[MS-FASP]: Firewall and Advanced Security Protocol

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1 Introduction

The Firewall and Advanced Security Protocol describes managing security policies on remote computers. The specific policies that this protocol manages are those of the firewall and advanced security components. The protocol allows the same functionality that is available locally; it can add, modify, delete, and enumerate policies. It can also enumerate **security associations** that can be generated between hosts after this policy is enforced.

Sections 1.8, 2, and 3 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. Sections 1.5 and 1.9 are also normative but cannot contain those terms. All other sections and examples in this specification are informative.

1.1 Glossary

The following terms are defined in [MS-GLOS]:

authentication header (AH) Authenticated IP (AuthIP) certificate authority (CA) certificate revocation lists (CRL) dynamic endpoint Dynamic Host Configuration Protocol (DHCP) endpoint extended key usage (EKU) fully qualified domain name (FODN) globally unique identifier (GUID) **Group Policy** Group Policy Object (GPO) Interface Definition Language (IDL) Internet Kev Exchange (IKE) Internet Protocol Security (IPsec) Internet Protocol version 4 (IPv4) Internet Protocol version 6 (IPv6) **Kerberos Key Distribution Center (KDC)** locally unique identifier (LUID) Network Data Representation (NDR) opnum perfect forward secrecy (PFS) relative distinguished name (RDN) remote procedure call (RPC) **Rivest-Shamir-Adleman (RSA) RPC** protocol sequence **RPC transport** security association (SA) security identifier (SID) Security Support Provider Interface (SSPI) **Transmission Control Protocol (TCP)** Transport Layer Security (TLS) universally unique identifier (UUID)

The following terms are specific to this document:

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- **common criteria mode:** A computer system is said to be operating in **common criteria mode** when it conforms to all the security functional requirements specified in [CCITSE3.1-3], Part 2.
- **edge firewall:** A firewall that's connected to two networks: an internal network and an external network, usually the Internet.
- **Internet Key Exchange (IKEv2):** The protocol that is used to negotiate and provide authenticated keying material for **security associations (SA)** in a protected manner. For more information, see [RFC4306].
- **stealth mode:** A firewall is said to be operating in stealth mode when it prevents the host computer from responding to unsolicited network traffic.
- **MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as described in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact <u>dochelp@microsoft.com</u>. We will assist you in finding the relevant information.

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[CCITSE3.1-3] CCRA, "Common Criteria for Information Technology Security Evaluation", version 3.1-3, July 2009, <u>http://www.commoncriteriaportal.org/cc/</u>

[MS-AIPS] Microsoft Corporation, "Authenticated Internet Protocol".

[MS-DTYP] Microsoft Corporation, "Windows Data Types".

[MS-ERREF] Microsoft Corporation, "Windows Error Codes".

[MS-GPFAS] Microsoft Corporation, "Group Policy: Firewall and Advanced Security Data Structure".

[MS-IKEE] Microsoft Corporation, "Internet Key Exchange Protocol Extensions".

[MS-IPHTTPS] Microsoft Corporation, "IP over HTTPS (IP-HTTPS) Tunneling Protocol".

[MS-KILE] Microsoft Corporation, "Kerberos Protocol Extensions".

[MS-NLMP] Microsoft Corporation, "NT LAN Manager (NTLM) Authentication Protocol".

[MS-RPCE] Microsoft Corporation, "Remote Procedure Call Protocol Extensions".

[NEGOEX-DRAFT] Short, M., Zhu, L., Damour, K., and McPherson, D., "The Extended GSS-API Negotiation Mechanism (NEGOEX)", December 2010, <u>http://tools.ietf.org/id/draft-zhu-negoex-02.txt</u>

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[IETF-TEREDO-UPDTS] Internet Engineering Task Force (IETF), "Teredo Security Updates", http://tools.ietf.org/html/draft-krishnan-v6ops-teredo-update-05

[MS-DLNHND] Microsoft Corporation, "<u>Digital Living Network Alliance (DLNA) Networked Device</u> <u>Interoperability Guidelines: Microsoft Extensions</u>".

[MS-GLOS] Microsoft Corporation, "Windows Protocols Master Glossary".

[MS-GPOL] Microsoft Corporation, "Group Policy: Core Protocol".

[MS-GPREG] Microsoft Corporation, "Group Policy: Registry Extension Encoding".

[MSDN-CryptGetFipsAlgorithmMode] Microsoft Corporation, "BCryptGetFipsAlgorithmMode Function", <u>http://msdn.microsoft.com/en-us/library/aa375460(VS.85).aspx</u>

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[MSKB-935807] Microsoft Corporation, "Security Update for Windows Vista (KB935807)", August 2007, <u>http://www.microsoft.com/downloads/details.aspx?FamilyId=e9b64746-6afa-4a30-833d-e058e000c821&displaylang=en</u>

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1.3 Overview

A host firewall is a software component that runs on host computers. It provides a layer of defense that can add depth to the collection of security measures when combined with other security measures, such as edge firewalls. Any threats that manage to get through the edge firewall, or those that are launched from within a corporate network, can still be defended against when host firewalls are used. Host firewalls are also useful in consumer scenarios in which there is, typically, no edge firewall to protect the home network.

Internet Protocol Security (IPsec) is a host-based, policy-driven security solution for protecting the host from all network access. IPsec focuses on connection security, which includes authentication, integrity protection, and confidentiality (encryption) of communication.

Because both IPsec and firewalls are host-based policy security technologies that operate in the network stack, they are managed together to avoid conflicts. Furthermore, firewall and connection security (IPsec) can interact, providing deeper and more effective filtering capabilities based on identities that are negotiated by IPsec as well as other IPsec state information. This document refers to this combined security solution as the firewall and advanced security components.

Firewall and advanced security components can be governed by policy that is received from local users or from network-wide policy that is distributed by an administrator, or both. There is a need in managed environments for a network administrator to be able to monitor the policies in effect on hosts, assuming that hosts may have received policies from both sources.

Network-wide policies are usually distributed by using **Group Policy Objects (GPOs)** that live on active directories of domains. However, some workgroups or networks might not have a domain infrastructure. Even in non-domain joined environments, the network administrator needs to be able to remotely manage the advanced firewall and IPsec policy of a host.

Lastly, the network administrator might also be required to diagnose problems on the remote hosts. A common technique is to create temporary changes and then see if the changes fix the problem. This is the third scenario that warrants the capability to remotely administer host policies.

The Firewall and Advanced Security Protocol is designed and used to address the three needs previously mentioned. That is, its purpose is to monitor and manage remote host policies. It can manage all the policies that an administrator can manage locally. It can also monitor the specific policies coming from the different sources or monitor them aggregated, that is, all together, to understand and predict expected behavior. Lastly, it can make temporary modifications on the remote host policy to test online fixes and see whether they are effective.

The Firewall and Advanced Security Protocol is a client/server, **RPC**-based protocol. It consists of data types and methods. The data types are used to represent the different types of policy components that compose policy objects and policy configuration options. The methods are operations that are used to read and manage the different available policies. Therefore, the user can make method calls that pass new policy objects to be added to the policy, delete from the policy, or modify an existing object within the policy. The user can also call methods to retrieve all the policy

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objects of interest. The following illustration shows read and write operations and their message sequences.

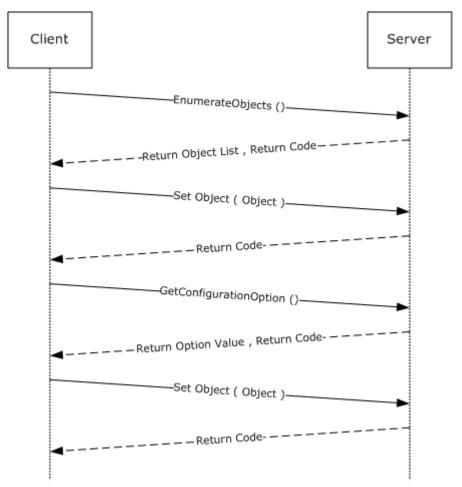


Figure 1: Read and write operations and their message sequences

The server role is represented by the host firewall, which contains the policy and enforces it. The client role is represented by the management console (or other user management tool), which sends, retrieves, and modifies the policies on the remote host firewall.

1.4 Relationship to Other Protocols

This protocol is implemented on RPC, as specified in [MS-RPCE], which uses the **Transmission Control Protocol (TCP)** as a transport. Aside from managing the policy for the firewall itself, this protocol is used to remotely manage the security policy database of the Security Architecture for the Internet Protocol [RFC4301], which describes how Internet Protocol Security (IPsec) should be enforced and what options the **Internet Key Exchange (IKE)** [RFC2409], **Authenticated IP (AuthIP)** [MS-AIPS], and Internet Key Exchange (IKEv2) [RFC4306] have available to negotiate. This protocol also exposes an abstract interface to configure firewall and advanced security policy for use by other mechanisms such as Group Policy [MS-GPFAS].

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1.5 Prerequisites/Preconditions

This protocol assumes that the firewall and advanced security components have been initialized, are running, and have registered the corresponding RPC interface that is defined in section 2.1. This protocol also assumes that the policy in the host firewall and advanced security components, which live in the server side, already allow the inbound traffic that the client computer, which is running the management tool, sends to the server during this protocol.

This protocol requires **Security Support Provider Interface (SSPI)** security by using packet privacy protection level (RPC_C_PROTECT_LEVEL_PKT_PRIVACY) and GSS negotiate authentication (RPC_C_AUTHN_GSS_NEGOTIATE), which negotiates between Kerberos Protocol Extensions [MS-KILE] and NT LAN Manager (NTLM) Authentication Protocol [MS-NLMP] authentication.

1.6 Applicability Statement

This protocol should be used to address the needs defined in section 1.3.

1.7 Versioning and Capability Negotiation

This document covers versioning and capability negotiation issues in the following areas:

- Supported Transports: This protocol uses a single **RPC protocol sequence**, as specified in section <u>2.1</u>.
- Protocol Versions: This protocol has only one interface version. There are currently five policy versions, which can be tied to policies and specific policy objects, as defined in section 2.2. The five versions are 0x0200, 0x0201, 0x020A, 0x0214, and 0x216.<1> Protocol Versions are used as Binary Versions and Schema Versions (also called policy versions).
- Security and Authentication Methods: This protocol supports both Kerberos Protocol Extensions
 [MS-KILE] and NT LAN Manager (NTLM) Authentication Protocol [MS-NLMP] authentication
 methods, section 2.1.
- Localization: This protocol passes text strings without considering localization. However, some strings can be formatted in such a way that the firewall component knows where to look for localized versions of these strings, as defined in section <u>2.2</u>. These strings can also be resolved with specific flags and method calls, as defined in section <u>3.1.4</u>.
- Capability Negotiation: A configuration option defined in section 2.2.41 contains the maximum policy version and the binary supported by the server. With this option, a client can understand what can and cannot be expressed in this protocol and the methods that are supported to do so. The data types in section 2.2 and the existence and behavior of methods in section 3.1.4 are defined in terms of these policy versions when appropriate. No other negotiation capabilities, version-specific or otherwise, are present in this protocol.
- Byte order: All values defined in this specification are independent of whether the platform uses big-endian or little-endian byte order. For instance, protocol version 0x0200 = 512 decimal, and will be value 512 (0x0200) on both little-endian and big-endian platforms. Marshaling any values defined within this specification is handled by RPC (see [MS-RPCE]).

