

[MS-WSRVCRM]: WS-ReliableMessaging Protocol: Advanced Flow Control Extension

Intellectual Property Rights Notice for Open Specifications Documentation

- **Technical Documentation.** Microsoft publishes Open Specifications documentation for protocols, file formats, languages, standards as well as overviews of the interaction among each of these technologies.
- **Copyrights.** This documentation is covered by Microsoft copyrights. Regardless of any other terms that are contained in the terms of use for the Microsoft website that hosts this documentation, you may make copies of it in order to develop implementations of the technologies described in the Open Specifications and may distribute portions of it in your implementations using these technologies or your documentation as necessary to properly document the implementation. You may also distribute in your implementation, with or without modification, any schema, IDL's, or code samples that are included in the documentation. This permission also applies to any documents that are referenced in the Open Specifications.
- **No Trade Secrets.** Microsoft does not claim any trade secret rights in this documentation.
- **Patents.** Microsoft has patents that may cover your implementations of the technologies described in the Open Specifications. Neither this notice nor Microsoft's delivery of the documentation grants any licenses under those or any other Microsoft patents. However, a given Open Specification may be covered by Microsoft [Open Specification Promise](#) or the [Community Promise](#). If you would prefer a written license, or if the technologies described in the Open Specifications are not covered by the Open Specifications Promise or Community Promise, as applicable, patent licenses are available by contacting iplg@microsoft.com.
- **Trademarks.** The names of companies and products contained in this documentation may be covered by trademarks or similar intellectual property rights. This notice does not grant any licenses under those rights. For a list of Microsoft trademarks, visit www.microsoft.com/trademarks.
- **Fictitious Names.** The example companies, organizations, products, domain names, email addresses, logos, people, places, and events depicted in this documentation are fictitious. No association with any real company, organization, product, domain name, email address, logo, person, place, or event is intended or should be inferred.

Reservation of Rights. All other rights are reserved, and this notice does not grant any rights other than specifically described above, whether by implication, estoppel, or otherwise.

Tools. The Open Specifications do not require the use of Microsoft programming tools or programming environments in order for you to develop an implementation. If you have access to Microsoft programming tools and environments you are free to take advantage of them. Certain Open Specifications are intended for use in conjunction with publicly available standard specifications and network programming art, and assumes that the reader either is familiar with the aforementioned material or has immediate access to it.

Revision Summary

Date	Revision History	Revision Class	Comments
04/08/2008	0.1		Initial Availability
06/20/2008	0.2	Minor	Updated the technical content.
07/25/2008	1.0	Major	Updated and revised the technical content.
08/29/2008	1.0.1	Editorial	Revised and edited the technical content.
10/24/2008	1.0.2	Editorial	Revised and edited the technical content.
12/05/2008	2.0	Major	Updated and revised the technical content.
01/16/2009	2.0.1	Editorial	Revised and edited the technical content.
02/27/2009	2.0.2	Editorial	Revised and edited the technical content.
04/10/2009	2.0.3	Editorial	Revised and edited the technical content.
05/22/2009	2.0.4	Editorial	Revised and edited the technical content.
07/02/2009	2.0.5	Editorial	Revised and edited the technical content.
08/14/2009	2.0.6	Editorial	Revised and edited the technical content.
09/25/2009	2.0.7	Editorial	Revised and edited the technical content.
11/06/2009	2.0.8	Editorial	Revised and edited the technical content.
12/18/2009	2.1	Minor	Updated the technical content.
01/29/2010	2.1.1	Editorial	Revised and edited the technical content.
03/12/2010	3.0	Major	Updated and revised the technical content.
04/23/2010	3.0.1	Editorial	Revised and edited the technical content.
06/04/2010	3.0.2	Editorial	Revised and edited the technical content.
07/16/2010	4.0	Major	Significantly changed the technical content.
08/27/2010	4.0	No change	No changes to the meaning, language, or formatting of the technical content.
10/08/2010	4.0	No change	No changes to the meaning, language, or formatting of the technical content.
11/19/2010	4.0	No change	No changes to the meaning, language, or formatting of the technical content.
01/07/2011	4.0	No change	No changes to the meaning, language, or formatting of the technical content.

Date	Revision History	Revision Class	Comments
02/11/2011	4.0	No change	No changes to the meaning, language, or formatting of the technical content.
03/25/2011	4.0	No change	No changes to the meaning, language, or formatting of the technical content.
05/06/2011	4.0	No change	No changes to the meaning, language, or formatting of the technical content.
06/17/2011	4.1	Minor	Clarified the meaning of the technical content.
09/23/2011	4.1	No change	No changes to the meaning, language, or formatting of the technical content.
12/16/2011	5.0	Major	Significantly changed the technical content.
03/30/2012	5.0	No change	No changes to the meaning, language, or formatting of the technical content.
07/12/2012	5.0	No change	No changes to the meaning, language, or formatting of the technical content.
10/25/2012	5.0	No change	No changes to the meaning, language, or formatting of the technical content.
01/31/2013	5.0	No change	No changes to the meaning, language, or formatting of the technical content.
08/08/2013	5.0	No change	No changes to the meaning, language, or formatting of the technical content.
11/14/2013	5.0	No change	No changes to the meaning, language, or formatting of the technical content.
02/13/2014	5.0	No change	No changes to the meaning, language, or formatting of the technical content.

