" />
    </xs:sequence>
  </xs:complexType>
</xs:element>
```

**xcep:client:** The <xcep:client> element is an instance of the [Client](#) object as defined in section [3.1.4.1.3.9](#). The <xcep:client> element contains information about the caller including the caller's preferred language, and the date and time of last policy retrieval. If the <xcep:client> element is absent, is specified as nil, or has no value, the server MUST respond with a SOAP fault.

**xcep:requestFilter:** The <xcep:requestFilter> element is an instance of the [RequestFilter](#) object as defined in section [3.1.4.1.3.22](#). The <xcep:requestFilter> element specified in the request is used to constrain the policy request to specific policies. If the <xcep:requestFilter> element is empty or specified as nil, the server MUST NOT apply any filters to the response.

### 3.1.4.1.2.2 GetPoliciesResponse

The <GetPoliciesResponse> element contains the server response.

```
<xs:element name="GetPoliciesResponse">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="response" nillable="true" type="xcep:Response" />
      <xs:element name="cAs" nillable="true" type="xcep:CACollection" />
      <xs:element name="oIDs" nillable="true" type="xcep:OIDCollection" />
    </xs:sequence>
  </xs:complexType>
</xs:element>
```

**xcep:response:** The <xcep:response:> element is an instance of the [Response](#) object as defined in section [3.1.4.1.3.23](#) that contains the certificate enrollment policies.

**xcep:cAs:** The <xcep:cAs> element is an instance of a [CACollection](#) object as defined in section [3.1.4.1.3.3](#) that contains the issuers for the certificate enrollment policies.

**xcep:oIDs:** The <xcep:oIDs> element is an instance of the [OIDCollection](#) object as defined in section [3.1.4.1.3.17](#) that contains the collection of **OIDs** for the response.

### 3.1.4.1.3 Complex Types

Complex Type	Description
<Attributes>	Contains information about a <a href="#">CertificateEnrollmentPolicy</a> object.
<CA>	Encapsulates information about a <b>certificate authority</b> .
<CACollection>	Groups one or more CA objects together.
<CAReferenceCollection>	Groups one or more <CAReference> elements together.
<CAURI>	Defines the URI for a certificate authority.
<CAURICollection>	Groups one or more <a href="#">CAURI (section 3.1.4.1.3.5)</a> objects in a <a href="#">GetPoliciesResponse (section 3.1.4.1.1.2)</a> message.
<CertificateEnrollmentPolicy>	Encapsulates a certificate enrollment policy object and its set of issuers.
<CertificateValidity>	Contains information about the expected validity of an issued certificate, and the expected period when renewal starts.
<Client>	Contains information about the client's current state and preferences.
<CryptoProviders>	An unordered list of cryptographic providers for use in <b>private key</b> generation.
<EnrollmentPermission>	Conveys the permissions for the associated parent object.

Complex Type	Description
<Extension>	Provides an X.509v3 Certificate Extension.
<ExtensionCollection>	The unordered list of extensions.
<FilterOIDCollection>	The unordered list of OIDs used to filter the server response down to certain CertificateEnrollmentPolicy objects.
<KeyArchivalAttributes>	Contains the required attributes that MUST be used on the client prior to sending the client private key to the server for archival.
<OID>	Identifies an object and provides generic attributes on the object.
<OIDCollection>	An unordered list of OID objects.
<OIDReferenceCollection>	An unordered list of references to OID objects.
<PolicyCollection>	An unordered list of CertificateEnrollmentPolicy objects.
<PrivateKeyAttributes>	Contains the attributes for the private key that will be associated with any certificate request for the corresponding CertificateEnrollmentPolicy object.
<RARRequirements>	Any additional <b>registration authority (RA)</b> keys required in signing <b>enroll/enrollment</b> requests for this policy.
<RequestFilter>	Filters the GetPoliciesResponse to contain only CertificateEnrollmentPolicy objects that satisfy the filter.
<Response>	Conveys the results of a request for certificate enrollment policy.
<Revision>	Identifies the version information of a CertificateEnrollmentPolicy object.
<SupersededPolicies>	An unordered list of superseded policies identified by the value of their <commonName> attribute.

### 3.1.4.1.3.1 Attributes

The <Attributes> complex type contains information about a [CertificateEnrollmentPolicy](#) object defined in section [3.1.4.1.3.7](#). It MUST be present for each CertificateEnrollmentPolicy object instance.

```
<xs:complexType name="Attributes">
  <xs:sequence>
    <xs:element ref="xcep:commonName" />
    <xs:element name="policySchema"
      type="xs:unsignedInt" />
    <xs:element name="certificateValidity"
      type="xcep:CertificateValidity" />
    <xs:element name="permission"
      type="xcep:EnrollmentPermission" />
    <xs:element name="privateKeyAttributes"
      type="xcep:PrivateKeyAttributes" />
    <xs:element name="revision"
      type="xcep:Revision" />
    <xs:element name="supersededPolicies"
      type="xcep:SupersededPolicies" nillable="true" />
  </xs:sequence>
</xs:complexType>
```