1.8 Vendor-Extensible Fields

This protocol uses Win32 error codes. These values are taken from the Windows error number space that is specified in [MS-ERREF]. Vendors SHOULD reuse those values with their indicated meaning. Choosing any other value runs the risk of a collision in the future.

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This protocol uses NTSTATUS values, as specified in [MS-ERREF]. Vendors can choose their own values for this field provided that the C bit (0x20000000) is set, indicating that it is a customer code.

Currently, vendors are not expected to extend this protocol. Therefore, the protocol does not consider provisions for extensions by parties other than Microsoft.

1.9 Standards Assignments

Parameter	Value	Reference
RPC interface UUID for the Firewall and Advanced Security Protocol	6b5bdd1e-528c-422c-af8c- a4079be4fe48	Section <u>2.1</u>

No standards assignments have been received for this protocol. All values used in these extensions are in private ranges specified in section 2.1. This protocol uses RPC **dynamic endpoints**, as specified in [C706] chapters 6, 7, 8, 9, 10, 11, 12, 13, and 14.

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2 Messages

2.1 Transport

This protocol uses the Remote Procedure Call (RPC) over TCP. It also uses RPC dynamic endpoints, as specified in [C706] chapters $\underline{6}$, $\underline{7}$, $\underline{8}$, $\underline{9}$, $\underline{10}$, $\underline{11}$, $\underline{12}$, $\underline{13}$, and $\underline{14}$.

This RPC protocol MUST use Security Support Provider Interface (SSPI) security by using packet privacy protection level (RPC_C_PROTECT_LEVEL_PKT_PRIVACY) and GSS negotiate authentication (RPC_C_AUTHN_GSS_NEGOTIATE), which negotiates between Kerberos Protocol Extensions, as specified in [MS-KILE], and NT LAN Manager (NTLM) Authentication Protocol, as specified in [MS-NLMP] authentication.

This protocol MUST use the following interface identifier as specified in [C706] section 3.1.9:

uuid:	uuid: 6b5bdd1e-528c-422c-af8c-a4079be4fe48		
vers_major:	1		
vers_minor:	0		

The server MUST register this interface identifier with the RPC run-time during server initialization as specified in section 3.1.3. The client MUST use this interface identifier when binding to the RPC server as specified in section 3.2.3.

2.2 Common Data Types

In addition to RPC base types and definitions specified in [C706] and [MS-DTYP], additional data types are defined in the sections that follow.

2.2.1 FW_STORE_TYPE

This data type defines enumerations used to identify store types.

```
typedef enum _tag_FW_STORE_TYPE
{
    FW_STORE_TYPE_INVALID,
    FW_STORE_TYPE_GP_RSOP,
    FW_STORE_TYPE_LOCAL,
    FW_STORE_TYPE_NOT_USED_VALUE_3,
    FW_STORE_TYPE_NOT_USED_VALUE_4,
    FW_STORE_TYPE_DYNAMIC,
    FW_STORE_TYPE_GPO,
    FW_STORE_TYPE_DEFAULTS,
    FW_STORE_TYPE_MAX
} FW_STORE_TYPE_X
```

FW_STORE_TYPE_INVALID: This value is invalid and MUST NOT be used. It is defined for simplicity in writing **IDL** definitions and code. This symbolic constant has a value of zero.

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FW_STORE_TYPE_GP_RSOP: This value identifies the store that contains all the policies from the different Group Policy Objects (GPOs) that contain the networkwide policy. This store is persisted in the registry. It is downloaded by the **Group Policy** component (for more

information, see [MS-GPREG]) and read by the firewall and advanced security components; therefore, it is a read-only store. This symbolic constant has a value of 1.

- **FW_STORE_TYPE_LOCAL:** This value identifies the store that contains the local host policy. This store is persisted in the registry by the firewall and advanced security components; therefore, it is a read/write store. This symbolic constant has a value of 2.
- **FW_STORE_TYPE_NOT_USED_VALUE_3:** This store is currently not used over the wire. This symbolic constant has a value of 3.
- **FW_STORE_TYPE_NOT_USED_VALUE_4:** This store is currently not used over the wire. This symbolic constant has a value of 4.
- **FW_STORE_TYPE_DYNAMIC:** This value identifies the store that contains the effective policy, that is, the aggregated and merged policy from all policy sources. Policy objects can be added and modified on this store, but they are not persisted and will be lost the next time the firewall and advanced security components initialize. Policy objects on this store can be modified only if they were originally added to this store. This symbolic constant has a value of 5.
- **FW_STORE_TYPE_GPO:** This value is not used on the wire. This symbolic constant has a value of 6.
- **FW_STORE_TYPE_DEFAULTS:** This value identifies the store that contains the defaults that the host operating system had out-of-box. This store is persisted in the registry. It is written by the host operating system setup. It is read by the firewall and advanced security components when it is instructed to go back to the default out-of-box configuration; hence it is a read-only store. This symbolic constant has a value of 7.
- **FW_STORE_TYPE_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. This symbolic constant is defined for simplicity in writing IDL definitions and code. It has a value of 8.

2.2.2 FW_PROFILE_TYPE

This data type defines the enumerations that are used to identify profile types. The enumeration values are bitmasks. Implementations MUST support using a single bitmask value and MUST support a combination of bitmask values. Valid combinations of bitmask values are all possible combinations using FW_PROFILE_TYPE_DOMAIN, FW_PROFILE_TYPE_PRIVATE, FW_PROFILE_TYPE_PUBLIC, and FW_PROFILE_TYPE_ALL. A profile is a set of networks to which a firewall policy might apply.

```
typedef [v1_enum] enum _tag_FW_PROFILE_TYPE
{
   FW_PROFILE_TYPE_INVALID = 0x000,
   FW_PROFILE_TYPE_DOMAIN = 0x001,
   FW_PROFILE_TYPE_STANDARD = 0x002,
   FW_PROFILE_TYPE_PRIVATE = 0x002,
   FW_PROFILE_TYPE_DUBLIC = 0x004,
   FW_PROFILE_TYPE_ALL = 0x7FFFFFF,
   FW_PROFILE_TYPE_CURRENT = 0x80000000,
   FW_PROFILE_TYPE_NONE = 0x80000001
} FW PROFILE TYPE;
```

FW_PROFILE_TYPE_INVALID: This value is invalid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code.

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- **FW_PROFILE_TYPE_DOMAIN:** This value represents the profile for networks that are connected to domains.
- **FW_PROFILE_TYPE_STANDARD:** This value represents the standard profile for networks. These networks are classified as private by the administrators in the server host. The classification happens the first time the host connects to the network. Usually these networks are behind Network Address Translation (NAT) devices, routers, and other edge devices, and they are in a private location, such as a home or an office.
- **FW_PROFILE_TYPE_PRIVATE:** This value represents the profile for private networks, which is represented by the same value as that used for FW_PROFILE_TYPE_STANDARD.
- **FW_PROFILE_TYPE_PUBLIC:** This value represents the profile for public networks. These networks are classified as public by the administrators in the server host. The classification happens the first time the host connects to the network. Usually these networks are those at airports, coffee shops, and other public places where the peers in the network or the network administrator are not trusted.
- **FW_PROFILE_TYPE_ALL:** This value represents all these network sets and any future network sets.
- **FW_PROFILE_TYPE_CURRENT:** This value represents the current profiles to which the firewall and advanced security components determine the host is connected at the moment of the call. This value can be specified only in method calls, and it cannot be combined with other flags.
- **FW_PROFILE_TYPE_NONE:** This value represents no profile and is invalid. It is defined for simplicity in writing IDL definitions and code. This and greater values MUST NOT be used.

2.2.3 FW_POLICY_ACCESS_RIGHT

This enumeration defines access rights for the policy elements that can be accessed using the Firewall and Advanced Security Protocol. The values are not bitmasks and SHOULD NOT be used in bitwise OR operations.

```
typedef enum _tag_FW_POLICY_ACCESS_RIGHT {
    FW_POLICY_ACCESS_RIGHT_INVALID,
    FW_POLICY_ACCESS_RIGHT_READ,
    FW_POLICY_ACCESS_RIGHT_READ_WRITE,
    FW_POLICY_ACCESS_RIGHT_MAX
    FW POLICY_ACCESS_RIGHT;
```

- **FW_POLICY_ACCESS_RIGHT_INVALID:** This value is invalid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of zero.
- **FW_POLICY_ACCESS_RIGHT_READ:** This value represents a read-only access right. This symbolic constant has a value of 1.
- **FW_POLICY_ACCESS_RIGHT_READ_WRITE:** This value represents a read and write access right. This symbolic constant has a value of 2.
- **FW_POLICY_ACCESS_RIGHT_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. This symbolic constant is defined for simplicity in writing IDL definitions and code. It has a value of 3.

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2.2.4 FW_IPV4_SUBNET

This structure defines IPv4 subnets. It is used in policy rules.

```
typedef struct _tag_FW_IPV4_SUBNET {
    unsigned long dwAddress;
    unsigned long dwSubNetMask;
} FW_IPV4_SUBNET,
 *PFW_IPV4_SUBNET;
```

dwAddress: This field represents the IPv4 address.

dwSubNetMask: This field contains the subnet mask in host network order. If it contains ones, they MUST be contiguous and shifted to the most significant bits.

A **dwSubNetMask** of 0x00000000 is invalid. A subnet mask of 0xFFFFFFF means that the subnet mask represents a single address.

2.2.5 FW_IPV4_SUBNET_LIST

This structure is used to contain a number of **FW IPV4 SUBNET** elements.

```
typedef struct _tag_FW_IPV4_SUBNET_LIST {
  [range(0, 1000)] unsigned long dwNumEntries;
  [size_is(dwNumEntries)] PFW_IPV4_SUBNET pSubNets;
} FW_IPV4_SUBNET_LIST,
 *PFW_IPV4_SUBNET_LIST;
```

dwNumEntries: This field specifies the number of subnets that the structure contains.

pSubNets: A pointer to an array of **FW_IPV4_SUBNET** elements. The number of elements is given by **dwNumEntries**.

2.2.6 FW_IPV6_SUBNET

This structure represents an IPv6 subnet.

```
typedef struct _tag_FW_IPV6_SUBNET {
    unsigned char Address[16];
    [range(0, 128)] unsigned long dwNumPrefixBits;
} FW_IPV6_SUBNET,
 *PFW_IPV6_SUBNET;
```

Address: This field contains a 16-octet IPv6 address.

dwNumPrefixBits: This field contains the number of more-significant bits that represent the IPv6 subnet.