Contents

1 Introduction	6
1.1 Glossary	6
1.2 References.....	7
1.2.1 Normative References.....	7
1.2.2 Informative References	7
1.3 Overview	8
1.4 Relationship to Other Protocols.....	9
1.5 Prerequisites/Preconditions	9
1.6 Applicability Statement.....	9
1.7 Versioning and Capability Negotiation.....	9
1.8 Vendor-Extensible Fields.....	9
1.9 Standards Assignments	9
2 Messages	10
2.1 Transport.....	10
2.2 Message Syntax	10
2.2.1 SequenceAcknowledgement Header Block	10
2.2.2 AckRequested Header Block.....	10
2.2.3 BufferRemaining Element Syntax	10
3 Protocol Details	11
3.1 RMD Role Details	11
3.1.1 Abstract Data Model	11
3.1.1.1 FLOW_CONTROL_STATE.....	11
3.1.2 Timers	12
3.1.3 Initialization	12
3.1.4 Higher-Layer Triggered Events.....	12
3.1.5 Message Processing Events and Sequencing Rules.....	12
3.1.6 Timer Events	12
3.1.7 Other Local Events	12
3.1.7.1 GET_BUFFER_REMAINING	12
3.1.7.2 MESSAGE_PROCESSED	12
3.1.7.3 MESSAGE_RECEIVED	13
3.1.7.4 SET_BUFFER_REMAINING.....	13
3.2 RMS Role Details	13
3.2.1 Abstract Data Model	13
3.2.1.1 NOT_POLLING.....	14
3.2.1.2 POLLING.....	14
3.2.2 Timers	14
3.2.2.1 POLLING_TIMER.....	14
3.2.3 Initialization	14
3.2.4 Higher-Layer Triggered Events.....	15
3.2.5 Message Processing Events and Sequencing Rules.....	15
3.2.6 Timer Events	15
3.2.6.1 POLLING_TIMER_EXPIRED.....	15
3.2.7 Other Local Events	15
3.2.7.1 SEQ_ACK_RECEIVED	15
3.2.7.2 SEQ_TERMINATED.....	16
4 Protocol Examples	17

4.1	Message Examples.....	17
4.1.1	Message 1: Sequence(MessageNumber = 1).....	18
4.1.2	Message 2: SequenceAcknowledgement(BufferRemaining = 1).....	18
4.1.3	Message 3: Sequence(MessageNumber = 2).....	19
4.1.4	Message 4: SequenceAcknowledgement(BufferRemaining = 0).....	20
4.1.5	Message 5: SequenceAcknowledgement(BufferRemaining = 1).....	21
4.1.6	Message 6: Sequence(MessageNumber = 3).....	22
4.1.7	Message 7: SequenceAcknowledgement(BufferRemaining = 0).....	23
5	Security.....	25
5.1	Security Considerations for Implementers.....	25
5.2	Index of Security Parameters.....	25
6	Appendix A: Product Behavior.....	26
7	Change Tracking.....	27
8	Index.....	28

1 Introduction

This document specifies an advanced message flow control extension to the Web Services Reliable Messaging Protocol [\[WSRM1-0\]](#), [\[WSRM1-1\]](#), and [\[WSRM1-2\]](#).

Sections 1.8, 2, and 3 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. Sections 1.5 and 1.9 are also normative but cannot contain those terms. All other sections and examples in this specification are informative.

1.1 Glossary

The following terms are defined in [\[MS-GLOS\]](#):

.NET Framework

The following terms are specific to this document:

Application Destination (AD): The endpoint to which a message is delivered. For fuller information, see [\[WSRM1-0\]](#), [\[WSRM1-1\]](#), and [\[WSRM1-2\]](#).

AD: See **Application Destination**.

advanced flow-control extension (AFCE): An extension to the Web Services Reliable Messaging Protocol [\[WSRM1-0\]](#), [\[WSRM1-1\]](#), and [\[WSRM1-2\]](#) that attempts to minimize the number of dropped messages by synchronizing the rate at which the **reliable messaging source (RMS)** sends messages with the rate at which the **reliable messaging destination (RMD)** can receive them.

advanced flow-control object (AFCO): The abstract construct used to demonstrate an implementation of the **advanced flow-control extension (AFCE)** on the **reliable messaging destination (RMD)**.

AFCE: See **advanced flow-control extension (AFCE)**.

AFCO: See **advanced flow-control object (AFCO)**.

reliable messaging destination (RMD): The endpoint that receives the message. For fuller information, see [\[WSRM1-0\]](#), [\[WSRM1-1\]](#), and [\[WSRM1-2\]](#).

reliable messaging source (RMS): The endpoint that sends the message. For fuller information, see [\[WSRM1-0\]](#), [\[WSRM1-1\]](#), and [\[WSRM1-2\]](#).

RMD: See **reliable messaging destination (RMD)**.

RMS: See **reliable messaging source (RMS)**.

sequence: A one-way, uniquely identifiable batch of messages between an **RMS** and an **RMD**.

Simple Object Access Protocol (SOAP): SOAP is a lightweight protocol for the exchange of structured information in a decentralized, distributed environment. SOAP uses XML technologies to define an extensible messaging framework, which provides a message construct that can be exchanged over a variety of underlying protocols. For more information on SOAP, see [\[SOAP1.1\]](#) and [\[SOAP1.2-2/2007\]](#). The framework has been designed to be

independent of any particular programming model and other implementation-specific semantics.

SOAP: See **Simple Object Access Protocol**.

Web Services Reliable Messaging (WSRM) Protocol: A protocol that defines mechanisms that enable Web services to ensure delivery of messages over unreliable communication networks. It allows different operating and middleware systems to reliably exchange these messages.

WSRM: See **Web Services Reliable Messaging Protocol**.

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [\[RFC2119\]](#). All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

A reference marked "(Archived)" means that the reference document was either retired and is no longer being maintained or was replaced with a new document that provides current implementation details. We archive our documents online [\[Windows Protocol\]](#).

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.