```

<xs:element name="privateKeyFlags"
  type="xs:unsignedInt" nillable="true" />
<xs:element name="subjectNameFlags"
  type="xs:unsignedInt" nillable="true" />
<xs:element name="enrollmentFlags"
  type="xs:unsignedInt" nillable="true" />
<xs:element name="generalFlags"
  type="xs:unsignedInt" nillable="true" />
<xs:element name="hashAlgorithmOIDReference"
  type="xs:int" nillable="true" />
<xs:element name="rARequirements"
  type="xcep:RARequirements" nillable="true" />
<xs:element name="keyArchivalAttributes"
  type="xcep:KeyArchivalAttributes" nillable="true" />
<xs:element name="extensions"
  type="xcep:ExtensionCollection" nillable="true" />
<xs:any namespace="##any" processContents="lax"
  minOccurs="0" maxOccurs="unbounded" />
</xs:sequence>
</xs:complexType>

```

**xcep:commonName:** A string value of the **common name (CN)** of a CertificateEnrollmentPolicy object. The <xcep:commonName> element MUST be unique in the scope of a [GetPoliciesResponse \(section 3.1.4.1.1.2\)](#) message.

**policySchema:** An integer value presenting the schema version of the corresponding CertificateEnrollmentPolicy. The <policySchema> element SHOULD be an integer value of 1, 2, or 3.

**certificateValidity:** An instance of a [CertificateValidity](#) object as defined in section [3.1.4.1.3.8](#).

**permission:** An instance of an [EnrollmentPermission](#) object as defined in section [3.1.4.1.3.11](#).

**privateKeyAttributes:** An instance of a [PrivateKeyAttributes](#) object as defined in section [3.1.4.1.3.20](#).

**revision:** An instance of a [Revision](#) object as defined in section [3.1.4.1.3.24](#).

**supersededPolicies:** An instance of a [SupersededPolicies](#) object as defined in section [3.1.4.1.3.25](#). A value of nil indicates that the corresponding CertificateEnrollmentPolicy object does not supersede another.

**privateKeyFlags:** The <privateKeyFlags> element is an unsigned integer that MUST be a bitwise OR of zero or more of the following possible values. For more information about the relationship between the <privateKeyFlags> element and the <msPKI-Private-Key-Flag> attribute, see [\[MS-WCCE\]](#) section 3.1.2.4.2.2.8.

Integer value	Meaning
0x00000001	Instructs the client to archive the private key.
0x00000010	Instructs the client to allow the private key to be exported.
0x00000020	Instructs the client to protect the private key.

**subjectNameFlags:** The <subjectNameFlags> element is an unsigned integer that MUST be a bitwise OR of zero or more of the following possible values.

Integer value	Meaning
0x00000001	The client supplies the Subject field value in the certificate request.
0x00010000	The client supplies the Subject Alternative Name field value in the certificate request.
0x00400000	The certificate authority (CA) adds the value of the DNS of the root domain (the domain where the user's object resides in Active Directory) to the Subject Alternative Name extension of the issued certificate.
0x00800000	The CA adds the value of the UPN attribute from the requestor's user object in Active Directory to the Subject Alternative Name extension of the issued certificate.
0x01000000	The CA adds the value of the objectGUID attribute from the requestor's user object in Active Directory to the Subject Alternative Name extension of the issued certificate.
0x02000000	The CA adds the value of the UPN attribute from the requestor's user object in Active Directory to the Subject Alternative Name extension of the issued certificate.
0x04000000	The CA adds the value of the email attribute from the requestor's user object in Active Directory to the Subject Alternative Name extension of the issued certificate.
0x08000000	The CA adds the value obtained from the DNS attribute of the requestor's user object in Active Directory to the Subject Alternative Name extension of the issued certificate.
0x10000000	The CA adds the value obtained from the DNS attribute of the requestor's user object in Active Directory as the CN in the Subject extension of the issued certificate.
0x20000000	The CA adds the value of the email attribute from the requestor's user object in Active Directory as the Subject extension of the issued certificate.
0x40000000	The CA sets the Subject Name to the CN value of the requestor's user object in Active Directory.
0x80000000	The CA sets the Subject Name to the distinguished name (DN) value of the requestor's user object in Active Directory.
0x00000008	The client reuses the values of the Subject Name and Subject Alternative Name extensions from an existing, valid certificate when creating a renewal certificate request. This flag can only be used when the SubjectNameEnrolleeSupplies (0x00000001) or SubjectAlternativeNameEnrolleeSupplies (0x00010000) flag is specified.

**enrollmentFlags:** The <enrollmentFlags> element is an unsigned integer that MUST be a bitwise OR of zero or more of the following values.

Integer value	Meaning
0x00000001	Instructs the client and CA to include an S/MIME extension, as specified in <a href="#">[RFC4262]</a> .
0x00000008	Instructs the CA to append the issued certificate to the userCertificate attribute, on the user object in Active Directory.
0x00000010	Instructs the CA to check the user's userCertificate attribute in Active Directory, as specified in <a href="#">[RFC4523]</a> , for valid certificates that match the template enrolled for.
0x00000040	This flag instructs clients to sign the renewal request using the private key of the existing certificate. For more information, see <a href="#">[MS-WCCE]</a> section 3.2.2.6.2.1.4.5.6. This flag also

Integer value	Meaning
	instructs the CA to process the renewal requests as specified in <a href="#">[MS-WCCE]</a> section 3.2.2.6.2.1.4.5.6.
0x00000100	Instructs the client to get a user's consent before attempting to enroll for a certificate based on the specified template.
0x00000400	Instructs the client to delete any expired, revoked, or renewed certificate from the user's certificate stores.
0x00002000	This flag instructs the client to reuse the private key for a smart card-based certificate renewal if it is unable to create a new private key on the card.

**generalFlags:** The <generalFlags> element is an unsigned integer that MUST be a bitwise OR of zero or more of the following values.

Integer value	Name	Meaning
0x00000040	GeneralMachineType	This <b>certificate template</b> is for an end entity that represents a machine.
0x00000080	GeneralCA	A certificate request for a CA certificate.
0x00000800	GeneralCrossCA	A certificate request for cross-certifying a certificate.
0x00010000	Reserved	This flag value is reserved.
0x00020000	Reserved	This flag value is reserved.
0x10000000	Reserved	This flag value is reserved.
0x00000001	Reserved	This flag value is reserved.
0x00000002	Reserved	This flag value is reserved.
0x00000004	Reserved	This flag value is reserved.
0x00000008	Reserved	This flag value is reserved.
0x00000010	Reserved	This flag value is reserved.
0x00000020	Reserved	This flag value is reserved.
0x00000100	Reserved	This flag value is reserved.
0x00000200	Reserved	This flag value is reserved.
0x00000400	Reserved	This flag value is reserved.
0x00040000	Reserved	This flag value is reserved.
0x00080000	Reserved	This flag value is reserved.
0x00001000	Reserved	This flag value is reserved.

**hashAlgorithmOIDReference:** An integer value that references an existing <oidReferenceID> element as defined in section [3.1.4.1.3.16](#). The hash algorithm is used when signing operations are performed during the certificate enrollment process. If the value of the <policySchema> element for this Attributes object is 3 and the hash algorithm is defined for the policy, the value of the <hashAlgorithmOIDReference> element MUST be an integer that references the <oidReferenceID> of the corresponding hash algorithm definition. If the value of the <policySchema> element for this Attributes object is 1 or 2, or the hash algorithm is not defined, the <hashAlgorithmOIDReference> element MUST be specified as nil.

**rARequirements:** An instance of an [RARequirements](#) object as defined in section [3.1.4.1.3.21](#).

**keyArchivalAttributes:** An instance of a [KeyArchivalAttributes](#) object as defined in section [3.1.4.1.3.15](#).

**extensions:** An instance of an [ExtensionCollection](#) object as defined in section [3.1.4.1.3.13](#).

**##any:** This element provides a vendor extensibility point. Additional elements MAY be added.

### 3.1.4.1.3.2 CA

The <CA> complex type is used to encapsulate information about a certificate authority, including one or more URIs that are available for enrollment operations and permissions.

```
<xs:complexType name="CA">
  <xs:sequence>
    <xs:element name="uris" type="xcep:CAURICollection" />
    <xs:element name="certificate" type="xs:base64Binary" />
    <xs:element name="enrollPermission" type="xs:boolean" />
    <xs:element name="cAReferenceID" type="xs:int" />
    <xs:any namespace="##any" processContents="lax"
      minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

**uris:** An instance of a [CAURICollection](#) object as defined in section [3.1.4.1.3.6](#), which contains the list of URI values for a certificate authority.

**certificate:** The <certificate> element contains the xs:base64Binary representation of the **Abstract Syntax Notation One (ASN.1)** encoded certificate authority signing certificate. The value for the <certificate> element MUST never be an empty string.

**enrollPermission:** The <enrollPermission> element contains an xs:boolean value that indicates whether or not the requestor has permission to submit enrollment requests to the server represented by the corresponding CA object. It MUST be true or false. If the <enrollPermission> element is true, the requestor has enroll permissions and can submit requests. If the <enrollPermission> element is false, the requestor does not have permission.

**cAReferenceID:** Each instance of a CA object in a [GetPoliciesResponse](#) message MUST have a unique <cAReferenceID>. The <cAReferenceID> is an unsigned integer value used as an index for referencing the corresponding CA object within the scope of a GetPoliciesResponse message.

### 3.1.4.1.3.3 CACollection

The <CACollection> complex type is used to group one or more [CA](#) objects together.

```

<xs:complexType name="CACollection">
  <xs:sequence>
    <xs:element name="cA" type="xcep:CA" minOccurs="1"
      maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

**cA:** An instance of an xcep:CA object as defined in section [3.1.4.1.3.2](#).

### 3.1.4.1.3.4 CAReferenceCollection

The <CAReferenceCollection> complex type is used to group one or more <CAReference> elements together.

```

<xs:complexType name="CAReferenceCollection">
  <xs:sequence>
    <xs:element name="cAReference" type="xs:int"
      minOccurs="1" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

**caReference:** An integer value reference of an existing <CAReferenceID> element in a [CA \(section 3.1.4.1.3.2\)](#) object. The integer value of each <CAReference> element MUST be unique for each CAReferenceCollection object and MUST reference a <CAReferenceID> element defined in the corresponding [GetPoliciesResponse](#) message.