The **dwNumPrefixBits** MUST NOT be greater than 128 and not less than 1. The address SHOULD NOT be an unspecified address (an address composed of all zeros), $\leq 2 >$ and it MUST not be a loopback address.

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2.2.7 FW_IPV6_SUBNET_LIST

This structure is used to contain a number of **FW IPV6 SUBNET** elements.

```
typedef struct _tag_FW_IPV6_SUBNET_LIST {
  [range(0, 1000)] unsigned long dwNumEntries;
  [size_is(dwNumEntries)] PFW_IPV6_SUBNET pSubNets;
} FW_IPV6_SUBNET_LIST,
 *PFW IPV6 SUBNET LIST;
```

dwNumEntries: This field specifies the number of subnets that the structure contains.

pSubNets: A pointer to an array of **FW_IPV6_SUBNET** elements. The number of elements is given by **dwNumEntries**.

2.2.8 FW_IPV4_ADDRESS_RANGE

This structure represents a range of IPv4 addresses within the IPv4 address space.

```
typedef struct _tag_FW_IPV4_ADDRESS_RANGE {
    unsigned long dwBegin;
    unsigned long dwEnd;
} FW_IPV4_ADDRESS_RANGE,
 *PFW_IPV4_ADDRESS_RANGE;
```

- **dwBegin:** The first IPv4 address of the range in the IPv4 address space defined by this structure. The address is included in the range.
- **dwEnd:** The last IPv4 address of the range in the IPv4 address space defined by this structure. The address is included in the range.

Valid **FW_IPV4_ADDRESS_RANGE** structures MUST have a **dwBegin** value less than or equal to the **dwEnd** value. Structures with **dwBegin** equal to **dwEnd** represent a single IPv4 address.

2.2.9 FW_IPV4_RANGE_LIST

This structure is used to contain a number of **FW_IPV4_ADDRESS_RANGE** elements.

```
typedef struct _tag_FW_IPV4_RANGE_LIST {
  [range(0, 1000)] unsigned long dwNumEntries;
  [size_is(dwNumEntries)] PFW_IPV4_ADDRESS_RANGE pRanges;
} FW_IPV4_RANGE_LIST,
 *PFW_IPV4_RANGE_LIST;
```

dwNumEntries: This field specifies the number of IPv4 address ranges that the structure contains.

pRanges: A pointer to an array of **FW_IPV4_ADDRESS_RANGE** elements. The number of elements is given by **dwNumEntries**.

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2.2.10 FW_IPV6_ADDRESS_RANGE

This structure represents a range of IPv6 addresses within the IPv6 address space.

```
typedef struct _tag_FW_IPV6_ADDRESS_RANGE {
  unsigned char Begin[16];
  unsigned char End[16];
} FW_IPV6_ADDRESS_RANGE,
 *PFW_IPV6_ADDRESS_RANGE;
```

- **Begin:** A 16-octet array containing the first IPv6 address of the range in the IPv6 address range defined by this structure.
- **End:** A 16-octet array containing the last IPv6 address of the range in the IPv6 address range defined by this structure.

Valid **FW_IPV6_ADDRESS_RANGE** structures MUST have a **Begin** value less than or equal to the **End** value. Structures with **Begin** equal to **End** represent a single IPv6 address. **Begin** and **End** MUST NOT contain either an unspecified or a loopback address.

Begin and End are in network order.

2.2.11 FW_IPV6_RANGE_LIST

This structure is used to contain a number of **FW_IPV6_ADDRESS_RANGE** elements.

```
typedef struct _tag_FW_IPV6_RANGE_LIST {
  [range(0, 1000)] unsigned long dwNumEntries;
  [size_is(dwNumEntries)] PFW_IPV6_ADDRESS_RANGE pRanges;
} FW_IPV6_RANGE_LIST,
 *PFW IPV6 RANGE LIST;
```

dwNumEntries: This field specifies the number of IPv6 address ranges that the structure contains.

pRanges: A pointer to an array of **FW_IPV6_ADDRESS_RANGE** elements. The number of elements is given by **dwNumEntries**.

2.2.12 FW_PORT_RANGE

This structure represents a range of ports. Ports are 16-bit unsigned values used in TCP and UDP protocols.

```
typedef struct _tag_FW_PORT_RANGE {
    unsigned short wBegin;
    unsigned short wEnd;
} FW_PORT_RANGE,
 *PFW_PORT_RANGE;
```

wBegin: This field specifies the first port included in the range defined.

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wEnd: This field specifies the last port included in the range defined.

Valid **FW_PORT_RANGE** structures MUST have a **wBegin** value less than or equal to the **wEnd** value. In this protocol, **wBegin** is equal to **wEnd**.

2.2.13 FW_PORT_RANGE_LIST

This structure is used to contain a number of **FW_PORT_RANGE** elements.

```
typedef struct _tag_FW_PORT_RANGE_LIST {
    [range(0, 1000)] unsigned long dwNumEntries;
    [size_is(dwNumEntries)] PFW_PORT_RANGE pPorts;
} FW_PORT_RANGE_LIST,
 *PFW_PORT_RANGE_LIST;
```

dwNumEntries: This field specifies the number of port ranges that the structure contains.

pPorts: A pointer to an array of **FW_PORT_RANGE** elements. The number of elements is given as **dwNumEntries**.

2.2.14 FW_PORT_KEYWORD

This enumeration identifies (with bitmask flags) the ports used by specific well-known protocols. The ports corresponding to these keywords change dynamically and are tracked by the **PortsInUse** object (see section 3.1.1). All the flags supported by a given schema version can be combined, except for the restrictions placed on the **wPortKeywords** field as stated in <u>FW_RULE (section 2.2.54)</u>.

```
typedef enum _tag_FW_PORT_KEYWORD
{
   FW_PORT_KEYWORD_NONE = 0x00,
   FW_PORT_KEYWORD_DYNAMIC_RPC_PORTS = 0x01,
   FW_PORT_KEYWORD_RPC_EP = 0x02,
   FW_PORT_KEYWORD_TEREDO_PORT = 0x04,
   FW_PORT_KEYWORD_IP_TLS_IN = 0x08,
   FW_PORT_KEYWORD_DHOP = 0x20,
   FW_PORT_KEYWORD_DHOP = 0x20,
   FW_PORT_KEYWORD_PLAYTO_DISCOVERY = 0x40,
   FW_PORT_KEYWORD_MAX = 0x80,
   FW_PORT_KEYWORD_MAX_V2_1 = 0x08,
   FW_PORT_KEYWORD_MAX_V2_10 = 0x20
} FW PORT_KEYWORD;
```

FW_PORT_KEYWORD_NONE: Specifies that no port keywords are used.

- **FW_PORT_KEYWORD_DYNAMIC_RPC_PORTS:** Represents all ports in the **PortsInUse** collection where **IsDynamicRPC** is true.
- **FW_PORT_KEYWORD_RPC_EP:** Represents all ports in the **PortsInUse** collection where **IsRPCEndpointMapper** is true.
- **FW_PORT_KEYWORD_TEREDO_PORT:** Represents all ports in the **PortsInUse** collection where **IsTeredo** is true.

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- **FW_PORT_KEYWORD_IP_TLS_IN:** Represents all ports in the **PortsInUse** collection where **IsIPTLSIn** is true. For schema versions 0x0200 and 0x0201, this value is invalid and MUST NOT be used. This symbolic constant has a value of 0x08.
- **FW_PORT_KEYWORD_IP_TLS_OUT:** Represents all ports in the **PortsInUse** collection where **IsIPTLSOut** is true. For schema versions 0x0200 and 0x0201, this value is invalid and MUST NOT be used. This symbolic constant has a value of 0x10.
- **FW_PORT_KEYWORD_DHCP:** Represents all ports in the PortsInUse collection where IsDHCPClient is true. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 0x20.
- **FW_PORT_KEYWORD_PLAYTO_DISCOVERY:** Represents all ports in the PortsInUse collection where IsPlayToDiscovery is true. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 0x40.
- **FW_PORT_KEYWORD_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x80.
- **FW_PORT_KEYWORD_MAX_V2_1:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0201 and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x08.
- **FW_PORT_KEYWORD_MAX_V2_10:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x20.

2.2.15 FW_PORTS

This structure contains the ports represented statically through <u>**FW PORT RANGE</u>** structures or symbolically through <u>**FW PORT KEYWORD**</u> enumeration values.</u>

```
typedef struct _tag_FW_PORTS {
    unsigned short wPortKeywords;
    FW_PORT_RANGE_LIST Ports;
} FW_PORTS,
 *PFW PORTS;
```

wPortKeywords: This field is a combination of FW_PORT_KEYWORDS.

Ports: This field is a list of specifically defined ports.

2.2.16 FW_ICMP_TYPE_CODE

This data type defines ICMP (internet control message protocol with protocol numbers assigned in [IANA-PROTO-NUM]) message types and codes. It specifies an ICMP type and either its specific code or all codes for that type.

```
typedef struct _tag_FW_ICMP_TYPE_CODE {
  unsigned char bType;
  [range(0, 256)] unsigned short wCode;
```

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```
} FW_ICMP_TYPE_CODE,
 *PFW ICMP TYPE CODE;
```

bType: This field specifies the ICMP type.

wCode: This field specifies the ICMP code.

The **wCode** field MUST contain values between 0x0000 and 0x0100. When **wCode** contains 0x100, it expresses any ICMP code belonging to the corresponding ICMP type. When **wCode** contains values in the range 0 to 0x00FF, it expresses a specific ICMP code.

All valid ICMP type and code combinations are valid, even those not currently assigned for a specific use.

2.2.17 FW_ICMP_TYPE_CODE_LIST

This structure is used to contain a number of **FW ICMP TYPE CODE** elements.

```
typedef struct _tag_FW_ICMP_TYPE_CODE_LIST {
  [range(0, 1000)] unsigned long dwNumEntries;
  [size_is(dwNumEntries)] PFW_ICMP_TYPE_CODE pEntries;
} FW_ICMP_TYPE_CODE_LIST,
 *PFW ICMP TYPE CODE LIST;
```

dwNumEntries: This field specifies the number of **FW_ICMP_TYPE_CODE** elements that the structure contains.

pEntries: A pointer to an array of **FW_ICMP_TYPE_CODE** elements. The number of elements is given by **dwNumEntries**.

2.2.18 FW_INTERFACE_LUIDS

This structure is used to contain **locally unique identifier (LUID)** values that uniquely represent single network adapters (NICs) within a specific computer.

