

# [MS-GPFAS]: Group Policy: Firewall and Advanced Security Data Structure

---

## Intellectual Property Rights Notice for Open Specifications Documentation

- **Technical Documentation.** Microsoft publishes Open Specifications documentation for protocols, file formats, languages, standards as well as overviews of the interaction among each of these technologies.
- **Copyrights.** This documentation is covered by Microsoft copyrights. Regardless of any other terms that are contained in the terms of use for the Microsoft website that hosts this documentation, you may make copies of it in order to develop implementations of the technologies described in the Open Specifications and may distribute portions of it in your implementations using these technologies or your documentation as necessary to properly document the implementation. You may also distribute in your implementation, with or without modification, any schema, IDL's, or code samples that are included in the documentation. This permission also applies to any documents that are referenced in the Open Specifications.
- **No Trade Secrets.** Microsoft does not claim any trade secret rights in this documentation.
- **Patents.** Microsoft has patents that may cover your implementations of the technologies described in the Open Specifications. Neither this notice nor Microsoft's delivery of the documentation grants any licenses under those or any other Microsoft patents. However, a given Open Specification may be covered by Microsoft [Open Specification Promise](#) or the [Community Promise](#). If you would prefer a written license, or if the technologies described in the Open Specifications are not covered by the Open Specifications Promise or Community Promise, as applicable, patent licenses are available by contacting [iplg@microsoft.com](mailto:iplg@microsoft.com).
- **Trademarks.** The names of companies and products contained in this documentation may be covered by trademarks or similar intellectual property rights. This notice does not grant any licenses under those rights. For a list of Microsoft trademarks, visit [www.microsoft.com/trademarks](http://www.microsoft.com/trademarks).
- **Fictitious Names.** The example companies, organizations, products, domain names, email addresses, logos, people, places, and events depicted in this documentation are fictitious. No association with any real company, organization, product, domain name, email address, logo, person, place, or event is intended or should be inferred.

**Reservation of Rights.** All other rights are reserved, and this notice does not grant any rights other than specifically described above, whether by implication, estoppel, or otherwise.

**Tools.** The Open Specifications do not require the use of Microsoft programming tools or programming environments in order for you to develop an implementation. If you have access to Microsoft programming tools and environments you are free to take advantage of them. Certain Open Specifications are intended for use in conjunction with publicly available standard specifications and network programming art, and assumes that the reader either is familiar with the aforementioned material or has immediate access to it.

## Revision Summary

Date	Revision History	Revision Class	Comments
06/04/2010	0.1	Major	First Release.
07/16/2010	0.1	No change	No changes to the meaning, language, or formatting of the technical content.
08/27/2010	1.0	Major	Significantly changed the technical content.
10/08/2010	1.1	Minor	Clarified the meaning of the technical content.
11/19/2010	1.1	No change	No changes to the meaning, language, or formatting of the technical content.
01/07/2011	1.1	No change	No changes to the meaning, language, or formatting of the technical content.
02/11/2011	2.0	Major	Significantly changed the technical content.
03/25/2011	3.0	Major	Significantly changed the technical content.
05/06/2011	4.0	Major	Significantly changed the technical content.
06/17/2011	5.0	Major	Significantly changed the technical content.
09/23/2011	5.1	Minor	Clarified the meaning of the technical content.
12/16/2011	6.0	Major	Significantly changed the technical content.
03/30/2012	7.0	Major	Significantly changed the technical content.
07/12/2012	8.0	Major	Significantly changed the technical content.
10/25/2012	8.0	No change	No changes to the meaning, language, or formatting of the technical content.
01/31/2013	8.0	No change	No changes to the meaning, language, or formatting of the technical content.
08/08/2013	9.0	Major	Significantly changed the technical content.
11/14/2013	9.0	No change	No changes to the meaning, language, or formatting of the technical content.
02/13/2014	10.0	Major	Significantly changed the technical content.

# Contents

<b>1 Introduction</b>	<b>7</b>
1.1 Glossary	7
1.2 References	7
1.2.1 Normative References	7
1.2.2 Informative References	8
1.3 Protocol Overview (Synopsis)	8
1.3.1 Background	8
1.3.2 Firewall and Advanced Security Extension Encoding Overview	9
1.4 Relationship to Other Protocols	10
1.5 Prerequisites/Preconditions	11
1.6 Applicability Statement	11
1.7 Versioning and Capability Negotiation	11
1.8 Vendor-Extensible Fields	12
1.9 Standards Assignments	12
<b>2 Messages</b>	<b>13</b>
2.1 Transport	13
2.2 Message Syntax	13
2.2.1 Global Policy Configuration Options	13
2.2.1.1 Disable Stateful FTP	13
2.2.1.2 Disable Stateful PPTP	13
2.2.1.3 Security Associations Idle Time	14
2.2.1.4 Preshared Key Encoding	14
2.2.1.5 IPsec Exemptions	14
2.2.1.6 Certificate Revocation List Check	15
2.2.1.7 IPsec Through NATs	15
2.2.1.8 Policy Version	16
2.2.1.9 Tunnel Remote Machine Authorization List	16
2.2.1.10 Tunnel Remote User Authorization List	16
2.2.1.11 Opportunistically Match Authentication Set Per Key Module	16
2.2.1.12 Transport Remote Machine Authorization List	17
2.2.1.13 Transport Remote User Authorization List	17
2.2.1.14 Packet Queue	17
2.2.2 Firewall Rule Messages	17
2.2.2.1 Profile Tokens	18
2.2.2.2 Port and Port Range Rules	18
2.2.2.3 Port Keyword Rules	18
2.2.2.4 Direction Tokens	19
2.2.2.5 Action Tokens	19
2.2.2.6 IfSecure Tokens	19
2.2.2.7 Interfaces	20
2.2.2.8 Interface Types	20
2.2.2.9 IPV4 Address Ranges Rules	20
2.2.2.10 IPV4 Address Subnet Rules	21
2.2.2.11 IPV6 Address Range Rules	21
2.2.2.12 IPV6 Address Subnet Rules	22
2.2.2.13 Address Keyword Rules	22
2.2.2.14 Boolean Rules	23
2.2.2.15 Edge Defer Rules	23
2.2.2.16 ICMP Type - Code Rules	23

2.2.2.17	Platform Validity Rules.....	24
2.2.2.18	Platform Validity Operators Rules.....	24
2.2.2.19	Firewall Rule and the Firewall Rule Grammar Rule.....	24
2.2.2.20	Trust Tuple Keyword Rules.....	30
2.2.3	Per-Profile Policy Configuration Options.....	31
2.2.3.1	Enable Firewall.....	31
2.2.3.2	Disable Stealth Mode.....	32
2.2.3.3	Shield Up Mode.....	32
2.2.3.4	Disable Unicast Responses to Multicast and Broadcast Traffic.....	32
2.2.3.5	Log Dropped Packets.....	33
2.2.3.6	Log Successful Connections.....	33
2.2.3.7	Log Ignored Rules.....	33
2.2.3.8	Maximum Log File Size.....	34
2.2.3.9	Log File Path.....	34
2.2.3.10	Disable Inbound Notifications.....	34
2.2.3.11	Allow Authenticated Applications User Preference Merge.....	35
2.2.3.12	Allow Globally Open Ports User Preference Merge.....	35
2.2.3.13	Allow Local Firewall Rule Policy Merge.....	35
2.2.3.14	Allow Local IPsec Policy Merge.....	36
2.2.3.15	Disabled Interfaces.....	36
2.2.3.16	Default Outbound Action.....	36
2.2.3.17	Default Inbound Action.....	37
2.2.3.18	Disable Stealth Mode for IPsec Secured Packets.....	37
2.2.4	Authentication Sets.....	37
2.2.4.1	Version.....	38
2.2.4.2	Name.....	39
2.2.4.3	Description.....	39
2.2.4.4	EmbeddedContext.....	39
2.2.4.5	Suite Keys.....	39
2.2.4.6	Phase 1 and Phase 2 Auth Suite Methods.....	40
2.2.4.7	Phase 1 and Phase 2 Auth Suite Certificate Authority Names.....	41
2.2.4.8	Phase 1 Auth Suite Preshared Key.....	41
2.2.4.9	Phase 1 and Phase 2 Auth Suite Certificate Account Mapping.....	41
2.2.4.10	Phase 1 Auth Suite Exclude CA Name.....	41
2.2.4.11	Phase 1 and Phase 2 Auth Suite Health Cert.....	42
2.2.4.12	Phase 1 and Phase 2 Auth Suite Skip Version.....	42
2.2.4.13	Phase 1 and Phase 2 Auth Suite Other Certificate Signing.....	42
2.2.4.14	Phase 1 and Phase 2 Auth Suite Intermediate CA.....	43
2.2.4.15	Certificate Criteria Type Tokens.....	43
2.2.4.16	Certificate Criteria Name Type Tokens.....	44
2.2.4.17	Phase 1 and Phase 2 Auth Suite Certificate Criteria.....	44
2.2.4.18	Phase 1 and Phase 2 Auth Suite Allow Kerberos Proxy.....	45
2.2.4.19	Phase 1 and Phase 2 Auth Suite Kerberos Proxy Server.....	46
2.2.5	Cryptographic Sets.....	46
2.2.5.1	Version.....	47
2.2.5.2	Name.....	47
2.2.5.3	Description.....	47
2.2.5.4	EmbeddedContext.....	48
2.2.5.5	Phase 1 - Do Not Skip Diffie Hellman.....	48
2.2.5.6	Phase 1 - Time Out in Minutes.....	48
2.2.5.7	Phase 1 - Time Out in Sessions.....	49
2.2.5.8	Phase 2 - Perfect Forward Secrecy.....	49
2.2.5.9	Phase 1 - Suite Keys.....	50

2.2.5.10	Phase 1 Suite - Key Exchange Algorithm.....	50
2.2.5.11	Phase 1 Suite - Encryption Algorithm .....	50
2.2.5.12	Phase 1 Suite - Hash Algorithm .....	51
2.2.5.13	Phase 1 Suite Skip Version.....	51
2.2.5.14	Phase 1 Suite - 2.1 Hash Algorithm.....	52
2.2.5.15	Phase 1 Suite - 2.16 Key Exchange Algorithm.....	52
2.2.5.16	Phase 2 - Suite Keys .....	53
2.2.5.17	Phase 2 Suite - Protocol.....	53
2.2.5.18	Phase 2 Suite - Encryption Algorithm .....	53
2.2.5.19	Phase 2 Suite - AH Protocol Hash Algorithm .....	54
2.2.5.20	Phase 2 Suite - ESP Protocol Hash Algorithm.....	54
2.2.5.21	Phase 2 Suite - Time Out in Minutes.....	54
2.2.5.22	Phase 2 Suite - Time Out in Kilobytes.....	55
2.2.5.23	Phase 2 Suite - Skip Version .....	55
2.2.5.24	Phase 2 Suite - 2.1 Encryption Algorithm .....	55
2.2.5.25	Phase 2 Suite - 2.1 AH Hash Algorithm.....	56
2.2.5.26	Phase 2 Suite - 2.1 ESP Hash Algorithm.....	56
2.2.5.27	Phase 2 Suite - 2.9 Protocol .....	57
2.2.5.28	Phase 2 - 2.16 Perfect Forward Secrecy .....	57
2.2.6	Connection Security Rule Messages .....	58
2.2.6.1	Connection Security Action Tokens .....	58
2.2.6.2	Connection Security Rule and the Connection Security Rule Grammar Rule .....	58
2.2.6.3	Keying Module Rules .....	64
2.2.7	Main Mode Rule Messages .....	64
2.2.7.1	Main Mode Rule and the Main Mode Rule Grammar Rule .....	64
<b>3</b>	<b>Protocol Details.....</b>	<b>68</b>
3.1	Administrative Plug-in Details.....	68
3.1.1	Abstract Data Model .....	68
3.1.2	Timers .....	68
3.1.3	Initialization .....	68
3.1.4	Higher-Layer Triggered Events.....	68
3.1.5	Message Processing Events and Sequencing Rules.....	69
3.1.5.1	Policy Administration Load Message Sequencing .....	69
3.1.5.2	Policy Administration Update Message Sequencing .....	69
3.1.6	Timer Events .....	70
3.1.7	Other Local Events .....	70
3.2	Client Details.....	70
3.2.1	Abstract Data Model .....	70
3.2.2	Timers .....	70
3.2.3	Initialization .....	70
3.2.4	Higher-Layer Triggered Events.....	70
3.2.5	Message Processing Events and Sequencing Rules.....	70
3.2.6	Timer Events .....	70
3.2.7	Other Local Events .....	71
3.2.7.1	Policy Application Event.....	71
<b>4</b>	<b>Protocol Examples.....</b>	<b>72</b>
4.1	Configuration Options Messages .....	72
4.2	Firewall Rule Message .....	72
4.3	Connection Security Rule Message .....	72
4.4	Authentication Set Messages.....	73
4.4.1	Authentication Set {212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}.....	73

4.4.2	Authentication Set {D842F406-E895-406A-AC35-9837B6D499F4}.....	75
4.4.3	Authentication Set {A75A5046-E377-45CC-BD25-EC0F8E601CE1} .....	76
4.4.4	Authentication Set {967F0367-F879-42EC-938B-C89FE8289B26}.....	76
4.4.5	Cryptographic Set Messages .....	78
4.4.5.1	Cryptographic Set {CD863A4F-CD94-4763-AD25-69A1378D51EB}.....	78
4.4.5.2	Cryptographic Set {E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F} .....	81
<b>5</b>	<b>Security.....</b>	<b>86</b>
5.1	Security Considerations for Implementers.....	86
5.2	Index of Security Parameters .....	86
<b>6</b>	<b>Appendix A: Product Behavior .....</b>	<b>87</b>
<b>7</b>	<b>Appendix B: Full ABNF Grammar .....</b>	<b>89</b>
<b>8</b>	<b>Change Tracking.....</b>	<b>93</b>
<b>9</b>	<b>Index .....</b>	<b>96</b>

# 1 Introduction

This document specifies the Group Policy: Firewall and Advanced Security Data Structure extension to the Group Policy: Registry Extension Encoding, as specified in [\[MS-GPREG\]](#), and provides a mechanism for an administrator to control any Firewall and Advanced Security behavior on a client using group policy-based settings.

Sections 1.8, 2, and 3 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. Sections 1.5 and 1.9 are also normative but cannot contain those terms. All other sections and examples in this specification are informative.

## 1.1 Glossary

The following terms are defined in [\[MS-GLOS\]](#):

**Active Directory**  
**administrative template**  
**client-side extension GUID (CSE GUID)**  
**computer-scoped Group Policy Object path**  
**distinguished name (DN)**  
**globally unique identifier (GUID)**  
**Group Policy Object (GPO)**  
**policy setting**  
**registry**  
**registry policy file**  
**Unicode**

The following terms are specific to this document:

**MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as described in [\[RFC2119\]](#). All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

## 1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

A reference marked "(Archived)" means that the reference document was either retired and is no longer being maintained or was replaced with a new document that provides current implementation details. We archive our documents online [\[Windows Protocol\]](#).

### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact [dochelp@microsoft.com](mailto:dochelp@microsoft.com). We will assist you in finding the relevant information.

[MS-FASP] Microsoft Corporation, "[Firewall and Advanced Security Protocol](#)".

[MS-GPOL] Microsoft Corporation, "[Group Policy: Core Protocol](#)".

[MS-GPREG] Microsoft Corporation, "[Group Policy: Registry Extension Encoding](#)".

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

[RFC4122] Leach, P., Mealling, M., and Salz, R., "A Universally Unique Identifier (UUID) URN Namespace", RFC 4122, July 2005, <http://www.ietf.org/rfc/rfc4122.txt>

[RFC4234] Crocker, D., Ed., and Overell, P., "Augmented BNF for Syntax Specifications: ABNF", RFC 4234, October 2005, <http://www.ietf.org/rfc/rfc4234.txt>

[RFC4291] Hinden, R., and Deering, S., "IP Version 6 Addressing Architecture", RFC 4291, February 2006, <http://www.ietf.org/rfc/rfc4291.txt>

## 1.2.2 Informative References

[MS-GLOS] Microsoft Corporation, "[Windows Protocols Master Glossary](#)".

[MSDN-RegisterGPNotification] Microsoft Corporation, "RegisterGPNotification function", [http://msdn.microsoft.com/en-us/library/aa374404\(VS.85\).aspx](http://msdn.microsoft.com/en-us/library/aa374404(VS.85).aspx)

## 1.3 Protocol Overview (Synopsis)

The Group Policy: Firewall and Advanced Security Data Structure provides a mechanism for an administrator to control Firewall and Advanced Security behavior of the client through group policy using the Group Policy: Registry Extension Encoding specified in [\[MS-GPREG\]](#).

### 1.3.1 Background

The Group Policy: Core Protocol (as specified in [\[MS-GPOL\]](#)) allows clients to discover and retrieve **policy settings** created by administrators of a **domain**. These settings are persisted within **Group Policy Objects (GPOs)** that are assigned to the policy target accounts in the **Active Directory**. On each client, each GPO is interpreted and acted upon by software components known as client plug-ins. The client plug-ins responsible for a given GPO are specified using an attribute on the GPO. This attribute specifies a list of **globally unique identifier (GUID)** lists. The first GUID of each GUID list is referred to as a **client-side extension GUID (CSE GUID)**. Other GUIDs in the GUID list are referred to as **tool extension GUIDs**. For each GPO that is applicable to a client, the client consults the CSE GUIDs listed in the GPO to determine which client plug-in on the client should handle the GPO. The client then invokes the client plug-in to handle the GPO.

**Registry**-based settings are accessible from a GPO through the Group Policy: Registry Extension Encoding protocol, which is a client plug-in. The protocol provides mechanisms both for administrative tools to obtain metadata about registry-based settings and for clients to obtain applicable registry-based settings.

Group Policy: Firewall and Advanced Security Data Structure settings may be administered using **administrative templates** (as specified in [\[MS-GPREG\]](#) section 2.2.2). An administrative template is a file associated with a GPO that combines information on the syntax of registry-based settings with human-readable descriptions of the settings as well as other information. Administrative tools use administrative templates to allow administrators to configure registry-based settings for applications on clients.

Group Policy: Registry Extension Encoding settings are specified using **registry policy files** (as specified in [\[MS-GPREG\]](#) section 2.2.1). An administrative tool uses the information within the administrative template to write out a registry policy file and associate it with a GPO. The Group



Policy: Registry Extension Encoding plug-in on each client reads registry policy files specified by applicable GPOs and applies their contents to its registry.

Administrative templates support a limited subset of the syntax for registry policy files. As a result, not all registry-based settings may be expressed using administrative templates. Such registry-based settings may be implemented using a custom user-interface that does not rely on administrative templates. One example of such registry-based settings is those belonging to the Firewall and Advanced Security component, which are described in this document.

### 1.3.2 Firewall and Advanced Security Extension Encoding Overview

Firewall and Advanced Security policies are configurable from a GPO through the Group Policy: Firewall and Advanced Security Data Structure. The Firewall and Advanced Security component has complex settings not expressible through administrative templates and for this reason it implements a custom UI that can author registry policy files containing the encodings of the settings described in this document. Because the Firewall and Advanced Security policies are applied to the whole machine, the Group Policy: Firewall and Advanced Security Data Structure protocol uses the Computer Policy Mode specified in [\[MS-GPREG\]](#) section 1.3.2.

This protocol provides mechanisms both for Group Policy administrators to deploy policies and for clients to obtain the applicable policies to enforce them. Thus, the protocol consists of two components: an administrative plug-in and a client.

The Group Policy: Firewall and Advanced Security Data Structure administrative plug-in is invoked by an administrative tool. It is responsible for loading and updating the Firewall and Advanced Security settings contained within a specified GPO. It understands how to translate these settings to and from the encodings described in section [2.2](#).

The Group Policy: Firewall and Advanced Security Data Structure client is responsible for applying the Firewall and Advanced Security settings configured through Group Policy to the local Firewall and Advanced Security Protocol server. Group Policy: Firewall and Advanced Security Data Structure does not implement its own Client-Side Extension as defined in [\[MS-GPOL\]](#) section 3.2.1.24; instead, it relies on the Group Policy: Registry Extension Encoding Client-Side Extension. Thus, the processing of Firewall and Advanced Security policies on the client computer is divided into two distinct stages. First, the Group Policy: Registry Extension Encoding client plug-in copies the settings from the GPO to the registry, and then the Group Policy: Firewall and Advanced Security Data Structure client reads the settings from the registry and applies them to the local Firewall and Advanced Security Protocol server.

The application of Firewall and Advanced Security policies is done as follows:

1. An administrator invokes a Group Policy Protocol Administrative Tool, as specified in [\[MS-GPOL\]](#) section 3.3.1.1, on the administrator's computer in order to administer the Firewall and Advanced Security settings of a GPO.
2. The administrative tool invokes the Group Policy: Firewall and Advanced Security Data Structure administrative plug-in to load the current policy settings. The administrative plug-in loads the settings through the Group Policy: Registry Extension Encoding administrative plug-in by invoking the Load Policy Settings event, as specified in [\[MS-GPREG\]](#) section 3.1.4.1.
3. The administrative tool displays these policy settings to the administrator in a custom UI, which enables the administrator to make changes if needed.
4. If the administrator makes any changes to the policy settings, the administrative tool invokes the Group Policy: Firewall and Advanced Security Data Structure administrative plug-in to update the settings in the GPO. The administrative plug-in updates the settings through the Group Policy:

Registry Extension Encoding administrative plug-in by invoking the Update Policy Settings event, as specified in [\[MS-GPREG\]](#) section 3.1.4.2. During the processing of this event, the Group Policy: Registry Extension Encoding's CSE GUID is written to the GPO. After updating the settings, the administrative plug-in uses Group Policy: Core Protocol to update the version number associated with the GPO by invoking the Group Policy Extension Update event, as specified in [\[MS-GPOL\]](#) section 3.3.4.4.

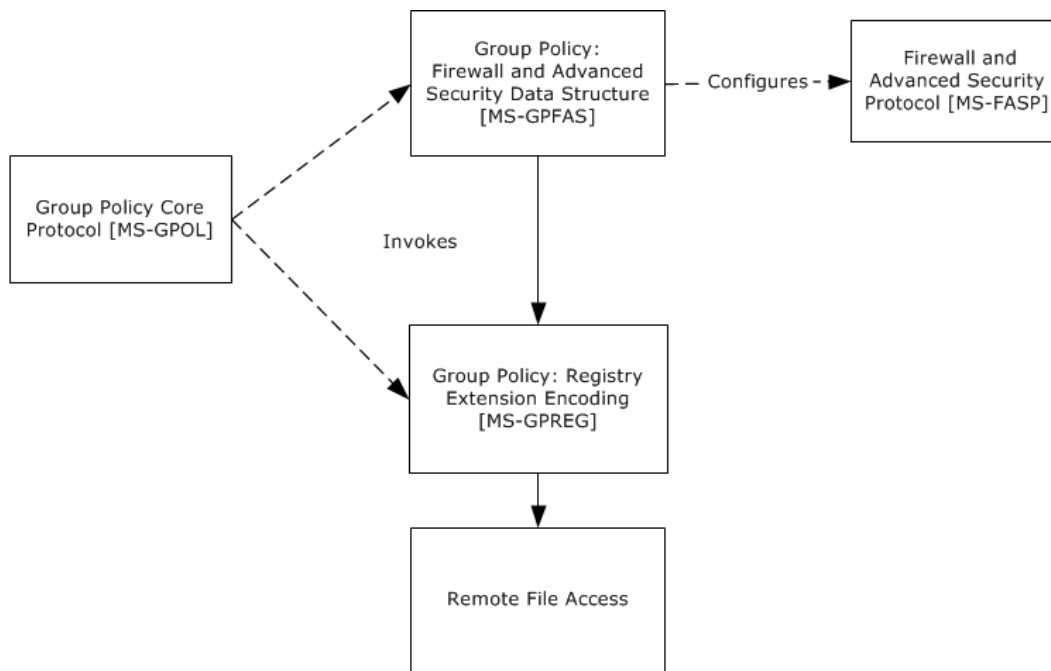
5. A client computer affected by that GPO is started (or is connected to the network, if this happens after the client starts), and Group Policy: Core Protocol is invoked by the client to retrieve Policy Settings from the Group Policy server. As part of the processing of Group Policy: Core Protocol, the Group Policy: Registry Extension Encoding's CSE GUID is read from this GPO, and this instructs the client to invoke a Group Policy: Registry Extension Encoding client plug-in component for Policy Application.
6. In processing the Policy Application portion of Group Policy: Registry Extension Encoding, the client parses the settings and then saves the settings in the registry. The Firewall and Advanced Security policies are stored under the Software\Policies\Microsoft\WindowsFirewall\ registry key.
7. After all Client-Side Extensions (including the Group Policy: Registry Extension Encoding client plug-in) have completed processing, Group Policy: Core Protocol signals the Policy Application event, as specified in [\[MS-GPOL\]](#) section 3.2.7.3, to notify the Group Policy: Firewall and Advanced Security Data Structure client.
8. The Group Policy: Firewall and Advanced Security Data Structure client parses the Firewall and Advanced Security settings from the Software\Policies\Microsoft\WindowsFirewall\ registry key. The client then passes these settings to the Group Policy: Firewall and Advanced Security Data Structure server for enforcement by invoking the SetGroupPolicyRSopStore abstract interface, as specified in [\[MS-FASP\]](#) section 3.1.6.4.

