[MS-NETTR]: .NET Tracing Protocol

Intellectual Property Rights Notice for Open Specifications Documentation

- Technical Documentation. Microsoft publishes Open Specifications documentation for protocols, file formats, languages, standards as well as overviews of the interaction among each of these technologies.
- **Copyrights.** This documentation is covered by Microsoft copyrights. Regardless of any other terms that are contained in the terms of use for the Microsoft website that hosts this documentation, you may make copies of it in order to develop implementations of the technologies described in the Open Specifications and may distribute portions of it in your implementations using these technologies or your documentation as necessary to properly document the implementation. You may also distribute in your implementation, with or without modification, any schema, IDL's, or code samples that are included in the Open Specifications.
- **No Trade Secrets.** Microsoft does not claim any trade secret rights in this documentation.
- Patents. Microsoft has patents that may cover your implementations of the technologies described in the Open Specifications. Neither this notice nor Microsoft's delivery of the documentation grants any licenses under those or any other Microsoft patents. However, a given Open Specification may be covered by Microsoft <u>Open Specification Promise</u> or the <u>Community Promise</u>. If you would prefer a written license, or if the technologies described in the Open Specifications are not covered by the Open Specifications Promise or Community Promise, as applicable, patent licenses are available by contacting iplg@microsoft.com.
- Trademarks. The names of companies and products contained in this documentation may be covered by trademarks or similar intellectual property rights. This notice does not grant any licenses under those rights. For a list of Microsoft trademarks, visit <u>www.microsoft.com/trademarks</u>.
- Fictitious Names. The example companies, organizations, products, domain names, email addresses, logos, people, places, and events depicted in this documentation are fictitious. No association with any real company, organization, product, domain name, email address, logo, person, place, or event is intended or should be inferred.

Reservation of Rights. All other rights are reserved, and this notice does not grant any rights other than specifically described above, whether by implication, estoppel, or otherwise.

Tools. The Open Specifications do not require the use of Microsoft programming tools or programming environments in order for you to develop an implementation. If you have access to Microsoft programming tools and environments you are free to take advantage of them. Certain Open Specifications are intended for use in conjunction with publicly available standard specifications and network programming art, and assumes that the reader either is familiar with the aforementioned material or has immediate access to it.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Revision Summary

Date	Revision History	Revision Class	Comments
04/08/2008	0.1		Initial availability.
05/16/2008	0.1.1	Editorial	Revised and edited the technical content.
06/20/2008	0.1.2	Editorial	Revised and edited the technical content.
07/25/2008	0.1.3	Editorial	Revised and edited the technical content.
08/29/2008	0.1.4	Editorial	Revised and edited the technical content.
10/24/2008	0.1.5	Editorial	Revised and edited the technical content.
12/05/2008	0.2	Minor	Updated the technical content.
01/16/2009	0.2.1	Editorial	Revised and edited the technical content.
02/27/2009	0.2.2	Editorial	Revised and edited the technical content.
04/10/2009	0.2.3	Editorial	Revised and edited the technical content.
05/22/2009	0.2.4	Editorial	Revised and edited the technical content.
07/02/2009	0.2.5	Editorial	Revised and edited the technical content.
08/14/2009	0.2.6	Editorial	Revised and edited the technical content.
09/25/2009	0.2.7	Editorial	Revised and edited the technical content.
11/06/2009	0.2.8	Editorial	Revised and edited the technical content.
12/18/2009	0.2.9	Editorial	Revised and edited the technical content.
01/29/2010	0.2.10	Editorial	Revised and edited the technical content.
03/12/2010	1.0	Major	Updated and revised the technical content.
04/23/2010	1.0.1	Editorial	Revised and edited the technical content.
06/04/2010	1.0.2	Editorial	Revised and edited the technical content.
07/16/2010	2.0	Major	Significantly changed the technical content.
08/27/2010	2.0	No change	No changes to the meaning, language, or formatting of the technical content.
10/08/2010	2.0	No change	No changes to the meaning, language, or formatting of the technical content.
11/19/2010	2.0	No change	No changes to the meaning, language, or formatting of the technical content.
01/07/2011	2.0	No change	No changes to the meaning, language, or formatting of

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Date	Revision History	Revision Class	Comments
			the technical content.
02/11/2011	2.0	No change	No changes to the meaning, language, or formatting of the technical content.
03/25/2011	2.0	No change	No changes to the meaning, language, or formatting of the technical content.
05/06/2011	2.0	No change	No changes to the meaning, language, or formatting of the technical content.
06/17/2011	2.1	Minor	Clarified the meaning of the technical content.
09/23/2011	2.1	No change	No changes to the meaning, language, or formatting of the technical content.
12/16/2011	3.0	Major	Significantly changed the technical content.
03/30/2012	3.0	No change	No changes to the meaning, language, or formatting of the technical content.
07/12/2012	3.1	Minor	Clarified the meaning of the technical content.
10/25/2012	3.1	No change	No changes to the meaning, language, or formatting of the technical content.
01/31/2013	3.2	Minor	Clarified the meaning of the technical content.
08/08/2013	3.2	No change	No changes to the meaning, language, or formatting of the technical content.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Contents