[SOAP1.1] Box, D., Ehnebuske, D., Kakivaya, G., et al., "Simple Object Access Protocol (SOAP) 1.1", May 2000, <http://www.w3.org/TR/2000/NOTE-SOAP-20000508/>

[SOAP1.2-2/2007] Gudgin, M., Hadley, M., Mendelsohn, N., et al., "SOAP Version 1.2 Part 2: Adjuncts (Second Edition)", W3C Recommendation, April 2007, <http://www.w3.org/TR/2007/REC-soap12-part2-20070427>

[WSRM1-0] Bilorusets, R., "Web Services Reliable Messaging Protocol (WS-ReliableMessaging)", February 2005, <http://specs.xmlsoap.org/ws/2005/02/rm/>

[WSRM1-1] Fremantle, P., Patil, S., Davis, D., et al., "Web Services Reliable Messaging (WS-ReliableMessaging) Version 1.1", January 2008, <http://docs.oasis-open.org/ws-rx/wsrn/200702/wsrn-1.1-spec-os-01-e1.html>

[WSRM1-2] Fremantle, P., Patil, S., Davis, D., et al., "Web Services Reliable Messaging (WS-ReliableMessaging) Version 1.2", February 2009, <http://docs.oasis-open.org/ws-rx/wsrn/200702/wsrn-1.2-spec-os.html>

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

1.2.2 Informative References

[MS-GLOS] Microsoft Corporation, "[Windows Protocols Master Glossary](#)".

1.3 Overview

The **advanced flow-control extension (AFCE)** to **web services reliable messaging protocol (WSRM)** attempts to minimize the number of dropped messages by synchronizing the rate at which the **reliable messaging source (RMS)** sends messages with the rate at which the **reliable messaging destination (RMD)** can receive them. This minimization is achieved via the introduction of the [BufferRemaining](#) element in the **WSRM** protocol's [SequenceAcknowledgement header block](#). This element is used to inform the **RMS** of the number of messages that the **RMD** is capable of receiving before messages start being dropped.

The RMS uses the BufferRemaining element's value to adjust the rate at which messages are sent. The RMS will not send new messages if the BufferRemaining element's value in a SequenceAcknowledgement header block is 0.

While the BufferRemaining element value is reported as 0, the RMS will periodically request for acknowledgements from the RMD until one is received containing a BufferRemaining element value greater than 0.

The example in Figure 1 shows an already-established reliable **sequence** between an RMS and an RMD. The RMS sends 2 messages (message 1 and 3), after which it is informed via the SequenceAcknowledgement header block (message 4) that the RMD can no longer receive any new messages (BufferRemaining is 0). Sometime later, the RMD informs the RMS that it can once again receive messages by changing the BufferRemaining value to 1 in a SequenceAcknowledgement header block (message 5). The RMS then proceeds to send the third message (message 6).

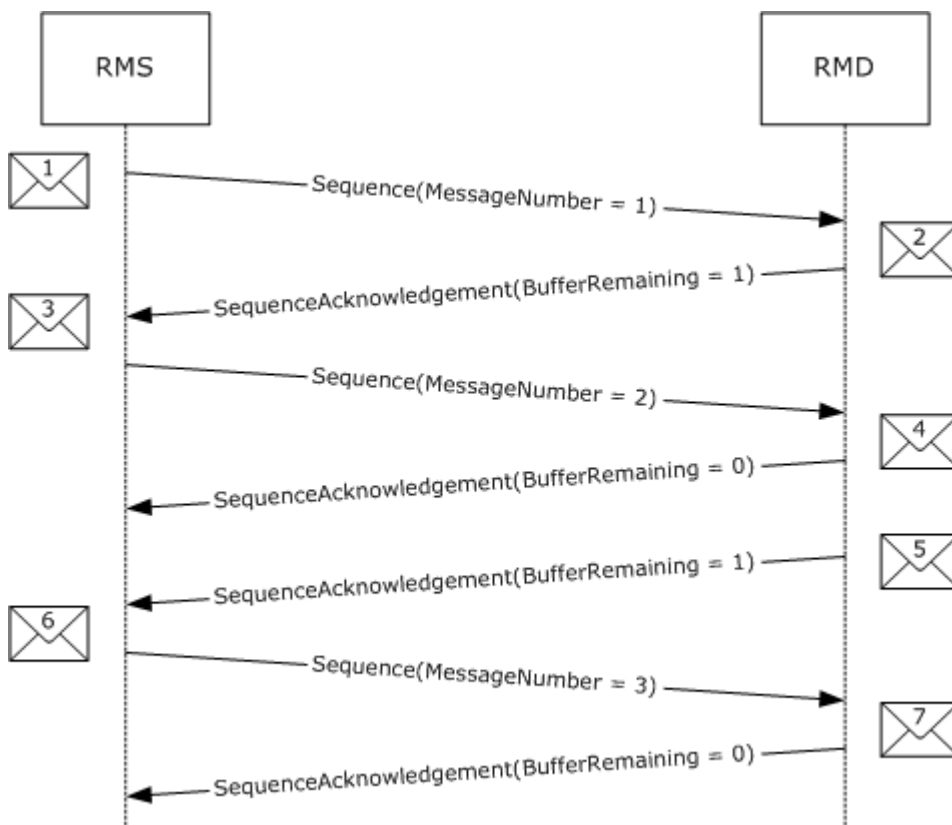


Figure 1: Example message flow diagram between an RMS and an RMD with AFCE to WSRM

1.4 Relationship to Other Protocols

The advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) relies on the WSRM protocol, to which it is an extension.

1.5 Prerequisites/Preconditions

The following prerequisites are necessary for using the **AFCE** to WSRM:

- An implementation of WSRM is available.
- A reliable sequence has been established.

1.6 Applicability Statement

The AFCE to WSRM is applicable under all conditions where the WSRM protocol is applicable.

1.7 Versioning and Capability Negotiation

There is a single version of the AFCE to WSRM protocol.