### 3.1.4.1.3.5 CAURI

The <CAURI> complex type is used to define the URI for a certificate authority, which includes specifying the supported authentication type, the URI, and a relative priority.

```

<xs:complexType name="CAURI">
  <xs:sequence>
    <xs:element name="clientAuthentication" type="xs:unsignedInt" />
    <xs:element name="uri" type="xs:anyURI" />
    <xs:element name="priority" type="xs:unsignedInt"
      nillable="true" />
    <xs:element name="renewalOnly" type="xs:boolean" nillable="true" />
    <xs:any namespace="##any" processContents="lax"
      minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

**clientAuthentication:** The <clientAuthentication> element is used to define the supported authentication type for the <uri> element of this CAURI object. The <clientAuthentication> element is an unsigned integer that MUST have one of the following values.

Integer value	Meaning
1	Anonymous Authentication
2	Transport Kerberos Authentication

Integer value	Meaning
4	Message Username and Password Authentication
8	Message X.509 Certificate Authentication

**uri:** The <uri> element is used to store a Uniform Resource Identifier (URI) entry for a [CA \(section 3.1.4.1.3.2\)](#) object.

**priority:** The <priority> element is an integer value that represents the priority value for the URI. The <priority> element value is used as a relative indicator against other CAURI objects. The lower the integer value, the higher the priority. Two CAURI objects have the same priority if the integer values of each <priority> element are the same. A CAURI object is considered to have a lower priority if the <priority> element integer value is more than the integer value of the <priority> element of an alternate CAURI object.

**renewalOnly:** The <renewalOnly> element is an xs:boolean value that identifies whether the corresponding CAURI object can accept all types of requests, or only renewal requests. If the value is true, the server that is addressed by the CAURI object only accepts renewal requests. If the value is false, other request types are supported.

### 3.1.4.1.3.6 CAURICollection

A <CAURICollection> complex type is used to group one or more [CAURI \(section 3.1.4.1.3.5\)](#) objects in a [GetPoliciesResponse](#) message.

```
<xs:complexType name="CAURICollection">
  <xs:sequence>
    <xs:element name="cAURI" type="xcep:CAURI"
      minOccurs="1" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

**cAURI:** A <cAURI> element is used to represent an instance of a CAURI object as defined in section [3.1.4.1.3.5](#).

### 3.1.4.1.3.7 CertificateEnrollmentPolicy

The <CertificateEnrollmentPolicy> complex type is used to encapsulate a certificate enrollment policy object and its set of issuers. Each instance of a CertificateEnrollmentPolicy object is uniquely identified by its <policyOIDReference>.

```
<xs:complexType name="CertificateEnrollmentPolicy">
  <xs:sequence>
    <xs:element name="policyOIDReference" type="xs:int" />
    <xs:element name="cAs" type="CReferenceCollection"
      nillable="true" />
    <xs:element name="attributes" type="Attributes" />
    <xs:any namespace="##any" processContents="lax"
      minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

**policyOIDReference:** A <policyOIDReference> element is an integer value that references the <oidReferenceID> element of an existing [OID](#) object as defined in section [3.1.4.1.3.16](#). A <policyOIDReference> element MUST be present in the set of <oidReferenceID> element values in the corresponding [GetPoliciesResponse](#) message.

**cAs:** A <cAs> element is used to represent an instance of a [CAResponseCollection](#) object as defined in section [3.1.4.1.3.4](#), which is used to reference the issuers for this CertificateEnrollmentPolicy object.

**attributes:** A <attributes> element is used to represent an instance of an [Attributes](#) object as defined in section [3.1.4.1.3.1](#).

**##any:** This element provides a vendor extensible point. Additional elements MAY be included as part of a CertificateEnrollmentPolicy object instance.

### 3.1.4.1.3.8 CertificateValidity

The <CertificateValidity> complex type contains information about the expected validity of an issued certificate, and the expected period when renewal starts.

```
<xs:complexType name="CertificateValidity">
  <xs:sequence>
    <xs:element name="validityPeriodSeconds" type="xs:unsignedLong" />
    <xs:element name="renewalPeriodSeconds" type="xs:unsignedLong" />
  </xs:sequence>
</xs:complexType>
```

**validityPeriodSeconds:** The <validityPeriodSeconds> element is the recommended validity period of an issued certificate in seconds. The <validityPeriodSeconds> element MUST be a positive nonzero long.

**renewalPeriodSeconds:** The <renewalPeriodSeconds> element is the recommended renewal period of an issued certificate. The <renewalPeriodSeconds> element MUST be a positive nonzero long.

### 3.1.4.1.3.9 Client

The <Client> complex type contains information about the client's current state and preferences.

```
<xs:complexType name="Client">
  <xs:sequence>
    <xs:element name="lastUpdate" type="xs:dateTime"
      nillable="true" />
    <xs:element name="preferredLanguage" type="xs:language"
      nillable="true" />
    <xs:any namespace="##any" processContents="lax"
      minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

**lastUpdate:** The <lastUpdate> element is an xs:dateTime value that represents the last time that the client retrieved policies from a policy server with this [Response](#) object's <policyServerID>, as defined in section [3.1.4.1.3.23](#). The <lastUpdate> element is provided as GMT. If the client does not include the <lastUpdate> element or the element is nil, the server MUST consider the value to be older than the initialized value of the **LastUpdateTime** data element. The server SHOULD provide a

full [GetPoliciesResponse](#) message if the client's <lastUpdate> time is older than the time of the server's last update to the policy. If the <lastUpdate> time provided by the client is equal to or newer than the <lastUpdate> time of the server, the server SHOULD respond with a GetPoliciesResponse message in which the <oIDs> and <cAs> elements are set to nil, as defined in [3.1.4.1.1.2](#), and the <policiesNotChanged> element in the Response object is set to true.

**preferredLanguage:** The <preferredLanguage> element is an xs:language value that indicates the preferred caller language. The GetPoliciesResponse message SHOULD be returned in the preferred client language. If the <preferredLanguage> is not present in the **SupportedLanguages** data element, the GetPoliciesResponse message MUST return using the language specified by the **DefaultLanguage** data element.

**##any:** This element provides a vendor-extensible point. Additional elements MAY be included as part of a Client object instance. Additional elements MAY be ignored by a server.

### 3.1.4.1.3.10 CryptoProviders

A list of cryptographic providers for use in private key generation. The list is not ordered.

```
<xs:complexType name="CryptoProviders">
  <xs:sequence>
    <xs:element name="provider" type="xs:string"
      minOccurs="1" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

**provider:** The <provider> element is the string name of a cryptographic provider. Each string in this list follows the syntax of the **pKIDefaultCSPs** attribute specified in [\[MS-CRTD\]](#) section 2.8.

### 3.1.4.1.3.11 EnrollmentPermission

The <EnrollmentPermission> complex type is used to convey the permissions for the associated parent object.

```
<xs:complexType name="EnrollmentPermission">
  <xs:sequence>
    <xs:element name="enroll" type="xs:boolean" />
    <xs:element name="autoEnroll" type="xs:boolean" />
  </xs:sequence>
</xs:complexType>
```

**enroll:** The xs:boolean <enroll> element is used to indicate whether the requestor has permission to enroll. If the <enroll> element is true, the requestor has permission to enroll. If the <enroll> element is false, the requestor does not have permission to enroll.

**autoEnroll:** The xs:boolean <autoEnroll> element is used to indicate whether the requestor has permission to automatically enroll. If the <autoEnroll> element is true, the requestor has permission to automatically enroll. If the <autoEnroll> element is false, the requestor does not have permission to automatically enroll.

### 3.1.4.1.3.12 Extension

The <Extension> complex type is used to provide an X.509v3 Certificate Extension, as specified in [\[RFC5280\]](#) section 4.1.2.9.



```

<xs:complexType name="Extension">
  <xs:sequence>
    <xs:element name="oIDReference" type="xs:int" />
    <xs:element name="critical" type="xs:boolean" nillable="true" />
    <xs:element name="value" type="xs:base64Binary" nillable="true" />
  </xs:sequence>
</xs:complexType>

```

**oIDReference:** The <oIDReference> element is an integer value that references an existing <oIDReferenceID> element of an existing OID object as defined in section [3.1.4.1.3.16](#). The integer value MUST be a valid object identifier (OID) reference ID.

**critical:** The <critical> element is used to indicate whether the Extension is critical. A value of true indicates that the Extension is critical. A value of false indicates that the Extension is not critical.

**value:** The <value> element contains the xs:base64Binary representation of the ASN.1 encoded value of the Extension.

### 3.1.4.1.3.13 ExtensionCollection

The list of extensions. The list is not ordered. The <ExtensionCollection> is used to group [Extension](#) objects together.