#### **1.4 Relationship to Other Protocols**

This protocol depends on the Group Policy: Core Protocol (as specified in [\[MS-GPOL\]](#)) to provide a list of applicable GPOs.

Group Policy: Firewall and Advanced Security Data Structure configures settings that are used by the Firewall and Advanced Security Protocol specified in [\[MS-FASP\]](#). These settings are defined in [\[MS-FASP\]](#) section 3.1.1.

For policy administration, the Group Policy: Firewall and Advanced Security Data Structure depends on the Group Policy: Registry Extension Encoding (specified in [\[MS-GPREG\]](#)) to store settings from in the GPO. For policy application, the Group Policy: Firewall and Advanced Security Data Structure depends on Group Policy: Registry Extension Encoding to retrieve settings from a GPO and to populate settings in the client registry. Group Policy: Registry Extension Encoding in turn depends on remote file access to read and write these settings from the GPO. Thus the Group Policy: Firewall and Advanced Security Data Structure has an indirect dependency on remote file access.



**Figure 1: Group Policy: Firewall and Advanced Security Data Structure protocol relationship diagram**

## 1.5 Prerequisites/Preconditions

The prerequisites for this protocol are the same as those for the Group Policy: Registry Extension Encoding.

In addition, a client must have a system/subsystem capable of executing commands at startup/shutdown time because the Computer Policy Mode of the Group Policy: Registry Extension Encoding is used.

## 1.6 Applicability Statement

Group Policy: Firewall and Advanced Security Data Structure is applicable only while transported under the Group Policy: Registry Extension Encoding and within the Group Policy: Core Protocol framework. Group Policy: Firewall and Advanced Security Data Structure can be used to express the required Firewall and Advanced Security policy of the client.

This protocol is also applicable only when the requirement is for many clients to get the same Firewall and Advanced Security policies. To configure individual clients with custom Firewall and Advanced Security policies, the Firewall and Advanced Security Protocol (as specified in [\[MS-FASP\]](#)) can be used instead.

The protocol should not be used in any other context.

## 1.7 Versioning and Capability Negotiation

This document covers versioning and capability negotiation issues in the following areas:

- Protocol Versions: This protocol has a policy version. This version (also called the inherent version of the component or the maximum supported schema version of the component), can be tied to policies and specific policy objects, as defined in section [2.2.<1>](#)
- Capability Negotiation: A configuration option defined in section [2.2](#) contains the maximum policy version encoded in the policy settings. Policy Objects also specify the policy version in which they are encoded. Lastly, a client component implementing the Group Policy: Firewall and Advanced Security Data Structure has an inherent maximum policy version it supports. Using this information, a client can understand what can and cannot be expected in these encodings, what must be parsed and what must be ignored. The settings in section [2.2](#) are defined in terms of these policy versions when appropriate. No other negotiation capabilities, version-specific or otherwise, are present in this protocol.

## 1.8 Vendor-Extensible Fields

None.

## 1.9 Standards Assignments

This protocol defines the administrative tool's extension GUID standards assignments, as specified in [\[MS-GPOL\]](#) section 1.8. It also defines a base registry key where the settings of this protocol are stored on registry policy files using Group Policy: Registry Extension Encoding. The assignments are as follows.

Parameter	Value
Tool extension GUID	{b05566ac-fe9c-4368-be01-7a4cbb6cba11}
Policy Base registry key	Software\Policies\Microsoft\WindowsFirewall\

When a GPO is modified, the Tool Extension GUID value is written to the GPO by the administrative plug-in tools that are part of Windows.

## 2 Messages

### 2.1 Transport

The Group Policy: Firewall and Advanced Security Data Structure's administrative plug-in uses the transport specified in [\[MS-GPOL\]](#) to read and modify settings in the central policy store. Specifically, it uses remote file access for reading, updating, creating, and deleting the group policy settings. Information is retrieved from the policy store and written to the client's registry by the Group Policy: Registry Extension Encoding ([\[MS-GPREG\]](#) section 3.2), using remote file access.

### 2.2 Message Syntax

#### 2.2.1 Global Policy Configuration Options

The Global Policy Configuration Options are values that represent the enumeration values of the **FW\_GLOBAL\_CONFIG** enumeration type as defined in [\[MS-FASP\]](#) section 2.2.41. Note that the following global policy configuration options supported by the Firewall and Advanced Security Protocol specified in [\[MS-FASP\]](#) are read-only, and thus cannot be configured through this protocol:

- FW\_GLOBAL\_CONFIG\_POLICY\_VERSION\_SUPPORTED
- FW\_GLOBAL\_CONFIG\_CURRENT\_PROFILE
- FW\_GLOBAL\_CONFIG\_BINARY\_VERSION\_SUPPORTED

##### 2.2.1.1 Disable Stateful FTP

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "DisableStatefulFTP"

Type: REG\_DWORD.

Size: Equal to the size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_DISABLE\_STATEFUL\_FTP** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

##### 2.2.1.2 Disable Stateful PPTP

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "DisableStatefulPPTP"

Type: REG\_DWORD.

Size: Equal to the size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_DISABLE\_STATEFUL\_PPTP** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.3 Security Associations Idle Time

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "SAIdleTime"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: This field is an unsigned 32-bit integer value.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_SA\_IDLE\_TIME** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.4 Preshared Key Encoding

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "PresharedKeyEncoding"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: This field is a 32-bit value consisting of the following value.

Value	Meaning
0x00000001	This value represents the enumeration value <b>FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_UTF_8</b> as defined in <a href="#">[MS-FASP]</a> section 2.2.39.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_PRESHARED\_KEY\_ENCODING** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.5 IPsec Exemptions

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "IPsecExempt"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: This field is a 32-bit value consisting of the bitwise OR of zero or more of the following flags.

Value	Meaning
0x00000001	This value represents the <b>FW_GLOBAL_CONFIG_IPSEC_EXEMPT_NEIGHBOR_DISC</b> enumeration value as defined in <a href="#">[MS-FASP]</a> section 2.2.38.
0x00000002	This value represents the <b>FW_GLOBAL_CONFIG_IPSEC_EXEMPT_ICMP</b> enumeration value as defined in <a href="#">[MS-FASP]</a> section 2.2.38.

Value	Meaning
0x00000004	This value represents the <b>FW_GLOBAL_CONFIG_IPSEC_EXEMPT_ROUTER_DISC</b> enumeration value as defined in <a href="#">[MS-FASP]</a> section 2.2.38.
0x00000008	This value represents the <b>FW_GLOBAL_CONFIG_IPSEC_EXEMPT_DHCP</b> enumeration value as defined in <a href="#">[MS-FASP]</a> section 2.2.38.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_PRESHARED\_KEY\_ENCODING** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.6 Certificate Revocation List Check

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "StrongCRLCheck"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: This field is a 32-bit value.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_CRL\_CHECK** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.7 IPsec Through NATs

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "IPsecThroughNAT"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: This field is a 32-bit value consisting of one of the following flags.

Value	Meaning
0x00000000	This value represents the <b>FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_NEVER</b> enumeration value as defined in <a href="#">[MS-FASP]</a> section 2.2.40.
0x00000001	This value represents the enumeration value <b>FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_SERVER_BEHIND_NAT</b> as defined in <a href="#">[MS-FASP]</a> section 2.2.40.
0x00000002	This value represents the <b>FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_SERVER_AND_CLIENT_BEHIND_NAT</b> enumeration value as defined in <a href="#">[MS-FASP]</a> section 2.2.40.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_IPSEC\_THROUGH\_NAT** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.8 Policy Version

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "PolicyVersion"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: This field is a 32-bit value.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_POLICY\_VERSION** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.9 Tunnel Remote Machine Authorization List

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "IPsecTunnelRemoteMachineAuthorizationList"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: A variable-length, null-terminated **Unicode** string.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_IPSEC\_TUNNEL\_REMOTE\_MACHINE\_AUTHORIZATION\_LIST** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.10 Tunnel Remote User Authorization List

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "IPsecTunnelRemoteUserAuthorizationList"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: A variable-length, null-terminated Unicode string.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_IPSEC\_TUNNEL\_REMOTE\_USER\_AUTHORIZATION\_LIST** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.11 Opportunistically Match Authentication Set Per Key Module

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "IPsecOpportunisticallyMatchAuthSetPerKM"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.



Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_OPPORTUNISTICALLY\_MATCH\_AUTH\_SET\_PER\_KM** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.12 Transport Remote Machine Authorization List

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "IPsecTransportRemoteMachineAuthorizationList"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: A variable-length, null-terminated Unicode string.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_IPSEC\_TRANSPORT\_REMOTE\_MACHINE\_AUTHORIZATION\_LIST** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.13 Transport Remote User Authorization List

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "IPsecTransportRemoteUserAuthorizationList"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: A variable-length, null-terminated Unicode string.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_IPSEC\_TRANSPORT\_REMOTE\_USER\_AUTHORIZATION\_LIST** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

### 2.2.1.14 Packet Queue

Key: Software\Policies\Microsoft\WindowsFirewall\

Value: "EnablePacketQueue"

Type: REG\_DWORD

Size: Equal to size of the Data field.

Data: This field is a 32-bit value.

This value represents the contents assigned to the configuration option represented by the **FW\_GLOBAL\_CONFIG\_ENABLE\_PACKET\_QUEUE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.41.

## 2.2.2 Firewall Rule Messages

This section defines the grammars used to encode different portions of the firewall rules.

### 2.2.2.1 Profile Tokens

This grammar, as specified in [\[RFC4234\]](#), is used to identify profile types.

```
PROFILE_VAL = "Domain" / "Private" / "Public"
```

**Domain:** This token value represents the **FW\_PROFILE\_TYPE\_DOMAIN** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.2. The remaining token values in this list can be found in the same Protocol specification section.

**Private:** This token value represents the **FW\_PROFILE\_TYPE\_PRIVATE** enumeration value.

**Public:** This token value represents the **FW\_PROFILE\_TYPE\_PUBLIC** enumeration value.

### 2.2.2.2 Port and Port Range Rules

This grammar is used to identify ports.

```
PORT_RANGE_VAL = BEGINPORT "-" ENDPOINT  
PORT_VAL = SINGLEPORT
```

```
BEGINPORT = PORT  
ENDPOINT = PORT  
SINGLEPORT = PORT
```

```
PORT = 1*5DIGIT
```

**PORT:** This rule represents a port number. Hence, its decimal value MUST NOT be greater than 65,535.

**BEGINPORT:** This rule describes a port number that represents the **wBegin** field of a **FW\_PORT\_RANGE** structure as defined in [\[MS-FASP\]](#) section 2.2.12. The remaining rules in this list can be found in the same Protocol specification section.

**ENDPOINT:** This rule describes a port number that represents the **wEnd** field of a **FW\_PORT\_RANGE** structure.

**SINGLEPORT:** This rule describes a port number that represents both the **wBegin** and the **wEnd** fields of a **FW\_PORT\_RANGE** structure.

**PORT\_VAL:** This rule describes a **FW\_PORT\_RANGE** structure as defined in [\[MS-FASP\]](#) section 2.2.12. The structure MUST comply with all requirements defined in that section.

### 2.2.2.3 Port Keyword Rules

This grammar is used to identify port keywords.

```
LPORT_KEYWORD_VAL = "RPC" / "RPC-EPMap" / "Teredo"  
LPORT_KEYWORD_VAL_2_10 = "IPTLSIn" / "IPHTTPSIn"  
LPORT_KEYWORD_VAL_2_20 = "Ply2Disc"  
RPORT_KEYWORD_VAL_2_10 = "IPTLSOut" / "IPHTTPSOut"
```

**RPC:** This token represents the **FW\_PORT\_KEYWORD\_DYNAMIC\_RPC\_PORTS** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.14. The remaining token values in this list can be found in the same Protocol specification section.

**RPC-EMap:** This token represents the **FW\_PORT\_KEYWORD\_RPC\_EP** enumeration value.

**Teredo:** This token represents the **FW\_PORT\_KEYWORD\_TEREDO\_PORT** enumeration value.

**IPHTTPSOut:** This token represents the **FW\_PORT\_KEYWORD\_IP\_TLS\_IN** enumeration value.

**IPHTTPSIn:** This token represents the **FW\_PORT\_KEYWORD\_IP\_TLS\_OUT** enumeration value.

**Ply2Disc:** This token represents the **FW\_PORT\_KEYWORD\_PLAYTO\_DISCOVERY** enumeration value.

#### 2.2.2.4 Direction Tokens

This grammar is used to identify the direction of a network traffic flow.

```
DIR_VAL = "In" / "Out"
```

**In:** This token value represents the **FW\_DIR\_IN** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.19.

**Out:** This token value represents the **FW\_DIR\_OUT** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.19.

#### 2.2.2.5 Action Tokens

This grammar is used to identify the actions available for firewall rules.

```
ACTION_VAL = "Allow" / "Block" / "ByPass"
```

**Allow:** This token value represents the **FW\_RULE\_ACTION\_ALLOW** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.33. The remaining token values in this list can be found in the same Protocol specification section.

**Block:** This token value represents the **FW\_RULE\_ACTION\_BLOCK** enumeration value.

**ByPass:** This token value represents the **FW\_RULE\_ACTION\_ALLOW\_BYPASS** enumeration value.

#### 2.2.2.6 IfSecure Tokens

This grammar is used to identify the security flags on firewall rules described in [\[MS-FASP\]](#) section 2.2.34.

```
IFSECURE_VAL = "Authenticate" / "AuthenticateEncrypt"  
IFSECURE2_9_VAL = "An-NoEncap"  
IFSECURE2_10_VAL = "AnE-Nego"
```

**Authenticate:** This token value represents the **FW\_RULE\_FLAGS\_AUTHENTICATE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.34. The remaining token values in this list can be found in the same Protocol specification section.

**AuthenticateEncrypt:** This token value represents the **FW\_RULE\_FLAGS\_AUTHENTICATE\_WITH\_ENCRYPTION** enumeration value.

**An-NoEncap:** This token value represents the **FW\_RULE\_FLAGS\_AUTH\_WITH\_NO\_ENCAPSULATION** enumeration value.

**AnE-Nego:** This token value represents the **FW\_RULE\_FLAGS\_AUTH\_WITH\_ENC\_NEGOTIATE** enumeration value.

### 2.2.2.7 Interfaces

This grammar is used to identify the interfaces on firewall rules described in [\[MS-FASP\]](#) section 2.2.34.

```
IF_VAL = GUID
```

**IF\_VAL:** This grammar rule represents a GUID that identifies an interface ([\[MS-FASP\]](#) section 2.2.34).

### 2.2.2.8 Interface Types

This grammar is used to identify the types of network adapters described in [\[MS-FASP\]](#) section 2.2.34.

```
IFTYPE_VAL = "Lan" / "Wireless" / "RemoteAccess"
```

**Lan:** This token value represents the **FW\_INTERFACE\_TYPE\_LAN** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.20. The remaining token values in this list can be found in the same Protocol specification section.

**Wireless:** This token value represents the **FW\_INTERFACE\_TYPE\_WIRELESS** enumeration value.

**RemoteAccess:** This token value represents the **FW\_INTERFACE\_TYPE\_REMOTE\_ACCESS** enumeration value.

### 2.2.2.9 IPV4 Address Ranges Rules

This grammar is used to identify IPv4 address ranges.

```
ADDRESSV4_RANGE_VAL = BEGINADDRV4 "-" ENDADDRV4
ADDRESSV4_RANGE_VAL = SINGLEADDRV4

BEGINADDRV4 = ADDR4
ENDADDRV4 = ADDR4
SINGLEADDRV4 = ADDR4

ADDR4 = 1*3DIGIT "."1*3DIGIT "."1*3DIGIT "."1*3DIGIT
```

**ADDR4:** This rule represents an IPv4 address.

**BEGINADDRV4:** This rule describes an IPv4 address that represents the **dwBegin** field of a **FW\_IPV4\_ADDRESS\_RANGE** structure as defined in [\[MS-FASP\]](#) section 2.2.8. The remaining rules in this list can be found in the same Protocol specification section.

**ENDADDRV4:** This rule describes an IPv4 address that represents the **dwEnd** field of a **FW\_IPV4\_ADDRESS\_RANGE** structure.

**SINGLEADDRV4:** This rule describes an IPv4 address that represents both the **dwBegin** and the **dwEnd** fields of a **FW\_IPV4\_ADDRESS\_RANGE** structure.

**ADDRESSV4\_RANGE\_VAL:** This rule represents a **FW\_IPV4\_ADDRESS\_RANGE** structure as defined in [\[MS-FASP\]](#) section 2.2.8. The structure **MUST** comply with all requirements defined in that section.

### 2.2.2.10 IPV4 Address Subnet Rules

This grammar is used to identify IPv4 subnets.

```
ADDRESSV4_SUBNET_VAL = SUBNET_ADDRV4 "/" V4PREFIX_LENGTH
ADDRESSV4_SUBNET_VAL = SUBNET_ADDRV4 "/" MASK_ADDRV4

V4PREFIX_LENGTH = 1*2DIGIT

SUBNET_ADDRV4 = ADDR4
MASK_ADDRV4 = ADDR4
```

**ADDRV4:** This rule represents an IPv4 address as defined in section [2.2.2.8](#).

**SUBNET\_ADDRV4:** This rule describes an IPv4 address that represents the **dwAddress** field of a **FW\_IPV4\_SUBNET** structure as defined in [\[MS-FASP\]](#) section 2.2.4. The remaining rules in this list can be found in the same Protocol specification section.

**MASK\_ADDRV4:** This rule describes an IPv4 address mask that represents the **dwSubNetMask** field of a **FW\_IPV4\_SUBNET** structure.

**V4PREFIX\_LENGTH:** This rule describes a decimal number that **MUST** be less than 32 and that represents the **dwSubNetMask** field of a **FW\_IPV4\_SUBNET** structure. The way in which it represents it is a shortcut as it describes the number of high order consecutive bits that are set to 1 in the address mask.

**ADDRESSV4\_SUBNET\_VAL:** This rule represents a **FW\_IPV4\_SUBNET** structure as defined in [\[MS-FASP\]](#) section 2.2.4. The structure **MUST** comply with all requirements defined in that section.

### 2.2.2.11 IPV6 Address Range Rules

This grammar is used to identify IPv6 address ranges.

```
ADDRESSV6_RANGE_VAL = BEGINADDRV6 "-" ENDADDRV6
ADDRESSV6_RANGE_VAL = SINGLEADDRV6

BEGINADDRV6 = ADDR6
ENDADDRV6 = ADDR6
SINGLEADDRV6 = ADDR6

ADDR6 = a string representing an IPv6 address
```

**ADDRV6:** This rule represents an IPv6 address as defined in [\[RFC4291\]](#).

**BEGINADDRV6:** This rule describes an IPv6 address that represents the **Begin** field of a **FW\_IPV6\_ADDRESS\_RANGE** structure as defined in [\[MS-FASP\]](#) section 2.2.10. The remaining rules in this list can be found in the same Protocol specification section.

**ENDADDRV6:** This rule describes an IPv6 address that represents the **End** field of a **FW\_IPV6\_ADDRESS\_RANGE** structure.

**SINGLEADDRV6:** This rule describes an IPv6 address that represents both the **Begin** and the **End** fields of a **FW\_IPV6\_ADDRESS\_RANGE** structure.

**ADDRESSV6\_RANGE\_VAL:** This rule represents a **FW\_IPV6\_ADDRESS\_RANGE** structure as defined in [\[MS-FASP\]](#) section 2.2.10. The structure **MUST** comply with all requirements defined in that section.

### 2.2.2.12 IPv6 Address Subnet Rules

This grammar is used to identify IPv6 subnets.

```
ADDRESSV6_SUBNET_VAL = SUBNET_ADDRV6 "/" V6PREFIX_LENGTH  
  
V6PREFIX_LENGTH = 1*3DIGIT  
  
SUBNET_ADDRV6 = ADDR6
```

**ADDRV6:** This rule represents an IPv6 address as defined in section [2.2.2.10](#).

**SUBNET\_ADDRV6:** This rule describes an IPv4 address that represents the **Address** field of a **FW\_IPV6\_SUBNET** structure as defined in [\[MS-FASP\]](#) section 2.2.6. The remaining rules in this list can be found in the same Protocol specification section.

**V6PREFIX\_LENGTH:** This rule describes a decimal number that **MUST** be less than 128 and that represents the **dwNumPrefixBits** field of a **FW\_IPV6\_SUBNET** structure.

**ADDRESSV6\_SUBNET\_VAL:** This rule represents a **FW\_IPV6\_SUBNET** structure as defined in [\[MS-FASP\]](#) section 2.2.6. The structure **MUST** comply with all requirements defined in that section.

### 2.2.2.13 Address Keyword Rules

This grammar is used to identify address keywords.

```
ADDRESS_KEYWORD_VAL = "LocalSubnet" / "DNS" / "DHCP" / "WINS" / "DefaultGateway"  
ADDRESS_KEYWORD_VAL_2_20 = "IntrAnet" / "IntErnet" / "Ply2Renders" / "RmtIntrAnet"
```

**LocalSubnet:** This token represents the **FW\_ADDRESS\_KEYWORD\_LOCAL\_SUBNET** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.21. The remaining token values in this list can be found in the same Protocol specification section.

**DNS:** This token represents the **FW\_ADDRESS\_KEYWORD\_DNS** enumeration value.

**DHCPL:** This token represents the **FW\_ADDRESS\_KEYWORD\_DHCP** enumeration value.

**WINS:** This token represents the **FW\_ADDRESS\_KEYWORD\_WINS** enumeration value.

**DefaultGateway:** This token represents the **FW\_ADDRESS\_KEYWORD\_DEFAULT\_GATEWAY** enumeration value.

**IntrAnet:** This token represents the **FW\_ADDRESS\_KEYWORD\_INTRANET** enumeration value.

**IntErnet:** This token represents the **FW\_ADDRESS\_KEYWORD\_INTERNET** enumeration value.

**Ply2Renderers:** This token represents the **FW\_ADDRESS\_KEYWORD\_PLAYTO\_RENDERERS** enumeration value.

**RmtIntrAnet:** This token represents the **FW\_ADDRESS\_KEYWORD\_REMOTE\_INTERNET** enumeration value.

#### 2.2.2.14 Boolean Rules

This grammar is used to identify Boolean values.

```
BOOL_VAL = "TRUE" / "FALSE"
```

**TRUE:** This token represents a decimal value of 1 which has the meaning of the Boolean value of true.

**FALSE:** This token represents a decimal value of 0 which has the meaning of the Boolean value of false.

#### 2.2.2.15 Edge Defer Rules

This grammar is used to identify Edge defer flags.

```
DEFER_VAL = "App" / "User"
```

**App:** This token represents the **FW\_RULE\_FLAGS\_ROUTEABLE\_ADDRS\_TRAVERSE\_DEFER\_APP** flag as defined in [\[MS-FASP\]](#) section 2.2.34. The meaning of the appearance of this token is a Boolean true.