1.1 Glossary 6 1.2 References 6 1.2.1 Normative References 6 1.2.2 Informative References 7 1.3 Overview 7 1.4 Relationship to Other Protocols 8 1.5 Prerequisites/Preconditions 8 1.6 Applicability Statement 8 1.7 Versioning and Capability Negotiation 8 1.8 Vendor-Extensible Fields 9 1.9 Standards Assignments 9 2 Messages 10 2.1 Transport 10
1.2.1 Normative References61.2.2 Informative References71.3 Overview71.4 Relationship to Other Protocols81.5 Prerequisites/Preconditions81.6 Applicability Statement81.7 Versioning and Capability Negotiation81.8 Vendor-Extensible Fields91.9 Standards Assignments92 Messages10
1.2.2 Informative References 7 1.3 Overview 7 1.4 Relationship to Other Protocols 8 1.5 Prerequisites/Preconditions 8 1.6 Applicability Statement 8 1.7 Versioning and Capability Negotiation 8 1.8 Vendor-Extensible Fields 9 1.9 Standards Assignments 9 2 Messages 10
1.3Overview71.4Relationship to Other Protocols81.5Prerequisites/Preconditions81.6Applicability Statement81.7Versioning and Capability Negotiation81.8Vendor-Extensible Fields91.9Standards Assignments92Messages10
1.4Relationship to Other Protocols81.5Prerequisites/Preconditions81.6Applicability Statement81.7Versioning and Capability Negotiation81.8Vendor-Extensible Fields91.9Standards Assignments92Messages10
1.5 Prerequisites/Preconditions 8 1.6 Applicability Statement 8 1.7 Versioning and Capability Negotiation 8 1.8 Vendor-Extensible Fields 9 1.9 Standards Assignments 9 2 Messages 10
1.6 Applicability Statement
1.7 Versioning and Capability Negotiation
1.8 Vendor-Extensible Fields 9 1.9 Standards Assignments 9 2 Messages 10
1.9 Standards Assignments
2 Messages
-
-
2.2 Message Syntax
2.2.1 Namespaces
2.2.2 Common Data Types
2.2.3 SOAP ActivityId Header Block Syntax
3 Protocol Details
3.1 Server Details
3.1.1 Abstract Data Model
3.1.2 Timers
3.1.3 Initialization
3.1.4 Higher-Layer Triggered Events
3.1.5 Processing Events and Sequencing Rules
3.1.6 Timer Events
3.1.7 Other Local Events
3.2.1 Abstract Data Model
3.2.2 Timers
3.2.3 Initialization
3.2.4 Higher-Layer Triggered Events
3.2.5 Message Processing Events and Sequencing Rules
3.2.6 Timer Events
5.Z.Z. VUELTUALEVEUS
4 Protocol Examples
4 Protocol Examples
4 Protocol Examples 18 4.1 Sample SOAP Messages 18 4.2 Sample Activity Traces 19
4 Protocol Examples 18 4.1 Sample SOAP Messages 18 4.2 Sample Activity Traces 19 4.2.1 Activity Trace Emitted for Request Sent at the Client 19
4 Protocol Examples 18 4.1 Sample SOAP Messages 18 4.2 Sample Activity Traces 19 4.2.1 Activity Trace Emitted for Request Sent at the Client 19 4.2.2 Activity Trace Emitted for Request Received at the Server 20
4 Protocol Examples 18 4.1 Sample SOAP Messages 18 4.2 Sample Activity Traces 19 4.2.1 Activity Trace Emitted for Request Sent at the Client 19 4.2.2 Activity Trace Emitted for Request Received at the Server 20 4.2.3 Activity Trace Emitted for Reply Sent at the Server 21
4 Protocol Examples 18 4.1 Sample SOAP Messages 18 4.2 Sample Activity Traces 19 4.2.1 Activity Trace Emitted for Request Sent at the Client 19 4.2.2 Activity Trace Emitted for Request Received at the Server 20
4 Protocol Examples 18 4.1 Sample SOAP Messages 18 4.2 Sample Activity Traces 19 4.2.1 Activity Trace Emitted for Request Sent at the Client 19 4.2.2 Activity Trace Emitted for Request Received at the Server 20 4.2.3 Activity Trace Emitted for Reply Sent at the Server 21 4.2.4 Activity Trace Emitted for Reply Received at the Client 22
4 Protocol Examples 18 4.1 Sample SOAP Messages 18 4.2 Sample Activity Traces 19 4.2.1 Activity Trace Emitted for Request Sent at the Client 19 4.2.2 Activity Trace Emitted for Request Received at the Server 20 4.2.3 Activity Trace Emitted for Reply Sent at the Server 21 4.2.4 Activity Trace Emitted for Reply Received at the Client 22 5 Security 24
4 Protocol Examples
4 Protocol Examples. 18 4.1 Sample SOAP Messages. 18 4.2 Sample Activity Traces 19 4.2.1 Activity Trace Emitted for Request Sent at the Client 19 4.2.2 Activity Trace Emitted for Request Received at the Server 20 4.2.3 Activity Trace Emitted for Reply Sent at the Server 21 4.2.4 Activity Trace Emitted for Reply Received at the Client 22 5 Security. 24 5.1 Security Considerations for Implementers 24

Copyright © 2013 Microsoft Corporation.

7	Change Tracking	26
8	Index	27

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

1 Introduction

This document specifies the .NET Tracing Protocol, which defines a SOAP message header for correlating sets of messages together.

Diagnosing errors in **distributed applications** is a complex task that usually involves multiple messages. By correlating messages between distributed application **endpoints**, users can map message exchanges and infer causality relationships between messages. This information helps isolate the set of messages that led up to an error and the set of messages that resulted from it. In a distributed application, this information can also be used to trace the flow of activities through the system. The .NET Tracing Protocol provides simple message correlation functionality to distributed applications.

Sections 1.8, 2, and 3 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. Sections 1.5 and 1.9 are also normative but cannot contain those terms. All other sections and examples in this specification are informative.

1.1 Glossary

The following terms are defined in [MS-GLOS]:

endpoint globally unique identifier (GUID) .NET Framework universally unique identifier (UUID)

The following terms are specific to this document:

- **distributed application:** An application composed of one or more distinct components that communicate with each other via a protocol, either locally or over the wire.
- **MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as specified in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

A reference marked "(Archived)" means that the reference document was either retired and is no longer being maintained or was replaced with a new document that provides current implementation details. We archive our documents online [Windows Protocol].

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact <u>dochelp@microsoft.com</u>. We will assist you in finding the relevant information. Please check the archive site, <u>http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624</u>, as an additional source.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

[MS-DTYP] Microsoft Corporation, "<u>Windows Data Types</u>".