```

<xs:complexType name="ExtensionCollection">
  <xs:sequence>
    <xs:element name="extension" type="xcep:Extension"
      minOccurs="1" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

**extension:** An instance of an Extension object as defined in section [3.1.4.1.3.12](#).

### 3.1.4.1.3.14 FilterOIDCollection

The <FilterOIDCollection> complex type is provided as part of a request message. The list of OIDs is used to filter the server response down to [CertificateEnrollmentPolicy](#) objects where the **CertificateEnrollmentPolicy** <policyOIDReference> value matches a value in the <FilterOIDCollection>. The list is not ordered.

```

<xs:complexType name="FilterOIDCollection">
  <xs:sequence>
    <xs:element name="oid" type="xs:string"
      minOccurs="1" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

**oid:** A string representation of an OID. Each <oid> element MUST be unique in the <FilterOIDCollection>.

### 3.1.4.1.3.15 KeyArchivalAttributes

The <KeyArchivalAttributes> complex type contains the required attributes that MUST be used on the client prior to sending the client private key to the server for archival. [<1>](#)

```

<xs:complexType name="KeyArchivalAttributes">
  <xs:sequence>
    <xs:element name="symmetricAlgorithmOIDReference" type="xs:int" />
    <xs:element name="symmetricAlgorithmKeyLength" type="xs:unsignedInt" />
  </xs:sequence>
</xs:complexType>

```

**symmetricAlgorithmOIDReference:** A reference to an <oidReferenceID> element of an existing OID object as defined in section [3.1.4.1.3.16](#). The referenced OID object identifies the expected symmetric key algorithm used when encrypting a private key during key exchange requests. The value MUST correspond to an existing <oidReferenceID> in the [GetPoliciesResponse \(section 3.1.4.1.1.2\)](#) message.

**symmetricAlgorithmKeyLength:** An integer value representing the expected bit length of a symmetric key used when encrypting a private key during key exchange requests. The <symmetricAlgorithmKeyLength> element MUST be a positive nonzero integer value.

### 3.1.4.1.3.16 OID

The <OID> complex type is used and referenced throughout the X.509 Certificate Enrollment Policy Protocol to identify an object and to provide generic attributes on the object. Each OID object has a specific intended purpose, denoted by the <group> element.

```

<xs:complexType name="OID">
  <xs:sequence>
    <xs:element name="value" type="xs:string" />
    <xs:element name="group" type="xs:unsignedInt" />
    <xs:element name="oidReferenceID" type="xs:int"/>
    <xs:element name="defaultName" type="xs:string" nillable="true" />
    <xs:any namespace="##any" processContents="lax"
      minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

**value:** The object identifier value (for example, 1.2.3.4).

**group:** The integer value that identifies the type of object that the OID object represents. The <group> element MUST be one of the following integer values.

Integer value	Meaning
1	Hash algorithm identifier.
2	Encryption algorithm identifier.
3	<b>Public key</b> identifier.
4	Signing algorithm identifier.
5	<b>Relative distinguished name (RDN)</b> identifier.
6	Certificate extension or attribute identifier.
7	<b>Extended key usage</b> identifier.

Integer value	Meaning
8	Certificate policy identifier.
9	Enrollment object identifier.

**oIDReferenceID:** The integer identifier for the OID. The value of <oIDReferenceID> MUST be unique for each unique OID object instance in a [GetPoliciesResponse](#) message.

**defaultName:** A friendly name for the OID object. The <defaultName> element MUST be provided in a GetPoliciesResponse message. The <defaultName> is not localized and has no language specifier.

**##any:** This element provides a vendor-extensible point. Additional elements MAY be included as part of an OID object instance.

### 3.1.4.1.3.17 OIDCollection

A list of [OID](#) objects. The list is not ordered. An <OIDCollection> is used to group OID objects together.

```
<xs:complexType name="OIDCollection">
  <xs:sequence>
    <xs:element name="oID" type="xcep:OID" minOccurs="1"
      maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

**oID:** An instance of an OID object as defined in section [3.1.4.1.3.16](#).

### 3.1.4.1.3.18 OIDReferenceCollection

A list of references to [OID](#) objects. The reference is to the <oIDReferenceID> element of an OID object. The list is not ordered.

```
<xs:complexType name="OIDReferenceCollection">
  <xs:sequence>
    <xs:element name="oIDReference" type="xs:int"
      minOccurs="1" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

**oIDReference:** An integer reference to an <oIDReferenceID> element in an OID object in a [GetPoliciesResponse](#) (section [3.1.4.1.1.2](#)) message. The integer MUST reference an existing <oIDReferenceID> in this GetPoliciesResponse message.

### 3.1.4.1.3.19 PolicyCollection

A list of [CertificateEnrollmentPolicy](#) objects. The list is not ordered. The <PolicyCollection> is used to group CertificateEnrollmentPolicy objects together.

```
<xs:complexType name="PolicyCollection">
  <xs:sequence>
    <xs:element name="policy" type="xcep:CertificateEnrollmentPolicy"
```

```

        minOccurs="1" maxOccurs="unbounded" />
    </xs:sequence>
</xs:complexType>

```

**policy:** An instance of a CertificateEnrollmentPolicy object as defined in section [3.1.4.1.3.7](#).

### 3.1.4.1.3.20 PrivateKeyAttributes

The <PrivateKeyAttributes> complex type contains the attributes for the private key that will be associated with any certificate request for the corresponding [CertificateEnrollmentPolicy](#) object.

```

<xs:complexType name="PrivateKeyAttributes">
  <xs:sequence>
    <xs:element name="minimalKeyLength" type="xs:unsignedInt" />
    <xs:element name="keySpec" type="xs:unsignedInt"
      nillable="true" />
    <xs:element name="keyUsageProperty" type="xs:unsignedInt"
      nillable="true" />
    <xs:element name="permissions" type="xs:string"
      nillable="true" />
    <xs:element name="algorithmOIDReference" type="xs:int"
      nillable="true" />
    <xs:element name="cryptoProviders" type="xcep:CryptoProviders"
      nillable="true" />
  </xs:sequence>
</xs:complexType>

```

**minimalKeyLength:** An integer specifying the minimum key length in bits for the private key. The value of the <minimalKeyLength> element MUST be a positive nonzero number.

**keySpec:** This element has identical semantics for the <pKIDefaultKeySpec> attribute specified in [\[MS-WCCE\]](#) section 3.1.2.4.2.2.1.5.

**keyUsageProperty:** This element has identical semantics to the <pKIKeyUsage> attribute specified in [\[MS-WCCE\]](#) section 3.1.2.4.2.2.1.3.

**permissions:** Used to specify a **Security Descriptor Definition Language (SDDL)** representation of the permissions when a private key is created.

**algorithmOIDReference:** An integer reference to an <oidReferenceID> element of an existing [OID \(section 3.1.4.1.3.16\)](#) object in a [GetPoliciesResponse](#) message. The OID object that is referenced corresponds to the asymmetric algorithm of the private key.

**cryptoProviders:** An instance of the [CryptoProviders](#) object as specified in section [3.1.4.1.3.10](#). If there are no cryptographic providers to be specified, the <cryptoProviders> element MUST be nil.

### 3.1.4.1.3.21 RARRequirements

If additional registration authority key(s) are required in signing enrollment requests for this policy, these keys are defined in an RARRequirements object.

```

<xs:complexType name="RARRequirements">
  <xs:sequence>
    <xs:element name="rASignatures" type="xs:unsignedInt" />
    <xs:element name="rAEKUs" type="xcep:OIDReferenceCollection"

```

```

        nillable="true" />
        <xs:element name="rAPolicies" type="xcep:OIDReferenceCollection"
            nillable="true" />
    </xs:sequence>
</xs:complexType>

```

**rASignatures:** Defines an integer indicating the number of additional signatures required. The <rASignatures> element MUST be an integer greater than or equal to 0.

**rAEKUs:** An instance of an [OIDReferenceCollection](#) object as defined in section [3.1.4.1.3.18](#). The <rAEKUs> element defines the required values in the extended key usage (EKU) extension of the RA certificate.

**rAPolicies:** An instance of an [OIDReferenceCollection](#) object defined in section [3.1.4.1.3.18](#). The <rAPolicies> element defines the required values in the policy extension of the RA certificate.