**User:** This token represents the **FW\_RULE\_FLAGS\_ROUTEABLE\_ADDRS\_TRAVERSE\_DEFER\_USER** flag as defined in [\[MS-FASP\]](#) section 2.2.34. The meaning of the appearance of this token is a Boolean true.

#### 2.2.2.16 ICMP Type - Code Rules

This grammar is used to identify ICMP protocol type and codes.

```
ICMP_TYPE_CODE_VAL = TYPE ":" CODE
```

```
TYPE = 1*3DIGIT
```

```
CODE = 1*3DIGIT
```

```
CODE =/ "*"
```

**TYPE:** This grammar rule represents the **bType** field of the **FW\_ICMP\_TYPE\_CODE** structure as defined in [\[MS-FASP\]](#) section 2.2.16. The grammar rule encodes a decimal value which MUST be less than or equal to 255.

**CODE:** This grammar rule represents the **wCode** field of the **FW\_ICMP\_TYPE\_CODE** structure as defined in [\[MS-FASP\]](#) section 2.2.16. When the grammar rule encodes a decimal value, such value MUST be less than or equal to 255. When the grammar rule encodes a "\*" token, then the meaning is the same as a value of 0x100 in the **wCode** field.

**ICMP\_TYPE\_CODE\_VAL:** This rule represents a **FW\_ICMP\_TYPE\_CODE** structure as defined in [\[MS-FASP\]](#) section 2.2.6. The structure MUST comply with all requirements defined in that section.

### 2.2.2.17 Platform Validity Rules

This grammar is used to identify platform validity objects.

```
PLATFORM_VAL = PLATFORM ":" OS_MAJOR_VER ":" OS_MINOR_VER

PLATFORM = 1DIGIT
OS_MAJOR_VER = 1*3DIGIT
OS_MINOR_VER = 1*3DIGIT
```

**PLATFORM:** This grammar rule represents the 3 least significant bits of the **bPlatform** field of the **FW\_OS\_PLATFORM** structure as defined in [\[MS-FASP\]](#) section 2.2.29. The grammar rule encodes a decimal value which MUST be less than or equal to 7.

**OS\_MAJOR\_VER:** This grammar rule represents the **bMajorVersion** field of the **FW\_OS\_PLATFORM** structure as defined in [\[MS-FASP\]](#) section 2.2.29. The grammar rule encodes a decimal value which MUST be less than or equal to 255.

**OS\_MINOR\_VER:** This grammar rule represents the **bMinorVersion** field of the **FW\_OS\_PLATFORM** structure as defined in [\[MS-FASP\]](#) section 2.2.29. The grammar rule encodes a decimal value which MUST be less than or equal to 255.

**PLATFORM\_VAL:** This rule represents a **FW\_OS\_PLATFORM** structure as defined in [\[MS-FASP\]](#) section 2.2.29, with the exception of the 5 most significant bits of the **bPlatform** field. The structure MUST comply with all requirements defined in that section.

### 2.2.2.18 Platform Validity Operators Rules

This grammar is used to identify platform validity objects.

```
PLATFORM_OP_VAL = "GTEQ"
```

**GTEQ:** This token represents the **FW\_OS\_PLATFORM\_GTEQ** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.28.

**PLATFORM\_OP\_VAL:** This rule represents the 5 most significant bits of the **bPlatform** field of the last **FW\_OS\_PLATFORM** structure entry (as defined in [\[MS-FASP\]](#) section 2.2.29), of the **pPlatforms** field of the **FW\_OS\_PLATFORM\_LIST** structure as defined in [\[MS-FASP\]](#) section 2.2.20.

### 2.2.2.19 Firewall Rule and the Firewall Rule Grammar Rule

Firewall rules are stored under the Software\Policies\Microsoft\WindowsFirewall\FirewallRules key.

Each value under the key is a firewall rule. The type of the value MUST be **REG\_SZ**. The data of each value is a string that can be parsed by the following grammar. This grammar represents a



firewall rule as defined in [\[MS-FASPI\]](#) section 2.2.36, except for the **wszRuleId** field of the **FW\_RULE** structure which is instead represented by the name of the registry value.

```
RULE = "v" VERSION "|" 1*FIELD

FIELD = TYPE_VALUE "|"

TYPE_VALUE = "Action=" ACTION_VAL
TYPE_VALUE =/ "Dir=" DIR_VAL
TYPE_VALUE =/ "Profile=" PROFILE_VAL
TYPE_VALUE =/ "Protocol=" 1*3DIGIT ; protocol is maximum 3 digits (255)
TYPE_VALUE =/ "LPort=" ( PORT_VAL / LPORT_KEYWORD_VAL )
TYPE_VALUE =/ "RPort=" PORT_VAL
TYPE_VALUE =/ "LPort2_10=" ( PORT_RANGE_VAL / LPORT_KEYWORD_VAL_2_10 )
TYPE_VALUE =/ "RPort2_10=" ( PORT_RANGE_VAL / RPORT_KEYWORD_VAL_2_10 )
TYPE_VALUE =/ "Security=" IFSECURE_VAL
TYPE_VALUE =/ "Security2_9=" IFSECURE2_9_VAL
TYPE_VALUE =/ "Security2=" IFSECURE2_10_VAL
TYPE_VALUE =/ "IF=" IF_VAL
TYPE_VALUE =/ "IFType=" IFTYPE_VAL
TYPE_VALUE =/ "App=" APP_VAL
TYPE_VALUE =/ "Svc=" SVC_VAL
TYPE_VALUE =/ "LA4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL )
TYPE_VALUE =/ "RA4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "LA6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL )
TYPE_VALUE =/ "RA6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "Name=" STR_VAL
TYPE_VALUE =/ "Desc=" STR_VAL
TYPE_VALUE =/ "EmbedCtxt=" STR_VAL
TYPE_VALUE =/ "Edge=" BOOL_VAL
TYPE_VALUE =/ "Defer=" DEFER_VAL
TYPE_VALUE =/ "LSM=" BOOL_VAL
TYPE_VALUE =/ "Active=" BOOL_VAL
TYPE_VALUE =/ "ICMP4=" ICMP_TYPE_CODE_VAL
TYPE_VALUE =/ "ICMP6=" ICMP_TYPE_CODE_VAL
TYPE_VALUE =/ "Platform=" PLATFORM_VAL
TYPE_VALUE =/ "RMauth=" STR_VAL
TYPE_VALUE =/ "RUAuth=" STR_VAL
TYPE_VALUE =/ "AuthByPassOut=" BOOL_VAL
TYPE_VALUE =/ "SkipVer=" VERSION
TYPE_VALUE =/ "LOM=" BOOL_VAL
TYPE_VALUE =/ "Platform2=" PLATFORM_OP_VAL
TYPE_VALUE =/ "PCross=" BOOL_VAL
TYPE_VALUE =/ "LUAuth=" STR_VAL
TYPE_VALUE =/ "RA42=" ADDRESS_KEYWORD_VAL_2_20
TYPE_VALUE =/ "RA62=" ADDRESS_KEYWORD_VAL_2_20
TYPE_VALUE =/ "LUOwn=" STR_VAL
TYPE_VALUE =/ "AppPkgId=" STR_VAL
TYPE_VALUE =/ "LPort2_20=" LPORT_KEYWORD_VAL_2_20
TYPE_VALUE =/ "TTK=" TRUST_TUPLE_KEYWORD_VAL

VERSION = MAJOR_VER "." MINOR_VER

MAJOR_VER = 1*3DIGIT
MINOR_VER = 1*3DIGIT

APP_VAL = 1*ALPHANUM
SVC_VAL = "*" / 1*ALPHANUM
```

STR\_VAL = 1\*ALPHANUM

**MAJOR\_VER:** This grammar rule describes a decimal number that represents the high order 8 bits of the **wSchemaVersion** field of the **FW\_RULE** structure as defined in [\[MS-FASP\]](#) section 2.2.36. Because of this, the decimal value of this number MUST NOT be greater than 255. The following grammar rules can also be found in the previously mentioned [\[MS-FASP\]](#) section 2.2.36.

**MINOR\_VER:** This grammar rule describes a decimal number that represents the low order 8 bits of the **wSchemaVersion** field of the **FW\_RULE** structure. Because of this, the decimal value of this number MUST NOT be greater than 255.

**VERSION:** This grammar rule describes a decimal value whose low 8 order bits are those described in the **MINOR\_VER** grammar rule, and whose high 8 order bits are those described in the **MAJOR\_VER** grammar rule.

**Action=:** This token value represents the **Action** field of the **FW\_RULE** structure as defined in [\[MS-FASP\]](#) section 2.2.36. The **ACTION\_VAL** grammar rule represents the value contents of this field. This token MUST appear at most once in a rule string. The remaining token values in this list can be found in the same Protocol specification section except where noted.

**Dir=:** This token value represents the **Direction** field of the **FW\_RULE** structure. The **DIR\_VAL** grammar rule represents the value contents of this field. This token MUST appear at most once in a rule string.

**Profile=:** This token value represents the **dwProfiles** field of the **FW\_RULE** structure. The **PROFILE\_VAL** grammar rule represents a value content of such field. If this token appears more than once in a RULE grammar rule, then all the contents represented by the **PROFILE\_VAL** rule appearing next to them are included. If the **Profile=** token never appears in the rule string then it represents a value of **FW\_PROFILE\_TYPE\_ALL** as defined in [\[MS-FASP\]](#) section 2.2.2.

**Protocol=:** This token value represents the **wIpProtocol** field of the **FW\_RULE** structure. The **1\*3DIGIT** grammar rule represents the value content of this field. Such value MUST NOT be greater than 255. The **Protocol** token MUST appear at most once in a RULE grammar rule. If a **Protocol** token does not appear in the rule string, then the meaning is the same as a value of 256 in the **wIpProtocol** field in [\[MS-FASP\]](#) section 2.2.36.

**LPort=:** This token value represents the **LocalPorts** field of the **FW\_RULE** structure. As such defined, **LocalPorts** is of type **FW\_PORTS**, which contains a **Ports** field of type **FW\_PORT\_RANGE\_LIST**, which also contains a **pPorts** array of type **FW\_PORT\_RANGE**. The **PORT\_VAL** grammar rule represents an entry in the **pPorts** field. The **LPORT\_KEYWORD\_VAL** grammar rule, however, represents the **wPortKeywords** field of the **LocalPorts** field (which is of type **FW\_PORTS**) of the **FW\_RULE** structure. If the **LPort=:** token appears multiple times in the rule string, then all the respective **PORT\_VAL** rules and **LPORT\_KEYWORD\_VAL** rules of such appearances are allowed.

**LPort2\_10=:** This token value represents the **LocalPorts** field of the **FW\_RULE** structure. Similarly to the case of the "LPort=" token, the **PORT\_RANGE\_VAL** grammar rule represents an entry in the **pPorts** field. The **LPORT\_KEYWORD\_VAL\_2\_10** grammar rule, however, represents the **wPortKeywords** field of the **LocalPorts** field (which is of type **FW\_PORTS**) of the **FW\_RULE** structure. If the **LPort** token appears multiple times in the rule string, then all the respective **PORT\_RANGE\_VAL** rules and **LPORT\_KEYWORD\_VAL\_2\_10** rules of such appearances are allowed.

**RPort=:** This token value represents the **RemotePorts** field of the **FW\_RULE** structure. As such defined, **RemotePorts** is of type **FW\_PORTS**, which contains a **Ports** field of type **FW\_PORT\_RANGE\_LIST**, which also contains a **pPorts** array of type **FW\_PORT\_RANGE**. The

PORT\_VAL grammar rule represents an entry in the **pPorts** field. If the **RPort** token appears multiple times in the rule string, then all the PORT\_VAL rule of such are allowed.

**RPort2\_10=**: This token value represents the **RemotePorts** field of the **FW\_RULE** structure. Similarly to the case of the "RPort=" token, the PORT\_RANGE\_VAL grammar rule represents an entry in the **pPorts** field. The RPORT\_KEYWORD\_VAL\_2\_10 grammar rule however represents the **wPortKeywords** field of the **RemotePorts** field (which is of type **FW\_PORTS**) of the **FW\_RULE** structure. If the **RPort** token appears multiple times in the rule string, then all the respective PORT\_RANGE\_VAL rules and RPORT\_KEYWORD\_VAL\_2\_10 rules of such appearances are allowed.

**Security=**: This token value represents specific flags in the **wFlags** field of the **FW\_RULE** structure. The IFSECURE\_VAL grammar rule represents a flag of such field. This token MUST appear at most once in a rule string.

**Security2\_9=**: This token value represents specific flags in the **wFlags** field of the **FW\_RULE** structure. The IFSECURE\_VAL grammar rule represents a flag of such field. This token MUST appear at most once in a rule string. Also this token MUST appear only if the VERSION is a number greater than or equal to 0x0209.

**Security2=**: This token value represents specific flags in the **wFlags** field of the **FW\_RULE** structure. The IFSECURE\_VAL grammar rule represents a flag of such field. This token MUST appear at most once in a rule string. Also this token MUST appear only if the VERSION is a number greater than or equal to 0x020A.

**IF=**: This token represents an entry in the **LocalInterfaceIds** field of the **FW\_RULE** structure.

**IFType=**: This token represents the **dwLocalInterfaceType** field of the **FW\_RULE** structure.

**App=**: This token represents the **wszLocalApplication** field of the **FW\_RULE** structure. The grammar rule APP\_VAL represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Svc=**: This token represents the **wszLocalService** field of the **FW\_RULE** structure. The grammar rule SVC\_VAL represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**LA4=**: This token value represents the **LocalAddress** field of the **FW\_RULE** structure, specifically the v4 fields. As such defined **LocalAddress** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV4AddressKeyword** field, a **V4Ranges** field of type **FW\_IPV4\_RANGE\_LIST**, which also contains a pRanges array of type **FW\_IPV4\_ADDRESS\_RANGE**, and lastly a **V4SubNets** field of type **FW\_IPV4\_SUBNET\_LIST**, which also contains a pSubNets array of type **FW\_IPV4\_SUBNET**. The ADDRESSV4\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV4\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. If the "LA4" token appears multiple times in the rule string, then all the respective ADDRESSV4\_RANGE\_VAL and ADDRESSV4\_SUBNET\_VAL rules of such appearances are allowed.

**RA4=**: This token value represents the **RemoteAddress** field of the **FW\_RULE** structure, specifically the v4 fields. As such defined **RemoteAddress** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV4AddressKeyword** field, a **V4Ranges** field of type **FW\_IPV4\_RANGE\_LIST**, which also contains a pRanges array of type **FW\_IPV4\_ADDRESS\_RANGE**, and lastly a **V4SubNets** field of type **FW\_IPV4\_SUBNET\_LIST**, which also contains a pSubNets array of type **FW\_IPV4\_SUBNET**. The ADDRESSV4\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV4\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV4AddressKeywords** field. If the "RA4" token appears multiple times

in the rule string, then all the respective ADDRESSV4\_RANGE\_VAL, ADDRESSV4\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**LA6=:** This token value represents the **LocalAddress** field of the **FW\_RULE** structure, specifically the v6 fields. As such defined **LocalAddress** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV6AddressKeyword** field, a **V6Ranges** field of type **FW\_IPV6\_RANGE\_LIST**, which also contains a pRanges array of type **FW\_IPV6\_ADDRESS\_RANGE**, and lastly a **V6SubNets** field of type **FW\_IPV6\_SUBNET\_LIST**, which also contains a pSubNets array of type **FW\_IPV6\_SUBNET**. The ADDRESSV6\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV6\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. If the "LA6" token appears multiple times in the rule string, then all the respective ADDRESSV6\_RANGE\_VAL and ADDRESSV6\_SUBNET\_VAL rules of such appearances are allowed.

**RA6=:** This token value represents the **RemoteAddress** field of the **FW\_RULE** structure, specifically the v6 fields. As such defined **RemoteAddress** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV6AddressKeyword** field, a **V6Ranges** field of type **FW\_IPV6\_RANGE\_LIST**, which also contains a pRanges array of type **FW\_IPV6\_ADDRESS\_RANGE**, and lastly a **V6SubNets** field of type **FW\_IPV6\_SUBNET\_LIST**, which also contains a pSubNets array of type **FW\_IPV6\_SUBNET**. The ADDRESSV6\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV6\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV6AddressKeywords** field. If the "RA6" token appears multiple times in the rule string, then all the respective ADDRESSV6\_RANGE\_VAL, ADDRESSV6\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**Name=:** This token represents the **wszName** field of the **FW\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Desc=:** This token represents the **wszDescription** field of the **FW\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**EmbedCtxt=:** This token represents the **wszEmbeddedContext** field of the **FW\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Edge=:** This token represents the FW\_RULE\_FLAGS\_ROUTEABLE\_ADDR\_TRAVERSE flag (as defined in [\[MS-FASP\]](#) section 2.2.34) of the **wFlags** field of the **FW\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "Edge=" token does not appear in the rule a Boolean value of false is assumed. This token MUST appear at most once in a rule string.

**Defer=:** This token represents the contents of the **wFlags** field of the **FW\_RULE** structure on the position defined by the FW\_RULE\_FLAGS\_ROUTEABLE\_ADDR\_TRAVERSE\_APP and FW\_RULE\_FLAGS\_ROUTEABLE\_ADDR\_TRAVERSE\_USER flag (as defined in [\[MS-FASP\]](#) section 2.2.34) The DEFER\_VAL grammar rule represents the Boolean contents of such flag as defined in section [2.2.2.14](#). If the "Defer=" token does not appear in the rule then a Boolean value false is assumed for both flags. Also this token MUST appear only if the VERSION is a number greater than or equal to 0x020A. This token MUST appear at most once in a rule string.

**LSM=:** This token represents the FW\_RULE\_FLAGS\_LOOSE\_SOURCE\_MAPPED flag (as defined in [\[MS-FASP\]](#) section 2.2.34) of the **wFlags** field of the **FW\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "LSM=" token does not appear in the rule a Boolean value of false is assumed. This token MUST appear at most once in a rule string.

**Active=:** This token represents the `FW_RULE_FLAGS_ACTIVE` flag (as defined in [\[MS-FASP\]](#) section 2.2.34) of the `wFlags` field of the `FW_RULE` structure. The `BOOL_VAL` grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "Active=" token does not appear in the rule a Boolean value of false is assumed. This token **MUST** appear at most once in a rule string.

**ICMP4=:** This token value represents the `V4TypeCodeList` field of the `FW_RULE` structure. As such defined `V4TypeCodeList` is of type `FW_ICMP_TYPE_CODE_LIST`, it contains a `pEntries` array of type `FW_ICMP_TYPE_CODE`. The `ICMP_TYPE_CODE_VAL` grammar rule represents an entry in the `pEntries` field. If the "ICMP4=" token appears multiple times in the rule string, then all the respective `ICMP_TYPE_CODE_VAL` grammar rules of such appearances are allowed.

**ICMP6=:** This token value represents the `V6TypeCodeList` field of the `FW_RULE` structure. As such defined `V6TypeCodeList` is of type `FW_ICMP_TYPE_CODE_LIST`, it contains a `pEntries` array of type `FW_ICMP_TYPE_CODE`. The `ICMP_TYPE_CODE_VAL` grammar rule represents an entry in the `pEntries` field. If the "ICMP6=" token appears more than once in the rule string, then all the respective `ICMP_TYPE_CODE_VAL` grammar rules of such appearances are allowed.

**Platform=:** This token value represents the `PlatformValidityList` field of the `FW_RULE` structure. As such defined `PlatformValidityList` is of type `FW_OS_PLATFORM_LIST`, it contains a `pPlatforms` array of type `FW_OS_PLATFORM`. The `PLATFORM_VAL` grammar rule represents an entry in the `pPlatforms` field. If the "Platform=" token appears multiple times in the rule string, then all the respective `PLATFORM_VAL` grammar rules of such appearances are allowed.

**RMAuth=:** This token represents the `wszRemoteMachineAuthorizationList` field of the `FW_RULE` structure. The `STR_VAL` grammar rule represents a Unicode string that represents the contents of such field. This token **MUST** appear at most once in a rule string.

**RUAuth=:** This token represents the `wszRemoteUserAuthorizationList` field of the `FW_RULE` structure. The `STR_VAL` grammar rule represents a Unicode string that represents the contents of such field. This token **MUST** appear at most once in a rule string.

**AuthByPassOut=:** This token represents the `FW_RULE_FLAGS_AUTHENTICATE_BYPASS_OUTBOUND` flag (as defined in [\[MS-FASP\]](#) section 2.2.34) of the `wFlags` field of the `FW_RULE` structure. The `BOOL_VAL` grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "AuthByPassOut=" token does not appear in the rule a Boolean value of false is assumed. This token **MUST** appear at most once in a rule string.

**SkipVer=:** The `VERSION` grammar rule following this token represents the highest inherent version of the Firewall and Advanced Security components that should ignore this rule string completely. The inherent version of a Firewall and Advanced Security component is the highest version that component supports.

**LOM=:** This token represents the `FW_RULE_FLAGS_LOCAL_ONLY_MAPPED` flag (as defined in [\[MS-FASP\]](#) section 2.2.34) of the `wFlags` field of the `FW_RULE` structure. The `BOOL_VAL` grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "LOM=" token does not appear in the rule, a Boolean value of false is assumed. This token **MUST** appear only once in a rule string.

**Platform2=:** This token represents the operator to use on the last entry of the `PlatformValidityList` field of the `FW_RULE` structure. Hence the `PLATFORM_OP_VAL` token represents the five most significant bits of the `bPlatform` field of the last `FW_OS_PLATFORM` structure entry (as defined in [\[MS-FASP\]](#) section 2.2.29) of the `pPlatforms` field of the `FW_OS_PLATFORM_LIST` structure as defined in [\[MS-FASP\]](#) section 2.2.30.

**PCROSS=:** This token represents the `FW_RULE_FLAGS_ALLOW_PROFILE_CROSSING` flag (as defined in [\[MS-FASP\]](#) section 2.2.34) of the `wFlags` field of the `FW_RULE` structure. The `BOOL_VAL` grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "PCROSS=" token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear only once in a rule string.

**LUAuth=:** This token represents the `wszLocalUserAuthorizationList` field of the `FW_RULE` structure. The `STR_VAL` grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear only once in a rule string.

**RA42=:** This token value represents the `RemoteAddresses` field of the `FW_RULE` structure, specifically the `dwV4AddressKeywords` field. The `ADDRESS_KEYWORD_VAL_2_20` grammar rule represents a flag in the `dwV4AddressKeywords` field. If the "RA42=" token appears multiple times in the rule string, then all the respective `ADDRESS_KEYWORD_VAL_2_20` rules of such appearances are allowed.

**RA62=:** This token value represents the `RemoteAddresses` field of the `FW_RULE` structure, specifically the `dwTrustTupleKeywords` field. The `ADDRESS_KEYWORD_VAL_2_20` grammar rule represents a flag in the `dwV6AddressKeywords` field. If the "RA62=" token appears multiple times in the rule string, then all the respective `ADDRESS_KEYWORD_VAL_2_20` rules of such appearances are allowed.

**LUOwn=:** This token represents the `wszLocalUserOwner` field of the `FW_RULE` structure. The `STR_VAL` grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear only once in a rule string.

**AppPkgId=:** This token represents the `wszPackageId` field of the `FW_RULE` structure. The `STR_VAL` grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear only once in a rule string.

**LPort2\_20=:** This token value represents the `LocalPorts` field of the `FW_RULE` structure, specifically the `wPortKeywords` field. The `LPORT_KEYWORD_VAL_2_20` grammar rule represents a flag in the `dwTrustTupleKeywords` field. If the "LPort2\_20=" token appears multiple times in the rule string, then all the respective `LPORT_KEYWORD_VAL_2_20` rules of such appearances are allowed.