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <u>http://www.rfc-editor.org/rfc/rfc2119.txt</u>

[RFC4122] Leach, P., Mealling, M., and Salz, R., "A Universally Unique Identifier (UUID) URN Namespace", RFC 4122, July 2005, <u>http://www.ietf.org/rfc/rfc4122.txt</u>

[SOAP1.2-1/2007] Gudgin, M., Hadley, M., Mendelsohn, N., et al., "SOAP Version 1.2 Part 1: Messaging Framework (Second Edition) ", W3C Recommendation 27, April 2007, <u>http://www.w3.org/TR/2007/REC-soap12-part1-20070427/</u>

[XMLNS] Bray, T., Hollander, D., Layman, A., et al., Eds., "Namespaces in XML 1.0 (Third Edition)", W3C Recommendation, December 2009, <u>http://www.w3.org/TR/2009/REC-xml-names-20091208/</u>

[XMLSCHEMA1] Thompson, H.S., Beech, D., Maloney, M., and Mendelsohn, N., Eds., "XML Schema Part 1: Structures", W3C Recommendation, May 2001, <u>http://www.w3.org/TR/2001/REC-</u><u>xmlschema-1-20010502/</u>

[XMLSCHEMA2] Biron, P.V., and Malhotra, A., Eds., "XML Schema Part 2: Datatypes", W3C Recommendation, May 2001, <u>http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/</u>

1.2.2 Informative References

[MS-GLOS] Microsoft Corporation, "Windows Protocols Master Glossary".

1.3 Overview

As distributed applications become increasingly complex, so does the problem of diagnosing errors within them. To diagnose an error in a distributed application, a user must isolate the problem to a particular component. Each component often produces a trace log that records incoming messages, outgoing messages, and information about its internal state. By analyzing trace logs for each component, a user can reconstruct the sequence of messages that led to the error. The .NET Tracing Protocol facilitates this process by helping to correlate message flows together.

The .NET Tracing Protocol provides two main functions. First, it enables users to map outgoing messages to incoming messages between components in a distributed application. It does this by assigning each message a unique identifier, named the *CorrelationId*. This identifier is stored in the client component's trace log before it sends a message and in the server component's trace log after it receives a message. The identifier is then used as an index into the client and server trace logs to map the message exchange together. Using a unique identifier to map message flows also has the advantage of avoiding problems with clock skew between components in the distributed application.

The second function of the .NET Tracing Protocol is to provide a way to group related messages together. It does this by generating a second message identifier named the *ActivityId*. Unlike the *CorrelationId*, the *ActivityId* is not unique for each message. Instead, the same *ActivityId* is propagated between related messages. For example, a client sends a request to a server with "*ActivityId* A" in the message. The .NET Tracing Protocol states that the server must echo "*ActivityId* A" in its message response. Future related requests by the client should continue to use the same "*ActivityId* A". Because all of the related messages have included the same *ActivityId*, users can infer causality relationships between messages. This information can also be used to determine the set of messages that led up to an error and the set of messages that resulted from the error. This process is specified in section <u>3.1.5</u>.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

1.4 Relationship to Other Protocols

The .NET Tracing Protocol supports only SOAP-formatted messages. The communication protocol between the client and the server needs to use a SOAP-supported transport protocol, such as TCP/IP or HTTP/S. The following figure shows the dependency diagram for the .NET Tracing Protocol.

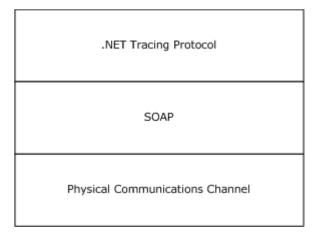


Figure 1: Dependency stack for the .NET Tracing Protocol

1.5 Prerequisites/Preconditions

The .NET Tracing Protocol assumes the following:

- The .NET Tracing Protocol is not dependent on any specific transport protocol.
- The communication protocol between the client and the server must use a SOAP-supported transport protocol.

1.6 Applicability Statement

The .NET Tracing Protocol can be used to help with tracing or debugging a distributed application.

1.7 Versioning and Capability Negotiation

This specification covers versioning issues in the following areas:

- **Supported Transports:** This protocol requires the use of SOAP messaging version 1.1 or SOAP messaging 1.2. SOAP is specified in [SOAP1.2-1/2007].
- Protocol Versions: The .NET Tracing Protocol applies to SOAP messages that include the additional XML element <ActivityId /> with a namespace of "http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics".
- **Capability Negotiation:** The .NET Tracing Protocol does not support negotiation of the version to use. Instead, an implementation must be configured to process only messages with the specific XML element and namespace that are described in this document.

The .NET Tracing Protocol applies to SOAP messages that are formatted based on the released SOAP versions 1.1, 1.2, or later versions.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Moreover, this document references valid, well-formed, and complete SOAP messages that carry the special XML element <ActivityId /> with the specific namespace "http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics".

An implementation is not compliant with this specification if it fails to satisfy one or more of the MUST requirements defined herein. A SOAP node cannot use the "http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics" XML Namespace identifier within SOAP Envelopes unless it is compliant with this specification.

1.8 Vendor-Extensible Fields

The .NET Tracing Protocol does not specify any extensions or extensible fields by default. However, vendors and implementers can choose to extend the protocol by including additional attributes. An extension or implementation MUST provide the basic and default behavior specified in this protocol document when the service does not understand a specific extension to maintain compatibility with implementations that do not understand a specific extension.

1.9 Standards Assignments

None.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

2 Messages

2.1 Transport

The .NET Tracing Protocol enables correlated activity tracing between client and server endpoints, even across different application domains for a single unit of processing, such as request or reply. For example, the .NET Tracing Protocol enables correlation of traces generated at the client end for the send operation and at the server end for the receive operation for a request message exchange. Additionally, for a request-reply message exchange pattern, the .NET Tracing Protocol enables correlation of traces generated for both the request and the reply.

In order for a client and a server to generate correlated activity tracing using the .NET Tracing Protocol, both the client and the server MUST use a SOAP-supported transport protocol for message exchange. There are no restrictions on the use of any specific SOAP transport protocol.