```
typedef struct _tag_FW_INTERFACE_LUIDS {
  [range(0, 1000)] unsigned long dwNumLUIDs;
  [size_is(dwNumLUIDs)] GUID* pLUIDs;
} FW_INTERFACE_LUIDS,
 *PFW INTERFACE_LUIDS;
```

dwNumLUIDs: This field specifies the number of interface LUIDs that the structure contains.

pLUIDs: A pointer to an array of **GUID** elements. The number of elements is given by **dwNumLUIDs**. The **GUID** data type is specified in [MS-DTYP].

2.2.19 FW_DIRECTION

This enumeration represents the direction of network traffic flow.

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```
typedef enum _tag_FW_DIRECTION
{
   FW_DIR_INVALID = 0,
   FW_DIR_IN,
   FW_DIR_OUT,
   FW_DIR_OUT,
   FW_DIR_MAX
} FW DIRECTION;
```

- **FW_DIR_INVALID:** This is an invalid value, and it MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of zero.
- **FW_DIR_IN:** Specifies an inbound network traffic flow. These are flows that are initiated by a remote machine toward the local machine. This symbolic constant has a value of 1.
- **FW_DIR_OUT:** Specifies an outbound network traffic flow. These are flows that are initiated by the local machine toward a remote machine. This symbolic constant has a value of 2.
- **FW_DIR_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. This symbolic constant is defined for simplicity in writing IDL definitions and code. It has a value of 3.

2.2.20 FW_INTERFACE_TYPE

This enumeration is used to represent types of network adapters (NICs) in a specific machine. Each type might have one or more network adapters.

```
typedef enum _tag_FW_INTERFACE_TYPE
{
  FW_INTERFACE_TYPE_ALL = 0x0000,
  FW_INTERFACE_TYPE_LAN = 0x0001,
  FW_INTERFACE_TYPE_WIRELESS = 0x0002,
  FW_INTERFACE_TYPE_REMOTE_ACCESS = 0x0004,
  FW_INTERFACE_TYPE_MAX = 0x0008
} FW INTERFACE_TYPE;
```

- **FW_INTERFACE_TYPE_ALL:** Represents all types of network adapters (NICs). The following types fall into this type.
- **FW_INTERFACE_TYPE_LAN:** Represents network adapters (NICs) that use wired network physical layers such as Ethernet.
- **FW_INTERFACE_TYPE_WIRELESS:** Represents network adapters that use the wireless 802 network physical layer.
- **FW_INTERFACE_TYPE_REMOTE_ACCESS:** Represents network adapters that use VPN connections.
- **FW_INTERFACE_TYPE_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0008.

2.2.21 FW_ADDRESS_KEYWORD

This enumeration is used to represent specific address types. As specified in the following descriptions, these address types can change dynamically.

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```
typedef enum _tag_FW_ADDRESS_KEYWORD
{
   FW_ADDRESS_KEYWORD_NONE = 0x0000,
   FW_ADDRESS_KEYWORD_LOCAL_SUBNET = 0x0001,
   FW_ADDRESS_KEYWORD_DNS = 0x0002,
   FW_ADDRESS_KEYWORD_DHCP = 0x0004,
   FW_ADDRESS_KEYWORD_DEFAULT_GATEWAY = 0x0010,
   FW_ADDRESS_KEYWORD_DEFAULT_GATEWAY = 0x0010,
   FW_ADDRESS_KEYWORD_INTRANET = 0x0020,
   FW_ADDRESS_KEYWORD_PLAYTO_RENDERERS = 0x0080,
   FW_ADDRESS_KEYWORD_REMOTE_INTRANET = 0x0100,
   FW_ADDRESS_KEYWORD_MAX = 0x0200,
   FW_ADDRESS_KEYWORD_MAX_V2_10 = 0x0020
} FW ADDRESS_KEYWORD;
```

- FW_ADDRESS_KEYWORD_NONE: Specifies that no specific keyword is used.
- **FW_ADDRESS_KEYWORD_LOCAL_SUBNET:** Represents the collection of addresses that are currently within the local subnet of the computer.
- **FW_ADDRESS_KEYWORD_DNS:** Represents the collection of addresses of the current DNS servers.
- **FW_ADDRESS_KEYWORD_DHCP:** Represents the collection of addresses of the current DHCP servers.
- **FW_ADDRESS_KEYWORD_WINS:** Represents the collection of addresses of the current WINS servers.
- **FW_ADDRESS_KEYWORD_DEFAULT_GATEWAY:** Represents the collection of addresses of the current gateway servers.
- **FW_ADDRESS_KEYWORD_INTRANET:** Represents the collection of addresses that are currently within the local intranet of the computer. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_ADDRESS_KEYWORD_INTERNET:** Represents the collection of addresses that are currently not within the local intranet or remote intranet of the computer. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_ADDRESS_KEYWORD_PLAYTO_RENDERERS:** Represents the collection of addresses of the current Digital Media Renderer devices as defined in [MS-DLNHND] section 3.3. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_ADDRESS_KEYWORD_REMOTE_INTRANET:** Represents the collection of addresses that are currently within the remote intranet of the computer. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_ADDRESS_KEYWORD_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0200.
- **FW_ADDRESS_KEYWORD_MAX_V2_10:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0020.

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2.2.22 FW_ADDRESSES

This structure contains a list of address structures. Static and symbolic representations are supported, but a structure can contain only one representation type. The address structure representations follow:

Static Representation

- FW_IPV4_SUBNET_LIST
- FW_IPV4_RANGE_LIST
- FW_IPV6_SUBNET_LIST
- FW IPV6 RANGE LIST

Symbolic Representation

FW_ADDRESS_KEYWORD enumeration values

The FW_ADDRESSES definition follows:

```
typedef struct _tag_FW_ADDRESSES {
   unsigned long dwV4AddressKeywords;
   unsigned long dwV6AddressKeywords;
   FW_IPV4_SUBNET_LIST V4SubNets;
   FW_IPV4_RANGE_LIST V4Ranges;
   FW_IPV6_SUBNET_LIST V6SubNets;
   FW_IPV6_RANGE_LIST V6Ranges;
} FW_ADDRESSES,
   *PFW ADDRESSES;
```

dwV4AddressKeywords: A combination of **FW_ADDRESS_KEYWORD** flags. Addresses in this field are specified from the IPv4 address space.

dwV6AddressKeywords: A combination of **FW_ADDRESS_KEYWORD** flags. Addresses in this field are specified from the IPv6 address space.

V4SubNets: A list of specifically defined IPv4 address subnets.

V4Ranges: A list of specifically defined IPv4 address ranges.

V6SubNets: A list of specifically defined IPv6 address subnets.

V6Ranges: A list of specifically defined IPv6 address ranges.

2.2.23 FW_RULE_STATUS

This enumeration represents status codes that identify the error states of a policy object, including successful states. If an object is in an erroneous state, the enumeration value represents a reason for the error.

```
typedef [v1_enum] enum _tag_FW_RULE_STATUS
{
    FW_RULE_STATUS_OK = 0x00010000,
    FW_RULE_STATUS_PARTIALLY_IGNORED = 0x00020000,
```

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```
FW RULE STATUS IGNORED = 0x00040000,
FW RULE STATUS PARSING ERROR NAME = 0x00080001,
FW RULE STATUS PARSING ERROR DESC = 0x00080002,
FW RULE STATUS PARSING ERROR APP = 0x00080003,
FW RULE STATUS PARSING ERROR SVC = 0x00080004,
FW RULE STATUS PARSING ERROR RMA = 0x00080005,
FW RULE STATUS PARSING ERROR RUA = 0x00080006,
FW RULE STATUS PARSING ERROR EMBD = 0x00080007,
FW RULE STATUS PARSING ERROR RULE ID = 0x00080008,
FW RULE STATUS PARSING ERROR PHASE1 AUTH = 0x00080009,
FW RULE STATUS PARSING ERROR PHASE2 CRYPTO = 0x0008000A,
FW RULE STATUS PARSING ERROR PHASE2 AUTH = 0x0008000B,
FW RULE STATUS PARSING ERROR RESOLVE APP = 0x0008000C,
FW_RULE_STATUS_PARSING_ERROR_MAINMODE ID = 0x0008000D,
FW RULE STATUS PARSING ERROR PHASE1 CRYPTO = 0x0008000E,
FW RULE STATUS PARSING ERROR REMOTE ENDPOINTS = 0x0008000F,
FW_RULE_STATUS_PARSING_ERROR_REMOTE_ENDPOINT_FQDN = 0x00080010,
FW RULE STATUS PARSING ERROR KEY MODULE = 0x00080011,
FW RULE STATUS PARSING ERROR LUA = 0x00080012,
FW RULE STATUS PARSING ERROR FWD LIFETIME = 0x00080013,
FW RULE STATUS PARSING ERROR TRANSPORT MACHINE AUTHZ SDDL = 0x00080014,
FW RULE STATUS PARSING ERROR TRANSPORT USER AUTHZ SDDL = 0x00080015,
FW RULE STATUS PARSING ERROR = 0x00080000,
FW_RULE_STATUS_SEMANTIC_ERROR_RULE_ID = 0x00100010,
FW_RULE_STATUS_SEMANTIC_ERROR_PORTS = 0x00100020,
FW_RULE_STATUS_SEMANTIC_ERROR_PORT_KEYW = 0x00100021,
FW RULE STATUS SEMANTIC ERROR PORT RANGE = 0x00100022,
FW RULE STATUS SEMANTIC ERROR PORTRANGE RESTRICTION = 0x00100023,
FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_V4_SUBNETS = 0x00100040,
FW RULE STATUS SEMANTIC ERROR ADDR V6 SUBNETS = 0x00100041,
FW RULE STATUS SEMANTIC ERROR ADDR V4 RANGES = 0x00100042,
FW RULE STATUS SEMANTIC ERROR ADDR V6 RANGES = 0x00100043,
FW RULE STATUS SEMANTIC ERROR ADDR RANGE = 0x00100044,
FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_MASK = 0x00100045,
FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_PREFIX = 0x00100046,
FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_KEYW = 0x00100047,
FW_RULE_STATUS_SEMANTIC_ERROR_LADDR_PROP = 0x00100048,
FW RULE STATUS SEMANTIC ERROR RADDR PROP = 0x00100049,
FW RULE STATUS SEMANTIC ERROR ADDR V6 = 0x0010004A,
FW RULE STATUS SEMANTIC ERROR LADDR INTF = 0x0010004B,
FW RULE STATUS SEMANTIC ERROR ADDR V4 = 0x0010004C,
FW RULE STATUS SEMANTIC ERROR TUNNEL ENDPOINT ADDR = 0x0010004D,
FW RULE STATUS SEMANTIC ERROR DTE VER = 0x0010004E,
FW RULE STATUS SEMANTIC ERROR DTE MISMATCH ADDR = 0x0010004F,
FW RULE STATUS SEMANTIC ERROR PROFILE = 0x00100050,
FW RULE STATUS SEMANTIC ERROR ICMP = 0x00100060,
FW_RULE_STATUS_SEMANTIC_ERROR_ICMP_CODE = 0x00100061,
FW_RULE_STATUS_SEMANTIC_ERROR_IF_ID = 0x00100070,
FW RULE STATUS SEMANTIC ERROR IF TYPE = 0x00100071,
FW RULE STATUS SEMANTIC ERROR ACTION = 0x00100080,
FW RULE STATUS SEMANTIC ERROR ALLOW BYPASS = 0x00100081,
FW RULE STATUS SEMANTIC ERROR DO NOT SECURE = 0x00100082,
FW RULE STATUS SEMANTIC ERROR ACTION BLOCK IS ENCRYPTED SECURE = 0x00100083,
FW RULE STATUS SEMANTIC ERROR DIR = 0x00100090,
FW RULE STATUS SEMANTIC ERROR PROT = 0x001000A0,
FW RULE STATUS SEMANTIC ERROR PROT PROP = 0x001000A1,
FW RULE STATUS SEMANTIC ERROR DEFER EDGE PROP = 0x001000A2,
FW RULE STATUS SEMANTIC ERROR ALLOW BYPASS OUTBOUND = 0x001000A3,
FW RULE STATUS SEMANTIC ERROR DEFER USER INVALID RULE = 0x001000A4,
```