**TTK=:** This token value represents the `dwTrustTupleKeywords` field of the `FW_RULE` structure. The `TRUST_TUPLE_KEYWORD_VAL` grammar rule represents a flag in the `dwTrustTupleKeywords` field. If the "TTK=" token appears multiple times in the rule string, then all the respective `TRUST_TUPLE_KEYWORD_VAL` rules of such appearances are allowed.

The "LPort=" token MUST appear only if a "Protocol=" token has appeared before it on the rule string AND the value of the "Protocol=" token is either 6 (for TCP) or 17 (for UDP). The same applies to the "RPort=", "LPort2\_10=" and "RPort2\_10=" tokens. The "ICMP4=" and "ICMP6=" tokens MUST appear only if the "Protocol=" token has appeared before it on the rule string and expressed a value of 1 for "ICMP4=" or of 58 for "ICMP6=". The "LPort=", "RPort=", "LPort2\_10=", and "RPort2\_10=" tokens cannot appear in a rule string where a "ICMP4=" or a "ICMP6=" token appears and vice versa.

The semantic checks described in [\[MS-FASP\]](#) section 2.2.36 are also applicable to the firewall rules described in this section after following the mapping in each of the preceding tokens.

## 2.2.2.20 Trust Tuple Keyword Rules

This grammar is used to identify trust tuple keywords.

```
TRUST_TUPLE_KEYWORD_VAL = "Proximity" / "ProxSharing" / "WFDPrint" / "WFDDisplay" /
"WFDDevices"
```

**Proximity:** This token represents the **FW\_TRUST\_TUPLE\_KEYWORD\_PROXIMITY** enumeration value as defined in [\[MS-FASPI\]](#) section 2.2.96. The remaining token values in this list can be found in the same section.

**ProxSharing:** This token represents the **FW\_TRUST\_TUPLE\_KEYWORD\_PROXIMITY\_SHARING** enumeration value.

**WFDPrint:** This token represents the **FW\_TRUST\_TUPLE\_KEYWORD\_WFD\_Print** enumeration value. [<2>](#)

**WFDDisplay:** This token represents the **FW\_TRUST\_TUPLE\_KEYWORD\_WFD\_Display** enumeration value. [<3>](#)

**WFDDevices:** This token represents the **FW\_TRUST\_TUPLE\_KEYWORD\_WFD\_Devices** enumeration value. [<4>](#)

### 2.2.3 Per-Profile Policy Configuration Options

The Per-Profile Configuration Options are values that represent the enumeration values of the **FW\_PROFILE\_CONFIG** enumeration type as defined in [\[MS-FASPI\]](#) section 2.2.37. If neither the Software\Policies\Microsoft\WindowsFirewall\PrivateProfile nor the Software\Policies\Microsoft\WindowsFirewall\PublicProfile key exists, then the settings under the Software\Policies\Microsoft\WindowsFirewall\StandardProfile key are applied to both public and private profiles. On the other hand, if either the Software\Policies\Microsoft\WindowsFirewall\PrivateProfile or the Software\Policies\Microsoft\WindowsFirewall\PublicProfile key exists then the settings under the Software\Policies\Microsoft\WindowsFirewall\StandardProfile key are ignored and the settings under the Software\Policies\Microsoft\WindowsFirewall\PrivateProfile key and the Software\Policies\Microsoft\WindowsFirewall\PublicProfile key apply to the networks identified by the corresponding **FW\_PROFILE\_TYPE\_PRIVATE** and the **FW\_PROFILE\_TYPE\_PUBLIC** enumeration values as defined in [\[MS-FASPI\]](#) section 2.2.2.

#### 2.2.3.1 Enable Firewall

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile

Value: "EnableFirewall"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_ENABLE\_FW** enumeration value as defined in [\[MS-FASPI\]](#) section 2.2.37.



### 2.2.3.2 Disable Stealth Mode

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile

Value: "DisableStealthMode"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_DISABLE\_STEALTH\_MODE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.3 Shield Up Mode

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile

Value: "DoNotAllowExceptions"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_SHIELDED** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.4 Disable Unicast Responses to Multicast and Broadcast Traffic

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile

Value: "DisableUnicastResponsesToMulticastBroadcast"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_DISABLE\_UNICAST\_RESPONSES\_TO\_MULTICAST\_BROADCAST** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.



### 2.2.3.5 Log Dropped Packets

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile\Logging

Value: "LogDroppedPackets"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_LOG\_DROPPED\_PACKETS** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.6 Log Successful Connections

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile\Logging

Value: "LogSuccessfulConnections"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_LOG\_SUCCESS\_CONNECTIONS** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.7 Log Ignored Rules

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile\Logging. (This setting MUST NOT be present on Software\Policies\Microsoft\WindowsFirewall\StandardProfile\Logging)

Value: "LogIgnoredRules"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_LOG\_IGNORED\_RULES** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.8 Maximum Log File Size

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile\Logging

Value: "LogFileSize"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: A 32-bit value that represents a number.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_LOG\_MAX\_FILE\_SIZE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.9 Log File Path

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile\Logging,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile\Logging

Value: "LogFilepath"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: A Unicode string.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_LOG\_FILE\_PATH** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.10 Disable Inbound Notifications

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile,  
Software\Policies\Microsoft\WindowsFirewall\PrivateProfile,  
Software\Policies\Microsoft\WindowsFirewall\PublicProfile,  
Software\Policies\Microsoft\WindowsFirewall\StandardProfile

Value: "DisableNotifications"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_DISABLE\_INBOUND\_NOTIFICATIONS** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.11 Allow Authenticated Applications User Preference Merge

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile\AuthorizedApplications, Software\Policies\Microsoft\WindowsFirewall\PrivateProfile\AuthorizedApplications, Software\Policies\Microsoft\WindowsFirewall\PublicProfile\AuthorizedApplications, Software\Policies\Microsoft\WindowsFirewall\StandardProfile\AuthorizedApplications

Value: "AllowUserPrefMerge"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_AUTH\_APPS\_ALLOW\_USER\_PREF\_MERGE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.12 Allow Globally Open Ports User Preference Merge

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile\GloballyOpenPorts, Software\Policies\Microsoft\WindowsFirewall\PrivateProfile\GloballyOpenPorts, Software\Policies\Microsoft\WindowsFirewall\PublicProfile\GloballyOpenPorts, Software\Policies\Microsoft\WindowsFirewall\StandardProfile\GloballyOpenPorts

Value: "AllowUserPrefMerge"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_GLOBAL\_PORTS\_ALLOW\_USER\_PREF\_MERGE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.13 Allow Local Firewall Rule Policy Merge

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile, Software\Policies\Microsoft\WindowsFirewall\PrivateProfile, Software\Policies\Microsoft\WindowsFirewall\PublicProfile. (This setting MUST NOT be present on Software\Policies\Microsoft\WindowsFirewall\StandardProfile)

Value: "AllowLocalPolicyMerge"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_ALLOW\_LOCAL\_POLICY\_MERGE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.14 Allow Local IPsec Policy Merge

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile, Software\Policies\Microsoft\WindowsFirewall\PrivateProfile, Software\Policies\Microsoft\WindowsFirewall\PublicProfile. This setting MUST NOT be present on Software\Policies\Microsoft\WindowsFirewall\StandardProfile.

Value: "AllowLocalIPsecPolicyMerge"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_ALLOW\_LOCAL\_IPSEC\_POLICY\_MERGE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.15 Disabled Interfaces

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile, Software\Policies\Microsoft\WindowsFirewall\PrivateProfile, Software\Policies\Microsoft\WindowsFirewall\PublicProfile. (This setting MUST NOT be present on Software\Policies\Microsoft\WindowsFirewall\StandardProfile)

Value: "DisabledInterfaces"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: A Unicode string encoded with the following INTERFACES\_VAL grammar rule:

```
INTERFACES_VAL = [ *1INTF_FIELD / INTF_FIELD 1*INTF_FIELD_SEQ ]
INTF_FIELD = "{" GUID "}"
INTF_FIELD_SEQ = "," INTF_FIELD
```

Where GUID is the string representation of the globally unique identifier, as defined in [\[RFC4122\]](#) section 3, used to identify the interface on the client.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_DISABLED\_INTERFACES** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.16 Default Outbound Action

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile, Software\Policies\Microsoft\WindowsFirewall\PrivateProfile, Software\Policies\Microsoft\WindowsFirewall\PublicProfile. (This setting MUST NOT be present on Software\Policies\Microsoft\WindowsFirewall\StandardProfile)

Value: "DefaultOutboundAction"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: 0x00000000 means allow traffic and 0x00000001 means block traffic.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_DEFAULT\_OUTBOUND\_ACTION** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.17 Default Inbound Action

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile, Software\Policies\Microsoft\WindowsFirewall\PrivateProfile, Software\Policies\Microsoft\WindowsFirewall\PublicProfile. (This setting MUST NOT be present on Software\Policies\Microsoft\WindowsFirewall\StandardProfile)

Value: "DefaultInboundAction"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: 0x00000000 means allow traffic and 0x00000001 means block traffic.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_DEFAULT\_INBOUND\_ACTION** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

### 2.2.3.18 Disable Stealth Mode for IPsec Secured Packets

Keys: Software\Policies\Microsoft\WindowsFirewall\DomainProfile, Software\Policies\Microsoft\WindowsFirewall\PrivateProfile, Software\Policies\Microsoft\WindowsFirewall\PublicProfile, Software\Policies\Microsoft\WindowsFirewall\StandardProfile)

Value: "DisableStealthModeIPsecSecuredPacketExemption"

Type: REG\_DWORD.

Size: Equal to size of the **Data** field.

Data: An unsigned, 32-bit integer value for which possible values are 0x00000000 or 0x00000001.

This value represents the contents assigned to the configuration option represented by the **FW\_PROFILE\_CONFIG\_DISABLE\_STEALTH\_MODE\_IPSEC\_SECURED\_PACKET\_EXEMPTION** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.37.

## 2.2.4 Authentication Sets

The Authentication Set represents **FW\_AUTH\_SET** structures (as defined in [\[MS-FASP\]](#) section 2.2.64). These objects are encoded under the Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets key or the Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets key. Authentication sets stored on the Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets key represent those that have a value of FW\_IPSEC\_PHASE\_1 (as defined in [\[MS-FASP\]](#) section 2.2.49) in the **IpSecPhase** field of the **FW\_AUTH\_SET** structure (as defined in [\[MS-FASP\]](#) section 2.2.64). Authentication sets stored on the Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets key represent those that

have a value of `FW_IPSEC_PHASE_2` (as defined in [\[MS-FASP\]](#) section 2.2.49) in the `IpSecPhase` field of the `FW_AUTH_SET` structure (as defined in [\[MS-FASP\]](#) section 2.2.64). Each key under these two authentication set keys represents a unique authentication set object, and the name of each key represents the value of the `wszSetId` field of the `FW_AUTH_SET` structure (as defined in [\[MS-FASP\]](#) section 2.2.64). Registry keys and values under each of these authentication set keys are described in the following sections. The semantic checks specified in [\[MS-FASP\]](#) section 2.2.64 are also applicable to the authentication sets described in this section after following the mapping of the following registry values and tokens.

The `Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSet\{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE3}` and the `Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSet\{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE4}` keys MUST NOT exist. Hence phase 1 set with a set Id equal to `{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE3}` and phase 2 sets with a set id equal to `{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE4}` MUST rename their Ids when encoded through this protocol. The original set id value of this set MUST be written to the following two corresponding registry values, which clients of this protocol will use to rename the sets back:

Keys: `Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSet`

Value: `"{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE3}"`

Type: `REG_SZ`.

Size: Equal to size of the **Data** field.

Data: this value encodes a Unicode string containing the set id value to which a phase 1 set with an original set id of `"{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE3}"` had to rename itself.

Keys: `Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSet`

Value: `"{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE4}"`

Type: `REG_SZ`.

Size: Equal to size of the **Data** field.

Data: this value encodes a Unicode string containing the set id value to which a phase 2 set with an original set id of `"{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE4}"` had to rename itself to.

### 2.2.4.1 Version

Keys: `Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSet\<wszSetId>`, or `Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSet\<wszSetId>`.

Value: `"Version"`

Type: `REG_SZ`.

Size: Equal to size of the **Data** field.

Data: this value encodes a Unicode string using the `VERSION` grammar rule defined in section [2.2.2.19](#).

This value represents the values of the `wSchemaVersion` field of the `FW_AUTH_SET` structure as defined in [\[MS-FASP\]](#) section 2.2.64.

#### 2.2.4.2 Name

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSet\<wszSetId>, or Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSet\<wszSetId> ,

Value: "Name"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string.

This value represents the **wszName** field of the **FW\_AUTH\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.64.

#### 2.2.4.3 Description

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSet\<wszSetId>, or Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSet\<wszSetId> ,

Value: "Description"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string.

This value represents the **wszDescription** field of the **FW\_AUTH\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.64.

#### 2.2.4.4 EmbeddedContext

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSet\<wszSetId>, or Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSet\<wszSetId> ,

Value: "EmbeddedContext"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string.

This value represents the **wszEmbeddedContext** field of the **FW\_AUTH\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.64.

#### 2.2.4.5 Suite Keys

Each authentication set may contain a list of suites corresponding to the authentication proposals that will be negotiated. These suites can be stored in Software\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex>, or in Software\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSet\<wszSetId>\<SuiteIndex>, where the SuiteIndex is a 4 digit decimal value encoded as a string.

The suite keys represent the **pSuites** array field of the **FW\_AUTH\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.64.

The suites for phase1 authentication sets differ from those of phase 2 authentication sets. The following sections describe how these suites are encoded. The semantic checks described in [\[MS-FASP\]](#) section 2.2.62 are also applicable to the authentication suites described in this section after following the mapping of the following registry values and tokens.

#### 2.2.4.6 Phase 1 and Phase 2 Auth Suite Methods

Keys: Software\Policies\...\Phase1AuthenticationSet\Software\Policies\...\Phase2AuthenticationSet\

Value: "Method"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that uses the following grammar rules to encode an authentication method.

```
PHASE1_AUTH_METHOD_VAL = "Anonymous" / "MachineKerb" / "MachineCert"  
PHASE1_AUTH_METHOD_VAL =/ "MachineSHKey" / "MachineNtlm"
```

```
PHASE2_AUTH_METHOD_VAL = "Anonymous" / "MachineCert" / "UserKerb"  
PHASE2_AUTH_METHOD_VAL =/ "UserCert" / "UserNtlm"
```

**Anonymous** - this token represents the **FW\_AUTH\_METHOD\_ANONYMOUS** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.59. The remaining tokens can be found in the same Protocol specification section.

**MachineKerb** - this token represents the **FW\_AUTH\_METHOD\_MACHINE\_KERB** enumeration value.

**MachineCert** - this token represents the **FW\_AUTH\_METHOD\_MACHINE\_CERT** enumeration value.

**MachineSHKey** - this token represents the **FW\_AUTH\_METHOD\_MACHINE\_SHKEY** enumeration value.

**MachineNtlm** - this token represents the **FW\_AUTH\_METHOD\_MACHINE\_NTLM** enumeration value.

**UserKerb** - this token represents the **FW\_AUTH\_METHOD\_USER\_KERB** enumeration value.

**UserCert** - this token represents the **FW\_AUTH\_METHOD\_USER\_CERT** enumeration value.

**UserNtlm** - this token represents the **FW\_AUTH\_METHOD\_USER\_NTLM** enumeration value.

This value represents the **Method** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62. If the value is read from a phase 1 key then the PHASE1\_AUTH\_METHOD\_VAL grammar rule MUST be used. If the value is read from a phase 2 key then the PHASE2\_AUTH\_METHOD\_VAL grammar rule MUST be used.



#### 2.2.4.7 Phase 1 and Phase 2 Auth Suite Certificate Authority Names

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex>, or Software\Policies\...\Phase2AuthenticationSet\<wszSetId>\<SuiteIndex> ,

Value: "CAName"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string.

This value represents the **wszCAName** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62. If this value appears in the Suite Key, then the SHKey value defined in the next section MUST NOT appear.

#### 2.2.4.8 Phase 1 Auth Suite Preshared Key

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex> .

Value: "SHKey"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string.

This value represents the **wszSHKey** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62.

#### 2.2.4.9 Phase 1 and Phase 2 Auth Suite Certificate Account Mapping

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex>, or Software\Policies\...\Phase2AuthenticationSet\<wszSetId>\<SuiteIndex> ,

Value: "CertAccountMapping"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that encodes a Boolean value using the BOOL\_VAL grammar rule defined in section [2.2.2.19](#).

This value represents the FW\_AUTH\_SUITE\_FLAGS\_PERFORM\_CERT\_ACCOUNT\_MAPPING flag (as defined in [\[MS-FASP\]](#) section 2.2.60) of the **wFlags** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62. If this value appears under the suite key, then the SHKey value defined in section 2.2.4.5.3 MUST NOT appear.

#### 2.2.4.10 Phase 1 Auth Suite Exclude CA Name

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex> .

Value: "ExcludeCAName"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that encodes a Boolean value using the `BOOL_VAL` grammar rule defined in section [2.2.2.19](#).

This value represents the `FW_AUTH_SUITE_FLAGS_CERT_EXCLUDE_CA_NAME` flag (as defined in [\[MS-FASP\]](#) section 2.2.60) of the **wFlags** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62. If this value appears in the Suite Key, then the SHKey value defined in section 2.2.4.5.3 MUST NOT appear.

#### 2.2.4.11 Phase 1 and Phase 2 Auth Suite Health Cert

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex>, or Software\Policies\...\Phase2AuthenticationSet\<wszSetId>\<SuiteIndex> ,

Value: "HealthCert"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that encodes a Boolean value using the `BOOL_VAL` grammar rule defined in section [2.2.2.19](#).

This value represents the `FW_AUTH_SUITE_FLAGS_HEALTH_CERT` flag (as defined in [\[MS-FASP\]](#) section 2.2.60) of the **wFlags** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62. If this value appears in the Suite Key, then the SHKey value defined in section 2.2.4.5.3 MUST NOT appear.

#### 2.2.4.12 Phase 1 and Phase 2 Auth Suite Skip Version

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex>, or Software\Policies\...\Phase2AuthenticationSet\<wszSetId>\<SuiteIndex> ,

Value: "SkipVersion"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that encodes a schema version using the `VERSION` grammar rule defined in section [2.2.2.19](#).

If the Firewall and Advanced Security component parsing this suite key has a schema version smaller than or equal to the version value in this value, then it MUST skip this suite altogether.

#### 2.2.4.13 Phase 1 and Phase 2 Auth Suite Other Certificate Signing

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex>, or Software\Policies\...\Phase2AuthenticationSet\<wszSetId>\<SuiteIndex> ,

Value: "OtherCertSigning"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string that uses the following grammar rules to encode certificate signing algorithms.

```
OTHER_CERT_SIGNING_VAL = "ECDSA256" / "ECDSA384"
```

**ECDSA256**- this token represents the **FW\_AUTH\_SUITE\_FLAGS\_CERT\_SIGNING\_ECDSA256** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.60.

**ECDSA384**- this token represents the **FW\_AUTH\_SUITE\_FLAGS\_CERT\_SIGNING\_ECDSA384** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.60.

This value represents the **FW\_AUTH\_SUITE\_FLAGS\_CERT\_SIGNING\_ECDSA256** and the **FW\_AUTH\_SUITE\_FLAGS\_CERT\_SIGNING\_ECDSA384** flags of the **wFlags** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62. This value **MUST** be present only if the schema version of the authentication set, as defined in section [2.2.4.1](#), contains a version of 0x0201 or higher. Whenever this value is found in the suite key, a **SkipVersion** value **MUST** also be present, and **MUST** contain a version of 0x0200.

#### 2.2.4.14 Phase 1 and Phase 2 Auth Suite Intermediate CA

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex>, or Software\Policies\...\Phase2AuthenticationSet\<wszSetId>\<SuiteIndex>.

Value: "IntermediateCA"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that encodes a Boolean value using the **BOOL\_VAL** grammar rule defined in section [2.2.2.19](#).

This value represents the **FW\_AUTH\_SUITE\_FLAGS\_INTERMEDIATE\_CA** flag (as defined in [\[MS-FASP\]](#) section 2.2.60) of the **wFlags** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62. This value **MUST** be present only if the schema version of the authentication set as defined in section [2.2.4.1](#) contains a version of 0x020A or higher. Whenever this value is found in the suite key, a **SkipVersion** value **MUST** also be present, and **MUST** contain a version of 0x0208.

#### 2.2.4.15 Certificate Criteria Type Tokens

This grammar is used to identify the types of certificate criteria.

```
CRITERIA_TYPE_VAL = "Both" / "Select" / "Validate"
```

**Both**: This token value represents the **FW\_CERT\_CRITERIA\_TYPE\_BOTH** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.55. The remaining token values in this list can be found in the same Protocol specification section.

**Select**: This token value represents the **FW\_CERT\_CRITERIA\_TYPE\_SELECTION** enumeration value. **Validate**: This token value represents the **FW\_CERT\_CRITERIA\_TYPE\_VALIDATION** enumeration value.

## 2.2.4.16 Certificate Criteria Name Type Tokens

This grammar is used to identify the type of a name used in certificate criteria.

```
CRITERIA_NAME_TYPE_VAL = "DNS" / "UPN" / "RFC822" / "CN" / "OU" / "O" / "DC"
```

**DNS:** This token value represents the FW\_CERT\_CRITERIA\_NAME\_DNS enumeration value as defined in [\[MS-FASP\]](#) section 2.2.56. The remaining token values in this list can be found in the same Protocol specification section.

**UPN:** This token value represents the FW\_CERT\_CRITERIA\_NAME\_UPN enumeration value.

**RFC822:** This token value represents the FW\_CERT\_CRITERIA\_NAME\_RFC822 enumeration value.

**CN:** This token value represents the FW\_CERT\_CRITERIA\_NAME\_CN enumeration value.

**OU:** This token value represents the FW\_CERT\_CRITERIA\_NAME\_OU enumeration value.

**O:** This token value represents the FW\_CERT\_CRITERIA\_NAME\_O enumeration value.

**DC:** This token value represents the FW\_CERT\_CRITERIA\_NAME\_DC enumeration value.

## 2.2.4.17 Phase 1 and Phase 2 Auth Suite Certificate Criteria

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex> or Software\Policies\...\Phase2AuthenticationSet\<wszSetId>\<SuiteIndex>

Value: "CertCriteria"

Type: REG\_SZ.Size: Equal to size of the Data field.

Data: This value is a Unicode string that uses the following grammar rules to encode certificate criteria.

```
CERT_CRITERIA = "v" VERSION "|" 1*FIELD

VERSION = MAJOR_VER "." MINOR_VER

MAJOR_VER = 1*3DIGIT
MINOR_VER = 1*3DIGIT

FIELD = TYPE_VALUE "|"

TYPE_VALUE = "CriteriaType=" CRITERIA_TYPE_VAL
TYPE_VALUE =/ "NameType=" CRITERIA_NAME_TYPE_VAL
TYPE_VALUE =/ "Name=" STR_VAL
TYPE_VALUE =/ "Eku=" STR_VAL
TYPE_VALUE =/ "Hash=" STR_VAL
TYPE_VALUE =/ "FollowRenewal=" BOOL_VAL
```

This value represents the criteria for selecting and validating certificates as defined in [\[MS-FASP\]](#) section 2.2.58.

**MAJOR\_VER:** This grammar rule describes a decimal number that represents the 8 high-order bits of the **wSchemaVersion** field of the **FW\_CERT\_CRITERIA** structure as defined in [\[MS-FASP\]](#) section 2.2.58. Because of this, the decimal value of this number MUST NOT be greater than 255.

The following grammar rules can also be found in the previously mentioned [\[MS-FASP\]](#) section 2.2.58.

**MINOR\_VER**: This grammar rule describes a decimal number that represents the 8 low-order bits of the **wSchemaVersion** field of the **FW\_CERT\_CRITERIA** structure. Because of this, the decimal value of this number MUST NOT be greater than 255.