To participate in the generation of correlated activity traces using the .NET Tracing Protocol, both the client and the server MUST insert the special SOAP header block <ActivityId/> (namespace "http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics"), which is also called the SOAP ActivityId Header Block, into the SOAP header when sending a message. This SOAP ActivityId **Header Block** MUST follow all the rules of the SOAP header specified in [SOAP1.2-1/2007] Section 3 SOAP Extensibility Model and [SOAP1.2-1/2007] Section 5.2 SOAP Header. The sender MUST associate the GUID string specified as the ActivityId with the activity traces generated at its end. When a message is received by a recipient, and the SOAP header includes the **SOAP ActivityId** Header Block, the recipient MUST process the SOAP ActivityId Header Block. The received ActivityId MUST be associated with the activity traces generated by the recipient. If the requestresponse message exchange pattern (as specified by SOAP) is used, then the server MUST echo the ActivityId received in the request in the SOAP ActivityId Header Block, included in the reply message header. The CorrelationId attribute MUST be different than the one received in the request. If the request does not include the **SOAP ActivityId Header Block**, then the server MUST behave as if it is an initiator and MUST insert the SOAP ActivityId Header Block in the message header for the reply. Figure 1 describes the message exchange sequence for a request-response message exchange pattern between a client and a server.

The **SOAP ActivityId Header Block** is an optional SOAP header that MAY<1> be included. The message recipient MAY ignore the **SOAP ActivityId Header Block** if it is included in the received request. In case of a request-response pattern, the message sender MUST NOT declare a failure condition if the **SOAP ActivityId Header Block** is not included in the response, even if the request is included in the header.

This specification does not specify how to process any custom third-party extensions or attributes to this protocol when they are processed by a client or a server.

2.2 Message Syntax

2.2.1 Namespaces

This specification defines and references various XML namespaces using the mechanisms specified in [XMLNS]. Although this specification associates a specific XML namespace prefix for each XML namespace that is used, the choice of any particular XML namespace prefix is implementation-specific and not significant for interoperability.

Prefix	Namespace URI	Reference
(none)	http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics	

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Release: Monday, July 22, 2013

10 / 28

Prefix	Namespace URI	Reference
xsd	http://www.w3.org/2001/XMLSchema	[XMLSCHEMA1], [XMLSCHEMA2]

2.2.2 Common Data Types

GUID strings: This protocol makes use of the string representation of a GUID specified in [MS-DTYP] section 2.3.4.3. This string representation is in the form of a **universally unique identifier (UUID)** as specified in [RFC4122] section 3.

2.2.3 SOAP ActivityId Header Block Syntax

To enable activity tracing, a special XML element called the **SOAP ActivityId Header Block** MUST be placed within the SOAP header of each message exchanged. The schema for this XML element is as follows.

There is no specific location for this XML element within the SOAP header.

- **ActivityId:** Contains the name of the **SOAP ActivityId Header Block**. The value of this element is a GUID string. This value is used to correlate activity traces within the same unit of processing (for example, all activity traces generated for a request or a response, or for both request and response if a request-response message exchange pattern is used).
- http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics: The qualifying namespace for the SOAP ActivityId Header Block.
- **CorrelationId:** Attribute of type GUID string associated with the **SOAP ActivityId Header Block**. This attribute is used to relate the send and receive activity traces associated with one single message. For example, for a request message, the activity traces for send at the client and the corresponding receive at the server are correlated based on the *CorrelationId*. The send and receive messages associated with the response are associated with a different *CorrelationId*.

Copyright © 2013 Microsoft Corporation.

3 Protocol Details

3.1 Server Details

3.1.1 Abstract Data Model

ActivityId: When a request is received by a server and it contains a <u>SOAP ActivityId Header</u> <u>Block</u>, the server has to process the header block and store the *ActivityId* contained in the header. The received *ActivityId* can be used as the CorrelationId to generate activity traces.

Correlation Mode: A BOOLEAN value. When set to TRUE, **Correlation Mode** is **enabled**. The server is configured to participate in correlated tracing. It includes the client's *ActivityId* as an XML element in the SOAP header. If set to FALSE, **Correlation Mode** is **disabled** and the *ActivityId* is not inserted.

3.1.2 Timers

None.

3.1.3 Initialization

None.

3.1.4 Higher-Layer Triggered Events

None.

3.1.5 Processing Events and Sequencing Rules

When the server receives a request that contains a **SOAP ActivityId Header Block**, the server MUST process the header block and save the *ActivityId* contained in the header. The server MUST echo the *ActivityId* sent by the client in its response message. Future related requests from the client typically continue to use the same *ActivityId* (as specified in section <u>3.2.5</u>). This allows implementers to infer causality relationships between messages, and to determine the set of messages that led up to (and result from) an error.

The following figure shows a typical message exchange sequence with corresponding data.

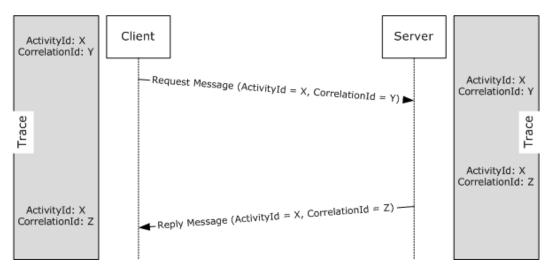


Figure 2: Sequence of a request-reply message exchange

Every server participating in correlated tracing using the .NET Tracing Protocol MUST implement processing to receive and send the **SOAP ActivityId Header Block**. Participation can be externally configured. The following state diagram shows the receiving end of a server participating in correlated activity tracing. A state diagram showing the sending portion of server participation follows later in this section.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

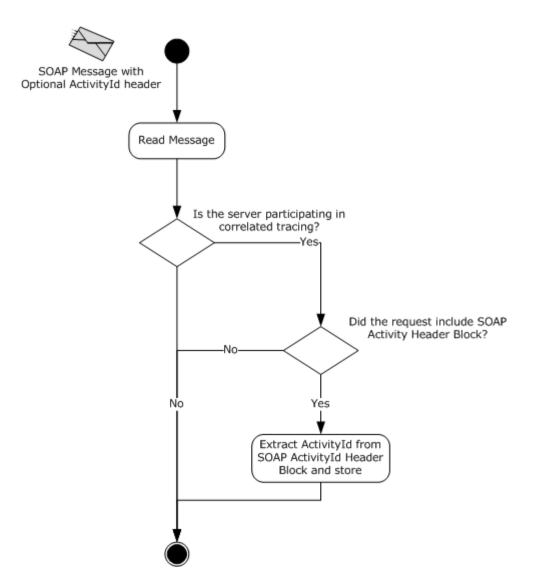


Figure 3: State of a server receiving a request when Correlation Mode is enabled

The request-response messaging consists of a request message from client to server and a corresponding response message from server to client. When the server is sending a response for the request that included the **SOAP ActivityId Header Block** and **Correlation Mode** is **enabled**, it MUST insert an *ActivityId* XML element into the SOAP header of the response. It MUST use the **ActivityId**, which is always set to the *ActivityId* received in the request. The **CorrelationId** attribute of the *ActivityId* element MUST be a newly generated unique GUID string.