### 3.1.4.1.3.22 RequestFilter

The <RequestFilter> complex type is provided in a request and used by the server to filter the [GetPoliciesResponse](#) to contain only [CertificateEnrollmentPolicy](#) objects that satisfy the filter.

```

<xs:complexType name="RequestFilter">
  <xs:sequence>
    <xs:element name="policyOIDs" type="xcep:FilterOIDCollection"
        nillable="true" />
    <xs:element name="clientVersion" type="xs:int" nillable="true" />
    <xs:element name="serverVersion" type="xs:int" nillable="true" />
    <xs:any namespace="##any" processContents="lax"
        minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

**policyOIDs:** An instance of a [FilterOIDCollection](#) object as defined in section [3.1.4.1.3.14](#). If the <policyOIDs> element is nil, the server MUST NOT apply an OID filter to the policies returned in the [GetPoliciesResponse](#) message.

**clientVersion:** The server SHOULD only return [CertificateEnrollmentPolicy](#) objects whose bitwise AND of the <privateKeyFlags> element of the <attributes> element with 0x0F000000 is smaller than or equal to 0x0Z000000, where Z denotes the value of the **clientVersion**.[<2>](#)

**serverVersion:** The server SHOULD only return the [CertificateEnrollmentPolicy](#) objects whose bitwise AND of the <privateKeyFlags> element of the <attributes> element with 0x000F0000 is smaller than or equal to 0x000Y0000, where Y denotes the value of the **serverVersion**.[<3>](#)

**##any:** This element provides a vendor-extensible point. Additional elements MAY be included as part of a RequestFilter object instance. Additional elements MAY be ignored by a server.

### 3.1.4.1.3.23 Response

The <Response> complex type is used to convey the results of a request for certificate enrollment policy.

```

<xs:complexType name="Response">
  <xs:sequence>

```

```

<xs:element name="policyID" type="xs:string" />
<xs:element name="policyFriendlyName" type="xs:string"
  nillable="true" />
<xs:element name="nextUpdateHours" type="xs:unsignedInt"
  nillable="true" />
<xs:element name="policiesNotChanged" type="xs:boolean"
  nillable="true" />
<xs:element name="policies" type="xcep:PolicyCollection"
  nillable="true" />
<xs:any namespace="##any" processContents="lax"
  minOccurs="0" maxOccurs="unbounded" />
</xs:sequence>
</xs:complexType>

```

**policyID:** A unique identifier for the certificate enrollment policy. Two or more servers can respond with the same <policyID> element in a [GetPoliciesResponse](#) message if, and only if, they are configured to return the same Response object to the same requestor. The <policyID> element is not intended to be a human-readable property.

**policyFriendlyName:** A human readable friendly name for the certificate enrollment policy.

**nextUpdateHours:** An integer representing the number of hours that the server recommends the client wait before submitting another [GetPolicies](#) message. If the <nextUpdateHours> element is present and not nil, the <nextUpdateHours> element value MUST be a positive nonzero integer. <4>

**policiesNotChanged:** Used to indicate to the requestor whether the policies have changed since the requestor specified <lastUpdateTime> in the GetPolicies request message as described in section [3.1.4.1.3.9](#). If the value of the <policiesNotChanged> element is true, the policy has not changed since the <lastUpdateTime> value in the GetPolicies message. If the <policiesNotChanged> element is false or nil, the policy has changed since the requestor specified <lastUpdateTime>.

**policies:** An instance of a [PolicyCollection](#) object as defined in section [3.1.4.1.3.19](#).

**##any:** This element provides a vendor extensible point. Additional elements MAY be included as part of a Response object instance.

### 3.1.4.1.3.24 Revision

The <Revision> complex type identifies the version information of a [CertificateEnrollmentPolicy](#) object.

```

<xs:complexType name="Revision">
  <xs:sequence>
    <xs:element name="majorRevision" type="xs:unsignedInt" />
    <xs:element name="minorRevision" type="xs:unsignedInt" nillable="true" />
  </xs:sequence>
</xs:complexType>

```

**majorRevision:** The major version number of the corresponding CertificateEnrollmentPolicy object. The <majorRevision> element MUST be a positive nonzero integer. The <majorRevision> element will be populated from the <revision> attribute as specified in [\[MS-CRTD\]](#) section 2.6.

**minorRevision:** The minor version number of the corresponding CertificateEnrollmentPolicy object. The <minorRevision> element MUST be an integer greater than or equal to 0. The <minorRevision>

element will be populated from the <msPKI-Template-Minor-Revision> attribute as specified in [\[MS-CRTD\]](#) section 2.17.

### 3.1.4.1.3.25 SupersededPolicies

A list of superseded policies identified by the value of their <commonName> attribute. The list is not ordered.

```
<xs:complexType name="SupersededPolicies">
  <xs:sequence>
    <xs:element ref="xcep:commonName"
      minOccurs="1" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

**commonName:** The <commonName> is a string value representing the common name of a [CertificateEnrollmentPolicy](#) object that has been superseded by the CertificateEnrollmentPolicy object corresponding to this SupersededPolicies object. The list of <commonName> elements in the SupersededPolicies object is constructed based on the <msPKI-Supersede-Templates> attribute as specified in [\[MS-CRTD\]](#) section 2.21. Each value is returned as a string element.

### 3.1.5 Timer Events

None.

### 3.1.6 Other Local Events

Updates to the certificate enrollment policy data model SHOULD be accompanied by an update to the initialized update time for the data model.

## 4 Protocol Examples

### 4.1 Standard GetPolicies Request and GetPoliciesResponse Response Message Sequences

In the following sections, the username/password authentication headers have been included in the message sequences for clarity.

#### 4.1.1 Initial Certificate Enrollment Policy Retrieval

##### 4.1.1.1 Initial GetPolicies Client Request

```
<s:Envelope
  xmlns:s="http://www.w3.org/2003/05/soap-envelope"
  xmlns:a="http://www.w3.org/2005/08/addressing"
  xmlns:u="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-
1.0.xsd">
  <s:Header>
    <a:Action s:mustUnderstand="1">
http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/IPolicy/GetPolicies
    </a:Action>
    <a:MessageID>
      urn:uuid:5fb5f6fd-4709-414b-8afa-0c05f6686d1c
    </a:MessageID>
    <a:ReplyTo>
      <a:Address>
        http://www.w3.org/2005/08/addressing/anonymous
      </a:Address>
    </a:ReplyTo>
    <a:To s:mustUnderstand="1">
      https://9-1351c1223a.dom9-
1351c1223a.nttest.microsoft.com/ADPolicyProvider_cep_usernamepassword/service.svc/cep
    </a:To>
    <o:Security
      s:mustUnderstand="1"
      xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-
1.0.xsd">
      <u:Timestamp u:Id="_0">
        <u:Created>2009-03-26T00:58:51.549Z</u:Created>
        <u:Expires>2009-03-26T01:03:51.549Z</u:Expires>
      </u:Timestamp>
      <o:UsernameToken u:Id="uuid-12df19ba-93db-4f41-8d7e-8bb789168bf9-1">
        <o:Username>
          <!--Removed-->
        </o:Username>
        <o:Password>
          <!--Removed-->
        </o:Password>
      </o:UsernameToken>
    </o:Security>
  </s:Header>
  <s:Body
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:xsd="http://www.w3.org/2001/XMLSchema">
    <GetPolicies xmlns="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy">
      <client>
        <lastUpdate>0001-01-01T00:00:00</lastUpdate>
      </client>
    </GetPolicies>
  </s:Body>
</s:Envelope>
```



```

    <preferredLanguage xsi:nil="true"></preferredLanguage>
  </client>
  <requestFilter xsi:nil="true"></requestFilter>
</GetPolicies>
</s:Body>
</s:Envelope>