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```
FW RULE STATUS SEMANTIC ERROR FLAGS = 0x001000B0,
FW RULE STATUS SEMANTIC ERROR FLAGS AUTO AUTH = 0x001000B1,
FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTO_BLOCK = 0x001000B2,
FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTO_DYN_RPC = 0x001000B3,
FW RULE STATUS SEMANTIC ERROR FLAGS AUTHENTICATE ENCRYPT = 0x001000B4,
FW RULE STATUS SEMANTIC ERROR FLAGS AUTH WITH ENC NEGOTIATE VER = 0x001000B5,
FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTH_WITH_ENC_NEGOTIATE = 0x001000B6,
FW RULE STATUS SEMANTIC ERROR FLAGS ESP NO ENCAP VER = 0x001000B7,
FW RULE STATUS SEMANTIC ERROR FLAGS ESP NO ENCAP = 0x001000B8,
FW RULE STATUS SEMANTIC ERROR FLAGS TUNNEL AUTH MODES VER = 0x001000B9,
FW RULE STATUS SEMANTIC ERROR FLAGS TUNNEL AUTH MODES = 0x001000BA,
FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_IP_TLS_VER = 0x001000BB,
FW RULE STATUS SEMANTIC ERROR FLAGS PORTRANGE VER = 0x001000BC,
FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ADDRS_TRAVERSE_DEFER_VER = 0x001000BD,
FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTH_WITH_ENC_NEGOTIATE_OUTBOUND = 0x001000BE,
FW RULE STATUS SEMANTIC ERROR FLAGS AUTHENTICATE WITH OUTBOUND BYPASS VER = 0x001000BF,
FW_RULE_STATUS_SEMANTIC_ERROR_REMOTE_AUTH_LIST = 0x001000C0,
FW RULE STATUS SEMANTIC ERROR REMOTE USER LIST = 0x001000C1,
FW RULE_STATUS_SEMANTIC_ERROR_LOCAL_USER_LIST = 0x001000C2,
FW RULE STATUS SEMANTIC ERROR LUA VER = 0x001000C3,
FW RULE STATUS SEMANTIC ERROR LOCAL USER OWNER = 0x001000C4,
FW RULE STATUS SEMANTIC ERROR LOCAL USER OWNER VER = 0x001000C5,
FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ALLOW_PROFILE_CROSSING_VER = 0x001000D0,
FW_RULE_STATUS_SEMANTIC_ERROR_LOCAL_ONLY_MAPPED_VER = 0x001000D1,
FW_RULE_STATUS_SEMANTIC_ERROR_PLATFORM = 0x001000E0,
FW_RULE_STATUS_SEMANTIC_ERROR_PLATFORM_OP_VER = 0x001000E1,
FW_RULE_STATUS_SEMANTIC_ERROR_PLATFORM_OP = 0x001000E2,
FW_RULE_STATUS_SEMANTIC_ERROR_DTE_NOANY_ADDR = 0x001000F0,
FW_RULE_STATUS_SEMANTIC_TUNNEL_EXEMPT_WITH_GATEWAY = 0x001000F1,
FW RULE STATUS SEMANTIC TUNNEL EXEMPT VER = 0x001000F2,
FW RULE STATUS SEMANTIC ERROR ADDR KEYWORD_VER = 0x001000F3,
FW RULE STATUS SEMANTIC ERROR KEY MODULE VER = 0x001000F4,
FW RULE STATUS SEMANTIC ERROR APP CONTAINER PACKAGE ID = 0x00100100,
FW RULE STATUS SEMANTIC ERROR APP CONTAINER PACKAGE ID VER = 0x00100101,
FW_RULE_STATUS_SEMANTIC_ERROR_TRUST_TUPLE_KEYWORD_INCOMPATIBLE = 0x00100200,
FW_RULE_STATUS_SEMANTIC_ERROR_TRUST_TUPLE_KEYWORD_INVALID = 0x00100201,
FW_RULE_STATUS_SEMANTIC_ERROR_TRUST_TUPLE_KEYWORD_VER = 0x00100202,
FW RULE STATUS SEMANTIC ERROR PHASE1 AUTH SET ID = 0x00100500,
FW RULE STATUS SEMANTIC ERROR PHASE2 CRYPTO SET ID = 0x00100510,
FW RULE STATUS SEMANTIC ERROR PHASE1 CRYPTO SET ID = 0x00100511,
FW RULE STATUS SEMANTIC ERROR FLAGS KEY MANAGER DICTATE VER = 0x00100512,
FW RULE STATUS SEMANTIC ERROR FLAGS KEY MANAGER NOTIFY VER = 0x00100513,
FW RULE STATUS SEMANTIC ERROR TRANSPORT MACHINE AUTHZ VER = 0x00100514,
FW RULE STATUS SEMANTIC ERROR TRANSPORT USER AUTHZ VER = 0x00100515,
FW RULE STATUS SEMANTIC ERROR TRANSPORT MACHINE AUTHZ ON TUNNEL = 0x00100516,
FW RULE STATUS SEMANTIC ERROR TRANSPORT USER AUTHZ ON TUNNEL = 0x00100517,
FW_RULE_STATUS_SEMANTIC_ERROR_PER_RULE_AND_GLOBAL_AUTHZ = 0x00100518,
FW_RULE_STATUS_SEMANTIC_ERROR_SET_ID = 0x00101000,
FW RULE STATUS SEMANTIC ERROR IPSEC PHASE = 0x00101010,
FW RULE STATUS SEMANTIC ERROR EMPTY SUITES = 0x00101020,
FW RULE STATUS SEMANTIC ERROR PHASE1 AUTH METHOD = 0x00101030,
FW RULE STATUS SEMANTIC ERROR PHASE2 AUTH METHOD = 0x00101031,
FW RULE STATUS SEMANTIC ERROR_AUTH_METHOD_ANONYMOUS = 0x00101032,
FW RULE STATUS SEMANTIC ERROR AUTH METHOD DUPLICATE = 0x00101033,
FW RULE STATUS SEMANTIC ERROR AUTH METHOD VER = 0x00101034,
FW RULE STATUS SEMANTIC ERROR AUTH SUITE FLAGS = 0x00101040,
FW_RULE_STATUS_SEMANTIC_ERROR_HEALTH_CERT = 0x00101041,
FW RULE STATUS SEMANTIC ERROR AUTH SIGNCERT VER = 0x00101042,
FW RULE STATUS SEMANTIC ERROR AUTH INTERMEDIATE CA VER = 0x00101043,
```

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```
FW RULE STATUS SEMANTIC ERROR MACHINE SHKEY = 0x00101050,
FW RULE STATUS SEMANTIC ERROR CA NAME = 0x00101060,
FW_RULE_STATUS_SEMANTIC_ERROR_MIXED_CERTS = 0x00101061,
FW_RULE_STATUS_SEMANTIC_ERROR_NON_CONTIGUOUS_CERTS = 0x00101062,
FW RULE STATUS SEMANTIC ERROR MIXED CA TYPE IN BLOCK = 0x00101063,
FW RULE STATUS SEMANTIC ERROR MACHINE USER AUTH = 0x00101070,
FW RULE STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_VER = 0x00101071,
FW RULE STATUS SEMANTIC ERROR AUTH CERT CRITERIA VER MISMATCH = 0x00101072,
FW RULE STATUS SEMANTIC ERROR AUTH CERT CRITERIA RENEWAL HASH = 0x00101073,
FW RULE STATUS SEMANTIC ERROR AUTH CERT CRITERIA INVALID HASH = 0x00101074,
FW RULE STATUS SEMANTIC ERROR AUTH CERT CRITERIA INVALID EKU = 0x00101075,
FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_INVALID_NAME_TYPE = 0x00101076,
FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_INVALID_NAME = 0x00101077,
FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_INVALID_CRITERIA_TYPE = 0x00101078,
FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_MISSING_CRITERIA = 0x00101079,
FW RULE STATUS SEMANTIC ERROR PROXY SERVER = 0x00101080,
FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_PROXY_SERVER_VER = 0x00101081,
FW RULE STATUS SEMANTIC ERROR PHASE1 CRYPTO NON DEFAULT ID = 0x00105000,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_FLAGS = 0x00105001,
FW RULE STATUS SEMANTIC ERROR PHASE1 CRYPTO TIMEOUT MINUTES = 0x00105002,
FW RULE STATUS SEMANTIC ERROR PHASE1 CRYPTO TIMEOUT SESSIONS = 0x00105003,
FW RULE STATUS SEMANTIC ERROR PHASE1 CRYPTO KEY EXCHANGE = 0x00105004,
FW RULE STATUS SEMANTIC ERROR PHASE1 CRYPTO ENCRYPTION = 0x00105005,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_HASH = 0x00105006,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_ENCRYPTION_VER = 0x00105007,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_HASH_VER = 0x00105008,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_KEY_EXCH_VER = 0x00105009,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PFS = 0x00105020,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PROTOCOL = 0x00105021,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_ENCRYPTION = 0x00105022,
FW RULE STATUS SEMANTIC ERROR PHASE2 CRYPTO HASH = 0x00105023,
FW RULE STATUS SEMANTIC ERROR PHASE2 CRYPTO TIMEOUT MINUTES = 0x00105024,
FW RULE STATUS SEMANTIC ERROR PHASE2 CRYPTO TIMEOUT KBYTES = 0x00105025,
FW RULE STATUS SEMANTIC ERROR PHASE2 CRYPTO ENCRYPTION VER = 0x00105026,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_HASH_VER = 0x00105027,
FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PFS_VER = 0x00105028,
FW_RULE_STATUS_SEMANTIC_ERROR_CRYPTO_ENCR_HASH = 0x00105040,
FW RULE STATUS SEMANTIC ERROR CRYPTO ENCR HASH COMPAT = 0x00105041,
FW RULE STATUS SEMANTIC ERROR SCHEMA VERSION = 0x00105050,
FW RULE STATUS SEMANTIC ERROR QUERY OR AND CONDITIONS = 0x00106000,
FW RULE STATUS SEMANTIC ERROR QUERY AND CONDITIONS = 0x00106001,
FW RULE STATUS SEMANTIC ERROR_QUERY_CONDITION_KEY = 0x00106002,
FW RULE STATUS SEMANTIC ERROR QUERY CONDITION MATCH TYPE = 0x00106003,
FW RULE STATUS SEMANTIC ERROR QUERY CONDITION DATA TYPE = 0x00106004,
FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_KEY_AND_DATA_TYPE = 0x00106005,
FW RULE STATUS SEMANTIC ERROR QUERY KEYS PROTOCOL PORT = 0x00106006,
FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_PROFILE = 0x00106007,
FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_STATUS = 0x00106008,
FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_FILTERID = 0x00106009,
FW RULE STATUS SEMANTIC ERROR QUERY KEY APP PATH = 0x00106010,
FW RULE STATUS SEMANTIC ERROR QUERY KEY PROTOCOL = 0x00106011,
FW RULE STATUS SEMANTIC ERROR QUERY KEY LOCAL PORT = 0x00106012,
FW RULE STATUS SEMANTIC ERROR_QUERY_KEY_REMOTE_PORT = 0x00106013,
FW RULE STATUS SEMANTIC ERROR QUERY KEY SVC NAME = 0x00106015,
FW RULE STATUS SEMANTIC ERROR REQUIRE IN CLEAR OUT ON TRANSPORT = 0x00107000,
FW RULE STATUS SEMANTIC ERROR TUNNEL BYPASS TUNNEL IF SECURE ON TRANSPORT = 0x00107001,
FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_NOENCAP_ON_TUNNEL = 0x00107002,
FW RULE STATUS SEMANTIC ERROR AUTH NOENCAP ON PSK = 0x00107003,
FW RULE STATUS SEMANTIC ERROR CRYPTO ENCR HASH = 0x00105040,
```