1.8 Vendor-Extensible Fields

None.

1.9 Standards Assignments

None.

2 Messages

2.1 Transport

The advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) does not impose any restrictions on the use of any specific **simple object access protocol (SOAP)** transport protocol.

2.2 Message Syntax

2.2.1 SequenceAcknowledgement Header Block

The SequenceAcknowledgement header block is the SequenceAcknowledgement header block specified in WSRM with the following extension:

- The extensibility element of the SequenceAcknowledgement header block, as specified by the WSRM specifications [\[WSRM1-0\]](#), [\[WSRM1-1\]](#), and [\[WSRM1-2\]](#) MUST contain a [BufferRemaining](#) element.

2.2.2 AckRequested Header Block

The AckRequested header block is the AckRequested header block specified in WSRM.

2.2.3 BufferRemaining Element Syntax

The following is the element's schema.

```
<xs:schema
  targetNamespace="http://schemas.microsoft.com/ws/2006/05/rm"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
>
  <xs:element name="BufferRemaining">
    <xs:simpleType>
      <xs:restriction base="xs:int">
        <xs:minInclusive value="0"/>
        <xs:maxInclusive value="2147483647"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:element>
</xs:schema>
```

3 Protocol Details

3.1 RMD Role Details

3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

An abstract construct referred to as the **advanced flow-control object (AFCO)** is used in this section to describe the advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) on the reliable messaging destination (RMD).

The **AFCO** maintains the following data elements:

- **Buffer Remaining:** A 32-bit integer value that indicates the number of messages the RMD can receive. The value of Buffer Remaining is non-negative.

Figure 2 shows a hypothetical implementation of the AFCO and the events that control its state on a hypothetical implementation-specific RMD.

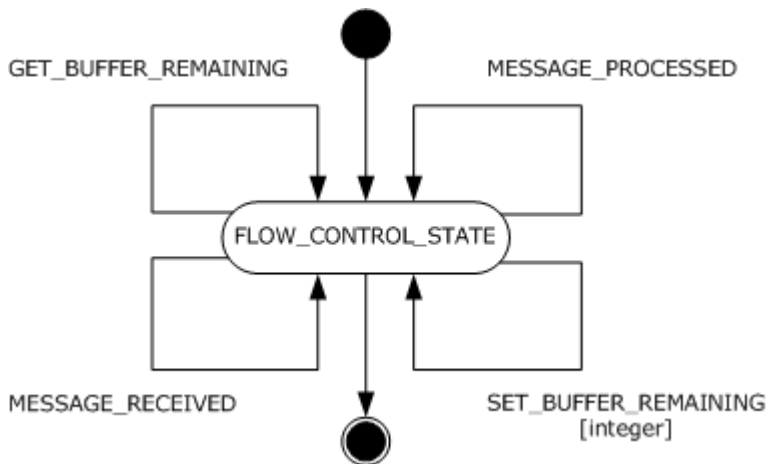


Figure 2: State diagram of the AFCO on the RMD

3.1.1.1 FLOW_CONTROL_STATE

The AFCO has a single state called FLOW_CONTROL_STATE.

The following local events are processed by this state:

- GET_BUFFER_REMAINING
- MESSAGE_RECEIVED
- MESSAGE_PROCESSED

- SET_BUFFER_REMAINING

3.1.2 Timers

None.

3.1.3 Initialization

When the RMD is initialized:

- The AFCO MUST start in the FLOW_CONTROL_STATE state.
- The **Buffer Remaining** field MUST be set to a value obtained from the RMD. [<1>](#)
- The **Buffer Remaining** field's maximum value MUST be specified by the RMD. [<2>](#)

3.1.4 Higher-Layer Triggered Events

None.

3.1.5 Message Processing Events and Sequencing Rules

None.

3.1.6 Timer Events

None.

3.1.7 Other Local Events

None.

3.1.7.1 GET_BUFFER_REMAINING

The reliable messaging destination (RMD) MUST trigger the GET_BUFFER_REMAINING event when adding a [SequenceAcknowledgement header block](#) to a message.

If the GET_BUFFER_REMAINING event is signaled, the following actions MUST be performed:

- The GET_BUFFER_REMAINING event MUST return the value of the advanced flow-control object's (AFCO) **Buffer Remaining** field.
- The RMD MUST use the return value to set the value of the [BufferRemaining](#) element in the SequenceAcknowledgement header block.

3.1.7.2 MESSAGE_PROCESSED

The MESSAGE_PROCESSED event SHOULD be triggered by the RMD when a message is processed by the **application destination (AD)**.

If the MESSAGE_PROCESSED event is signaled, the following actions MUST be performed:

- If the AFCO **Buffer Remaining** value is less than the maximum allowed by the RMD:
 - The AFCO's **Buffer Remaining** value SHOULD be incremented by 1 by having the RMD trigger the SET_BUFFER_REMAINING event (see section [3.1.7.4](#)).

- Otherwise:
 - The AFCO SHOULD NOT change its **Buffer Remaining** value.

3.1.7.3 MESSAGE_RECEIVED

The RMD SHOULD trigger the MESSAGE_RECEIVED event when a message is received.

If the MESSAGE_RECEIVED event is signaled, the following actions MUST be performed:

- If the AFCO's **Buffer Remaining** has a value greater than 0:
 - The AFCO's **Buffer Remaining** value SHOULD be decremented by 1 by having the RMD trigger the SET_BUFFER_REMAINING event (see section [3.1.7.4](#)).
- Otherwise:
 - The AFCO SHOULD NOT change its **Buffer Remaining** value.

3.1.7.4 SET_BUFFER_REMAINING

The RMD MAY trigger the SET_BUFFER_REMAINING event to control higher-layer implementation-specific flow control.