**VERSION**: This grammar rule describes a decimal value whose 8 low-order bits are those described in the **MINOR\_VER** grammar rule, and whose 8 high-order bits are those described in the **MAJOR\_VER** grammar rule.

**CriteriaType=**: This token value represents the **CertCriteriaType** field of the **FW\_CERT\_CRITERIA** structure. The **CRITERIA\_TYPE\_VAL** grammar rule represents the value contents of this field. This token MUST appear only once in a certificate criteria string. The remaining token values in this list can be found in the same Protocol specification section except where noted.

**NameType=**: This token value represents the **NameType** field of the **FW\_CERT\_CRITERIA** structure. The **CRITERIA\_NAME\_TYPE\_VAL** grammar rule represents the value contents of this field. This token MUST appear only once in a certificate criteria string.

**Name=**: This token value represents the **wszName** field of the **FW\_CERT\_CRITERIA** structure. The **STR\_VAL** grammar rule represents a Unicode string that represents the contents of this field. This token MUST appear only once in a certificate criteria string.

**Eku=**: This token value represents an entry in the array stored in the **Eku** and **ppEku** fields of the **FW\_CERT\_CRITERIA** structure. The **STR\_VAL** grammar rule represents a Unicode string that represents the contents of such entry. If the "Eku=" token appears multiple times in the certificate criteria string, then all the respective **STR\_VAL** rules of such appearances are allowed.

**Hash=**: This token value represents the **wszHash** field of the **FW\_CERT\_CRITERIA** structure. The **STR\_VAL** grammar rule represents a Unicode string that represents the contents of this field. This token MUST appear only once in a certificate criteria string.

**FollowRenewal=**: This token represents the **FW\_AUTH\_CERT\_CRITERIA\_FLAGS\_FOLLOW\_RENEWAL** flag (as defined in [\[MS-FASP\]](#) section 2.2.57) of the **wFlags** field of the **FW\_CERT\_CRITERIA** structure. The **BOOL\_VAL** grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "FollowRenewal=" token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear only once in a certificate criteria string.

#### 2.2.4.18 Phase 1 and Phase 2 Auth Suite Allow Kerberos Proxy

Keys: Software\Policies\...\Phase1AuthenticationSet\

Value: "AllowProxy"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: A Unicode string that encodes a Boolean value using the **BOOL\_VAL** grammar rule defined in section [2.2.2.14](#).

This value represents the **FW\_AUTH\_SUITE\_FLAGS\_ALLOW\_PROXY** flag (as defined in [\[MS-FASP\]](#) section 2.2.60) of the **wFlags** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62.

### 2.2.4.19 Phase 1 and Phase 2 Auth Suite Kerberos Proxy Server

Keys: Software\Policies\...\Phase1AuthenticationSet\<wszSetId>\<SuiteIndex>

Value: "ProxyServer"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: A Unicode string.

This value represents the **wszProxyServer** field of the **FW\_AUTH\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.62.

### 2.2.5 Cryptographic Sets

The Cryptographic Sets represents **FW\_CRYPTO\_SET** structures as defined in [\[MS-FASP\]](#) section 2.2.73. These objects are encoded under the Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet or the Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets key. Cryptographic sets stored on the Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet key represent those who have a value of FW\_IPSEC\_PHASE\_1 (as defined in [\[MS-FASP\]](#) section 2.2.49) in the **IpSecPhase** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73). Cryptographic sets stored on the Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets key represent those who have a value of FW\_IPSEC\_PHASE\_2 (as defined in [\[MS-FASP\]](#) section 2.2.49) in the **IpSecPhase** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73). Every key under each of these two cryptographic sets keys represents a unique cryptographic set object, and the name of each key represents the value of the **wszSetId** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73. The semantic checks described in [\[MS-FASP\]](#) section 2.2.73 are also applicable to the cryptographic sets described in this section after the mapping of the registry values and tokens.

The Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE1} and the Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE2} keys MUST NOT exist. Hence phase 1 sets with a set Id equal to {E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE1} and phase 2 sets with a set id equal to {E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE2} MUST rename their Ids when encoded through this protocol. The original set id value of this set MUST be written to the following two corresponding registry values, which clients of this protocol will use to rename the sets back:

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet

Value: "{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE1}"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value encodes a Unicode string containing the set id value to which a phase 1 set with an original set id of "{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE1}" had to rename itself to.

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets

Value: "{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE2}"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value encodes a Unicode string containing the set id value to which a phase 2 set with an original set id of "{E5A5D32A-4BCE-4E4D-B07F-4AB1BA7E5FE2}" had to rename itself to.

### 2.2.5.1 Version

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\<wszSetId>, or Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\<wszSetId>.

Value: "Version"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value encodes a Unicode string using the VERSION grammar rule defined in section [2.2.2.19](#).

This value represents the values of the **wSchemaVersion** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

### 2.2.5.2 Name

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\<wszSetId>, or Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\<wszSetId>.

Value: "Name"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string.

This value represents the **wszName** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

### 2.2.5.3 Description

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\<wszSetId>, or Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\<wszSetId>.

Value: "Description"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string.

This value represents the **wszDescription** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

#### 2.2.5.4 EmbeddedContext

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\<wszSetId>, or Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\<wszSetId>.

Value: "EmbeddedContext"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string.

This value represents the **wszEmbeddedContext** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

#### 2.2.5.5 Phase 1 - Do Not Skip Deffie Hellman

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\<wszSetId>.

Value: "DoNotSkipDH"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that encodes a Boolean value using the **BOOL\_VAL** grammar rule defined in section [2.2.2.19](#).

This value represents the **FW\_PHASE1\_CRYPTO\_FLAGS\_DO\_NOT\_SKIP\_DH** enumeration flag (as defined in [\[MS-FASP\]](#) section 2.2.71) of the **wFlags** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

#### 2.2.5.6 Phase 1 - Time Out in Minutes

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\<wszSetId>.

Value: "TimeOutMinutes"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string that encodes a decimal number using the following grammar rule:

```
TIMEOUT_MIN_VAL = 1*8DIGIT
```

**TIMEOUT\_MIN\_VAL** = the decimal value of this grammar rule MUST NOT be bigger than the decimal value of 71582788.

This value represents the **dwTimeoutMinutes** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.



### 2.2.5.7 Phase 1 - Time Out in Sessions

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\<wszSetId>.

Value: "TimeOutSessions"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string that encodes a decimal number using the following grammar rule:

```
TIMEOUT_SESS_VAL = 1*10DIGIT
```

**TIMEOUT\_SESS\_VAL** = the decimal value of this grammar rule MUST NOT be bigger than the decimal value of 2147483647.

This value represents the **dwTimeoutSessions** field of the **FW\_CRYPTTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

### 2.2.5.8 Phase 2 - Perfect Forward Secrecy

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\<wszSetId>.

Value: "PFS"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

```
PFS_VAL = "Disable" / "EnableDHFromPhase1" / "ReKeyDH1" / "ReKeyDH2" / "ReKeyDH2048"  
PFS_VAL =/ "ReKeyECDH256" / "ReKeyECDH384"
```

**Disable** = this token represents the **FW\_PHASE2\_CRYPTTO\_PFS\_DISABLE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.72. The remaining token values in this list can be found in the same Protocol specification section.

**EnableDHFromPhase1** = this token represents the **FW\_PHASE2\_CRYPTTO\_PFS\_PHASE1** enumeration value.

**ReKeyDH1** = this token represents the **FW\_PHASE2\_CRYPTTO\_PFS\_DH1** enumeration value.

**ReKeyDH2** = this token represents the **FW\_PHASE2\_CRYPTTO\_PFS\_DH2** enumeration value.

**ReKeyDH2048** = this token represents the **FW\_PHASE2\_CRYPTTO\_PFS\_DH2048** enumeration value.

**ReKeyECDH256** = this token represents the **FW\_PHASE2\_CRYPTTO\_PFS\_ECDH256** enumeration value.

**ReKeyECDH384** = this token represents the **FW\_PHASE2\_CRYPTTO\_PFS\_ECDH384** enumeration value.

This value represents the **Pfs** field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

### 2.2.5.9 Phase 1 - Suite Keys

Each authentication set can contain a list of suites corresponding to the cryptographic proposals that will be negotiated. These suites are stored in `Software\Policies\Microsoft\WindowsFirewall\Phase1CryptoSet\<wszSetId>\<SuiteIndex>` where the `SuiteIndex` is a 4 digit decimal value encoded as a string.

The suite keys represent the `pPhase1Suites` array field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

The suites for phase 1 cryptographic sets differ from those of phase 2 authentication sets. The following sections describe how these phase 1 cryptographic suites are encoded. The semantic checks described in [\[MS-FASP\]](#) section 2.2.69 are also applicable to the cryptographic phase 1 suites described in this section after following the mapping of the registry values and tokens.

### 2.2.5.10 Phase 1 Suite - Key Exchange Algorithm

Keys: `Software\Policies\...\Phase1CryptoSet\<wszSetId>\<SuiteIndex>`.

Value: "KeyExchange"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

```
KEY_EXCHANGE_VAL = "DH1" / "DH2" / "DH2048" / "ECDH-256" / "ECDH-384"
```

**DH1** = this token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_DH1** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.65. The remaining token values in this list can be found in the same Protocol specification section except where noted.

**DH2** = this token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_DH2** enumeration value.

**DH2048** = this token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_DH2048** enumeration value.

**ECDH-256** = this token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_ECDH256** enumeration value.

**ECDH-384** = this token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_ECDH384** enumeration value.

This value represents the **KeyExchange** field of the **FW\_PHASE1\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.69.

### 2.2.5.11 Phase 1 Suite - Encryption Algorithm

Keys: `Software\Policies\...\Phase1CryptoSet\<wszSetId>\<SuiteIndex>`.

Value: "Encryption"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

```
ENCRYPTION_VAL = "DES" / "3DES" / "AES-128" / "AES-192" / "AES-256"
```

**DES** = this token represents the **FW\_CRYPTO\_ENCRYPTION\_DES** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.66. The remaining token values in this list can be found in the same Protocol specification section except where noted.

**3DES** = this token represents the **FW\_CRYPTO\_ENCRYPTION\_3DES** enumeration value.

**AES-128** = this token represents the **FW\_CRYPTO\_ENCRYPTION\_AES128** enumeration value.

**AES-192** = this token represents the **FW\_CRYPTO\_ENCRYPTION\_AES192** enumeration value.

**AES-256** = this token represents the **FW\_CRYPTO\_ENCRYPTION\_AES256** enumeration value.

This value represents the **Encryption** field of the **FW\_PHASE1\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.69.

### 2.2.5.12 Phase 1 Suite - Hash Algorithm

Keys: Software\Policies\...\Phase1CryptoSet\<wszSetId>\<SuiteIndex>.

Value: "Hash"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

```
HASH_VAL = "MD5" / "SHA1"
```

**MD5** = this token represents the **FW\_CRYPTO\_HASH\_MD5** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.67.

**SHA1** = this token represents the **FW\_CRYPTO\_HASH\_SHA1** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.67.

This value represents the **Hash** field of the **FW\_PHASE1\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.69.

### 2.2.5.13 Phase 1 Suite Skip Version

Keys: Software\Policies\...\Phase1CryptoSet\<wszSetId>\<SuiteIndex>.

Value: "SkipVersion"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that encodes a schema version using the VERSION grammar rule defined in section [2.2.2.19](#).

If the Firewall and Advanced Security component parsing this suite key has a schema version smaller than or equal to the version value in this value, then it MUST skip this suite altogether.

#### 2.2.5.14 Phase 1 Suite - 2.1 Hash Algorithm

Keys: Software\Policies\...\Phase1CryptoSet\<wszSetId>\<SuiteIndex>.

Value: "2\_1Hash"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

```
HASH2_1_VAL = "SHA256" / "SHA384"
```

**SHA256** = this token represents the **FW\_CRYPTO\_HASH\_SHA256** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.67.

**SHA384** = this token represents the **FW\_CRYPTO\_HASH\_SHA384** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.67.

This value represents the **Hash** field of the **FW\_PHASE1\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.69. If this value appears in the suite key, then a SkipVersion value with a version of 0x0200 or higher MUST be present.

#### 2.2.5.15 Phase 1 Suite – 2.16 Key Exchange Algorithm

Keys: Software\Policies\...\Phase1CryptoSet\<wszSetId>\<SuiteIndex>.

Value: "2\_16KeyExchange"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: This value is a Unicode string encoded using the following grammar rule:

```
KEY_EXCHANGE_VAL = "DH1" / "DH2" / "DH2048" / "ECDH-256" / "ECDH-384" / "DH24"
```

**DH1** = This token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_DH1** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.65. The remaining token values in this list can be found in the same Protocol specification section except where noted.

**DH2** = This token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_DH2** enumeration value.

**DH2048** = This token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_DH2048** enumeration value.

**ECDH-256** = This token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_ECDH256** enumeration value.

**ECDH-384** = This token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_ECDH384** enumeration value.

**DH24** = This token represents the **FW\_CRYPTO\_KEY\_EXCHANGE\_DH24** enumeration value.

This value represents the **KeyExchange** field of the **FW\_PHASE1\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.69.

### 2.2.5.16 Phase 2 - Suite Keys

Each authentication set could contain a list of suites which express cryptographic proposals that will be negotiated. These suites can be stored in Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\

The suite keys represent the **pPhase2Suites** array field of the **FW\_CRYPTO\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

The suites for phase 2 cryptographic sets differ from those of phase 1 authentication sets. The following sections describe how these phase 2 cryptographic suites are encoded. The semantic checks described in [\[MS-FASP\]](#) section 2.2.70 are also applicable to the cryptographic phase 2 suites described in this section after following the mapping of the registry values and tokens.

### 2.2.5.17 Phase 2 Suite - Protocol

Keys: Software\Policies\...\Phase2CryptoSets\

Value: "Protocol"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

```
PROTOCOL_VAL = "AH" / "ESP" / "AH&ESP"
```

**AH** = this token represents the **FW\_CRYPTO\_PROTOCOL\_AH** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.68. The remaining token values in this list can be found in the same Protocol specification section.

**ESP** = this token represents the **FW\_CRYPTO\_PROTOCOL\_ESP** enumeration value.

**AH&ESP** = this token represents the **FW\_CRYPTO\_PROTOCOL\_BOTH** enumeration value.

This value represents the **Protocol** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70.

### 2.2.5.18 Phase 2 Suite - Encryption Algorithm

Keys: Software\Policies\...\Phase2CryptoSets\

Value: "Encryption"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the ENCRYPTION\_VAL grammar rule defined in section [2.2.5.11](#).

This value represents the **Encryption** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70.

### 2.2.5.19 Phase 2 Suite - AH Protocol Hash Algorithm

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "AhHash"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the HASH\_VAL grammar rule defined in section [2.2.5.12](#).

This value represents the **AhHash** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70.

### 2.2.5.20 Phase 2 Suite - ESP Protocol Hash Algorithm

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "EspHash"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the HASH\_VAL grammar rule defined in section [2.2.5.12](#).

This value represents the **EspHash** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70.

### 2.2.5.21 Phase 2 Suite - Time Out in Minutes

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "TimeOutMinutes"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string that encodes a decimal number using the following grammar rule:

```
PHASE2_SUITE_TIMEOUT_MIN_VAL = 1*4DIGIT
```

**PHASE2\_SUITE\_TIMEOUT\_MIN\_VAL** = the decimal value of this grammar rule MUST NOT be bigger than the decimal value of 2880.

This value represents the **dwTimeoutMinutes** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70.

#### 2.2.5.22 Phase 2 Suite - Time Out in Kilobytes

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "TimeOutKbytes"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string that encodes a decimal number using the following grammar rule:

```
PHASE2_SUITE_TIMEOUT_KBYTES_VAL = 1*10DIGIT
```

**PHASE2\_SUITE\_TIMEOUT\_MIN\_VAL** = the decimal value of this grammar rule MUST NOT be bigger than the decimal value of 2147483647.

This value represents the **dwTimeoutKBytes** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70.

#### 2.2.5.23 Phase 2 Suite - Skip Version

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "SkipVersion"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: a Unicode string that encodes a schema version using the VERSION grammar rule defined in section [2.2.2.19](#).

If the Firewall and Advanced Security component parsing this suite key has a schema version smaller than or equal to the version value in this value, then it MUST skip this suite altogether.

#### 2.2.5.24 Phase 2 Suite - 2.1 Encryption Algorithm

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "2\_1Encryption"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

ENCRYPTION2\_1\_VAL = "AES-GCM128" / "AES-GCM192" / "AES-GCM256"

**AES-GCM128** = this token represents the **FW\_CRYPTO\_ENCRYPTION\_AES\_GCM128** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.66.

**AES-GCM192** = this token represents the **FW\_CRYPTO\_ENCRYPTION\_AES\_GCM192** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.66.

**AES-GCM256** = this token represents the **FW\_CRYPTO\_ENCRYPTION\_AES\_GCM256** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.66.

This value represents the **Encryption** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70. If this value appears in the suite key, then a SkipVersion value with a version of 0x0200 MUST be present.

### 2.2.5.25 Phase 2 Suite - 2.1 AH Hash Algorithm

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "2\_1AhHash"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

AH\_ESP\_HASH2\_1\_VAL = "SHA256" / "AES-GCM128" / "AES-GCM192" / "AES-GCM256"

**SHA256** = this token represents the **FW\_CRYPTO\_HASH\_SHA256** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.67. The remaining token values in this list can be found in the same Protocol specification section.

**AES-GCM128** = this token represents the **FW\_CRYPTO\_HASH\_AES\_GMAC128** enumeration value.

**AES-GCM192** = this token represents the **FW\_CRYPTO\_HASH\_AES\_GMAC192** enumeration value.

**AES-GCM256** = this token represents the **FW\_CRYPTO\_HASH\_AES\_GMAC256** enumeration value.

This value represents the **AhHash** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70. If this value appears in the suite key, then a SkipVersion value with a version of 0x0200 MUST be present.

### 2.2.5.26 Phase 2 Suite - 2.1 ESP Hash Algorithm

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "2\_1EspHash"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.



Data: this value is a Unicode string encoded using the AH\_ESP\_HASH2\_1\_VAL grammar rule defined in section [2.2.5.25](#).

This value represents the **EspHash** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70. If this value appears in the suite key, then a SkipVersion value with a version of 0x0200 MUST be present.

### 2.2.5.27 Phase 2 Suite - 2.9 Protocol

Keys: Software\Policies\...\Phase2CryptoSets\<wszSetId>\<SuiteIndex>.

Value: "2\_9Protocol"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: this value is a Unicode string encoded using the following grammar rule:

```
PROTOCOL2_9_VAL = "AUTH_NO_ENCAP"
```

**AUTH\_NO\_ENCAP** = this token represents the **FW\_CRYPTO\_PROTOCOL\_AUTH\_NO\_ENCAP** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.68.

This value represents the **Protocol** field of the **FW\_PHASE2\_CRYPTO\_SUITE** structure as defined in [\[MS-FASP\]](#) section 2.2.70. If this value appears in the suite key, then a SkipVersion value with a version of 0x0209 MUST be present.

### 2.2.5.28 Phase 2 – 2.16 Perfect Forward Secrecy

Keys: Software\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\<wszSetId>.

Value: "2\_16PFS"

Type: REG\_SZ.

Size: Equal to size of the **Data** field.

Data: This value is a Unicode string encoded using the following grammar rule:

```
PFS_VAL = "Disable" / "EnableDHFromPhase1" / "ReKeyDH1" / "ReKeyDH2" / "ReKeyDH2048"  
PFS_VAL =/ "ReKeyECDH256" / "ReKeyECDH384" / "ReKeyDH24"
```

**Disable** = this token represents the **FW\_PHASE2\_CRYPTO\_PFS\_DISABLE** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.72. The remaining token values in this list can be found in the same Protocol specification section.

**EnableDHFromPhase1** = This token represents the **FW\_PHASE2\_CRYPTO\_PFS\_PHASE1** enumeration value.

**ReKeyDH1** = This token represents the **FW\_PHASE2\_CRYPTO\_PFS\_DH1** enumeration value.

**ReKeyDH2** = This token represents the **FW\_PHASE2\_CRYPTO\_PFS\_DH2** enumeration value.

**ReKeyDH2048** = This token represents the **FW\_PHASE2\_CRYPTOPFS\_DH2048** enumeration value.

**ReKeyECDH256** = This token represents the **FW\_PHASE2\_CRYPTOPFS\_ECDH256** enumeration value.

**ReKeyECDH384** = This token represents the **FW\_PHASE2\_CRYPTOPFS\_ECDH384** enumeration value.

**ReKeyDH24** = This token represents the **FW\_PHASE2\_CRYPTOPFS\_DH24** enumeration value.

This value represents the Pfs field of the **FW\_CRYPTOPFS\_SET** structure as defined in [\[MS-FASP\]](#) section 2.2.73.

## 2.2.6 Connection Security Rule Messages

This section defines the grammars used to encode different portions of the Connection Security rules.

### 2.2.6.1 Connection Security Action Tokens

This grammar is used to identify the actions available for firewall rules.

```
CS_ACTION_VAL = "SecureServer" / "Boundary" / "Secure" / "DoNotSecure"
```

**SecureServer:** This token value represents the **FW\_CS\_RULE\_ACTION\_SECURE\_SERVER** enumeration value as defined in [\[MS-FASP\]](#) section 2.2.51. The remaining token values in this list can be found in the same Protocol specification section.

**Boundary:** This token value represents the **FW\_CS\_RULE\_ACTION\_BOUNDARY** enumeration value.

**Secure:** This token value represents the **FW\_CS\_RULE\_ACTION\_SECURE** enumeration value.

**DoNotSecure:** This token value represents the **FW\_CS\_RULE\_ACTION\_DO\_NOT\_SECURE** enumeration value.

### 2.2.6.2 Connection Security Rule and the Connection Security Rule Grammar Rule

Connection security rules are stored under the Software\Policies\Microsoft\WindowsFirewall\ConSecRules key.

Each value under the key is a connection security rule. The type of the value MUST be REG\_SZ. The data of each value is a string that can be parsed by the following grammar. This grammar represents a connection security rule as defined in [\[MS-FASP\]](#) section 2.2.54, except for the **wszRuleId** field of the **FW\_CS\_RULE** structure which is instead represented by the name of the registry value.

```
CSRULE = "v" VERSION "|" 1*FIELD

FIELD = TYPE_VALUE "|"

TYPE_VALUE = "Action=" CS_ACTION_VAL
TYPE_VALUE =/ "Profile=" PROFILE_VAL
TYPE_VALUE =/ "Protocol=" 1*3DIGIT ; protocol is maximum 3 digits (255)
```

```

TYPE_VALUE =/ "EP1Port=" PORT_VAL
TYPE_VALUE =/ "EP2Port=" PORT_VAL
TYPE_VALUE =/ "EP1Port2_10=" PORT_RANGE_VAL
TYPE_VALUE =/ "EP2Port2_10=" PORT_RANGE_VAL
TYPE_VALUE =/ "IF=" IF_VAL
TYPE_VALUE =/ "IFType=" IFTYPE_VAL
TYPE_VALUE =/ "Auth1Set=" STR_VAL
TYPE_VALUE =/ "Auth2Set=" STR_VAL
TYPE_VALUE =/ "Crypto2Set=" STR_VAL
TYPE_VALUE =/ "EP1_4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP2_4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP1_6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP2_6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "Name=" STR_VAL
TYPE_VALUE =/ "Desc=" STR_VAL
TYPE_VALUE =/ "EmbedCtxt=" STR_VAL
TYPE_VALUE =/ "Active=" BOOL_VAL
TYPE_VALUE =/ "Platform=" PLATFORM_VAL
TYPE_VALUE =/ "SkipVer=" VERSION
TYPE_VALUE =/ "Platform2=" PLATFORM_OP_VAL
TYPE_VALUE =/ "SecureInClearOut=" BOOL_VAL
TYPE_VALUE =/ "ByPassTunnel=" BOOL_VAL
TYPE_VALUE =/ "Authz=" BOOL_VAL
TYPE_VALUE =/ "RTunnel4=" ADDR4
TYPE_VALUE =/ "RTunnel6=" ADDR6
TYPE_VALUE =/ "LTunnel4=" ADDR4
TYPE_VALUE =/ "LTunnel6=" ADDR6
TYPE_VALUE =/ "RTunnel4_2=" ADDR4
TYPE_VALUE =/ "RTunnel6_2=" ADDR6
TYPE_VALUE =/ "LTunnel4_2=" ADDR4
TYPE_VALUE =/ "LTunnel6_2=" ADDR6
TYPE_VALUE =/ "RTunnelFqdn=" STR_VAL
TYPE_VALUE =/ "RTunEndpts4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL /
ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "RTunEndpts6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL /
ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "KeyMod=" KEY_MOD_VAL
TYPE_VALUE =/ "KeyManagerDictate=" BOOL_VAL
TYPE_VALUE =/ "KeyManagerNotify=" BOOL_VAL
TYPE_VALUE =/ "FwdLifetime=" 1*10DIGIT
TYPE_VALUE =/ "TransportMachineAuthzSDDL=" STR_VAL
TYPE_VALUE =/ "TransportUserAuthzSDDL=" STR_VAL

STR_VAL = 1*ALPHANUM
BOOL_VAL = "TRUE" / "FALSE"

```

**Action=:** This token value represents the **Action** field of the **FW\_CS\_RULE** structure as defined in [\[MS-FASP\]](#) section 2.2.54. The CS\_ACTION\_VAL grammar rule represents the value contents of such field. This token MUST appear at most once in a rule string. The remaining token values in this list can be found in the same Protocol specification section except where noted.