```

#### 4.1.1.2 GetPoliciesResponse Response

```

<s:Envelope
  xmlns:a="http://www.w3.org/2005/08/addressing"
  xmlns:s="http://www.w3.org/2003/05/soap-envelope">
  <s:Header>
    <a:Action s:mustUnderstand="1">
http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/IPolicy/GetPoliciesResponse
    </a:Action>
  </s:Header>
  <s:Body
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:xsd="http://www.w3.org/2001/XMLSchema">
    <GetPoliciesResponse
      xmlns="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy">
      <response>
        <policyID>{083C7011-1D0A-4855-885D-AC945184658C}</policyID>
        <policyFriendlyName>Contoso Enrollment Policy</policyFriendlyName>
        <nextUpdateHours>8</nextUpdateHours>
        <policiesNotChanged xsi:nil="true"/>
        <policies>
          <policy>
            <policyOIDReference>9</policyOIDReference>
            <cAs>
              <cAReference>0</cAReference>
            </cAs>
            <attributes>
              <commonName>EFS</commonName>
              <policySchema>1</policySchema>
              <certificateValidity>
                <validityPeriodSeconds>31536000</validityPeriodSeconds>
                <renewalPeriodSeconds>3628800</renewalPeriodSeconds>
              </certificateValidity>
              <permission>
                <enroll>true</enroll>
                <autoEnroll>false</autoEnroll>
              </permission>
              <privateKeyAttributes>
                <minimalKeyLength>2048</minimalKeyLength>
                <keySpec>1</keySpec>
                <keyUsageProperty xsi:nil="true"/>
                <permissions xsi:nil="true"/>
                <algorithmOIDReference xsi:nil="true"/>
                <cryptoProviders>
                  <provider>
                    Microsoft Enhanced Cryptographic Provider v1.0</provider>
                  <provider>
                    Microsoft Base Cryptographic Provider v1.0</provider>
                </cryptoProviders>
              </privateKeyAttributes>
              <revision>

```

```

    <majorRevision>3</majorRevision>
    <minorRevision>1</minorRevision>
  </revision>
  <supersededPolicies xsi:nil="true"/>
  <privateKeyFlags nil="true"/>
  <subjectNameFlags nil="true"/>
  <enrollmentFlags nil="true"/>
  <generalFlags nil="true"/>
  <hashAlgorithmOIDReference xsi:nil="true"></hashAlgorithmOIDReference>
  <rARequirements xsi:nil="true"/>
  <keyArchivalAttributes xsi:nil="true"/>
  <extensions>
    <extension>
      <oidReference>5</oidReference>
      <critical>>false</critical>
      <value></value>
    </extension>
    <extension>
      <oidReference>6</oidReference>
      <critical>>false</critical>
      <value>
        MCAGCCsGAQUFBwMCB...YBBAGCNwoDBA==
      </value>
    </extension>
    <extension>
      <oidReference>7</oidReference>
      <critical>>true</critical>
      <value>
        AwIFoA==
      </value>
    </extension>
  </extensions>
</attributes>
</policy>
</policies>
</response>
<cAs>
  <cA>
    <uris>
      <cAURI>
        <clientAuthentication>8</clientAuthentication>
        <uri>https://9-1351c1223a.dom9-1351c1223a.nttest.microsoft.com/EntRootCA_CES_Certificate/service.svc/CES</uri>
        <priority>1</priority>
        <renewalOnly>>false</renewalOnly>
      </cAURI>
      <cAURI>
        <clientAuthentication>4</clientAuthentication>
        <uri>https://9-1351c1223a.dom9-1351c1223a.nttest.microsoft.com/EntRootCA_CES_UsernamePassword/service.svc/CES</uri>
        <priority>1</priority>
        <renewalOnly>>false</renewalOnly>
      </cAURI>
      <cAURI>
        <clientAuthentication>2</clientAuthentication>
        <uri>https://9-1351c1223a.dom9-1351c1223a.nttest.microsoft.com/EntRootCA_CES_Kerberos/service.svc/CES</uri>
        <priority>1</priority>
        <renewalOnly>>false</renewalOnly>
      </cAURI>
    </uris>
  </cA>
</cAs>

```

```

        </cAURI>
    </uris>
    <certificate>
        MIID4TCCAsmgAwI...Cz1HRi2TpCY30lJLUPG/+Nw==
    </certificate>
    <enrollPermission>true</enrollPermission>
    <cAReferenceID>0</cAReferenceID>
</cA>
</cAs>
<oIDs>
    <oID>
        <value>1.3.6.1.4.1.311.20.2</value>
        <group>6</group>
        <oIDReferenceID>5</oIDReferenceID>
        <defaultName>Certificate Template Name</defaultName>
    </oID>
    <oID>
        <value>2.5.29.37</value>
        <group>6</group>
        <oIDReferenceID>6</oIDReferenceID>
        <defaultName>Enhanced Key Usage</defaultName>
    </oID>
    <oID>
        <value>2.5.29.15</value>
        <group>6</group>
        <oIDReferenceID>7</oIDReferenceID>
        <defaultName>Key Usage</defaultName>
    </oID>
    <oID>
        <value>
1.3.6.1.4.1.311.21.8.3800100.3166153.13323660.9808540.8334961.78.1.6
        </value>
        <group>9</group>
        <oIDReferenceID>9</oIDReferenceID>
        <defaultName>Basic EFS</defaultName>
    </oID>
</oIDs>
</GetPoliciesResponse>
</s:Body>
</s:Envelope>

```

## 4.1.2 Certificate Enrollment Policy Retrieval Using LastUpdateTime

### 4.1.2.1 Client Request with Provided LastUpdateTime

```

<s:Envelope
  xmlns:s="http://www.w3.org/2003/05/soap-envelope"
  xmlns:a="http://www.w3.org/2005/08/addressing"
  xmlns:u="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-
1.0.xsd">
  <s:Header>
    <a:Action s:mustUnderstand="1">
http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/IPolicy/GetPolicies
    </a:Action>
    <a:MessageID>urn:uuid:5fb5f6fd-4709-414b-8afa-0c05f6686d1c</a:MessageID>
    <a:ReplyTo>
    <a:Address>http://www.w3.org/2005/08/addressing/anonymous</a:Address>

```

```

    </a:ReplyTo>
    <a:To s:mustUnderstand="1">
https://9-1351c1223a.dom9-
1351c1223a.nttest.microsoft.com/ADPolicyProvider_cep_usernamepassword/service.svc/cep
    </a:To>
    <o:Security s:mustUnderstand="1"
    xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-
1.0.xsd">
    <u:Timestamp u:Id="_0">
    <u:Created>2009-03-28T11:28:41.692Z</u:Created>
    <u:Expires>2009-03-28T11:33:41.692Z</u:Expires>
    </u:Timestamp>
    <o:UsernameToken u:Id="uuid-12df19ba-93db-4f41-8d7e-8bb789168bf9-1">
    <o:Username>
    <!--Removed-->
    </o:Username>
    <o:Password>
    <!--Removed-->
    </o:Password>
    </o:UsernameToken>
    </o:Security>
  </s:Header>
  <s:Body xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xmlns:xsd="http://www.w3.org/2001/XMLSchema">
    <GetPolicies xmlns="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy">
    <client>
    <lastUpdate>20090-03-15-T09:38:46</lastUpdate>
    <preferredLanguage xsi:nil="true"></preferredLanguage>
    </client>
    <requestFilter xsi:nil="true"/>
    </GetPolicies>
  </s:Body>
</s:Envelope>

```

#### 4.1.2.2 Server Response

```

<s:Envelope
  xmlns:a="http://www.w3.org/2005/08/addressing"
  xmlns:s="http://www.w3.org/2003/05/soap-envelope">
  <s:Header>
    <a:Action s:mustUnderstand="1">
http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/IPolicy/GetPoliciesResponse
    </a:Action>
  </s:Header>
  <s:Body
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:xsd="http://www.w3.org/2001/XMLSchema">
    <GetPoliciesResponse
      xmlns="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy">
    <response>
    <policyID>{083C7011-1D0A-4855-885D-AC945184658C}</policyID>
    <policyFriendlyName>Contoso Enrollment Policy</policyFriendlyName>
    <nextUpdateHours>8</nextUpdateHours>
    <policiesNotChanged>true</policiesNotChanged>
    <policies xsi:nil="true"/>
    </response>
    <cAs xsi:nil="true"/>
    <oIDs xsi:nil="true">

```

```
</GetPoliciesResponse>  
</s:Body>  
</s:Envelope
```

## 5 Security

### 5.1 Security Considerations for Implementers

The X.509 Certificate Enrollment Policy Protocol does not provide message-level signing or message-level encryption for either [GetPolicies \(section 3.1.4.1.1.1\)](#) request messages or [GetPoliciesResponse \(section 3.1.4.1.1.2\)](#) response messages. Implementers should make use of available transport protection as available in HTTPS to provide security to the client/server interaction.