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```
FW RULE STATUS SEMANTIC ERROR CRYPTO ENCR HASH COMPAT = 0x00105041,
  FW RULE STATUS SEMANTIC ERROR SCHEMA VERSION = 0x00105050,
  FW RULE STATUS SEMANTIC ERROR = 0x00100000,
  FW RULE STATUS RUNTIME ERROR PHASE1 AUTH NOT FOUND = 0x00200001,
  FW RULE STATUS RUNTIME ERROR PHASE2 AUTH NOT FOUND = 0x00200002,
  FW RULE STATUS RUNTIME ERROR PHASE2 CRYPTO NOT FOUND = 0x00200003,
 FW RULE STATUS RUNTIME ERROR AUTH MCHN SHKEY MISMATCH = 0x00200004,
 FW RULE STATUS RUNTIME ERROR PHASE1 CRYPTO NOT FOUND = 0x00200005,
 FW RULE STATUS RUNTIME ERROR AUTH NOENCAP ON TUNNEL = 0 \times 00200006,
  FW RULE STATUS RUNTIME ERROR AUTH NOENCAP ON PSK = 0 \times 00200007,
 FW RULE STATUS RUNTIME ERROR KEY MODULE AUTH MISMATCH = 0x00200008,
 FW RULE STATUS RUNTIME ERROR = 0x00200000,
  FW RULE STATUS ERROR = FW RULE STATUS PARSING ERROR | FW RULE STATUS SEMANTIC ERROR |
FW RULE STATUS RUNTIME ERROR,
  FW RULE STATUS ALL = 0xFFFF0000
} FW RULE STATUS;
```

- **FW_RULE_STATUS_OK:** The rule was parsed successfully from the store, is correctly constructed, and has no issue.
- FW_RULE_STATUS_PARTIALLY_IGNORED: The rule has fields that the service can successfully ignore. The ignored fields can be present only if the policy (such as the Group Policy) was written by future firewall and advanced security components that support a higher schema version. Therefore, this error occurs only if the version of the rule is higher; specifically, a higher minor version means that part of the rule might not be understandable. Because the host firewall component does not understand these new fields, it cannot meaningfully specify what was ignored in the rule.
- **FW_RULE_STATUS_IGNORED:** The rule has a higher major version that the service MUST ignore. Higher major schema versions specify that nothing in the rule is understandable to lower major version components.
- **FW_RULE_STATUS_PARSING_ERROR_NAME:** The name contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_DESC:** The description contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_APP:** The application contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_SVC:** The service contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_RMA:** The remote machine authentication contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_RUA:** The remote user authentication contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_EMBD:** The embedded context contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_RULE_ID:** The rule ID contains characters that are not valid or the length is not valid.

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- **FW_RULE_STATUS_PARSING_ERROR_PHASE1_AUTH:** The Phase1 authentication set ID contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_PHASE2_CRYPTO:** The Phase2 cryptographic set ID contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_PHASE2_AUTH:** The Phase2 authentication set ID contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_RESOLVE_APP:** The application name cannot be resolved.
- **FW_RULE_STATUS_PARSING_ERROR_MAINMODE_ID:** This error is unused and not returned by the system.
- **FW_RULE_STATUS_PARSING_ERROR_PHASE1_CRYPTO:** The Phase1 cryptographic set ID contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_REMOTE_ENDPOINTS:** The remote tunnel endpoints contain characters that are not valid, or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_REMOTE_ENDPOINT_FQDN:** The remote tunnel endpoint fully qualified domain name (FQDN)(1) contains characters that are not valid, or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_KEY_MODULE:** The keying modules contain characters that are not valid, or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_LUA:** The local user authorization list contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_FWD_LIFETIME:** The forward path security association (SA) lifetime contains characters that are not valid or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_TRANSPORT_MACHINE_AUTHZ_SDDL:** The IPsec transport mode machine authorization SDDL string contains characters that are not valid, or the length is not valid.
- **FW_RULE_STATUS_PARSING_ERROR_TRANSPORT_USER_AUTHZ_SDDL:** The IPsec transport mode user authorization SDDL string contains characters that are not valid, or the length is not valid.
- FW_RULE_STATUS_PARSING_ERROR: The rule did not parse correctly.
- **FW_RULE_STATUS_SEMANTIC_ERROR_RULE_ID:** Semantic error: The rule ID is not specified.
- **FW_RULE_STATUS_SEMANTIC_ERROR_PORTS:** Semantic error: Mismatch in the number of ports and port buffers.
- FW_RULE_STATUS_SEMANTIC_ERROR_PORT_KEYW: Semantic error: The port keyword is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_PORT_RANGE:** Semantic error: End != Begin or port = 0.

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- **FW_RULE_STATUS_SEMANTIC_ERROR_PORTRANGE_RESTRICTION:** Semantic error: A port range has been specified for a connection security rule, but the action is not Do Not Secure.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_V4_SUBNETS:** Semantic error: Mismatch in the number of v4 subnets and subnet buffers.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_V6_SUBNETS:** Semantic error: Mismatch in the number of v6 subnets and subnet buffers.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_V4_RANGES:** Semantic error: Mismatch in the number of v4 ranges and range buffers.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_V6_RANGES:** Semantic error: Mismatch in the number of v6 ranges and range buffers.
- FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_RANGE: Semantic error: End < Begin.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_MASK:** Semantic error: The mask specified on a v4 subnet is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_PREFIX:** Semantic error: The prefix specified on a v6 subnet is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_KEYW:** Semantic error: The specified keyword is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_LADDR_PROP:** Semantic error: A property on local addresses does not belong to the LocalAddress.
- **FW_RULE_STATUS_SEMANTIC_ERROR_RADDR_PROP:** Semantic error: A property on remote addresses does not belong to the RemoteAddress.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_V6:** Semantic error: An unspecified or loopback IPv6 address was specified.
- **FW_RULE_STATUS_SEMANTIC_ERROR_LADDR_INTF:** Semantic error: A local address cannot be used together with either an interface or an interface type.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_V4:** Semantic error: An unspecified or loopback IPv4 address was specified.
- **FW_RULE_STATUS_SEMANTIC_ERROR_TUNNEL_ENDPOINT_ADDR:** Semantic error: An **endpoint** "any" cannot be specified for a tunnel mode rule.
- **FW_RULE_STATUS_SEMANTIC_ERROR_DTE_VER:** Semantic error: An incorrect schema version was specified for using dynamic tunnel endpoints.
- **FW_RULE_STATUS_SEMANTIC_ERROR_DTE_MISMATCH_ADDR:** Semantic error: The v4 and v6 tunnel endpoints are neither local nor remote endpoints.
- **FW_RULE_STATUS_SEMANTIC_ERROR_PROFILE:** Semantic error: The profile type is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ICMP:** Semantic error: Mismatch in the number of ICMPs and ICMP buffers.

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- **FW_RULE_STATUS_SEMANTIC_ERROR_ICMP_CODE:** Semantic error: The specified ICMP code is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_IF_ID:** Semantic error: Mismatch in the number of interfaces and interface buffers.
- **FW_RULE_STATUS_SEMANTIC_ERROR_IF_TYPE:** Semantic error: The specified interface type is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ACTION:** Semantic error: The specified action is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ALLOW_BYPASS:** Semantic error: An allow-bypass action is specified, but the rule does not meet allow-bypass criteria (such as, the direction is inbound, authenticate/encrypt flags are set, or remote machine authentication is set).

FW_RULE_STATUS_SEMANTIC_ERROR_DO_NOT_SECURE: Semantic error: A DO_NOT_SECURE action is specified together with authentication or cryptographic sets.

- **FW_RULE_STATUS_SEMANTIC_ERROR_ACTION_BLOCK_IS_ENCRYPTED_SECURE:** Semantic error: A block action was specified together with a require security or a require encryption action.
- **FW_RULE_STATUS_SEMANTIC_ERROR_DIR:** Semantic error: The specified direction is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_PROT:** Semantic error: The specified protocol is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_PROT_PROP:** Semantic error: The protocol and protocol-dependent fields do not match.
- **FW_RULE_STATUS_SEMANTIC_ERROR_DEFER_EDGE_PROP:** Semantic error: A Dynamic edge flag (either defer to app or defer to user) is set without having an edge flag set.
- **FW_RULE_STATUS_SEMANTIC_ERROR_ALLOW_BYPASS_OUTBOUND:** Semantic error: An outbound allow-bypass action is specified, but the rule does not meet allow-bypass criteria (authenticate/encrypt flags set).
- **FW_RULE_STATUS_SEMANTIC_ERROR_DEFER_USER_INVALID_RULE:** The rule does not allow the defer user property to be set.
- **FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS:** Semantic error: The specified flags are not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTO_AUTH:** Semantic error: The autogenerate flag is set, but no authentication flags are set.
- **FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTO_BLOCK:** Semantic error: The autogenerate flag is set, but the action is block.
- **FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTO_DYN_RPC:** Semantic error: The autogenerate flag is set together with the dynamic RPC flag.
- **FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTHENTICATE_ENCRYPT:** Semantic error: The authenticate and authenticate-encrypt flags are both specified.

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FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTH_WITH_ENC_NEGOTIATE_VER: Semantic error: The schema version is not compliant with the Authenticate with Encryption flag.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTH_WITH_ENC_NEGOTIATE: Semantic error: The Authenticate with Encryption Negotiate flag is specified but the basic Authenticate with Encryption flag is not set.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ESP_NO_ENCAP_VER: Semantic error: The schema version is not compliant with the Authenticate with No Encapsulation flag.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ESP_NO_ENCAP: Semantic error: The Authenticate with No Encapsulation flag is specified but the basic Authenticate flag is not set.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_TUNNEL_AUTH_MODES_VER: Semantic error: The schema version is not compliant with the tunnel authentication modes.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_TUNNEL_AUTH_MODES: Semantic error: The tunnel authentication modes are specified by a lower-version client.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_IP_TLS_VER: Semantic error: The schema version is not compliant with the IP_TLS flag.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_PORTRANGE_VER: Semantic error: The schema version is not compliant with port range support.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ADDRS_TRAVERSE_DEFER_VER: Semantic error: The schema version is not compliant with the FW_RULE_FLAGS_ROUTEABLE_ADDRS_TRAVERSE_DEFER_APP flag. For more information, see <u>2.2.34</u>.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTH_WITH_ENC_NEGOTIATE_OUTBOU ND: Semantic error: The Authenticate with Encryption Negotiate flag is set for the outbound rule.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTHENTICATE_WITH_OUTBOUND_BY PASS_VER: Semantic error: The Outbound Authenticated bypass is not supported on this version.

FW_RULE_STATUS_SEMANTIC_ERROR_REMOTE_AUTH_LIST: Semantic error: An authorized remote machine or user list is specified, but the authenticate/encryption flags were not set.

FW_RULE_STATUS_SEMANTIC_ERROR_REMOTE_USER_LIST: Semantic error: An authorized remote user list is specified on an outbound direction.

FW_RULE_STATUS_SEMANTIC_ERROR_LOCAL_USER_LIST: Semantic error: The authorized local user list is specified, but a local service has also been specified.

FW_RULE_STATUS_SEMANTIC_ERROR_LUA_VER: Semantic error: The schema version is not compliant with the authorized local user list.

FW_RULE_STATUS_SEMANTIC_ERROR_LOCAL_USER_OWNER: Semantic error: The local user owner is specified, but a local service has also been specified.

FW_RULE_STATUS_SEMANTIC_ERROR_LOCAL_USER_OWNER_VER: Semantic error: The schema version is not compliant with the local user owner.

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FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ALLOW_PROFILE_CROSSING_VER: Semantic error: The schema version is not compliant with profile crossing.

FW_RULE_STATUS_SEMANTIC_ERROR_LOCAL_ONLY_MAPPED_VER: Semantic error: The schema version is not compliant with local-only mappings.

FW_RULE_STATUS_SEMANTIC_ERROR_PLATFORM: Semantic error: The number of valid operating system platforms and the list of valid operating system platforms do not match.

FW_RULE_STATUS_SEMANTIC_ERROR_PLATFORM_OP_VER: Semantic error: Schema version not compliant with the platform operator used.

FW_RULE_STATUS_SEMANTIC_ERROR_PLATFORM_OP: Semantic error: Invalid platform operator used.

FW_RULE_STATUS_SEMANTIC_ERROR_DTE_NOANY_ADDR: Semantic error: DTE is specified but all tunnel endpoints are specified.

FW_RULE_STATUS_SEMANTIC_TUNNEL_EXEMPT_WITH_GATEWAY: Semantic error: DTM tunnel exemption specified with tunnel endpoint (gateways) address.

FW_RULE_STATUS_SEMANTIC_TUNNEL_EXEMPT_VER: Semantic error: Schema version not compliant with tunnel mode exemptions.

FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_KEYWORD_VER: Semantic error: The schema version is not compliant with one or more address keywords.

FW_RULE_STATUS_SEMANTIC_ERROR_KEY_MODULE_VER: Semantic error: The schema version is not compliant with the keying modules.

FW_RULE_STATUS_SEMANTIC_ERROR_APP_CONTAINER_PACKAGE_ID: Semantic error: The application container package ID is not a valid security identifier (SID).

FW_RULE_STATUS_SEMANTIC_ERROR_APP_CONTAINER_PACKAGE_ID_VER: Semantic error: The schema version is not compliant with application containers.

FW_RULE_STATUS_SEMANTIC_ERROR_TRUST_TUPLE_KEYWORD_INCOMPATIBLE: Semantic error: Trust tuple keywords are specified, but specific addresses or ports have also been specified.

FW_RULE_STATUS_SEMANTIC_ERROR_TRUST_TUPLE_KEYWORD_INVALID: Semantic error: One or more trust tuple keywords is invalid.

FW_RULE_STATUS_SEMANTIC_ERROR_TRUST_TUPLE_KEYWORD_VER: Semantic error: The schema version is not compliant with the trust tuple keywords.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_AUTH_SET_ID: Semantic error: Phase1 authentication set ID is not specified.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_SET_ID: Semantic error: Phase2 cryptographic set ID is not specified.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_SET_ID: Semantic error: Phase1 cryptographic set ID is not specified.

FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_KEY_MANAGER_DICTATE_VER: Semantic error: The schema version is not compliant with the Key Manager Dictation flag.

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FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_KEY_MANAGER_NOTIFY_VER: Semantic error: The schema version is not compliant with the Key Manager Notification flag.

FW_RULE_STATUS_SEMANTIC_ERROR_TRANSPORT_MACHINE_AUTHZ_VER: Semantic error: The schema version is not compliant with IPsec transport mode machine authorization lists.

FW_RULE_STATUS_SEMANTIC_ERROR_TRANSPORT_USER_AUTHZ_VER: Semantic error: The schema version is not compliant with IPsec transport mode user authorization lists.

FW_RULE_STATUS_SEMANTIC_ERROR_TRANSPORT_MACHINE_AUTHZ_ON_TUNNEL: Semantic error: An IPsec transport mode machine authorization list is specified on a tunnel mode rule.

FW_RULE_STATUS_SEMANTIC_ERROR_TRANSPORT_USER_AUTHZ_ON_TUNNEL: Semantic error: An IPsec transport mode user authorization list is specified on a tunnel mode rule.

FW_RULE_STATUS_SEMANTIC_ERROR_PER_RULE_AND_GLOBAL_AUTHZ: Semantic error: The Apply Global Authorization flag is set, but a per-rule authorization list is also specified.

FW_RULE_STATUS_SEMANTIC_ERROR_SET_ID: Semantic error: The set ID is not specified.

FW_RULE_STATUS_SEMANTIC_ERROR_IPSEC_PHASE: Semantic error: The specified phase is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_EMPTY_SUITES: Semantic error: No suites are specified in the set.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_AUTH_METHOD: Semantic error: The Phase1 authentication method is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_AUTH_METHOD: Semantic error: The Phase2 authentication method is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_METHOD_ANONYMOUS: Semantic error: Anonymous authentication is specified as the only authentication proposal (or authentication proposal suite).

FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_METHOD_DUPLICATE: Semantic error: Duplicate authentication methods are specified but not supported.

FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_METHOD_VER: Semantic error: Suite specifies authentication method that is not compliant with its schema version.

FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_SUITE_FLAGS: Semantic error: The specified authentication suite flags are not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_HEALTH_CERT: Semantic error: The machine certificate MUST be a health certificate for Phase2 authentication.

FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_SIGNCERT_VER: Semantic error: The suite specifies signing that is not compliant with its schema version.

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- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_INTERMEDIATE_CA_VER:** Semantic error: Specifies an intermediate **certificate authority (CA)** that is not compliant with its schema version.
- **FW_RULE_STATUS_SEMANTIC_ERROR_MACHINE_SHKEY:** Semantic error: The machine shared key is either missing or not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_CA_NAME:** Semantic error: The CA name is either missing or not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_MIXED_CERTS:** Semantic error: Health certificates (CERTS) cannot be specified together with regular certificates.
- **FW_RULE_STATUS_SEMANTIC_ERROR_NON_CONTIGUOUS_CERTS:** Semantic error: Certificates that have a specific signing algorithm are not contiguous.
- **FW_RULE_STATUS_SEMANTIC_ERROR_MIXED_CA_TYPE_IN_BLOCK:** Semantic error: Both root and intermediate CA types cannot be present in the same signing algorithm block.
- **FW_RULE_STATUS_SEMANTIC_ERROR_MACHINE_USER_AUTH:** Semantic error: Both machine and user authentications are specified.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_VER:** The suite specifies certificate criteria but the schema version does not allow certificate criteria to be present. Certificate criteria are supported only in schemas with version number 2.20 and greater.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_VER_MISMATCH:** The version specified for the criteria structure is different from the auth set version.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_RENEWAL_HASH:** Cert criteria were specified for a non-cert authentication method.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_INVALID_HASH:** An invalid hash was specified in the criteria. A valid hash is a string of hex characters (40 characters in length).
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_INVALID_EKU:** An invalid EKU was specified. Validity checking of an EKU involves checking that the EKU is composed of characters representing 0 to 9 and ".".
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_INVALID_NAME_TYPE:** A name type greater than FW_CERT_CRITERIA_NAME_MAX was specified.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_INVALID_NAME:** A name type was specified but either a NULL name is also specified, or the number of characters in the name is greater than FW_MAX_RULE_STRING_LEN(10000), or the name string contains the "|" character.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_INVALID_CRITERIA_TY PE:** The criteria type specified is greater than FW_CERT_CRITERIA_TYPE_MAX.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_CERT_CRITERIA_MISSING_CRITERIA:** The specified suites are missing either selection or validation criteria.
- **FW_RULE_STATUS_SEMANTIC_ERROR_PROXY_SERVER:** Semantic error: The Kerberos proxy server name is not a valid fully qualified domain name (FQDN)(1).

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FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_PROXY_SERVER_VER: Semantic error: The schema version is not compliant with Kerberos proxy servers.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_NON_DEFAULT_ID: Semantic error: The ID for the Phase1 cryptographic set is not the default.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_FLAGS: Semantic error: The Phase1 cryptographic set flags are not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_TIMEOUT_MINUTES: Semantic error: The Phase1 cryptographic set time-out minutes are not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_TIMEOUT_SESSIONS: Semantic error: The time-out sessions for the Phase1 cryptographic set are not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_KEY_EXCHANGE: Semantic error: The key exchange for the Phase1 cryptographic set is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_ENCRYPTION: Semantic error: The Phase1 cryptographic set encryption is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_HASH: Semantic error: The Phase1 cryptographic set hash is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_ENCRYPTION_VER: Semantic error: The Phase1 cryptographic set encryption is not schema-version compliant.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_HASH_VER: Semantic error: The Phase1 cryptographic set hash is not schema version compliant.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_KEY_EXCH_VER: Semantic error: The schema version is not compliant with one or more of the specified main mode key exchange algorithms.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PFS: Semantic error: The Phase2 cryptographic set perfect forward secrecy (PFS) is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PROTOCOL: Semantic error: The Phase2 cryptographic set protocol is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_ENCRYPTION: Semantic error: The Phase2 cryptographic set encryption is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_HASH: Semantic error: The Phase2 cryptographic set hash is not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_TIMEOUT_MINUTES: Semantic error: The Phase2 cryptographic set time-out minutes are not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_TIMEOUT_KBYTES: Semantic error: The Phase2 cryptographic set time-out kilobytes are not valid.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_ENCRYPTION_VER: Semantic error: The Phase2 cryptographic set encryption is not schema-version compliant.

FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_HASH_VER: The Phase2 cryptographic set hash is not schema-version compliant.

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- **FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PFS_VER:** Semantic error: The schema version is not compliant with the specified Phase2 perfect forward secrecy (PFS) option.
- **FW_RULE_STATUS_SEMANTIC_ERROR_CRYPTO_ENCR_HASH:** Semantic error: Neither the encryption nor the hash is specified.
- **FW_RULE_STATUS_SEMANTIC_ERROR_CRYPTO_ENCR_HASH_COMPAT:** Semantic error: The encryption and hash use incompatible algorithms.
- **FW_RULE_STATUS_SEMANTIC_ERROR_SCHEMA_VERSION:** Semantic error: The specified schema version is lower than the lowest supported version.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_OR_AND_CONDITIONS:** Semantic error: A mismatch exists in the number of OR'd terms and term arrays.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_AND_CONDITIONS:** Semantic error: A mismatch exists in the number of AND'd conditions and condition arrays.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_KEY:** Semantic error: The condition match key is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_MATCH_TYPE:** Semantic error: The condition match type is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_DATA_TYPE:** Semantic error: The condition data type is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_KEY_AND_DATA_TYPE:** Semantic error: The key and data type combination is not valid.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEYS_PROTOCOL_PORT:** Semantic error: A port condition is present without a protocol condition.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_PROFILE:** Semantic error: The profile key is unavailable for the queried object type.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_STATUS:** Semantic error: The status key is unavailable for the queried object type.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_FILTERID:** Semantic error: The FilterID key is unavailable for the queried object type.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_APP_PATH:** Semantic error: The application key is unavailable for the queried object type.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_PROTOCOL:** Semantic error: The protocol key is unavailable for the queried object type.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_LOCAL_PORT:** Semantic error: The local port key is unavailable for the queried object type.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_REMOTE_PORT:** Semantic error: The remote port key is unavailable for the queried object type.
- **FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_SVC_NAME:** Semantic error: The service name key is unavailable for the queried object type.

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- FW_RULE_STATUS_SEMANTIC_ERROR_REQUIRE_IN_CLEAR_OUT_ON_TRANSPORT: Semantic error: "Require in clear out" tunnel authentication mode cannot be set on transport mode rules.
- FW_RULE_STATUS_SEMANTIC_ERROR_TUNNEL_BYPASS_TUNNEL_IF_SECURE_ON_TR ANSPORT: Semantic error: Cannot set flag to exempt IPsec transport traffic from a tunnel mode, on a transport rule.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_NOENCAP_ON_TUNNEL:** Semantic error: Cannot set FW_CRYPTO_PROTOCOL_AUTH_NO_ENCAP (see section 2.2.68) on a tunnel mode rule.
- **FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_NOENCAP_ON_PSK:** Semantic error: Cannot mix FW_CRYPTO_PROTOCOL_AUTH_NO_ENCAP (see section 2.2.68) protocol with Preshared key authentication methods.
- **FW_RULE_STATUS_SEMANTIC_ERROR_CRYPTO_ENCR_HASH:** Semantic error: Both the encryption and hash are not specified.
- **FW_RULE_STATUS_SEMANTIC_ERROR_CRYPTO_ENCR_HASH_COMPAT:** Semantic error: The encryption and hash use incompatible algorithms.
- **FW_RULE_STATUS_SEMANTIC_ERROR_SCHEMA_VERSION:** Semantic error: The specified schema version is earlier than the supported versions.
- **FW_RULE_STATUS_SEMANTIC_ERROR:** There is a semantic error when considering the fields of the rule in conjunction with other policy objects.
- **FW_RULE_STATUS_RUNTIME_ERROR_PHASE1_AUTH_NOT_FOUND:** A Phase1 authentication set is not found.
- **FW_RULE_STATUS_RUNTIME_ERROR_PHASE2_AUTH_NOT_FOUND:** A Phase2 authentication set is not found.
- **FW_RULE_STATUS_RUNTIME_ERROR_PHASE2_CRYPTO_NOT_FOUND:** A Phase2 cryptographic set is not found.
- **FW_RULE_STATUS_RUNTIME_ERROR_AUTH_MCHN_SHKEY_MISMATCH:** A Phase2 authentication set cannot be specified when the Phase1 authentication set contains a preshared key as an authentication method.
- **FW_RULE_STATUS_RUNTIME_ERROR_PHASE1_CRYPTO_NOT_FOUND:** A Phase1 cryptographic set is not found.
- **FW_RULE_STATUS_RUNTIME_ERROR_AUTH_NOENNCAP_ON_TUNNEL:** Semantic error: Cannot set FW_CRYPTO_PROTOCOL_AUTH_NO_ENCAP (see section 2.2.68) on a tunnel mode rule.
- **FW_RULE_STATUS_RUNTIME_ERROR_AUTH_NOENCAP_ON_PSK:** Semantic error: Cannot mix FW_CRYPTO_PROTOCOL_AUTH_NO_ENCAP (see section 2.2.68) protocol with Preshared key authentication methods.
- **FW_RULE_STATUS_RUNTIME_ERROR_KEY_MODULE_AUTH_MISMATCH:** Semantic error: The key module in the rule is incompatible with the authentication methods specified in the associated authentication sets.

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FW_RULE_STATUS_RUNTIME_ERROR: There is a runtime error when the object is considered with other policy objects.

- **FW_RULE_STATUS_ERROR:** An error of any kind occurred. This symbolic constant has a value of 0x00380000.
- FW_RULE_STATUS_ALL: The status of all (it is used to enumerate all the rules, regardless of the status).

2.2.24 FW_RULE_STATUS_CLASS

This enumeration defines classes of status codes.