The SET_BUFFER_REMAINING event MUST be signaled by the higher-layer business logic containing the following arguments:

- The New **Buffer Remaining** argument

If the SET_BUFFER_REMAINING event is signaled, the AFCO MUST perform the following actions:

- The AFCO MUST set the value of its **Buffer Remaining** field to the New **Buffer Remaining** value.

3.2 RMS Role Details

3.2.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

Figure 3 shows the advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) state diagram for a hypothetical reliable messaging source (RMS) and the events that control its state.

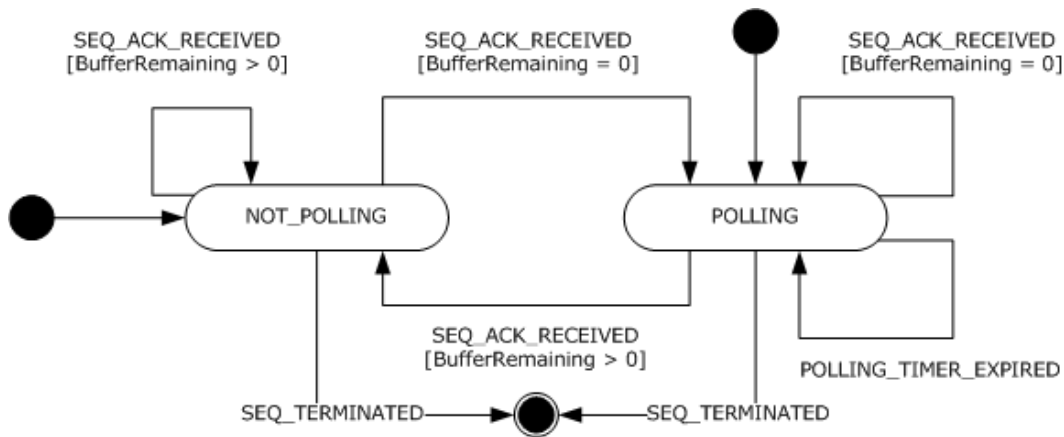


Figure 3: State diagram of the AFCE to WSRM on the RMS

3.2.1.1 NOT_POLLING

The following local events are processed by this state:

- SEQ_ACK_RECEIVED
- SEQ_TERMINATED

3.2.1.2 POLLING

The following local events are processed by this state:

- SEQ_ACK_RECEIVED
- SEQ_TERMINATED

The following timer events are processed by this state:

- POLLING_TIMER_EXPIRED

If the reliable messaging source (RMS) is in the POLLING state, the following actions MUST be taken:

- New messages SHOULD NOT be sent to the reliable messaging destination (RMD).

3.2.2 Timers

3.2.2.1 POLLING_TIMER

The RMS MUST have a POLLING_TIMER. The POLLING_TIMER specifies the interval used by the RMS to poll the RMD for the [SequenceAcknowledgement header block](#).

The POLLING_TIMER raises the POLLING_TIMER_EXPIRED event whenever it expires.

3.2.3 Initialization

When the reliable messaging source (RMS) is initialized:

- The RMS MUST be in the NOT_POLLING state.
- The expiration timeout of the POLLING_TIMER MUST be set to an RMS implementation-specific value. <3>
- The POLLING_TIMER MUST NOT be started.

3.2.4 Higher-Layer Triggered Events

There are no RMS specific higher-layer triggered events.

3.2.5 Message Processing Events and Sequencing Rules

There are no RMS specific message processing events or sequencing rules.

3.2.6 Timer Events

3.2.6.1 POLLING_TIMER_EXPIRED

The POLLING_TIMER_EXPIRED event MUST be triggered by the RMS every time the POLLING_TIMER expires. The POLLING_TIMER_EXPIRED event is processed by the POLLING state.

If the POLLING_TIMER_EXPIRED event is signaled, the RMS MUST perform the following actions:

1. Include an [AckRequested header block](#) in a message to the RMD.
2. Reset the POLLING_TIMER timer.
3. Restart the POLLING_TIMER timer.

3.2.7 Other Local Events

3.2.7.1 SEQ_ACK_RECEIVED

The SEQ_ACK_RECEIVED event MUST be triggered by the reliable messaging source (RMS) when a [SequenceAcknowledgement header block](#) is received.

The SEQ_ACK_RECEIVED event MUST be signaled with the following arguments:

- The [BufferRemaining](#) argument corresponding to the value of the BufferRemaining element in the SequenceAcknowledgement header block.
- If the BufferRemaining element is missing from the SequenceAcknowledgement header block, the BufferRemaining argument MUST be set to -1.

If the SEQ_ACK_RECEIVED event is signaled, the RMS MUST perform the following actions:

- If the RMS is in the POLLING state:
 - If the BufferRemaining value is greater than 0:
 1. The RMS MUST move to the NOT_POLLING state.
 2. The RMS MUST cancel the POLLING_TIMER timer.
 - If the BufferRemaining value is equal to 0:

- The RMS MUST remain in the POLLING state.
- If the BufferRemaining value is equal to -1:
 - The RMS MUST remain in the POLLING state.
- If the RMS is in the NOT_POLLING state:
 - If the BufferRemaining value is greater than 0:
 - The RMS MUST remain in the NOT_POLLING state.
 - If the BufferRemaining value is equal to 0:
 1. The RMS MUST move to the POLLING state.
 2. The RMS MUST reset the POLLING_TIMER timer.
 3. The RMS MUST start the POLLING_TIMER timer.
 - If the BufferRemaining value is equal to -1:
 - The RMS MUST remain in the NOT_POLLING state.

3.2.7.2 SEQ_TERMINATED

The SEQ_TERMINATED event MUST be triggered by the RMS when the sequence is terminated (as specified in web services reliable messaging protocol (WSRM)).