### 5.2 Index of Security Parameters

None.

## 6 Appendix A: Full WSDL

### 6.1 WSDL

For ease of implementation, the full WSDL is provided as follows.

```
<?xml version="1.0" encoding="utf-8"?>
<wsdl:definitions name="PolicyService"
  targetNamespace="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy"
  xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"
  xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"
  xmlns:xcep="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
  xmlns:wsaw="http://www.w3.org/2006/05/addressing/wsdl">
  <wsdl:types>
    <xsd:schema
      targetNamespace="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy">
      <xsd:import
        schemaLocation="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/xcep.xsd"
        namespace="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy"/>
      </xsd:schema>
    </wsdl:types>
    <wsdl:message name="IPolicy_GetPolicies_InputMessage">
      <wsdl:part name="request" element="xcep:GetPolicies"/>
    </wsdl:message>
    <wsdl:message name="IPolicy_GetPolicies_OutputMessage">
      <wsdl:part name="response" element="xcep:GetPoliciesResponse"/>
    </wsdl:message>
    <wsdl:portType name="IPolicy">
      <wsdl:operation name="GetPolicies">
        <wsdl:input
          wsaw:Action="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/IPolicy/GetPol
            icies"
            message="xcep:IPolicy_GetPolicies_InputMessage"/>
        <wsdl:output
          wsaw:Action="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy/IPolicy/GetPol
            iciesResponse"
            message="xcep:IPolicy_GetPolicies_OutputMessage"/>
        </wsdl:operation>
      </wsdl:portType>
    </wsdl:definitions>
```

### 6.2 XML Schema

For ease of implementation, the XML schema is provided as follows.

```
<?xml version="1.0" encoding="utf-8"?>
<xs:schema
  targetNamespace="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy"
  elementFormDefault="qualified"
  xmlns:xcep="http://schemas.microsoft.com/windows/pki/2009/01/enrollmentpolicy"
  xmlns:xs="http://www.w3.org/2001/XMLSchema">

  <xs:element name="commonName" type="xs:string" />

  <xs:element name="GetPolicies">
    <xs:complexType>
```

```

    <xs:sequence>
      <xs:element name="client" type="xcep:Client" />
      <xs:element name="requestFilter" nillable="true"
        type="xcep:RequestFilter" />
    </xs:sequence>
  </xs:complexType>
</xs:element>

<xs:element name="GetPoliciesResponse">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="response" nillable="true" type="xcep:Response" />
      <xs:element name="cAs" nillable="true" type="xcep:CACollection" />
      <xs:element name="oIDs" nillable="true" type="xcep:OIDCollection" />
    </xs:sequence>
  </xs:complexType>
</xs:element>

<xs:complexType name="Attributes">
  <xs:sequence>
    <xs:element ref="xcep:commonName" />
    <xs:element name="policySchema" type="xs:unsignedInt" />
    <xs:element name="certificateValidity"
      type="xcep:CertificateValidity" />
    <xs:element name="permission" type="xcep:EnrollmentPermission" />
    <xs:element name="privateKeyAttributes"
      type="xcep:PrivateKeyAttributes" />
    <xs:element name="revision" type="xcep:Revision" />
    <xs:element name="supersededPolicies"
      type="xcep:SupersededPolicies" nillable="true" />
    <xs:element name="privateKeyFlags" type="xs:unsignedInt"
      nillable="true" />
    <xs:element name="subjectNameFlags" type="xs:unsignedInt"
      nillable="true" />
    <xs:element name="enrollmentFlags" type="xs:unsignedInt"
      nillable="true" />
    <xs:element name="generalFlags" type="xs:unsignedInt"
      nillable="true" />
    <xs:element name="hashAlgorithmOIDReference" type="xs:int"
      nillable="true" />
    <xs:element name="rARequirements" type="xcep:RARequirements"
      nillable="true" />
    <xs:element name="keyArchivalAttributes"
      type="xcep:KeyArchivalAttributes" nillable="true" />
    <xs:element name="extensions" type="xcep:ExtensionCollection"
      nillable="true" />
    <xs:any namespace="##any"
      processContents="lax" minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="CA">
  <xs:sequence>
    <xs:element name="uris" type="xcep:CAURICollection" />
    <xs:element name="certificate" type="xs:base64Binary" />
    <xs:element name="enrollPermission" type="xs:boolean" />
    <xs:element name="cAReferenceID" type="xs:int" />
    <xs:any namespace="##any"
      processContents="lax" minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```



```

    </xs:sequence>
</xs:complexType>

<xs:complexType name="CACollection">
  <xs:sequence>
    <xs:element name="cA" type="xcep:CA" minOccurs="1"
      maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="CARreferenceCollection">
  <xs:sequence>
    <xs:element name="cARreference" type="xs:int" minOccurs="1"
      maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="CAURI">
  <xs:sequence>
    <xs:element name="clientAuthentication" type="xs:unsignedInt" />
    <xs:element name="uri" type="xs:anyURI" />
    <xs:element name="priority" type="xs:unsignedInt" nillable="true" />
    <xs:element name="renewalOnly" type="xs:boolean" />
    <xs:any namespace="##any"
      processContents="lax" minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="CAURICollection">
  <xs:sequence>
    <xs:element name="cAURI" type="xcep:CAURI" minOccurs="1"
      maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="CertificateEnrollmentPolicy">
  <xs:sequence>
    <xs:element name="policyOIDReference" type="xs:int" />
    <xs:element name="cAs" type="xcep:CARreferenceCollection"
      nillable="true" />
    <xs:element name="attributes" type="xcep:Attributes" />
    <xs:any namespace="##any"
      processContents="lax" minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="CertificateValidity">
  <xs:sequence>
    <xs:element name="validityPeriodSeconds" type="xs:unsignedLong" />
    <xs:element name="renewalPeriodSeconds" type="xs:unsignedLong" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="Client">
  <xs:sequence>
    <xs:element name="lastUpdate" type="xs:dateTime" nillable="true" />
    <xs:element name="preferredLanguage" type="xs:language"
      nillable="true" />
    <xs:any namespace="##any"

```

```

        processContents="lax" minOccurs="0" maxOccurs="unbounded" />
    </xs:sequence>
</xs:complexType>

<xs:complexType name="CryptoProviders">
    <xs:sequence>
        <xs:element name="provider" type="xs:string" minOccurs="1"
            maxOccurs="unbounded" />
    </xs:sequence>
</xs:complexType>

<xs:complexType name="EnrollmentPermission">
    <xs:sequence>
        <xs:element name="enroll" type="xs:boolean" />
        <xs:element name="autoEnroll" type="xs:boolean" />
    </xs:sequence>
</xs:complexType>

<xs:complexType name="Extension">
    <xs:sequence>
        <xs:element name="oidReference" type="xs:int" />
        <xs:element name="critical" type="xs:boolean" />
        <xs:element name="value" type="xs:base64Binary" nillable="true" />
    </xs:sequence>
</xs:complexType>

<xs:complexType name="ExtensionCollection">
    <xs:sequence>
        <xs:element name="extension"
            type="xcep:Extension" minOccurs="1" maxOccurs="unbounded" />
    </xs:sequence>
</xs:complexType>

<xs:complexType name="FilterOIDCollection">
    <xs:sequence>
        <xs:element name="oid" type="xs:string" minOccurs="1"
            maxOccurs="unbounded" />
    </xs:sequence>
</xs:complexType>

<xs:complexType name="KeyArchivalAttributes">
    <xs:sequence>
        <xs:element name="symmetricAlgorithmOIDReference" type="xs:int" />
        <xs:element name="symmetricAlgorithmKeyLength"
            type="xs:unsignedInt" />
    </xs:sequence>
</xs:complexType>

<xs:complexType name="OID">
    <xs:sequence>
        <xs:element name="value" type="xs:string" />
        <xs:element name="group" type="xs:unsignedInt" />
        <xs:element name="oidReferenceID" type="xs:int" />
        <xs:element name="defaultName" type="xs:string" nillable="true" />
        <xs:any namespace="##any"
            processContents="lax" minOccurs="0" maxOccurs="unbounded" />
    </xs:sequence>
</xs:complexType>

```