**Profile=:** This token value represents the **dwProfiles** field of the **FW\_CS\_RULE** structure. The PROFILE\_VAL grammar rule represents a value content of such field. If this token appears several times in a CSRULE grammar rule, then all the contents represented by the PROFILE\_VAL rule appearing next to them are included. If the "Profile=" token never appears in the rule string, then it represents a value of FW\_PROFILE\_TYPE\_ALL as defined in [\[MS-FASP\]](#) section 2.2.2.

**Protocol=:** This token value represents the **wIpProtocol** field of the **FW\_CS\_RULE** structure. The 1\*3DIGIT grammar rule represents a value content of such field. Such value MUST NOT be greater than 255. The "Protocol" token MUST appear at most once in a CSRULE grammar rule. If a "Protocol" token does not appear in the rule string, then the meaning is the same as a value of 256 in the **wIpProtocol** field in [\[MS-FASPI\]](#) section 2.2.54.

**EP1Port=:** This token value represents the **Endpoint1Ports** field of the **FW\_CS\_RULE** structure. As such defined **Endpoint1Ports** is of type **FW\_PORTS**, which contains a **Ports** field of type **FW\_PORT\_RANGE\_LIST**, which also contains a **pPorts** array of type **FW\_PORT\_RANGE**. The **PORT\_VAL** grammar rule represents an entry in the **pPorts** field. If the "EP1Port" token appears multiple times in the rule string, then all the respective **PORT\_VAL** rules of such appearances are allowed.

**EP1Port2\_10=:** This token value represents the **Endpoint1Ports** field of the **FW\_CS\_RULE** structure. As in the case of the "EP1Port=" token, the **PORT\_RANGE\_VAL** grammar rule represents an entry in the **pPorts** field. If the "EP1Port2\_10" token appears multiple times in the rule string, then all the respective **PORT\_RANGE\_VAL** rules of such appearances are allowed.

**EP2Port=:** This token value represents the **Endpoint2Ports** field of the **FW\_CS\_RULE** structure. As such defined **Endpoint2Ports** is of type **FW\_PORTS**, which contains a **Ports** field of type **FW\_PORT\_RANGE\_LIST**, which also contains a **pPorts** array of type **FW\_PORT\_RANGE**. The **PORT\_VAL** grammar rule represents an entry in the **pPorts** field. If the **EP2Port** token appears multiple times in the rule string, then all the **PORT\_VAL** rule of such are allowed.

**EP2Port2\_10=:** This token value represents the **Endpoint2Ports** field of the **FW\_CS\_RULE** structure. As in the case of the "EP2Port=" token, the **PORT\_RANGE\_VAL** grammar rule represents an entry in the **pPorts** field. If the **EP2Port2\_10** token appears multiple times in the rule string, then all the respective **PORT\_RANGE\_VAL** rules of such appearances are allowed.

**IF=:** This token represents an entry in the **LocalInterfaceIds** field of the **FW\_CS\_RULE** structure.

**IFType=:** This token represents the **dwLocalInterfaceType** field of the **FW\_CS\_RULE** structure.

**EP1\_4=:** This token value represents the **Endpoint1** field of the **FW\_CS\_RULE** structure, specifically the v4 fields. As such defined **Endpoint1** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV4AddressKeyword** field, a **V4Ranges** field of type **FW\_IPV4\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV4\_ADDRESS\_RANGE**, and lastly a **V4SubNets** field of type **FW\_IPV4\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV4\_SUBNET**. The **ADDRESSV4\_RANGE\_VAL** grammar rule represents an entry in the **pRanges** field. The **ADDRESSV4\_SUBNET\_VAL** grammar rule represents an entry in the **pSubNets** field. The **ADDRESS\_KEYWORD\_VAL** grammar rule, however, represents the **dwV4AddressKeywords** field. If the "EP1\_4" token appears multiple times in the rule string, then all the respective **ADDRESSV4\_RANGE\_VAL**, **ADDRESSV4\_SUBNET\_VAL**, and the **ADDRESS\_KEYWORD\_VAL** rules of such appearances are allowed.

**EP2\_4=:** This token value represents the **Endpoint2** field of the **FW\_CS\_RULE** structure, specifically the v4 fields. As such defined **Endpoint2** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV4AddressKeyword** field, a **V4Ranges** field of type **FW\_IPV4\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV4\_ADDRESS\_RANGE**, and lastly a **V4SubNets** field of type **FW\_IPV4\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV4\_SUBNET**. The **ADDRESSV4\_RANGE\_VAL** grammar rule represents an entry in the **pRanges** field. The **ADDRESSV4\_SUBNET\_VAL** grammar rule represents an entry in the **pSubNets** field. The **ADDRESS\_KEYWORD\_VAL** grammar rule, however, represents the **dwV4AddressKeywords** field. If the "EP2\_4" token appears multiple times in the rule string, then all the respective **ADDRESSV4\_RANGE\_VAL**,

ADDRESSV4\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**EP1\_6=:** This token value represents the **Endpoint1** field of the **FW\_CS\_RULE** structure, specifically the v6 fields. As such defined **Endpoint1** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV6AddressKeyword** field, a **V6Ranges** field of type **FW\_IPV6\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV6\_ADDRESS\_RANGE**, and lastly a **V6SubNets** field of type **FW\_IPV6\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV6\_SUBNET**. The ADDRESSV6\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV6\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV6AddressKeywords** field. If the "EP1\_6" token appears multiple times in the rule string, then all the respective ADDRESSV6\_RANGE\_VAL, ADDRESSV6\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**EP2\_6=:** This token value represents the **Endpoint2** field of the **FW\_CS\_RULE** structure, specifically the v6 field. As such defined **Endpoint2** is of type **FsW\_ADDRESSES**, it contains the following 3 fields: a **dwV6AddressKeyword** field, a **V6Ranges** field of type **FW\_IPV6\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV6\_ADDRESS\_RANGE**, and lastly a **V6SubNets** field of type **FW\_IPV6\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV6\_SUBNET**. The ADDRESSV6\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV6\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV6AddressKeywords** field. If the "EP2\_6" token appears multiple times in the rule string, then all the respective ADDRESSV6\_RANGE\_VAL, ADDRESSV6\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**Name=:** This token represents the **wszName** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Desc=:** This token represents the **wszDescription** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**EmbedCtxt=:** This token represents the **wszEmbeddedContext** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Active=:** This token represents the FW\_CS\_RULE\_FLAGS\_ACTIVE flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the **FW\_CS\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "Active=" token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear at most once in a rule string.

**Platform=:** This token value represents the **PlatformValidityList** field of the **FW\_CS\_RULE** structure. As such defined **PlatformValidityList** is of type **FW\_OS\_PLATFORM\_LIST**, it contains a **pPlatforms** array of type **FW\_OS\_PLATFORM**. The PLATFORM\_VAL grammar rule represents an entry in the **pPlatforms** field. If the **Platform=** token appears multiple times in the rule string, then all the respective PLATFORM\_VAL grammar rules of such appearances are allowed.

**SkipVer=:** The VERSION grammar rule following this token represents the highest inherent version of the Firewall and Advanced Security components that should ignore this rule string completely.

The inherent version of a Firewall and Advanced Security component is the highest version such component supports.

**Platform2=:** This token represents the operator to use on the last entry of the **PlatformValidityList** field of the **FW\_CS\_RULE** structure. Hence the PLATFORM\_OP\_VAL token represents the 5 most significant bits of the **bPlatform** field of the last FW\_OS\_PLATFORM structure entry (as defined in [\[MS-FASP\]](#) section 2.2.29) of the **pPlatforms** field of the **FW\_OS\_PLATFORM\_LIST** structure as defined in [\[MS-FASP\]](#) section 2.2.20.

**Auth1Set=:** This token represents the **wszPhase1AuthSet** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Auth2Set=:** This token represents the **wszPhase2AuthSet** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Crypto2Set=:** This token represents the **wszPhase2CryptoSet** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**SecureInClearOut=:** This token represents the FW\_CS\_RULE\_OUTBOUND\_CLEAR flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the **FW\_CS\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "SecureInClearOut=" token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear at most once in a rule string.

**ByPassTunnel=:** This token represents the FW\_CS\_RULE\_TUNNEL\_BYPASS\_IF\_ENCRYPTED flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the **FW\_CS\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the **ByPassTunnel=** token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear at most once in a rule string.

**Authz=:** This token represents the FW\_CS\_RULE\_FLAGS\_APPLY\_AUTHZ flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the **FW\_CS\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "Authz=" token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear at most once in a rule string.

**RTunnel4=:** This token represents the **dwLocalTunnelEndpointV4** field of the **FW\_CS\_RULE** structure. The ADDR4\_VAL grammar rule represents the contents of such field. This token MUST appear at most once in a rule string.

**RTunnel6=:** This token represents the **LocalTunnelEndpointV6** field of the **FW\_CS\_RULE** structure. The ADDR6\_VAL grammar rule represents the contents of such field. This token MUST appear at most once in a rule string.

**LTunnel4=:** This token represents the **dwRemoteTunnelEndpointV4** field of the **FW\_CS\_RULE** structure. The ADDR4\_VAL grammar rule represents the contents of such field. This token MUST appear at most once in a rule string.

**LTunnel6=:** This token represents the **RemoteTunnelEndpointV6** field of the **FW\_CS\_RULE** structure. The ADDR6\_VAL grammar rule represents the contents of such field. This token MUST appear at most once in a rule string.

**RTunnel4\_2=:** This token represents the **dwRemoteTunnelEndpointV4** field of the **FW\_CS\_RULE** structure, with the additional meaning that it also represents a value of true in the



FW\_CS\_RULE\_FLAGS\_DTM flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the same **FW\_CS\_RULE** structure. The ADDR4\_VAL grammar rule represents the contents of the **dwRemoteTunnelEndpointV4** field. This token MUST appear at most once in a rule string.

**RTunnel6\_2=**: This token represents the **RemoteTunnelEndpointV6** field of the **FW\_CS\_RULE** structure, with the additional meaning that it also represents a value of true in the FW\_CS\_RULE\_FLAGS\_DTM flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the same **FW\_CS\_RULE** structure. The ADDR6\_VAL grammar rule represents the contents of the **RemoteTunnelEndpointV6** field. This token MUST appear at most once in a rule string.

**LTunnel4\_2=**: This token represents the **dwLocalTunnelEndpointV4** field of the **FW\_CS\_RULE** structure, with the additional meaning that it also represents a value of true in the FW\_CS\_RULE\_FLAGS\_DTM flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the same **FW\_CS\_RULE** structure. The ADDR4\_VAL grammar rule represents the contents of the **dwLocalTunnelEndpointV4** field. This token MUST appear at most once in a rule string.

**LTunnel6\_2=**: This token represents the **LocalTunnelEndpointV6** field of the **FW\_CS\_RULE** structure, with the additional meaning that it also represents a value of true in the FW\_CS\_RULE\_FLAGS\_DTM flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the same **FW\_CS\_RULE** structure. The ADDR6\_VAL grammar rule represents the contents of the **LocalTunnelEndpointV6** field. This token MUST appear at most once in a rule string.

**RTunnelFqdn=**: This token represents the **wszRemoteTunnelEndpointFqdn** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear only once in a rule string.

**RTunEndpts4=**: This token value represents the **RemoteTunnelEndpoints** field of the **FW\_CS\_RULE** structure, specifically the v4 fields. As such defined **RemoteTunnelEndpoints** is of type **FW\_ADDRESSES**, it contains the following three fields: a **dwV4AddressKeyword** field, a **V4Ranges** field of type **FW\_IPV4\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV4\_ADDRESS\_RANGE**, and lastly, a **V4SubNets** field of type **FW\_IPV4\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV4\_SUBNET**. The ADDRESSV4\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV4\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV4AddressKeywords** field. If the "RTunEndpts4=" token appears multiple times in the rule string, then all the respective ADDRESSV4\_RANGE\_VAL, ADDRESSV4\_SUBNET\_VAL, and ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**RTunEndpts6=**: This token value represents the **RemoteTunnelEndpoints** field of the **FW\_CS\_RULE** structure, specifically the v6 fields. As such defined **RemoteTunnelEndpoints** is of type **FW\_ADDRESSES**, it contains the following three fields: a **dwV6AddressKeyword** field, a **V6Ranges** field of type **FW\_IPV6\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV6\_ADDRESS\_RANGE**, and lastly, a **V6SubNets** field of type **FW\_IPV6\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV6\_SUBNET**. The ADDRESSV6\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV6\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV6AddressKeywords** field. If the "RTunEndpts6=" token appears multiple times in the rule string, then all the respective ADDRESSV4\_RANGE\_VAL, ADDRESSV4\_SUBNET\_VAL, and ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**KeyMod=**: This token value represents the **dwKeyModules** field of the **FW\_CS\_RULE** structure. The KEY\_MOD\_VAL grammar rule represents a flag in the **dwKeyModules** field. If the "KeyMod=" token appears multiple times in the rule string, then all the respective KEY\_MOD\_VAL rules of such appearances are allowed.

**KeyManagerDictate=:** This token represents the FW\_CS\_RULE\_FLAGS\_KEY\_MANAGER\_ALLOW\_DICTATE\_KEY flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the wFlags field of the **FW\_CS\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "KeyManagerDictate=" token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear only once in a rule string.

**KeyManagerNotify=:** This token represents the FW\_CS\_RULE\_FLAGS\_KEY\_MANAGER\_ALLOW\_NOTIFY\_KEY flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the wFlags field of the **FW\_CS\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "KeyManagerNotify=" token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear only once in a rule string.

**FwdLifetime=:** This token represents the **FwdPathSLifetime** field of the **FW\_CS\_RULE** structure. Its decimal value MUST NOT be greater than 4,294,967,295. If the "FwdLifetime=" token does not appear in the rule, a value of zero is assumed. This token MUST appear only once in a rule string.

**TransportMachineAuthzSDDL=:** This token represents the **wszTransportMachineAuthzSDDL** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear only once in a rule string.

**TransportUserAuthzSDDL=:** This token represents the **wszTransportUserAuthzSDDL** field of the **FW\_CS\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear only once in a rule string.

The semantic checks described in [\[MS-FASP\]](#) section 2.2.54 are also applicable to the connection security rules described in this section after following the mapping in each of the preceding tokens.

### 2.2.6.3 Keying Module Rules

This grammar is used to identify keying modules.

```
KEY_MOD_VAL = "KeyModDefault" / "IkeV1" / "AuthIP" / "IkeV2"
```

**KeyModDefault:** This token represents the FW\_KEY\_MODULE\_DEFAULT enumeration value as defined in [\[MS-FASP\]](#) section 2.2.95. The remaining token values in this list can be found in the same Protocol specification section.

**IkeV1:** This token represents the FW\_KEY\_MODULE\_IKEv1 enumeration value.

**AuthIP:** This token represents the FW\_KEY\_MODULE\_AUTHIP enumeration value.

**IkeV2:** This token represents the FW\_KEY\_MODULE\_IKEv2 enumeration value.

### 2.2.7 Main Mode Rule Messages

This section defines the grammars used to encode different portions of the Main Mode rules. Main Mode rules are available on schema version 0x0208 and later.

#### 2.2.7.1 Main Mode Rule and the Main Mode Rule Grammar Rule

Main mode rules are stored under the Software\Policies\Microsoft\WindowsFirewall\MainModeRules key.



Each value under the key is a main mode rule. The type of the value MUST be REG\_SZ. The data of each value is a string that can be parsed by the following grammar. This grammar represents a main mode rule as defined in [\[MS-FASP\]](#) section 2.2.84, except for the **wszRuleId** field of the **FW\_MM\_RULE** structure, which is instead represented by the name of the registry value.

```
MMRULE = "v" VERSION "|" 1*FIELD

FIELD = TYPE_VALUE "|"

TYPE_VALUE =/ "Profile=" PROFILE_VAL
TYPE_VALUE =/ "Auth1Set=" STR_VAL
TYPE_VALUE =/ "Crypto1Set=" STR_VAL
TYPE_VALUE =/ "EP1_4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP2_4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP1_6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP2_6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "Name=" STR_VAL
TYPE_VALUE =/ "Desc=" STR_VAL
TYPE_VALUE =/ "EmbedCtxt=" STR_VAL
TYPE_VALUE =/ "Active=" BOOL_VAL
TYPE_VALUE =/ "Platform=" PLATFORM_VAL
TYPE_VALUE =/ "SkipVer=" VERSION
TYPE_VALUE =/ "Platform2=" PLATFORM_OP_VAL

STR_VAL = 1*ALPHANUM
BOOL_VAL = "TRUE" / "FALSE"
```

**Profile=:** This token value represents the **dwProfiles** field of the **FW\_MM\_RULE** structure as defined in [\[MS-FASP\]](#) Section [2.2.84](#). The PROFILE\_VAL grammar rule represents a value content of such field. If this token appears several times in an MMRULE grammar rule, then all the contents represented by the PROFILE\_VAL rule appearing next to them are included. If the "Profile=" token never appears in the rule string then it represents a value of **FW\_PROFILE\_TYPE\_ALL** as defined in [\[MS-FASP\]](#) section 2.2.2.

**EP1\_4=:** This token value represents the **Endpoint1** field of the **FW\_MM\_RULE** structure, specifically the v4 fields, as defined in [\[MS-FASP\]](#) Section [2.2.84](#). As such defined **Endpoint1** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV4AddressKeyword** field, a **V4Ranges** field of type **FW\_IPV4\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV4\_ADDRESS\_RANGE**, and lastly a **V4SubNets** field of type **FW\_IPV4\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV4\_SUBNET**. The ADDRESSV4\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV4\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV4AddressKeywords** field. If the "EP1\_4" token appears multiple times in the rule string, then all the respective ADDRESSV4\_RANGE\_VAL, ADDRESSV4\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**EP2\_4=:** This token value represents the **Endpoint2** field of the **FW\_MM\_RULE** structure, specifically the v4 fields, as defined in [\[MS-FASP\]](#) section 2.2.84. As such defined **Endpoint2** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV4AddressKeyword** field, a **V4Ranges** field of type **FW\_IPV4\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV4\_ADDRESS\_RANGE**, and lastly a **V4SubNets** field of type **FW\_IPV4\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV4\_SUBNET**. The ADDRESSV4\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV4\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule,

however, represents the **dwV4AddressKeywords** field. If the "EP2\_4" token appears multiple times in the rule string, then all the respective ADDRESSV4\_RANGE\_VAL, ADDRESSV4\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**EP1\_6=:** This token value represents the **Endpoint1** field of the **FW\_MM\_RULE** structure, specifically the v6 fields, as defined in [\[MS-FASP\]](#) section 2.2.84. As such defined **Endpoint1** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV6AddressKeyword** field, a **V6Ranges** field of type **FW\_IPV6\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV6\_ADDRESS\_RANGE**, and lastly a **V6SubNets** field of type **FW\_IPV6\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV6\_SUBNET**. The ADDRESSV6\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV6\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV6AddressKeywords** field. If the "EP1\_6" token appears multiple times in the rule string, then all the respective ADDRESSV6\_RANGE\_VAL, ADDRESSV6\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**EP2\_6=:** This token value represents the **Endpoint2** field of the **FW\_MM\_RULE** structure, specifically the v6 fields, as defined in [\[MS-FASP\]](#) Section [2.2.84](#). As such defined **Endpoint2** is of type **FW\_ADDRESSES**, it contains the following 3 fields: a **dwV6AddressKeyword** field, a **V6Ranges** field of type **FW\_IPV6\_RANGE\_LIST**, which also contains a **pRanges** array of type **FW\_IPV6\_ADDRESS\_RANGE**, and lastly a **V6SubNets** field of type **FW\_IPV6\_SUBNET\_LIST**, which also contains a **pSubNets** array of type **FW\_IPV6\_SUBNET**. The ADDRESSV6\_RANGE\_VAL grammar rule represents an entry in the **pRanges** field. The ADDRESSV6\_SUBNET\_VAL grammar rule represents an entry in the **pSubNets** field. The ADDRESS\_KEYWORD\_VAL grammar rule, however, represents the **dwV6AddressKeywords** field. If the "EP2\_6" token appears multiple times in the rule string, then all the respective ADDRESSV6\_RANGE\_VAL, ADDRESSV6\_SUBNET\_VAL, and the ADDRESS\_KEYWORD\_VAL rules of such appearances are allowed.

**Name=:** This token represents the **wszName** field of the **FW\_MM\_RULE** structure as defined in [\[MS-FASP\]](#) Section [2.2.84](#). The remaining token values in this list can be found in the same Protocol specification section. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Desc=:** This token represents the **wszDescription** field of the **FW\_MM\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**EmbedCtxt=:** This token represents the **wszEmbeddedContext** field of the **FW\_MM\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Active=:** This token represents the FW\_CS\_RULE\_FLAGS\_ACTIVE flag (as defined in [\[MS-FASP\]](#) section 2.2.50) of the **wFlags** field of the **FW\_MM\_RULE** structure. The BOOL\_VAL grammar rule represents the Boolean meaning of such flag as defined in section [2.2.2.14](#). If the "Active=" token does not appear in the rule, a Boolean value of false is assumed. This token MUST appear at most once in a rule string.

**Platform=:** This token value represents the **PlatformValidityList** field of the **FW\_MM\_RULE** structure. As such defined **PlatformValidityList** is of type **FW\_OS\_PLATFORM\_LIST**, it contains a **pPlatforms** array of type **FW\_OS\_PLATFORM**. The PLATFORM\_VAL grammar rule represents an entry in the **pPlatforms** field. If the "Platform=" token appears multiple times in the rule string, then all the respective PLATFORM\_VAL grammar rules of such appearances are allowed.

**SkipVer=:** The VERSION grammar rule following this token represents the highest inherent version of the Firewall and Advanced Security components that should ignore this rule string completely. The inherent version of a Firewall and Advanced Security component is the highest version such component supports.

**Platform2=:** This token represents the operator to use on the last entry of the **PlatformValidityList** field of the **FW\_MM\_RULE** structure. Hence the PLATFORM\_OP\_VAL token represents the five most significant bits of the **bPlatform** field of the last **FW\_OS\_PLATFORM** structure entry (as defined in [\[MS-FASP\]](#) section 2.2.29) of the pPlatforms field of the **FW\_OS\_PLATFORM\_LIST** structure as defined in [\[MS-FASP\]](#) section 2.2.30.