If the SEQ_TERMINATED event is signaled, the RMS MUST perform the following actions:

- If the RMS is in the POLLING state:
 - The RMS MUST stop the POLLING_TIMER timer.
- If the RMS is in the NOT_POLLING state:
 - No action is needed.

4 Protocol Examples

The following is an example of a reliable messaging source (RMS) sending 3 messages to a reliable messaging destination (RMD). The RMD is capable of storing a maximum of 2 messages at a time. Once stored, the messages are passed to the application destination (AD) for processing. In this example, the AD is offline when the RMD starts receiving messages. The RMD uses [SequenceAcknowledgement header blocks](#) to acknowledge every message received. The [BufferRemaining](#) element is included in all SequenceAcknowledgement header blocks and the RMS adjusts the rate at which it sends new messages accordingly.

Figure 4 shows the diagram of the message flow between the RMS and the RMD.

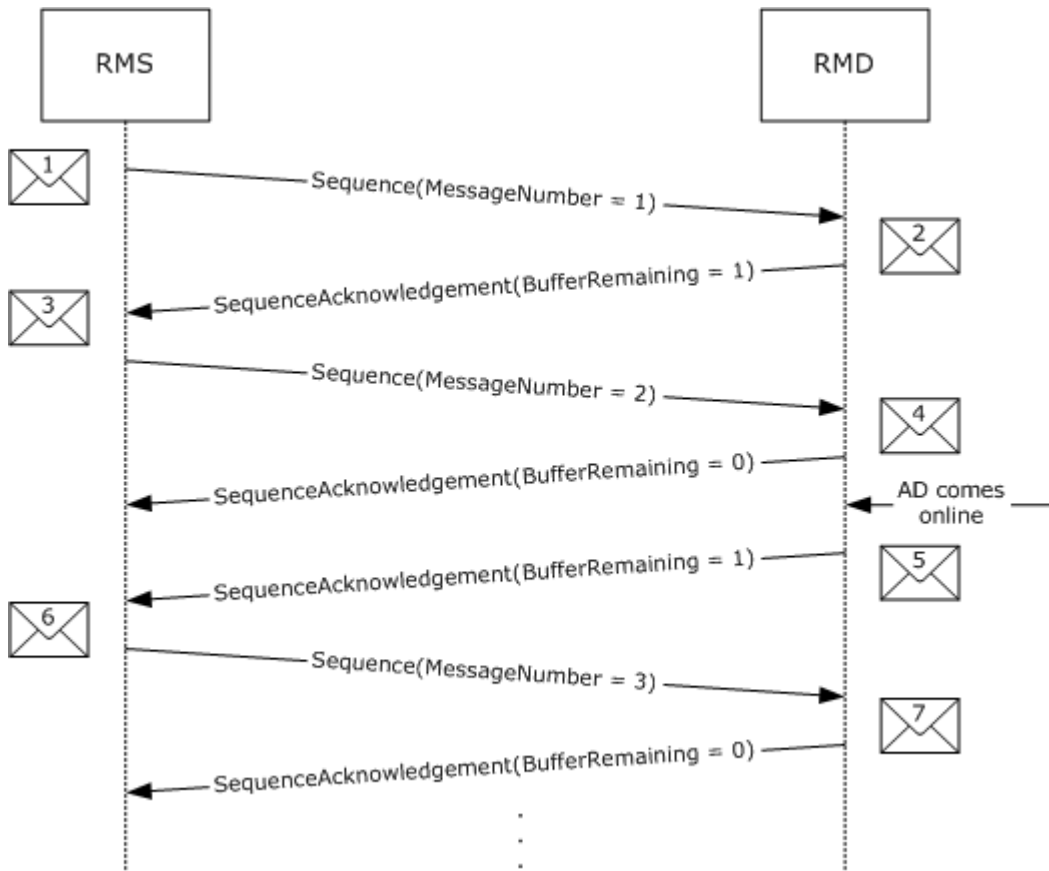


Figure 4: Example message flow diagram between an RMS and an RMD with AFCE to WSRM

4.1 Message Examples

The following are the actual messages, as shown in Figure 4, sent between the RMS and the RMD. The body of each message is not shown as it is not relevant to the advanced flow-control extension (AFCE) to the web services reliable messaging protocol (WSRM). The purpose of each message is not included in this example. See the WSRM specification [\[WSRM1-0\]](#), [\[WSRM1-1\]](#), and [\[WSRM1-2\]](#) for details on each message type.

4.1.1 Message 1: Sequence(MessageNumber = 1)

Message 1 in Figure 4 is the first message in the Sequence sent by the RMS.

Line numbers 1-19 in Table 1 are the SOAP envelope of message 1. Line 11 shows this to be the first message in the Sequence.

Table 1

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:Sequence s:mustUnderstand="1">
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:MessageNumber>1</r:MessageNumber>
12	</r:Sequence>
13	<a:Action s:mustUnderstand="1">
14	http://tempuri.org/IAFCEExampleContract/Operation1
15	</a:Action>
16	<a:To s:mustUnderstand="1">http://localhost/AFCEExample</a:To>
17	</s:Header>
18	<s:Body>...</s:Body>
19	</s:Envelope>

4.1.2 Message 2: SequenceAcknowledgement(BufferRemaining = 1)

Message 2 in Figure 4 contains the [SequenceAcknowledgement header block](#) sent by the RMD in response to message 1.

Line numbers 1-24 in Table 2 are the SOAP envelope of message 2. Line 11 shows that the RMD has received the first message in the Sequence. Lines 13-17 show the [BufferRemaining](#) element with a value of 1. This means the RMD is capable of receiving one more message.