```

<xs:complexType name="OIDCollection">
  <xs:sequence>
    <xs:element name="oID" type="xcep:OID" minOccurs="1"
      maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="OIDReferenceCollection">
  <xs:sequence>
    <xs:element name="oIDReference"
      type="xs:int" minOccurs="1" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="PolicyCollection">
  <xs:sequence>
    <xs:element name="policy"
      type="xcep:CertificateEnrollmentPolicy" minOccurs="1"
      maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="PrivateKeyAttributes">
  <xs:sequence>
    <xs:element name="minimalKeyLength" type="xs:unsignedInt" />
    <xs:element name="keySpec" type="xs:unsignedInt" nillable="true" />
    <xs:element name="keyUsageProperty" type="xs:unsignedInt"
      nillable="true" />
    <xs:element name="permissions" type="xs:string" nillable="true" />
    <xs:element name="algorithmOIDReference" type="xs:int"
      nillable="true" />
    <xs:element name="cryptoProviders" type="xcep:CryptoProviders"
      nillable="true" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="RARequirements">
  <xs:sequence>
    <xs:element name="rASignatures" type="xs:unsignedInt" />
    <xs:element name="rAEKUs" type="xcep:OIDReferenceCollection"
      nillable="true" />
    <xs:element name="rAPolicies" type="xcep:OIDReferenceCollection"
      nillable="true" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="RequestFilter">
  <xs:sequence>
    <xs:element name="policyOIDs" type="xcep:FilterOIDCollection"
      nillable="true" />
    <xs:any namespace="##any"
      processContents="lax" minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="Response">
  <xs:sequence>
    <xs:element name="policyID" type="xs:string" nillable="false" />
    <xs:element name="policyFriendlyName" type="xs:string"

```

```

nillable="true" />
<xs:element name="nextUpdateHours" type="xs:unsignedInt"
nillable="true" />
<xs:element name="policiesNotChanged" type="xs:boolean"
nillable="true" />
<xs:element name="policies" type="xcep:PolicyCollection"
nillable="true" />
<xs:any namespace="##any"
processContents="lax" minOccurs="0" maxOccurs="unbounded" />
</xs:sequence>
</xs:complexType>

<xs:complexType name="Revision">
<xs:sequence>
<xs:element name="majorRevision" type="xs:unsignedInt" />
<xs:element name="minorRevision" type="xs:unsignedInt" />
</xs:sequence>
</xs:complexType>

<xs:complexType name="SupersededPolicies">
<xs:sequence>
<xs:element ref="xcep:commonName" minOccurs="1"
maxOccurs="unbounded" />
</xs:sequence>
</xs:complexType>
</xs:schema>

```

## 7 Appendix B: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Windows 7 operating system
- Windows Server 2008 R2 operating system
- Windows 8 operating system
- Windows Server 2012 operating system
- Windows 8.1 operating system
- Windows Server 2012 R2 operating system

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

[<1> Section 3.1.4.1.3.15:](#) Windows Server will construct this object based on the types stored in the <msPKI-RA-Application-Policies> attribute as specified in [\[MS-CRTD\]](#) section 2.23.

- If <msPKI-RA-Application-Policies> has the msPKI-Symmetric-Algorithm type, then the value of the <symmetricAlgorithmOIDReference> element should be converted to an OID and the reference to this OID should be copied from <msPKI-RA-Application-Policies>.
- If the <msPKI-RA-Application-Policies> has the msPKI-Symmetric-Key-Length type, then the value of the <symmetricAlgorithmKeyLength> element should be copied from <msPKI-RA-Application-Policies>.

[<2> Section 3.1.4.1.3.22:](#) Only Windows Server 2012 and Windows Server 2012 R2 support this attribute.

[<3> Section 3.1.4.1.3.22:](#) Only Windows Server 2012 and Windows Server 2012 R2 support this attribute.

[<4> Section 3.1.4.1.3.23:](#) Windows Server provides a <nextUpdateHours> value of 8 by default. The value can be configured by an administrator.

## 8 Change Tracking

No table of changes is available. The document is either new or has had no changes since its last release.

## 9 Index

### A

[Abstract data model - IPolicy server](#) 12  
[Applicability](#) 9

### C

[Capability negotiation](#) 9  
[Change tracking](#) 46  
[Client request with provided LastUpdateTime sequence](#) 35  
[Common messages - syntax](#) 10

### D

[Data model - abstract](#) 12  
[Directory service schema elements](#) 11

### E

[Elements - directory service schema](#) 11  
Examples  
  [client request with provided LastUpdateTime sequence](#) 35  
  [GetPoliciesResponse response sequence](#) 33  
  [initial GetPolicies client request sequence](#) 32  
  [server response sequence](#) 36

### F

[Fields - vendor-extensible](#) 9  
[Full WSDL](#) 39

### G

GetPolicies operation  
  complex types  
    [Attributes](#) 16  
    [CA](#) 20  
    [CACollection](#) 20  
    [CAREferenceCollection](#) 21  
    [CAURI](#) 21  
    [CAURICollection](#) 22  
    [CertificateEnrollmentPolicy](#) 22  
    [CertificateValidity](#) 23  
    [client](#) 23  
    [CryptoProviders](#) 24  
    [EnrollmentPermission](#) 24  
    [Extension](#) 24  
    [ExtensionCollection](#) 25  
    [FilterOIDCollection](#) 25  
    [KeyArchivalAttributes](#) 25  
    [OID](#) 26  
    [OIDCollection](#) 27  
    [OIDReferenceCollection](#) 27  
    [overview](#) 15  
    [PolicyCollection](#) 27  
    [PrivateKeyAttributes](#) 28  
    [RARrequirements](#) 28

[RequestFilter](#) 29  
[Response](#) 29  
[Revision](#) 30  
[SupersededPolicies](#) 31

#### elements

[GetPolicies](#) 14  
[GetPoliciesResponse](#) 15  
[table of](#) 14

#### messages

[GetPolicies](#) 14  
[GetPoliciesResponse](#) 14  
[overview](#) 13  
[overview](#) 13

[GetPoliciesResponse response sequence](#) 33

[Glossary](#) 6

### I

[Implementer - security considerations](#) 38  
[Index of security parameters](#) 38  
[Informative references](#) 8  
[Initial GetPolicies client request sequence](#) 32  
[Initialization - IPolicy server](#) 13  
[Introduction](#) 6  
IPolicy server  
  [abstract data model](#) 12  
  [initialization](#) 13  
  [local events](#) 31  
  [message processing](#) 13  
  [overview](#) 12  
  [sequencing rules](#) 13  
  [timer events](#) 31  
  [timers](#) 13

### L

[Local events - IPolicy server](#) 31

### M

[Message processing - IPolicy server](#) 13  
Messages  
  [syntax](#) 10  
  [transport](#) 10

### N

[Normative references](#) 7

### O

[Overview \(synopsis\)](#) 8

### P

[Parameter index - security](#) 38  
[Preconditions](#) 9  
[Prerequisites](#) 9  
[Product behavior](#) 45

## R

### References

[informative](#) 8

[normative](#) 7

[Relationship to other protocols](#) 9

## S

[Schema elements - directory service](#) 11

### Security

[implementer considerations](#) 38

[parameter index](#) 38

[Sequencing rules - IPolicy server](#) 13

### Server

[abstract data model](#) 12

[local events](#) 31

[overview](#) 12

[timer events](#) 31

[timers](#) 13

[Server response sequence](#) 36

[Standards assignments](#) 9

### Syntax

[attribute groups](#) 11

[attributes](#) 10

[complex types](#) 10

[elements](#) 10

[groups](#) 11

[messages](#) 10

[namespaces](#) 10

[overview](#) 10

[simple types](#) 10

## T

[Timer events - IPolicy server](#) 31

[Timers - IPolicy server](#) 13

[Tracking changes](#) 46

[Transport](#) 10

## V

[Vendor-extensible fields](#) 9

[Versioning](#) 9

## W

[WSDL](#) 39

## X

[XML Schema](#) 39