When the server is sending a response for the request that did not include the **SOAP ActivityId Header Block** and the server is configured to participate in correlated tracing, it MUST insert a **SOAP ActivityId Header Block** into the SOAP header of the response with the value of *ActivityId* being a newly generated unique GUID string. The **CorrelationId** attribute of the *ActivityId* element MUST be a newly generated unique GUID string.

The following state diagram shows the sending end of a server participating in correlated activity tracing.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Release: Monday, July 22, 2013

14 / 28

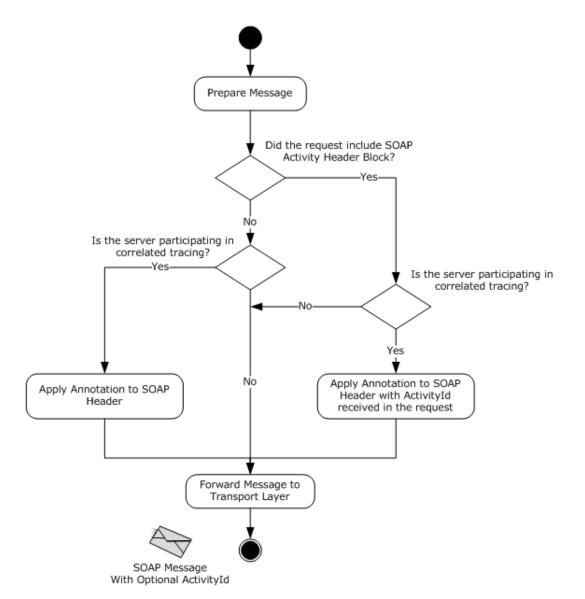


Figure 4: State of a server sending a response when participating in correlated activity tracing

3.1.6 Timer Events

None.

3.1.7 Other Local Events

None.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

3.2 Client Details

3.2.1 Abstract Data Model

ActivityId: When a request is sent by a client and Correlation Mode is enabled, the client inserts a <u>SOAP ActivityId Header Block</u> that includes an *ActivityId*. This value is stored and used in messages that are part of a correlated set.

Correlation Mode: A Boolean value. When set to TRUE, **Correlation Mode** is **enabled**; the client is configured to participate in correlated tracing. It sends the appropriate *ActivityId* for the correlated set the current message is a part of. If set to FALSE, **Correlation Mode** is **disabled** and the *ActivityId* is not inserted.

3.2.2 Timers

None.

3.2.3 Initialization

None.

3.2.4 Higher-Layer Triggered Events

None.

3.2.5 Message Processing Events and Sequencing Rules

Every client participating in generating correlated tracing using the .NET Tracing Protocol MUST implement processing to send and receive the <u>SOAP ActivityId Header Block</u>. Participation may be externally configured. The following state diagram shows a client participating in correlated activity tracing.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

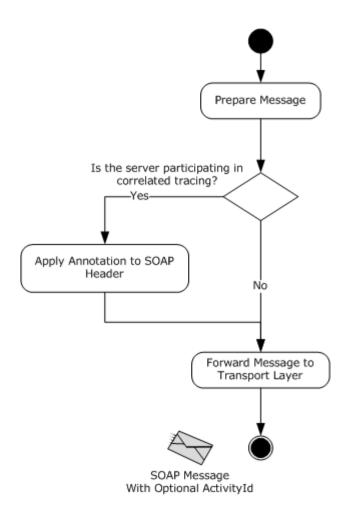


Figure 5: State of a client sending a request when participating in correlated activity tracing

When a request is sent by a client and the client is configured to participate in correlated tracing, it MUST insert a **SOAP ActivityId Header Block** into the SOAP header of the request. The inserted **SOAP ActivityId Header Block** MUST include an *ActivityId*. This *ActivityId* can be a GUID string already being used to perform correlated activity tracing at the client. Related requests sent by the client SHOULD continue to use the same *ActivityId*. The **CorrelationId** attribute of the *ActivityId* element MUST be a newly generated unique GUID string for that message.

When the client receives a response to the request it had sent (which had included the **SOAP ActivityId Header Block** in the SOAP header), and the client is configured to participate in correlated tracing, it SHOULD process the **SOAP ActivityId Header Block** present in the SOAP header of the response.

3.2.6 Timer Events

None.

3.2.7 Other Local Events

None.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Release: Monday, July 22, 2013

17/28

4 Protocol Examples

By inserting the **SOAP ActivityId Header Block** into the SOAP header, activity traces may be correlated across client and server. Correlated activity traces provide the user with direct correlation of error traces for the same unit of processing across application endpoints, such as a request. Errors emitted at different endpoints for the same unit of processing are grouped in the same activity, even across process or machine boundaries.

4.1 Sample SOAP Messages

The following examples depict two SOAP messages that contain the **SOAP ActivityId Header Block** in the SOAP header. The SOAP messages are request and response messages associated with a request-response message exchange pattern. The examples show a complete SOAP envelope, but the discussion focuses only on the **SOAP ActivityId Header Block**.