**Auth1Set=:** This token represents the **wszPhase1AuthSet** field of the **FW\_MM\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

**Crypto1Set=:** This token represents the **wszPhase1CryptoSet** field of the **FW\_MM\_RULE** structure. The STR\_VAL grammar rule represents a Unicode string that represents the contents of such field. This token MUST appear at most once in a rule string.

The semantic checks described in [\[MS-FASP\]](#) section 2.2.84 are also applicable to the main mode rules described in this section after following the mapping in each of the preceding tokens.

## 3 Protocol Details

### 3.1 Administrative Plug-in Details

The administrative plug-in mediates between the user interface (UI) and a remote data store that contains the Firewall and advanced security group policy extension settings. Its purpose is to receive Firewall and Advanced Security policy information from a UI and to write the same policy information to a remote data store.

#### 3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to explain how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that specified in this document.

The Firewall and Advanced Security Group Policy administrative plug-in relies on a collection of settings specified in section 2.2 and stored as a Unicode configuration file ([\[MS-GPREG\]](#) section 2.2) in a GPO using the Group Policy: Core Protocol specified in [\[MS-GPOL\]](#). The administrative plug-in parses and encodes these settings as specified in section 2.2 to perform its functions.

The Firewall and Advanced Security Group Policy administrative plug-in reads in these settings from the remote storage location GPO and displays them to an administrator through a UI.

An administrator can then use the UI to make further configuration changes, and the Firewall and Advanced Security Group Policy administrative plug-in will make corresponding changes to the name-value pairs stored in the aforementioned Unicode configuration file following the conventions of the grammars rules, registry values, and keys specified in section 2.2.

This conceptual data can be implemented using a variety of techniques. An implementation can implement such data using any method. [<5>](#)

This protocol also includes one ADM element, **Administered GPO (Public)**, which is directly accessed from Group Policy: Core Protocol, as specified in [\[MS-GPOL\]](#) section 3.3.1.3.

#### 3.1.2 Timers

None.

#### 3.1.3 Initialization

None.

#### 3.1.4 Higher-Layer Triggered Events

The Firewall and Advanced Security Group Policy administrative plug-in is invoked when an administrator launches the Group Policy Protocol Administrative Tool, as specified in [\[MS-GPOL\]](#) section 3.3.1.1. The Group Policy Protocol Administrative Tool passes the following parameters to the plug-in, as specified in [\[MS-GPOL\]](#) section 3.3.4.7.

Parameter	Description
GPO DN	The <b>distinguished name (DN)</b> for the GPO that is being updated. This is the <b>Administered GPO (Public)</b> ADM element, as specified in section <a href="#">3.1.1</a> .

Parameter	Description
Is User Policy	A Boolean value indicating whether this update is for user policy mode. If set to FALSE, this update is for computer policy mode. This parameter is ignored.

The plug-in displays the current settings to the administrator, and when the administrator requests a change in settings, it updates the stored configuration appropriately as specified in section [2.2](#), after performing additional checks and actions as noted in this section.

The administrative plug-in SHOULD [<6>](#) take measures in its UI to ensure that the user cannot unknowingly set the Firewall and Advanced Security policy settings to an invalid value. It SHOULD also make sure all references necessary for an object to work are appropriately configured (for example: ensure that non-default sets, which a connection security rule references, are also configured in the policy).

### 3.1.5 Message Processing Events and Sequencing Rules

The Firewall and Advanced Security (FASP) Group Policy administrative plug-in reads extension-specific data from the **Administered GPO** (as defined in section [3.1.1](#)) and will then pass that information to a UI to display the current settings to an administrator. The operations that the Firewall and Advanced Security Group Policy administrative plug-in uses to read extension-specific data from a GPO are detailed in [\[MS-GPREG\]](#) section 3.1.5.3.

It will also write the extension-specific configuration data to the **Administered GPO** if the administrator makes any changes to the existing configuration. The operations that the Firewall and Advanced Security Group Policy administrative plug-in uses to create, update, or delete the extension-specific data to a GPO are detailed in section [3.1.5.2](#).

Any additional entries in the configuration data that do not pertain to the configuration options specified in section [2.2](#), or that are not supported by the particular implementation, MUST be ignored by the plug-in.

The FASP Group Policy administrative plug-in queries and persists these settings in the "registry.pol" registry policy file under the **computer-scoped Group Policy Object path**. The "registry.pol" file is loaded and updated by invoking the events in [\[MS-GPREG\]](#) sections [3.1.4.1](#) and [3.1.4.2](#). No other policy files are accessed by this plug-in. The plug-in MUST use the registry policy file format specified in [\[MS-GPREG\]](#) section 2.2.1 to query and update the policy entries described in section 2.2 in the "registry.pol" file.

#### 3.1.5.1 Policy Administration Load Message Sequencing

The Group Policy: Firewall and Advanced Security Data Structure invokes the Load Policy Settings Event ([\[MS-GPREG\]](#), section [3.1.4.1](#)), computer-scoped Group Policy Object path of the **Administered GPO**, and receives a Policy Setting State ([\[MS-GPREG\]](#), section [3.2.1.1](#)).

#### 3.1.5.2 Policy Administration Update Message Sequencing

To update the Group Policy: Firewall and Advanced Security Data Structure settings, the administrative plug-in MUST perform the following operations, in order:

1. The administrative plug-in MUST invoke the Update Policy Event ([\[MS-GPREG\]](#) section 3.1.4.2), specifying the computer-scoped Group Policy Object path of the **Administered GPO** and the new Policy Setting State ([\[MS-GPREG\]](#) section 3.2.1.1).

2. The administrative plug-in MUST invoke the Group Policy Extension Update event specified in [\[MS-GPOL\]](#) section 3.3.4.4 with the following parameters:

- "GPO DN" is set to the distinguished name of the **Administered GPO**.
- "Is User Policy" is set to FALSE.
- "CSE GUID" is set to the Group Policy: Registry Extension Encoding CSE GUID (defined in [\[MS-GPREG\]](#) section 1.9).
- "TOOL GUID" is set to the Group Policy: Firewall and Advanced Security Data Structure Tool extension GUID (defined in [\[MS-GPFAS\]](#) section 1.9).

### 3.1.6 Timer Events

None.

### 3.1.7 Other Local Events

None.

## 3.2 Client Details

### 3.2.1 Abstract Data Model

The Group Policy: Firewall and Advanced Security Data Structure ([\[MS-GPFAS\]](#)) client maintains no state. However, it directly accesses the Policy Setting State from the Group Policy: Registry Extension Encoding, as specified in [\[MS-GPREG\]](#) section 3.2.1.1.

### 3.2.2 Timers

None.

### 3.2.3 Initialization

The Group Policy: Firewall and Advanced Security Data Structure client initializes when the host machine starts. The client MUST use an implementation-specific<7> method to register for notification of the Policy Application event, as defined in [\[MS-GPOL\]](#) section 3.2.7.3. The client MUST then query the registry using the key and value names defined in sections [2.2.1](#) through [2.2.7](#) to retrieve the initial policy settings. It MUST use the grammar rules defined in the same section to parse the values when necessary. Based on the data retrieved for these settings, the client MUST invoke the abstract interface SetGroupPolicyRSoPStore() (as specified in [\[MS-FASP\]](#) section 3.1.6.4) to modify the internal state of the Firewall and Advanced Security component.

### 3.2.4 Higher-Layer Triggered Events

None.

### 3.2.5 Message Processing Events and Sequencing Rules

None.

### 3.2.6 Timer Events

None.

## 3.2.7 Other Local Events

### 3.2.7.1 Policy Application Event

When Group Policy: Core Protocol signals the Policy Application event, the Group Policy: Firewall and Advanced Security Data Structure client MUST query the registry using the key and value names defined in sections [2.2.1](#) through [2.2.7](#) to retrieve the updated policy settings. It MUST use the grammar rules defined in the same section to parse the values when necessary. Based on the data retrieved for these settings, the client MUST invoke the abstract interface `SetGroupPolicyRSoPStore()` (as specified in [\[MS-FASP\]](#) section 3.1.6) to modify the internal state of the Firewall and Advanced Security component.

## 4 Protocol Examples

### 4.1 Configuration Options Messages

The following is an example of options that are configured to both enable the firewall and block inbound connections by default on the public profile.

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile

Value: "EnableFirewall"

Type: REG\_DWORD.

Size: 4

Data: 00000001

Value: "DefaultInboundAction"

Type: REG\_DWORD.

Size: 4

Data: 00000001

### 4.2 Firewall Rule Message

The following is an example of a settings message that encodes a firewall rule object to be applied on client computers.

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\FirewallRules.

Value: "{F7EE5C6D-6C90-456B-9166-E301B1305A56}"

Type: REG\_SZ.

Size: 540

Data:

"v2.10|Action=Allow|Active=TRUE|Dir=In|Protocol=6|Profile=Public|LPort=RPC|RPort=49000|LA4=192.168.1.0/255.255.255.0|LA4=192.168.0.0/255.255.255.0|RA4=LocalSubnet|RA6=LocalSubnet|App=c:\path\foo.exe|Name=Firewall Rule Test|Security=Authenticate|Security2\_9=An-NoEncap|"

### 4.3 Connection Security Rule Message

The following is an example of a settings message that encodes connection security rule objects to be applied on client computers.

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\ConSecRules.

Value: "{06BD9C7F-E80A-4A68-92A2-CCBF5351A60A}"

Type: REG\_SZ.

Size: 912



Data:

```
"v2.10|Action=Secure|Active=TRUE|Profile=Private|Profile=Public|EP2_6=2006:1601::/32|EP2_6=2a01:110::/31|EP2_6=2001:4898::-2001:4898:a0:5084:ffff:ffff:ffff:ffff|EP2_6=2001:4898:e0:7025::-2001:4898:ffff:ffff:ffff:ffff:ffff:ffff|RTunnel6_2=2001:4898:e0:3084::2|Name=Tunnel From Internet To Corp|Desc=|Auth1Set={D842F406-E895-406A-AC35-9837B6D499F4}|Auth2Set={A75A5046-E377-45CC-BD25-EC0F8E601CE1}|Crypto2Set={CD863A4F-CD94-4763-AD25-69A1378D51EB}|EmbedCtxt=|"
```

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\ConSecRules.

Value: "{797404C9-EEE0-4793-9271-9F09C834B902}"

Type: REG\_SZ.

Size: 480

Data:

```
"v2.10|Action=DoNotSecure|Protocol=6|Active=TRUE|EP1Port=5357|EP1Port=5358|EP1Port=5363|EP2_4=157.56.56.23|EP2_4=157.56.59.42|EP2_4=157.56.56.92|EP2_4=157.56.59.49|EP2_4=157.56.61.37|Name=Exempt TCP Ports on Specific boxes|Desc=|EmbedCtxt=|"
```

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\ConSecRules.

Value: "{840A0BA7-40F7-4ECE-A1E8-F9E8652F354B }"

Type: REG\_SZ.

Size: 462

```
Data: "v2.10|Action=SecureServer|Active=TRUE|Name=Domain Isolation Rule|Desc=AuthIP policy|Auth1Set={212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}|Auth2Set={967F0367-F879-42EC-938B-C89FE8289B26}|Crypto2Set={E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}|"
```

#### 4.4 Authentication Set Messages

The following are an example of a settings message that encodes authentication set objects to be applied on client computers and used by the connection security rule example in section [4.3](#).

##### 4.4.1 Authentication Set {212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}

The following messages encode a phase 1 authentication set with set id {212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}:

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}.

Value: "Version"

Type: REG\_SZ.

Size: 10

Data: "2.10"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}.

Value: "Name"

Type: REG\_SZ.

Size: 96

Data: "AuthIP Domain Isolation Rule - Phase 1 Auth Set"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}\0000

Value: "Method"

Type: REG\_SZ.

Size: 24

Data: "MachineKerb"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}\0001

Value: "Method"

Type: REG\_SZ.

Size: 24

Data: "MachineCert"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}\0001

Value: "HealthCert"

Type: REG\_SZ.

Size: 12

Data: "FALSE"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}\0001

Value: "CAName"

Type: REG\_SZ.

Size: 104

Data: "O=Contoso Corporation, CN=Contoso Corporate Root CA"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}\0001

Value: "CertAccountMapping"

Type: REG\_SZ.

Size: 12

Data: "FALSE"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{212D4E36-DB6E-4EAE-A65F-1C4615EBFDDDB}\0001

Value: "ExcludeCAName"

Type: REG\_SZ.

Size: 12

Data: "FALSE"

#### 4.4.2 Authentication Set {D842F406-E895-406A-AC35-9837B6D499F4}

The following messages encode a phase 1 authentication set with set id {D842F406-E895-406A-AC35-9837B6D499F4}:

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{D842F406-E895-406A-AC35-9837B6D499F4}.

Value: "Version"

Type: REG\_SZ.

Size: 10

Data: "2.10"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{D842F406-E895-406A-AC35-9837B6D499F4}\0000

Value: "Method"

Type: REG\_SZ.

Size: 24

Data: "MachineCert"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{D842F406-E895-406A-AC35-9837B6D499F4}\0000

Value: "HealthCert"

Type: REG\_SZ.

Size: 12

Data: "FALSE"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{D842F406-E895-406A-AC35-9837B6D499F4}\0000

Value: "CAName"

Type: REG\_SZ.

Size: 104

Data: "O=Contoso Corporation, CN=Contoso Corporate Root CA"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{D842F406-E895-406A-AC35-9837B6D499F4}\0000

Value: "CertAccountMapping"

Type: REG\_SZ.

Size: 12

Data: "FALSE"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase1AuthenticationSets\{D842F406-E895-406A-AC35-9837B6D499F4}\0000

Value: "ExcludeCAName"

Type: REG\_SZ.

Size: 12

Data: "FALSE"

#### **4.4.3 Authentication Set {A75A5046-E377-45CC-BD25-EC0F8E601CE1}**

The following messages encode a phase 2 authentication set with set id {A75A5046-E377-45CC-BD25-EC0F8E601CE1}:

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{A75A5046-E377-45CC-BD25-EC0F8E601CE1}.

Value: "Version"

Type: REG\_SZ.

Size: 10

Data: "2.10"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{A75A5046-E377-45CC-BD25-EC0F8E601CE1}\0000

Value: "Method"

Type: REG\_SZ.

Size: 18

Data: "UserKerb"

#### **4.4.4 Authentication Set {967F0367-F879-42EC-938B-C89FE8289B26}**

The following messages encode a phase 2 authentication set with set id {967F0367-F879-42EC-938B-C89FE8289B26}:

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{967F0367-F879-42EC-938B-C89FE8289B26}.

Value: "Version"

Type: REG\_SZ.

Size: 10

Data: "2.10"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{967F0367-F879-42EC-938B-C89FE8289B26}.

Value: "Name"

Type: REG\_SZ.

Size: 96

Data: "AuthIP Domain Isolation Rule - Phase 2 Auth Set"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{967F0367-F879-42EC-938B-C89FE8289B26}\0000

Value: "Method"

Type: REG\_SZ.

Size: 18

Data: "UserKerb"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{967F0367-F879-42EC-938B-C89FE8289B26}\0001

Value: "Method"

Type: REG\_SZ.

Size: 18

Data: "UserNTLM"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{967F0367-F879-42EC-938B-C89FE8289B26}\0002

Value: "Method"

Type: REG\_SZ.

Size: 18

Data: "UserCert"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{967F0367-F879-42EC-938B-C89FE8289B26}\0002

Value: "CAName"

Type: REG\_SZ.

Size: 24

Data: "CN=TPM Root"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{967F0367-F879-42EC-938B-C89FE8289B26}\0002

Value: "CertAccountMapping"

Type: REG\_SZ.

Size: 10

Data: "TRUE"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2AuthenticationSets\{967F0367-F879-42EC-938B-C89FE8289B26}\0003

Value: "Method"

Type: REG\_SZ.

Size: 20

Data: "Anonymous"

#### 4.4.5 Cryptographic Set Messages

The following are an example of a settings message that encodes authentication set objects to be applied on client computers and used by the connection security rule example in section [4.3](#).

##### 4.4.5.1 Cryptographic Set {CD863A4F-CD94-4763-AD25-69A1378D51EB}

The following messages encode a phase 2 cryptographic set with set id {CD863A4F-CD94-4763-AD25-69A1378D51EB}:

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}.

Value: "Version"

Type: REG\_SZ.

Size: 10

Data: "2.10"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}.

Value: "Name"

Type: REG\_SZ.

Size: 100

Data: "Tunnel From Internet To Corp - Phase 2 Crypto Set"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}.

Value: "PFS"

Type: REG\_SZ.

Size: 16

Data: "Disable"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0000

Value: "Protocol"

Type: REG\_SZ.

Size: 8

Data: "ESP"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0000

Value: "Encryption"

Type: REG\_SZ.

Size: 16

Data: "AES-128"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0000

Value: "EspHash"

Type: REG\_SZ.

Size: 10

Data: "SHA1"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0000

Value: "TimeOutMinutes"

Type: REG\_SZ.

Size: 6

Data: "60"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0000

Value: "TimeOutKbytes"

Type: REG\_SZ.

Size: 14

Data: "100000"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0001

Value: "Protocol"

Type: REG\_SZ.

Size: 8

Data: "ESP"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0001

Value: "Encryption"

Type: REG\_SZ.

Size: 10

Data: "3DES"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0001

Value: "EspHash"

Type: REG\_SZ.

Size: 10

Data: "SHA1"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0001

Value: "TimeOutMinutes"

Type: REG\_SZ.

Size: 6

Data: "60"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{CD863A4F-CD94-4763-AD25-69A1378D51EB}\0001

Value: "TimeOutKbytes"

Type: REG\_SZ.



Size: 14

Data: "100000"

#### 4.4.5.2 Cryptographic Set {E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}

The following messages encode a phase 2 cryptographic set with set id {E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}:

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}.

Value: "Version"

Type: REG\_SZ.

Size: 10

Data: "2.10"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}.

Value: "Name"

Type: REG\_SZ.

Size: 100

Data: "AuthIP Domain Isolation Rule - Phase 2 Crypto Set"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}.

Value: "PFS"

Type: REG\_SZ.

Size: 16

Data: "Disable"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0000

Value: "Protocol"

Type: REG\_SZ.

Size: 8

Data: "ESP"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0000

Value: "EspHash"

Type: REG\_SZ.

Size: 10

Data: "SHA1"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0000

Value: "TimeOutMinutes"

Type: REG\_SZ.

Size: 6

Data: "60"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0000

Value: "TimeOutKbytes"

Type: REG\_SZ.

Size: 22

Data: "2147483647"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0001

Value: "Protocol"

Type: REG\_SZ.

Size: 8

Data: "ESP"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0001

Value: "2\_1EspHash"

Type: REG\_SZ.

Size: 22

Data: "AES-GCM128"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0001

Value: "TimeOutMinutes"

Type: REG\_SZ.

Size: 6

Data: "60"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0001

Value: "TimeOutKbytes"

Type: REG\_SZ.

Size: 22

Data: "2147483647"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0001

Value: "SkipVersion"

Type: REG\_SZ.

Size: 8

Data: "2.0"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0002

Value: "Protocol"

Type: REG\_SZ.

Size: 6

Data: "AH"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0002

Value: "AhHash"

Type: REG\_SZ.

Size: 10

Data: "SHA1"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0002

Value: "TimeOutMinutes"

Type: REG\_SZ.

Size: 6

Data: "60"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0002

Value: "TimeOutKbytes"

Type: REG\_SZ.

Size: 22

Data: "2147483647"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0003

Value: "Protocol"

Type: REG\_SZ.

Size: 8

Data: "ESP"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0003

Value: "Encryption"

Type: REG\_SZ.

Size: 10

Data: "3DES"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0003

Value: "EspHash"

Type: REG\_SZ.

Size: 10

Data: "SHA1"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0003

Value: "TimeOutMinutes"

Type: REG\_SZ.

Size: 6

Data: "60"

Key: SOFTWARE\Policies\Microsoft\WindowsFirewall\Phase2CryptoSets\{E9A15CB6-DFC4-41F8-8D14-CA62A4EC708F}\0003

Value: "TimeOutKbytes"

Type: REG\_SZ.

Size: 22

Data: "2147483647"

## 5 Security

### 5.1 Security Considerations for Implementers

Implementers SHOULD NOT transmit passwords or other sensitive data through this protocol. The primary reason for this restriction is that the protocol provides no encryption, and therefore sensitive data transmitted through this protocol can be intercepted easily by an unauthorized user with access to the network carrying the data. For example, if a network administrator configured a Group Policy: Registry Extension Encoding setting in a GPO to instruct a computer to use a specific password when accessing a certain network resource, this protocol would send that password unencrypted to those computers. A person gaining unauthorized access, intercepting the protocol's network packets in this case, would then discover the password for that resource that would then be unprotected from the unauthorized person.

### 5.2 Index of Security Parameters

None.

## 6 Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Windows Vista operating system
- Windows Server 2008 operating system
- Windows 7 operating system
- Windows Server 2008 R2 operating system
- Windows 8 operating system
- Windows Server 2012 operating system
- Windows 8.1 operating system
- Windows Server 2012 R2 operating system

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

[<1> Section 1.7:](#) The maximum supported schema versions (the inherent schema version) for each Windows operating system is as follows:

- Windows Vista uses version 0x0200.
- Windows Vista SP1 and later and Windows Server 2008 use version 0x0201.
- Windows 7 and Windows Server 2008 R2 use version 0x020A.
- Windows 8 and Windows Server 2012 use version 0x0214.
- Windows 8.1 and Windows Server 2012 R2 use version 0x0216.

[<2> Section 2.2.2.20:](#) WFDDisplay is only available on Windows 8.1 and Windows Server 2012 R2.

[<3> Section 2.2.2.20:](#) WFDDisplay is only available on Windows 8.1 and Windows Server 2012 R2.

[<4> Section 2.2.2.20:](#) WFDDisplay is only available on Windows 8.1 and Windows Server 2012 R2.

[<5> Section 3.1.1:](#) The Firewall and Advanced Security configuration data is stored in registry keys of the managed computer as specified in section [2.2.1](#) and its subsections.

[<6> Section 3.1.4:](#) Windows administrative tools verify the validity of the objects as defined in section [2.2](#) before writing them to the remote store through Group Policy: Registry Extension Encoding.

<7> [Section 3.2.3](#): The Windows implementation of the Group Policy: Firewall and Advanced Security Data Structure client uses the RegisterGPNotification API to receive a notification when there is a change in policy (for more information, see [\[MSDN-RegisterGPNotification\]](#)).