Table 2

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:SequenceAcknowledgement>
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:AcknowledgementRange Lower="1" Upper="1">
12	</r:AcknowledgementRange>
13	<netrm:BufferRemaining
14	xmlns:netrm=http://schemas.microsoft.com/ws/2006/05/rm
15	>
16	1
17	</netrm:BufferRemaining>
18	</r:SequenceAcknowledgement>
19	<a:Action s:mustUnderstand="1">
20	http://schemas.xmlsoap.org/ws/2005/02/rm/SequenceAcknowledgement
21	</a:Action>
22	</s:Header>
23	<s:Body></s:Body>
24	</s:Envelope>

4.1.3 Message 3: Sequence(MessageNumber = 2)

Message 3 in Figure 4 is the second message in the Sequence sent by the RMS.

Line numbers 1-19 in Table 3 are the SOAP envelope of message 3. Line 11 shows this to be the second message in the Sequence.

Table 3

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:Sequence s:mustUnderstand="1">
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:MessageNumber>2</r:MessageNumber>
12	</r:Sequence>
13	<a:Action s:mustUnderstand="1">
14	http://tempuri.org/IAFCEExampleContract/Operation1
15	</a:Action>
16	<a:To s:mustUnderstand="1">http://localhost/AFCEExample</a:To>
17	</s:Header>
18	<s:Body>...</s:Body>
19	</s:Envelope>

4.1.4 Message 4: SequenceAcknowledgement(BufferRemaining = 0)

Message 4 in Figure 4 contains the [SequenceAcknowledgement header block](#) sent by the RMD in response to message 2.

Line numbers 1-24 in Table 4 are the SOAP envelope of message 4. Line 11 shows that the RMD has received the first and second messages in the Sequence. Lines 13-17 show the [BufferRemaining](#) element with a value of 0. This means the RMD is not capable of receiving more messages until the AD comes online and starts processing the ones already received.

Table 4

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:SequenceAcknowledgement>
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:AcknowledgementRange Lower="1" Upper="2">
12	</r:AcknowledgementRange>
13	<netrm:BufferRemaining
14	xmlns:netrm=http://schemas.microsoft.com/ws/2006/05/rm
15	>
16	0
17	</netrm:BufferRemaining>
18	</r:SequenceAcknowledgement>
19	<a:Action s:mustUnderstand="1">
20	http://schemas.xmlsoap.org/ws/2005/02/rm/SequenceAcknowledgement
21	</a:Action>
22	</s:Header>
23	<s:Body></s:Body>
24	</s:Envelope>

4.1.5 Message 5: SequenceAcknowledgement(BufferRemaining = 1)

Message 5 in Figure 4 contains the [SequenceAcknowledgement header block](#) sent by the RMD in response to the AD coming online and processing message 1. The RMD removed message 1 from its store once it was processed, allowing the RMD to receive a new message in message 1's stead.

Line numbers 1-24 in Table 5 are the SOAP envelope of message 5. Line 11 shows that the RMD has received the first and second messages in the Sequence, which has not changed since message 4. Lines 13-17 show the [BufferRemaining](#) element with a value of 1. This means the RMD is now once again capable of receiving a message.

Table 5

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:SequenceAcknowledgement>
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:AcknowledgementRange Lower="1" Upper="2">
12	</r:AcknowledgementRange>
13	<netrm:BufferRemaining
14	xmlns:netrm=http://schemas.microsoft.com/ws/2006/05/rm
15	>
16	1
17	</netrm:BufferRemaining>
18	</r:SequenceAcknowledgement>
19	<a:Action s:mustUnderstand="1">
20	http://schemas.xmlsoap.org/ws/2005/02/rm/SequenceAcknowledgement
21	</a:Action>
22	</s:Header>
23	<s:Body></s:Body>
24	</s:Envelope>

4.1.6 Message 6: Sequence(MessageNumber = 3)

Message 6 in Figure 4 is the third message in the Sequence sent by the RMS in response to processing the [BufferRemaining](#) element in the [SequenceAcknowledgement header block](#) of message 5. The BufferRemaining element, with a value of 1, informed the RMS of the RMD's capability of receiving a new message.

Line numbers 1-19 in Table 6 are the SOAP envelope of message 6. Line 11 shows this to be the third message in the Sequence.

Table 6

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:Sequence s:mustUnderstand="1">
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:MessageNumber>3</r:MessageNumber>
12	</r:Sequence>
13	<a:Action s:mustUnderstand="1">
14	http://tempuri.org/IAFCEExampleContract/Operation1
15	</a:Action>
16	<a:To s:mustUnderstand="1">http://localhost/AFCEExample</a:To>
17	</s:Header>
18	<s:Body>...</s:Body>
19	</s:Envelope>

4.1.7 Message 7: SequenceAcknowledgement(BufferRemaining = 0)

Message 7 in Figure 4 contains the [SequenceAcknowledgement header block](#) sent by the RMD in response to message 6.

Line numbers 1-24 in Table 7 are the SOAP envelope of message 7. Line 11 shows that the RMD has received the first, second, and third messages in the Sequence. Lines 13-17 show the [BufferRemaining](#) element with a value of 0. This 0 value means that the RMD is once again incapable of receiving more messages.

Table 7

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:SequenceAcknowledgement>
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:AcknowledgementRange Lower="1" Upper="3">
12	</r:AcknowledgementRange>
13	<netrm:BufferRemaining
14	xmlns:netrm=http://schemas.microsoft.com/ws/2006/05/rm
15	>
16	0
17	</netrm:BufferRemaining>
18	</r:SequenceAcknowledgement>
19	<a:Action s:mustUnderstand="1">
20	http://schemas.xmlsoap.org/ws/2005/02/rm/SequenceAcknowledgement
21	</a:Action>
22	</s:Header>
23	<s:Body></s:Body>
24	</s:Envelope>

5 Security

5.1 Security Considerations for Implementers

The [BufferRemaining](#) element is secured with the entire [SequenceAcknowledgement header block](#) containing it.