```
typedef enum _tag_FW_RULE_STATUS_CLASS
{
    FW_RULE_STATUS_CLASS_OK = FW_RULE_STATUS_OK,
    FW_RULE_STATUS_CLASS_PARTIALLY_IGNORED = FW_RULE_STATUS_PARTIALLY_IGNORED,
    FW_RULE_STATUS_CLASS_IGNORED = FW_RULE_STATUS_IGNORED,
    FW_RULE_STATUS_CLASS_PARSING_ERROR = FW_RULE_STATUS_PARSING_ERROR,
    FW_RULE_STATUS_CLASS_SEMANTIC_ERROR = FW_RULE_STATUS_RUNTIME_ERROR,
    FW_RULE_STATUS_CLASS_RUNTIME_ERROR = FW_RULE_STATUS_RUNTIME_ERROR,
    FW_RULE_STATUS_CLASS_ERROR = FW_RULE_STATUS_ERROR,
    FW_RULE_STATUS_CLASS_ERROR = FW_RULE_STATUS_ERROR,
    FW_RULE_STATUS_CLASS_ALL = FW_RULE_STATUS_ALL
} FW_RULE_STATUS_CLASS;
```

- **FW_RULE_STATUS_CLASS_OK:** The rule is correctly constructed and has no issue. This symbolic constant has a value of 0x00010000.
- **FW_RULE_STATUS_CLASS_PARTIALLY_IGNORED:** The rule has fields that the service can successfully ignore. This symbolic constant has a value of 0x00020000.
- **FW_RULE_STATUS_CLASS_IGNORED:** The rule has a higher version that the service MUST ignore. This symbolic constant has a value of 0x00040000.
- **FW_RULE_STATUS_CLASS_PARSING_ERROR:** The rule failed to be parsed correctly. This symbolic constant has a value of 0x00080000.
- **FW_RULE_STATUS_CLASS_SEMANTIC_ERROR:** There is a semantic error when considering the fields of the rule in conjunction. This symbolic constant has a value of 0x00100000.
- **FW_RULE_STATUS_CLASS_RUNTIME_ERROR:** There is a runtime error when the object is considered in conjunction with other policy objects. This symbolic constant has a value of 0x00200000.
- **FW_RULE_STATUS_CLASS_ERROR:** An error occurred. This symbolic constant has a value of 0x00380000.
- **FW_RULE_STATUS_CLASS_ALL:** The status of all (used to enumerate ALL the rules, regardless of the status). This symbolic constant has a value of 0xFFFF0000.

2.2.25 FW_OBJECT_CTRL_FLAG

This enumeration is used to indicate the RPC protocol when elements in structures are included.