## 7 Appendix B: Full ABNF Grammar

The following sections list the complete grammar rules of the policy setting that are encoded using ABNF syntax for implementers of Group Policy: Firewall and Advanced Security Group Policy Extension Encoding.

```
PROFILE_VAL = "Domain" / "Private" / "Public"

PORT_RANGE_VAL = BEGINPORT "-" ENDPORT
PORT_VAL = SINGLEPORT

BEGINPORT = PORT
ENDPORT = PORT
SINGLEPORT = PORT

PORT = 1*5DIGIT

LPORT_KEYWORD_VAL = "RPC" / "RPC-EPMap" / "Teredo"
LPORT_KEYWORD_VAL_2_10 = "IPTLSIn" / "IPHTTPSIn"
RPORT_KEYWORD_VAL_2_10 = "IPTLSOut" / "IPHTTPSOut"

DIR_VAL = "In" / "Out"

ACTION_VAL = "Allow" / "Block" / "ByPass"

IFSECURE_VAL = "Authenticate" / "AuthenticateEncrypt"
IFSECURE2_9_VAL = "An-NoEncap"
IFSECURE2_10_VAL = "AnE-Nego"

IF_VAL = GUID

IFTYPE_VAL = "Lan" / "Wireless" / "RemoteAccess"

ADDRESSV4_RANGE_VAL = BEGINADDRV4 "-" ENDADDRV4
ADDRESSV4_RANGE_VAL = SINGLEADDRV4

BEGINADDRV4 = ADDR4
ENDADDRV4 = ADDR4
SINGLEADDRV4 = ADDR4

ADDR4 = 1*3DIGIT "."1*3DIGIT "."1*3DIGIT "."1*3DIGIT

ADDRESSV4_SUBNET_VAL = SUBNET_ADDRV4 "/" V4PREFIX_LENHT
ADDRESSV4_SUBNET_VAL = SUBNET_ADDRV4 "/" MASK_ADDRV4

V4PREFIX_LENHT = 1*2DIGIT

SUBNET_ADDRV4 = ADDR4
MASK_ADDRV4 = ADDR4

ADDRESSV6_RANGE_VAL = BEGINADDRV6 "-" ENDADDRV6
ADDRESSV6_RANGE_VAL = SINGLEADDRV6

BEGINADDRV6 = ADDR6
ENDADDRV6 = ADDR6
SINGLEADDRV6 = ADDR6

ADDRESSV6_SUBNET_VAL = SUBNET_ADDRV6 "/" V6PREFIX_LENHT
```

```

V6PREFIX_LENHT = 1*3DIGIT

SUBNET_ADDRV6 = ADDR6

ADDRESS_KEYWORD_VAL = "LocalSubnet" / "DNS" / "DHCP" / "WINS" / DefaultGateway"

BOOL_VAL = "TRUE" / "FALSE"

DEFER_VAL = "App" / "User"

ICMP_TYPE_CODE_VAL = TYPE ":" CODE

TYPE = 1*3DIGIT

CODE = 1*3DIGIT
CODE =/ "*"

PLATFORM_VAL = PLATFORM ":" OS_MAJOR_VER ":" OS_MINOR_VER

PLATFORM = 1DIGIT
OS_MAJOR_VER = 1*3DIGIT
OS_MINOR_VER = 1*3DIGIT

PLATFORM_OP_VAL = "GTEQ"

RULE = "v" VERSION "|" 1*FIELD

FIELD = TYPE_VALUE "|"

TYPE_VALUE = "Action=" ACTION_VAL
TYPE_VALUE =/ "Dir=" DIR_VAL
TYPE_VALUE =/ "Profile=" PROFILE_VAL
TYPE_VALUE =/ "Protocol=" 1*3DIGIT ; protocol is maximum 3 digits (255)
TYPE_VALUE =/ "LPort=" ( PORT_VAL / LPORT_KEYWORD_VAL )
TYPE_VALUE =/ "RPort=" PORT_VAL
TYPE_VALUE =/ "LPort2_10=" ( PORT_RANGE_VAL / LPORT_KEYWORD_VAL_2_10 )
TYPE_VALUE =/ "RPort2_10=" ( PORT_RANGE_VAL / RPORT_KEYWORD_VAL_2_10 )
TYPE_VALUE =/ "Security=" IFSECURE_VAL
TYPE_VALUE =/ "Security2_9=" IFSECURE2_9_VAL
TYPE_VALUE =/ "Security2=" IFSECURE2_10_VAL
TYPE_VALUE =/ "IF=" IF_VAL
TYPE_VALUE =/ "IFType=" IFTYPE_VAL
TYPE_VALUE =/ "App=" APP_VAL
TYPE_VALUE =/ "Svc=" SVC_VAL
TYPE_VALUE =/ "LA4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL )
TYPE_VALUE =/ "RA4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "LA6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL )
TYPE_VALUE =/ "RA6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "Name=" STR_VAL
TYPE_VALUE =/ "Desc=" STR_VAL
TYPE_VALUE =/ "EmbedCtxt=" STR_VAL
TYPE_VALUE =/ "Edge=" BOOL_VAL
TYPE_VALUE =/ "Defer=" DEFER_VAL
TYPE_VALUE =/ "LSM=" BOOL_VAL
TYPE_VALUE =/ "Active=" BOOL_VAL
TYPE_VALUE =/ "ICMP4=" ICMP_TYPE_CODE_VAL
TYPE_VALUE =/ "ICMP6=" ICMP_TYPE_CODE_VAL
TYPE_VALUE =/ "Platform=" PLATFORM_VAL

```

```

TYPE_VALUE =/ "RMAuth=" STR_VAL
TYPE_VALUE =/ "RUAAuth=" STR_VAL
TYPE_VALUE =/ "AuthByPassOut=" BOOL_VAL
TYPE_VALUE =/ "SkipVer=" VERSION

VERSION = MAJOR_VER "." MINOR_VER

MAJOR_VER = 1*3DIGIT
MINOR_VER = 1*3DIGIT

APP_VAL = 1*ALPHANUM
SVC_VAL = "*" / 1*ALPHANUM

STR_VAL = 1*ALPHANUM

INTERFACES_VAL = [ *1INTF_FIELD / INTF_FIELD 1*INTF_FIELD_SEQ ]
INTF_FIELD = "{" GUID "}"
INTF_FIELD_SEQ = "," INTF_FIELD

PHASE1_AUTH_METHOD_VAL = "Anonymous" / "MachineKerb" / "MachineCert"
PHASE1_AUTH_METHOD_VAL =/ "MachineSHKey" / "MachineNtlm"

PHASE2_AUTH_METHOD_VAL = "Anonymous" / "MachineCert" / "UserKerb"
PHASE2_AUTH_METHOD_VAL =/ "UserCert" / "UserNtlm"

TIMEOUT_MIN_VAL = 1*8DIGIT
TIMEOUT_SESS_VAL = 1*10DIGIT
PFS_VAL = "Disable" / "EnableDHFromPhase1" / "ReKeyDH1" / "ReKeyDH2" / "ReKeyDH2048"
PFS_VAL =/ "ReKeyECDH256" / "ReKeyECDH384"

KEY_EXCHANGE_VAL = "DH1" / "DH2" / "DH2048" / "ECDH-256" / "ECDH-384"
ENCRYPTION_VAL = "DES" / "3DES" / "AES-128" / "AES-192" / "AES-256"
HASH_VAL = "MD5" / "SHA1"
HASH2_1_VAL = "SHA256" / "SHA384"
PROTOCOL_VAL = "AH" / "ESP" / "AH&ESP"
ENCRYPTION2_1_VAL = "AES-GCM128" / "AES-GCM192" / "AES-GCM256"
AH_ESP_HASH2_1_VAL = "SHA256" / "AES-GCM128" / "AES-GCM192" / "AES-GCM256"
PROTOCOL2_9_VAL = "AUTH_NO_ENCAP"

CS_ACTION_VAL = "SecureServer" / "Boundary" / "Secure" / "DoNotSecure"

CSRULE = "v" VERSION "|" 1*FIELD

FIELD = TYPE_VALUE "|"

TYPE_VALUE = "Action=" CS_ACTION_VAL
TYPE_VALUE =/ "Profile=" PROFILE_VAL
TYPE_VALUE =/ "Protocol=" 1*3DIGIT ; protocol is maximum 3 digits (255)
TYPE_VALUE =/ "EP1Port=" PORT_VAL
TYPE_VALUE =/ "EP2Port=" PORT_VAL
TYPE_VALUE =/ "EP1Port2_10=" PORT_RANGE_VAL
TYPE_VALUE =/ "EP2Port2_10=" PORT_RANGE_VAL
TYPE_VALUE =/ "IF=" IF_VAL
TYPE_VALUE =/ "IFType=" IFTYPE_VAL
TYPE_VALUE =/ "Auth1Set=" STR_VAL
TYPE_VALUE =/ "Auth2Set=" STR_VAL
TYPE_VALUE =/ "Crypto2Set=" STR_VAL
TYPE_VALUE =/ "EP1_4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )

```

```

TYPE_VALUE =/ "EP2_4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP1_6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP2_6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "Name=" STR_VAL
TYPE_VALUE =/ "Desc=" STR_VAL
TYPE_VALUE =/ "EmbedCtxt=" STR_VAL
TYPE_VALUE =/ "Active=" BOOL_VAL
TYPE_VALUE =/ "Platform=" PLATFORM_VAL
TYPE_VALUE =/ "SkipVer=" VERSION
TYPE_VALUE =/ "Platform2=" PLATFORM_OP_VAL
TYPE_VALUE =/ "SecureInClearOut=" BOOL_VAL
TYPE_VALUE =/ "ByPassTunnel=" BOOL_VAL
TYPE_VALUE =/ "Authz=" BOOL_VAL
TYPE_VALUE =/ "RTunnel4=" ADDRv4
TYPE_VALUE =/ "RTunnel6=" ADDRv6
TYPE_VALUE =/ "LTunnel4=" ADDRv4
TYPE_VALUE =/ "LTunnel6=" ADDRv6
TYPE_VALUE =/ "RTunnel4_2=" ADDRv4
TYPE_VALUE =/ "RTunnel6_2=" ADDRv6
TYPE_VALUE =/ "LTunnel4_2=" ADDRv4
TYPE_VALUE =/ "LTunnel6_2=" ADDRv6

```

```
MMRULE = "v" VERSION "|" 1*FIELD
```

```
FIELD = TYPE_VALUE "|"
```

```

TYPE_VALUE =/ "Profile=" PROFILE_VAL
TYPE_VALUE =/ "Auth1Set=" STR_VAL
TYPE_VALUE =/ "Crypto1Set=" STR_VAL
TYPE_VALUE =/ "EP1_4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP2_4=" ( ADDRESSV4_RANGE_VAL / ADDRESSV4_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP1_6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "EP2_6=" ( ADDRESSV6_RANGE_VAL / ADDRESSV6_SUBNET_VAL / ADDRESS_KEYWORD_VAL )
TYPE_VALUE =/ "Name=" STR_VAL
TYPE_VALUE =/ "Desc=" STR_VAL
TYPE_VALUE =/ "EmbedCtxt=" STR_VAL
TYPE_VALUE =/ "Active=" BOOL_VAL
TYPE_VALUE =/ "Platform=" PLATFORM_VAL
TYPE_VALUE =/ "SkipVer=" VERSION

```

## 8 Change Tracking

This section identifies changes that were made to the [MS-GPFAS] protocol document between the November 2013 and February 2014 releases. Changes are classified as New, Major, Minor, Editorial, or No change.

The revision class **New** means that a new document is being released.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements or functionality.
- The removal of a document from the documentation set.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **Editorial** means that the formatting in the technical content was changed. Editorial changes apply to grammatical, formatting, and style issues.

The revision class **No change** means that no new technical changes were introduced. Minor editorial and formatting changes may have been made, but the technical content of the document is identical to the last released version.

Major and minor changes can be described further using the following change types:

- New content added.
- Content updated.
- Content removed.
- New product behavior note added.
- Product behavior note updated.
- Product behavior note removed.
- New protocol syntax added.
- Protocol syntax updated.
- Protocol syntax removed.
- New content added due to protocol revision.
- Content updated due to protocol revision.
- Content removed due to protocol revision.
- New protocol syntax added due to protocol revision.
- Protocol syntax updated due to protocol revision.
- Protocol syntax removed due to protocol revision.

- Obsolete document removed.

Editorial changes are always classified with the change type **Editorially updated**.

Some important terms used in the change type descriptions are defined as follows:

- **Protocol syntax** refers to data elements (such as packets, structures, enumerations, and methods) as well as interfaces.
- **Protocol revision** refers to changes made to a protocol that affect the bits that are sent over the wire.

The changes made to this document are listed in the following table. For more information, please contact [dochelp@microsoft.com](mailto:dochelp@microsoft.com).

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
<a href="#">1.7 Versioning and Capability Negotiation</a>	69340 Changed policy versions for the protocol versions.	Y	Content updated.
<a href="#">2.2.1.1 Disable Stateful FTP</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.1.2 Disable Stateful PPTP</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.1.14 Packet Queue</a>	66686 Added section.	Y	Content updated.
<a href="#">2.2.3.1 Enable Firewall</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.2 Disable Stealth Mode</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.3 Shield Up Mode</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.4 Disable Unicast Responses to Multicast and Broadcast Traffic</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.5 Log Dropped Packets</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.6 Log Successful Connections</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.7 Log Ignored Rules</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.10 Disable Inbound Notifications</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.11 Allow Authenticated Applications User</a>	Specified that the Data field is an	Y	Content

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
<a href="#">Preference Merge</a>	unsigned, 32-bit integer.		updated.
<a href="#">2.2.3.12 Allow Globally Open Ports User Preference Merge</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.13 Allow Local Firewall Rule Policy Merge</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.14 Allow Local IPsec Policy Merge</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">2.2.3.15 Disabled Interfaces</a>	69342 Changed INT_FIELD to INTF_FIELD in code snippet.	Y	Content updated.
<a href="#">2.2.3.18 Disable Stealth Mode for IPsec Secured Packets</a>	Specified that the Data field is an unsigned, 32-bit integer.	Y	Content updated.
<a href="#">Z Appendix B: Full ABNF Grammar</a>	69342 Changed INT_FIELD to INTF_FIELD.	Y	Content updated.

## 9 Index

### A

- [ABNF grammars](#) 89
- Abstract data model
  - [administrative plug-in](#) 68
  - [client](#) 70
- [Action tokens](#) 19
- [Address keyword rules](#) 22
- Administrative plug-in
  - [abstract data model](#) 68
  - [higher-layer triggered events](#) 68
  - [initialization](#) 68
  - [local events](#) 70
  - message processing
    - [overview](#) 69
  - policy administration
    - [load message sequencing](#) 69
    - [update message sequencing](#) 69
  - [overview](#) 68
  - sequencing rules
    - [overview](#) 69
  - policy administration
    - [load message sequencing](#) 69
    - [update message sequencing](#) 69
  - [timer events](#) 70
  - [timers](#) 68
- Allow
  - [authenticated applications user preference merge](#) 35
  - [globally open ports user preference merge](#) 35
  - [local firewall rule policy merge](#) 35
  - [local IPsec policy merge](#) 36
- [Applicability](#) 11
- Authentication
  - [set messages example](#) 73
  - [sets](#) 37

### B

- [Boolean rules](#) 23

### C

- [Capability negotiation](#) 11
- [Certificate revocation list check](#) 15
- [Change tracking](#) 93
- Client
  - [abstract data model](#) 70
  - [higher-layer triggered events](#) 70
  - [initialization](#) 70
  - [local events - policy application](#) 71
  - [message processing](#) 70
  - [sequencing rules](#) 70
  - [timer events](#) 70
  - [timers](#) 70
- [Configuration options messages example](#) 72
- Connection security
  - [action tokens](#) 58

- [rule](#) 58
- [rule grammar rule](#) 58
- [rule message example](#) 72
- [rule messages](#) 58
- [Cryptographic sets](#) 46

### D

- Data model - abstract
  - [administrative plug-in](#) 68
  - [client](#) 70
- Default
  - [inbound action](#) 37
  - [outbound action](#) 36
- Description
  - [authentication sets](#) 39
  - [cryptographic sets](#) 47
- [Direction tokens](#) 19
- Disable
  - [inbound notifications](#) 34
  - stateful
    - [FTP](#) 13
    - [PPTP](#) 13
    - [stealth mode](#) 32
    - [unicast responses to multicast and broadcast traffic](#) 32
- [Disabled interfaces](#) 36

### E

- [Edge defer rules](#) 23
- EmbeddedContext
  - [authentication sets](#) 39
  - [cryptographic sets](#) 48
- [Enable firewall](#) 31
- Examples
  - [authentication set messages](#) 73
  - [configuration options messages](#) 72
  - [connection security rule message](#) 72
  - [firewall rule message](#) 72

### F

- [Fields - vendor-extensible](#) 12
- Firewall
  - [rule](#) 24
  - [rule grammar rule](#) 24
  - [rule message example](#) 72
  - [rule messages](#) 17
- [Full ABNF grammars](#) 89

### G

- [Global policy configuration options](#) 13
- [Glossary](#) 7

### H



Higher-layer triggered events  
[administrative plug-in](#) 68  
[client](#) 70

## I

[ICMP type code rules](#) 23  
[IfSecure tokens](#) 19  
[Implementer - security considerations](#) 86  
[Index of security parameters](#) 86  
[Informative references](#) 8  
Initialization  
[administrative plug-in](#) 68  
[client](#) 70  
[Interface types](#) 20  
[Interfaces](#) 20  
[Introduction](#) 7  
IPsec  
[exemptions](#) 14  
[through NATs](#) 15  
IPv4 address  
[range rules](#) 20  
[subnet rules](#) 21  
IPv6 address  
[range rules](#) 21  
[subnet rules](#) 22

## L

Local events  
[administrative plug-in](#) 70  
[client - policy application](#) 71  
Log  
[dropped packets](#) 33  
[file path](#) 34  
[ignored rules](#) 33  
[successful connections](#) 33

## M

Main mode  
[rule](#) 64  
[rule grammar rule](#) 64  
[rule messages](#) 64  
[Maximum log file size](#) 34  
Message processing  
administrative plug-in  
[overview](#) 69  
policy administration  
[load message sequencing](#) 69  
[update message sequencing](#) 69  
[client](#) 70  
Messages  
[action tokens](#) 19  
[address keyword rules](#) 22  
allow  
[authenticated applications user preference merge](#) 35  
[globally open ports user preference merge](#) 35  
[local firewall rule policy merge](#) 35  
[local IPsec policy merge](#) 36  
[authentication sets](#) 37

[Boolean rules](#) 23  
[certificate revocation list check](#) 15  
connection security  
[action tokens](#) 58  
[rule](#) 58  
[rule grammar rule](#) 58  
[rule messages](#) 58  
[cryptographic sets](#) 46  
default  
[inbound action](#) 37  
[outbound action](#) 36  
description  
[authentication sets](#) 39  
[cryptographic sets](#) 47  
[direction tokens](#) 19  
disable  
[inbound notifications](#) 34  
stateful  
[FTP](#) 13  
[PPTP](#) 13  
[stealth mode](#) 32  
[unicast responses to multicast and broadcast traffic](#) 32  
[disabled interfaces](#) 36  
[edge defer rules](#) 23  
EmbeddedContext  
[authentication sets](#) 39  
[cryptographic sets](#) 48  
[enable firewall](#) 31  
firewall  
[rule](#) 24  
[rule grammar rule](#) 24  
[rule messages](#) 17  
[global policy configuration options](#) 13  
[ICMP type code rules](#) 23  
[IfSecure tokens](#) 19  
[interface types](#) 20  
[interfaces](#) 20  
IPsec  
[exemptions](#) 14  
[through NATs](#) 15  
IPv4 address  
[range rules](#) 20  
[subnet rules](#) 21  
IPv6 address  
[range rules](#) 21  
[subnet rules](#) 22  
log  
[dropped packets](#) 33  
[file path](#) 34  
[ignored rules](#) 33  
[successful connections](#) 33  
main mode  
[rule](#) 64  
[rule grammar rule](#) 64  
[rule messages](#) 64  
[maximum log file size](#) 34  
name  
[authentication sets](#) 39  
[cryptographic sets](#) 47  
[per-profile policy configuration options](#) 31

- phase 1
  - [do not skip Diffie Hellman](#) 48
  - [suite keys](#) 50
  - [time out in minutes](#) 48
  - [time out in sessions](#) 49
- phase 1 auth suite
  - [certificate account mapping](#) 41
  - [certificate authority names](#) 41
  - [exclude CA name](#) 41
  - [health cert](#) 42
  - [intermediate CA](#) 43
  - [methods](#) 40
  - [other certificate signing](#) 42
  - [preshared key](#) 41
  - [skip version](#) 42
- phase 1 suite
  - [2.1 hash algorithm](#) 52
  - [encryption algorithm](#) 50
  - [hash algorithm](#) 51
  - [key exchange algorithm](#) 50
  - [skip version](#) 51
- phase 2
  - [perfect forward secrecy](#) 49
  - [suite keys](#) 53
- phase 2 auth suite
  - [certificate account mapping](#) 41
  - [certificate authority names](#) 41
  - [health cert](#) 42
  - [intermediate CA](#) 43
  - [methods](#) 40
  - [other certificate signing](#) 42
  - [preshared key](#) 41
  - [skip version](#) 42
- phase 2 suite
  - [2.1 AH hash algorithm](#) 56
  - [2.1 encryption algorithm](#) 55
  - [2.1 ESP hash algorithm](#) 56
  - [2.9 protocol](#) 57
  - [AH protocol hash algorithm](#) 54
  - [encryption algorithm](#) 53
  - [ESP protocol hash algorithm](#) 54
  - [protocol](#) 53
  - [skip version](#) 55
  - [time out in kilobytes](#) 55
  - [time out in minutes](#) 54
- platform validity
  - [operators rules](#) 24
  - [rules](#) 24
- [policy version](#) 16
- [port and port range rules](#) 18
- [port keyword rules](#) 18
- [preshared key encoding](#) 14
- [profile tokens](#) 18
- [security associations idle time](#) 14
- [shield up mode](#) 32
- [suite keys](#) 39
- [transport](#) 13
- tunnel remote
  - [machine authorization list](#) 16
  - [user authorization list](#) 16
- version
  - [authentication sets](#) 38
  - [cryptographic sets](#) 47

**N**

- Name
  - [authentication sets](#) 39
  - [cryptographic sets](#) 47
  - [Normative references](#) 7

**O**

- Overview
  - [background](#) 8
  - [firewall and advanced security extension encoding](#) 9
  - [synopsis](#) 8

**P**

- [Parameters - security index](#) 86
- [Per-profile policy configuration options](#) 31
- Phase 1
  - [do not skip Diffie Hellman](#) 48
  - [suite keys](#) 50
  - [time out in minutes](#) 48
  - [time out in sessions](#) 49
- Phase 1 auth suite
  - [certificate account mapping](#) 41
  - [certificate authority names](#) 41
  - [exclude CA name](#) 41
  - [health cert](#) 42
  - [intermediate CA](#) 43
  - [methods](#) 40
  - [other certificate signing](#) 42
  - [preshared key](#) 41
  - [skip version](#) 42
- Phase 1 suite
  - [2.1 hash algorithm](#) 52
  - [encryption algorithm](#) 50
  - [hash algorithm](#) 51
  - [key exchange algorithm](#) 50
  - [skip version](#) 51
- Phase 2
  - [perfect forward secrecy](#) 49
  - [suite keys](#) 53
- Phase 2 auth suite
  - [certificate account mapping](#) 41
  - [certificate authority names](#) 41
  - [health cert](#) 42
  - [intermediate CA](#) 43
  - [methods](#) 40
  - [other certificate signing](#) 42
  - [preshared key](#) 41
  - [skip version](#) 42
- Phase 2 suite
  - [2.1 AH hash algorithm](#) 56
  - [2.1 encryption algorithm](#) 55
  - [2.1 ESP hash algorithm](#) 56
  - [2.9 protocol](#) 57
  - [AH protocol hash algorithm](#) 54
  - [encryption algorithm](#) 53

- [ESP protocol hash algorithm](#) 54
- [protocol](#) 53
- [skip version](#) 55
- [time out in kilobytes](#) 55
- [time out in minutes](#) 54
- Platform validity
  - [operators rules](#) 24
  - [rules](#) 24
- [Policy version](#) 16
- [Port and port range rules](#) 18
- [Port keyword rules](#) 18
- [Preconditions](#) 11
- [Prerequisites](#) 11
- [Preshared key encoding](#) 14
- [Product behavior](#) 87
- [Profile tokens](#) 18

- [authentication sets](#) 38
- [cryptographic sets](#) 47
- [Versioning](#) 11

## R

- References
  - [informative](#) 8
  - [normative](#) 7
- [Relationship to other protocols](#) 10

## S

- Security
  - [implementer considerations](#) 86
  - [parameter index](#) 86
- [Security associations idle time](#) 14
- Sequencing rules
  - administrative plug-in
    - [overview](#) 69
    - policy administration
      - [load message sequencing](#) 69
      - [update message sequencing](#) 69
  - [client](#) 70
- [Shield up mode](#) 32
- [Standards assignments](#) 12
- [Suite keys](#) 39

## T

- Timer events
  - [administrative plug-in](#) 70
  - [client](#) 70
- Timers
  - [administrative plug-in](#) 68
  - [client](#) 70
- [Tracking changes](#) 93
- [Transport](#) 13
- Triggered events
  - [administrative plug-in](#) 68
  - [client](#) 70
- Tunnel remote
  - [machine authorization list](#) 16
  - [user authorization list](#) 16

## V

- [Vendor-extensible fields](#) 12
- Version