For information about securing a reliable session, including the SequenceAcknowledgement header block, see section 5 of [\[WSRM1-0\]](#), [\[WSRM1-1\]](#), and [\[WSRM1-2\]](#).

5.2 Index of Security Parameters

None.

6 Appendix A: Product Behavior

This document specifies version-specific details in the Microsoft .NET Framework. For information about which versions of .NET Framework are available in each released Windows product or as supplemental software, see [.NET Framework](#).

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Microsoft .NET Framework 3.0
- Microsoft .NET Framework 3.5
- Microsoft .NET Framework 4.0
- Microsoft .NET Framework 4.5

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

[<1> Section 3.1.3:](#) The Microsoft .NET Framework implementation sets this value to 8.

[<2> Section 3.1.3:](#) The Microsoft .NET Framework implementation sets this value to 4096.

[<3> Section 3.2.3:](#) The Microsoft .NET Framework implementation sets this value to 30 seconds.

7 Change Tracking

No table of changes is available. The document is either new or has had no changes since its last release.

8 Index

A

Abstract data model
RMD
[FLOW_CONTROL_STATE](#) 11
[overview](#) 11
RMS
[NOT_POLLING](#) 14
[overview](#) 13
[POLLING](#) 14
[AckRequested header block](#) 10
[Applicability](#) 9

B

[BufferRemaining element syntax](#) 10

C

[Capability negotiation](#) 9
[Change tracking](#) 27

D

Data model - abstract
RMD
[FLOW_CONTROL_STATE](#) 11
[overview](#) 11
RMS
[NOT_POLLING](#) 14
[overview](#) 13
[POLLING](#) 14

E

[Element syntax - BufferRemaining](#) 10
Examples
message
1: [Sequence\(MessageNumber = 1\)](#) 18
2: [SequenceAcknowledgement\(BufferRemaining = 1\)](#) 18
3: [Sequence\(MessageNumber = 2\)](#) 19
4: [SequenceAcknowledgement\(BufferRemaining = 0\)](#) 20
5: [SequenceAcknowledgement\(BufferRemaining = 1\)](#) 21
6: [Sequence\(MessageNumber = 3\)](#) 22
7: [SequenceAcknowledgement\(BufferRemaining = 0\)](#) 23
[overview](#) 17
[overview](#) 17

F

[Fields - vendor-extensible](#) 9

G

[Glossary](#) 6

H

Header block
[AckRequested](#) 10
[SequenceAcknowledgement](#) 10
Higher-layer triggered events
[RMD](#) 12
[RMS](#) 15

I

[Implementer - security considerations](#) 25
[Index of security parameters](#) 25
[Informative references](#) 7
Initialization
[RMD](#) 12
[RMS](#) 14
[Introduction](#) 6

L

Local events
RMD
[GET_BUFFER_REMAINING](#) 12
[MESSAGE_PROCESSED](#) 12
[MESSAGE_RECEIVED](#) 13
[SET_BUFFER_REMAINING](#) 13
RMS
[SEQ_ACK_RECEIVED](#) 15
[SEQ_TERMINATED](#) 16

M

Message
1: [Sequence\(MessageNumber = 1\) example](#) 18
2: [SequenceAcknowledgement\(BufferRemaining = 1\) example](#) 18
3: [Sequence\(MessageNumber = 2\) example](#) 19
4: [SequenceAcknowledgement\(BufferRemaining = 0\) example](#) 20
5: [SequenceAcknowledgement\(BufferRemaining = 1\) example](#) 21
6: [Sequence\(MessageNumber = 3\) example](#) 22
7: [SequenceAcknowledgement\(BufferRemaining = 0\) example](#) 23
[examples - overview](#) 17
processing
[RMD](#) 12
[RMS](#) 15
[Messages - transport](#) 10

N

[Normative references](#) 7

O

[Overview \(synopsis\)](#) 8

P

[Parameters - security index](#) 25

[Preconditions](#) 9

[Prerequisites](#) 9

[Product behavior](#) 26

R

References

[informative](#) 7

[normative](#) 7

[Relationship to other protocols](#) 9

RMD

abstract data model

[FLOW_CONTROL_STATE](#) 11

[overview](#) 11

[higher-layer triggered events](#) 12

[initialization](#) 12

local events

[GET_BUFFER_REMAINING](#) 12

[MESSAGE_PROCESSED](#) 12

[MESSAGE_RECEIVED](#) 13

[SET_BUFFER_REMAINING](#) 13

[message processing](#) 12

[sequencing rules](#) 12

[timer events](#) 12

[timers](#) 12

RMS

abstract data model

[NOT_POLLING](#) 14

[overview](#) 13

[POLLING](#) 14

[higher-layer triggered events](#) 15

[initialization](#) 14

local events

[SEQ_ACK_RECEIVED](#) 15

[SEQ_TERMINATED](#) 16

[message processing](#) 15

[sequencing rules](#) 15

[timer events - POLLING_TIMER_EXPIRED](#) 15

[timers - POLLING_TIMER](#) 14

S

Security

[implementer considerations](#) 25

[parameter index](#) 25

[SequenceAcknowledgement header block](#) 10

Sequencing rules

[RMD](#) 12

[RMS](#) 15

[Standards assignments](#) 9

T

Timer events

[RMD](#) 12

[RMS - POLLING_TIMER_EXPIRED](#) 15

Timers

[RMD](#) 12

[RMS - POLLING_TIMER](#) 14

[Tracking changes](#) 27

[Transport](#) 10

Triggered events - higher-layer

[RMD](#) 12

[RMS](#) 15

V

[Vendor-extensible fields](#) 9

[Versioning](#) 9