The following is a sample request message that includes the **SOAP ActivityId Header Block**. The sample shows a standard SOAP envelope with a body and a header. The header element contains an <ActivityId> element. The value of *ActivityId* is "43ffa660-a0c6-4249-bb36-648b73a06213" and the CorrelationId attribute is "7224e2a9-8f9c-4acb-a924-17cb6af67b23".

```
<s:Envelope xmlns:s="http://schemas.xmlsoap.org/soap/envelope/">
  <s:Header>
   <Action s:mustUnderstand="1"
     xmlns="http://schemas.microsoft.com/ws/2005/05/addressing/none">
     http://tempuri.org/IService/MyOperation
   </Action>
   <ActivityId CorrelationId="7224e2a9-8f9c-4acb-a924-17cb6af67b23"
xmlns="http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics">
     43ffa660-a0c6-4249-bb36-648b73a06213</ActivityId>
 </s:Header>
  <s:Bodv>
   <MyOperation xmlns="http://tempuri.org">
      <MyValue>Some Value</MyValue>
   </MvOperation>
 </s:Body>
</s:Envelope>
```

The following is a sample response to the request discussed earlier. The header element for the message includes the <ActivityId> element. As per section 3.1.1, the *ActivityId* value is the same as received in the request ("43ffa660-a0c6-4249-bb36-648b73a06213"). The protocol also specifies that the *CorrelationId* attribute must be different from the *CorrelationId* received in the request. In the example, the *CorrelationId* associated with the response is a new GUID string value ("b898336e-d4e2-4eb7-a2c7-1e23f4630646").

[MS-NETTR] — v20130722 .NET Tracing Protocol 18 / 28

Copyright © 2013 Microsoft Corporation.

```
<s:Body>
<MyOperationResponse xmlns="http://tempuri.org">
<MyOperationResult>
<MyValue>Some Value</MyValue>
</MyOperationResult>
</MyOperationResponse>
</s:Body>
</s:Envelope>
```

The activity trace generated at the client and server ends for the request and response is expected to maintain a correlation with the value of *ActivityId* ("43ffa660-a0c6-4249-bb36-648b73a06213"). Section 4.2 provides examples of traces with such a correlation.

4.2 Sample Activity Traces

The following examples discuss a sample use of the .NET Tracing Protocol to generate a correlated activity trace. The .NET Tracing Protocol does not prescribe the usage of *ActivityId*, *CorrelationId*, and the format of the traces emitted. Individual protocol implementation is free to use the *ActivityId* and *CorrelationId* in any form suitable to generate activity traces. The following examples are used for illustrative purposes only and are not part of the protocol specification.

Sample traces generated at the client and server ends for a request-response message exchange pattern are described later. In these samples, the *ActivityId* attribute associated with XML element *<Correlation>* is used to associate the generated activity traces with the *ActivityId* received in the SOAP header. The SOAP headers associated with the request message are also included in the generated trace (see element

 $E2ETraceEvent\ApplicationData\TraceData\DataItem\TraceRecord\ExtendedData\MessageHeaders\ActivityId$). For illustration purposes, the sample traces discussed later are defined as if they were generated for the request-response messages discussed in section <u>4.1</u>.

4.2.1 Activity Trace Emitted for Request Sent at the Client

The following is a sample trace generated at the client end when sending a request message. The *ActivityId* attribute associated with the XML element *<Correlation>* is the same as specified in the message samples discussed earlier ("43ffa660-a0c6-4249-bb36-648b73a06213"). The <u>SOAP</u> <u>ActivityId Header Block</u> associated with the request message is also part of the trace. Other parts of the sample trace will not be discussed and are not relevant to this example.

```
<E2ETraceEvent xmlns="http://schemas.microsoft.com/2004/06/E2ETraceEvent">
  <System xmlns="http://schemas.microsoft.com/2004/06/windows/eventlog/system">
   <EventID>262164</EventID>
   <Type>3</Type>
   <SubType Name="Information">0</SubType>
   <Level>8</Level>
    <TimeCreated SystemTime="2008-02-08T17:23:54.0057336Z" />
   <Source Name="System.ServiceModel" />
   <Correlation ActivityID="{43ffa660-a0c6-4249-bb36-648b73a06213}" />
   <Execution ProcessName="Client" ProcessID="7604" ThreadID="1" />
   <Channel/>
   <Computer>MACHINE1</Computer>
  </System>
  <ApplicationData>
   <TraceData>
      <DataItem>
```

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

```
<TraceRecord xmlns="http://schemas.microsoft.com/2004/10/E2ETraceEvent/TraceRecord"
Severity="Information">
          <TraceIdentifier>http://msdn.microsoft.com/en-
US/library/System.ServiceModel.Channels.MessageSent.aspx</TraceIdentifier>
          <Description>Sent a message over a channel.</Description>
          <AppDomain>Client.exe</AppDomain>
<Source>System.ServiceModel.Channels.HttpOutput+WebRequestHttpOutput/34948909</Source>
          <ExtendedData
xmlns="http://schemas.microsoft.com/2006/08/ServiceModel/MessageTraceRecord">
            <MessageProperties>
              <Encoder>text/xml; charset=utf-8</Encoder>
              <AllowOutputBatching>False</AllowOutputBatching>
              <Via>http://localhost/MySample/service.svc/basic</Via>
            </MessageProperties>
            <MessageHeaders>
              <ActivitvId CorrelationId="7224e2a9-8f9c-4acb-a924-17cb6af67b23"</pre>
xmlns="http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics">43ffa660-a0c6-4249-
bb36-648b73a06213</ActivityId>
            </MessageHeaders>
          </ExtendedData>
        </TraceRecord>
      </DataItem>
    </TraceData>
  </ApplicationData>
</E2ETraceEvent>
```

4.2.2 Activity Trace Emitted for Request Received at the Server

The following is a sample trace generated at the server end when the request message is received. The *ActivityId* attribute associated with the XML element *<Correlation>* is the same as specified in the message samples discussed earlier ("43ffa660-a0c6-4249-bb36-648b73a06213"). The <u>SOAP</u> <u>ActivityId Header Block</u> associated with the request message is also part of the trace. Other parts of the sample trace will not be discussed and are not relevant to this example.

```
<E2ETraceEvent xmlns="http://schemas.microsoft.com/2004/06/E2ETraceEvent">
  <System xmlns="http://schemas.microsoft.com/2004/06/windows/eventlog/system">
   <EventID>262163</EventID>
   <Type>3</Type>
   <SubType Name="Information">0</SubType>
   <Level>8</Level>
   <TimeCreated SystemTime="2008-02-08T17:23:57.2087971Z" />
   <Source Name="System.ServiceModel" />
   <Correlation ActivityID="{43ffa660-a0c6-4249-bb36-648b73a06213}" />
    <Execution ProcessName="w3wp" ProcessID="6720" ThreadID="5" />
   <Channel/>
    <Computer>MACHINE1</Computer>
  </Svstem>
  <ApplicationData>
    <TraceData>
      <DataItem>
        <TraceRecord xmlns="http://schemas.microsoft.com/2004/10/E2ETraceEvent/TraceRecord"
Severity="Information">
          <TraceIdentifier>http://msdn.microsoft.com/en-
US/library/System.ServiceModel.Channels.MessageReceived.aspx</TraceIdentifier>
          <Description>Received a message over a channel.</Description>
          <AppDomain>/LM/W3SVC/1/Root/MySample-1-128469650342401041</AppDomain>
```

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

```
<Source>System.ServiceModel.Activation.HostedHttpContext+HostedHttpInput/17228638</Source>
          <ExtendedData
xmlns="http://schemas.microsoft.com/2006/08/ServiceModel/MessageTransmitTraceRecord">
            <MessageProperties>
              <Encoder>text/xml; charset=utf-8</Encoder>
              <AllowOutputBatching>False</AllowOutputBatching>
              <Via>http://tempuri.org/MySample/service.svc/basic</Via>
            </MessageProperties>
            <MessageHeaders>
              <ActivityId CorrelationId="7224e2a9-8f9c-4acb-a924-17cb6af67b23"</pre>
xmlns="http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics">43ffa660-a0c6-4249-
bb36-648b73a06213</ActivityId>
              <To d4p1:mustUnderstand="1"
xmlns:d4p1="http://schemas.xmlsoap.org/soap/envelope/"
xmlns="http://schemas.microsoft.com/ws/2005/05/addressing/none">http://tempuri.org/MySample/s
ervice.svc/basic</To>
              <Action d4p1:mustUnderstand="1"
xmlns:d4p1="http://schemas.xmlsoap.org/soap/envelope/"
xmlns="http://schemas.microsoft.com/ws/2005/05/addressing/none">http://tempuri.org/IServer/My
Operation</Action>
            </MessageHeaders>
          </ExtendedData>
        </TraceRecord>
      </DataItem>
   </TraceData>
  </ApplicationData>
</E2ETraceEvent>
```

4.2.3 Activity Trace Emitted for Reply Sent at the Server

The following is a sample trace generated at the server end when sending a response message. The *ActivityId* attribute associated with the XML element *<Correlation>* is the same as specified in the message samples discussed earlier ("43ffa660-a0c6-4249-bb36-648b73a06213"). The <u>SOAP</u> <u>ActivityId Header Block</u> associated with the response message is also part of the trace. Other parts of the sample trace will not be discussed and are not relevant to this example.

```
<E2ETraceEvent xmlns="http://schemas.microsoft.com/2004/06/E2ETraceEvent">
  <System xmlns="http://schemas.microsoft.com/2004/06/windows/eventlog/system">
   <EventID>262164</EventID>
   <Type>3</Type>
   <SubType Name="Information">0</SubType>
   <Level>8</Level>
   <TimeCreated SystemTime="2008-02-08T17:23:57.6775381Z" />
   <Source Name="System.ServiceModel" />
    <Correlation ActivityID="{43ffa660-a0c6-4249-bb36-648b73a06213}" />
   <Execution ProcessName="w3wp" ProcessID="6720" ThreadID="5" />
    <Channel/>
    <Computer>MACHINE1</Computer>
  </System>
  <ApplicationData>
    <TraceData>
      <DataItem>
        <TraceRecord xmlns="http://schemas.microsoft.com/2004/10/E2ETraceEvent/TraceRecord"
Severity="Information">
          <TraceIdentifier>http://msdn.microsoft.com/en-
US/library/System.ServiceModel.Channels.MessageSent.aspx</TraceIdentifier>
         <Description>Sent a message over a channel.</Description>
```

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Release: Monday, July 22, 2013

21 / 28

```
<AppDomain>/LM/W3SVC/1/Root/MySample-1-128469650342401041</AppDomain>
<Source>System.ServiceModel.Channels.HttpOutput+HostedRequestHttpOutput/59884855</Source>
          <ExtendedData
xmlns="http://schemas.microsoft.com/2006/08/ServiceModel/MessageTraceRecord">
           <MessageProperties>
              <Encoder>text/xml; charset=utf-8</Encoder>
              <AllowOutputBatching>False</AllowOutputBatching>
            </MessageProperties>
            <MessageHeaders>
              <ActivityId CorrelationId="b898336e-d4e2-4eb7-a2c7-le23f4630646"</pre>
xmlns="http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics">43ffa660-a0c6-4249-
bb36-648b73a06213</ActivityId>
            </MessageHeaders>
          </ExtendedData>
        </TraceRecord>
      </DataItem>
    </TraceData>
  </ApplicationData>
</E2ETraceEvent>
```

4.2.4 Activity Trace Emitted for Reply Received at the Client

The following is a sample trace generated at the client end when the response message is received. The *ActivityId* attribute associated with the XML element *<Correlation>* is the same as specified in the message samples discussed earlier ("43ffa660-a0c6-4249-bb36-648b73a06213"). The <u>SOAP</u> <u>ActivityId Header Block</u> associated with the request message is also part of the trace. Other parts of the sample trace will not be discussed and are not relevant to this example.

```
<E2ETraceEvent xmlns="http://schemas.microsoft.com/2004/06/E2ETraceEvent">
 <System xmlns="http://schemas.microsoft.com/2004/06/windows/eventlog/system">
   <EventID>262165</EventID>
   <Type>3</Type>
   <SubType Name="Information">0</SubType>
   <Level>8</Level>
   <TimeCreated SystemTime="2008-02-08T17:23:57.8494098Z" />
   <Source Name="System.ServiceModel" />
   <Correlation ActivityID="{43ffa660-a0c6-4249-bb36-648b73a06213}" />
    <Execution ProcessName="Client" ProcessID="7604" ThreadID="1" />
   <Channel/>
   <Computer>MACHINE1</Computer>
  </System>
  <ApplicationData>
    <TraceData>
      <DataItem>
        <TraceRecord xmlns="http://schemas.microsoft.com/2004/10/E2ETraceEvent/TraceRecord"
Severity="Information">
          <TraceIdentifier>http://msdn.microsoft.com/en-
US/library/System.ServiceModel.Channels.RequestChannelReplyReceived.aspx</TraceIdentifier>
         <Description>Received reply over request channel</Description>
          <AppDomain>Client.exe</AppDomain>
          <Source>System.ServiceModel.Channels.BufferedMessage/43495525</Source>
         <ExtendedData
xmlns="http://schemas.microsoft.com/2006/08/ServiceModel/MessageTraceRecord">
            <MessageProperties>
              <Encoder>text/xml; charset=utf-8</Encoder>
              <AllowOutputBatching>False</AllowOutputBatching>
```

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

22 / 28

```
</MessageProperties>

<MessageHeaders>

<ActivityId CorrelationId="b898336e-d4e2-4eb7-a2c7-le23f4630646"

xmlns="http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostics">43ffa660-a0c6-4249-

bb36-648b73a06213</ActivityId>

</MessageHeaders>

</ExtendedData>

</TraceRecord>

</DataItem>

</TraceData>

</ApplicationData>

</E2ETraceEvent>
```

The preceding four sample traces are correlated to each other because of the common *ActivityId* attribute value specified in the *<Correlation>* element ("{43ffa660-a0c6-4249-bb36-648b73a06213}"). The send and receive of the request message are correlated because of the common value ("7224e2a9-8f9c-4acb-a924-17cb6af67b23") of the *CorrelationId* attribute associated with **SOAP ActivityId Header Block**. The send and receive of the response message are correlated because of the common value ("b898336e-d4e2-4eb7-a2c7-1e23f4630646") of the *CorrelationId* attribute associated with **SOAP ActivityId Header Block**.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

5 Security

5.1 Security Considerations for Implementers

The .NET Tracing Protocol does not carry any security considerations. A vendor can extend the protocol to provide additional security considerations as long as the default and basic correlated tracing scenarios, as specified by this document, function as expected. In addition, vendors and implementers of this protocol must account for the fallback scenario when one participant (client or server) in the correlated tracing does not support the additional security extensions.

A vendor can extend the protocol to provide additional security considerations provided that the default and basic correlated tracing scenarios contained in this specification function as expected.

5.2 Index of Security Parameters

None.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

6 Appendix A: Product Behavior

This document specifies version-specific details in the Microsoft .NET Framework. For information about which versions of .NET Framework are available in each released Windows product or as supplemental software, see **.NET Framework**.

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Microsoft .NET Framework 3.0
- Microsoft .NET Framework 3.5
- Microsoft .NET Framework 4.0
- Microsoft .NET Framework 4.5

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

<1> Section 2.1: Windows XP, Windows Server 2003, Windows Vista, Windows Server 2008, and Windows 7 ignore the SOAP ActivityId Header Block if the client/server is configured to not participate in correlated tracing. The client/server can be configured to not participate in correlated tracing by not specifying "activityTracing" for the *switchValue* attribute associated with trace sources in the Application Configuration (App.Config) file. Additionally, the server also requires that the "propagateActivity" attribute needs to be set to false for the trace source.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

7 Change Tracking

No table of changes is available. The document is either new or has had no changes since its last release.

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

8 Index

A

Abstract data model <u>client</u> 16 <u>server</u> 12 Activity trace emitted for reply <u>received at the client example</u> 22 <u>sent at the server example</u> 21 request <u>received at the server example</u> 20 <u>sent at the client example</u> 19 <u>Applicability</u> 8

С

Capability negotiation 8 Change tracking 26 Client <u>abstract data model</u> 16 <u>higher-layer triggered events</u> 16 <u>initialization</u> 16 <u>local events</u> 17 <u>message processing</u> 16 <u>sequencing rules</u> 16 <u>timer events</u> 17 <u>timers</u> 16 Common data types 11

D

Data model - abstract client 16 server 12 Data types - common 11

Е

Examples activity trace emitted for reply <u>received at the client</u> 22 <u>sent at the server</u> 21 request <u>received at the server</u> 20 <u>sent at the client</u> 19 <u>overview</u> 18 sample <u>activity traces - overview</u> 19 <u>SOAP messages</u> 18

F

Fields - vendor-extensible 9

G

Glossary 6

Η

<u>Header block syntax - SOAP ActivityId</u> 11 Higher-layer triggered events <u>client</u> 16 <u>server</u> 12

I

Implementer - security considerations 24 Index of security parameters 24 Informative references 7 Initialization client 16 server 12 Introduction 6

L

Local events client 17 server 15

Μ

Message processing <u>client</u> 16 <u>server</u> 12 Messages <u>common data types</u> 11 <u>namespaces</u> 10 <u>SOAP ActivityId header block syntax</u> 11 <u>transport</u> 10

Ν

Namespaces 10 Normative references 6

0

Overview (synopsis) 7

Ρ

Parameters - security index 24 Preconditions 8 Prerequisites 8 Product behavior 25

R

References <u>informative</u> 7 <u>normative</u> 6 <u>Relationship to other protocols</u> 8

S

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.

Sample SOAP messages example 18 Security implementer considerations 24 parameter index 24 Sequencing rules client 16 server 12 Server abstract data model 12 higher-layer triggered events 12 initialization 12 local events 15 message processing 12 sequencing rules 12 timer events 15 timers 12 SOAP ActivityId header block syntax 11 Standards assignments 9

т

Timer events <u>client</u> 17 <u>server</u> 15 Timers <u>client</u> 16 <u>server</u> 12 <u>Tracking changes</u> 26 <u>Transport</u> 10 Triggered events - higher-layer <u>client</u> 16 <u>server</u> 12

V

<u>Vendor-extensible fields</u> 9 <u>Versioning</u> 8

[MS-NETTR] — v20130722 .NET Tracing Protocol

Copyright © 2013 Microsoft Corporation.