```
typedef enum _tag_FW_OBJECT_CTRL_FLAG
{
```

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```
FW_OBJECT_CTRL_FLAG_INCLUDE_METADATA = 0x0001
} FW_OBJECT_CTRL_FLAG;
```

FW_OBJECT_CTRL_FLAG_INCLUDE_METADATA: This flag indicates that the structure where this flag is specified contains metadata information.

2.2.26 FW_ENFORCEMENT_STATE

This enumeration is part of the metadata information. It provides information about whether or not the policy expressed by an object is currently being enforced by the server.

```
typedef enum tag FW ENFORCEMENT STA
{
 FW ENFORCEMENT STATE INVALUD = 0,
 FW ENFORCEMENT STATE FULL = 1,
 FW ENFORCEMENT STATE WF OFF IN PROFILE = 2,
 FW ENFORCEMENT STATE CATEGORY OFF = 3,
 FW ENFORCEMENT STATE DISABLED OBJECT = 4,
 FW ENFORCEMENT STATE INACTIVE PROFILE = 5,
  FW_ENFORCEMENT_STATE_LOCAL_ADDRESS_RESOLUTION_EMPTY = 6,
  FW ENFORCEMENT STATE REMOTE ADDRESS RESOLUTION EMPTY = 7,
 FW ENFORCEMENT_STATE_LOCAL_PORT_RESOLUTION_EMPTY = 8,
 FW ENFORCEMENT STATE REMOTE PORT RESOLUTION EMPTY = 9,
 FW ENFORCEMENT STATE INTERFACE RESOLUTION EMPTY = 10,
 FW ENFORCEMENT STATE APPLICATION RESOLUTION EMPTY = 12,
 FW ENFORCEMENT STATE REMOTE MACHINE EMPTY = 12,
 FW ENFORCEMENT STATE REMOTE USER EMPTY = 13,
 FW ENFORCEMENT STATE LOCAL GLOBAL OPEN PORTS DISALLOWED = 14,
 FW ENFORCEMENT STATE LOCAL AUTHORIZED APPLICATIONS DISALLOWED = 15,
  FW_ENFORCEMENT_STATE_LOCAL_FIREWALL_RULES_DISALLOWED = 16,
 FW ENFORCEMENT STATE LOCAL CONSEC RULES DISALLOWED = 17,
 FW ENFORCEMENT STATE MISMATCHED PLATFORM = 18,
 FW ENFORCEMENT STATE OPTIMIZED OUT = 19,
 FW ENFORCEMENT STATE LOCAL USER EMPTY = 20,
 FW ENFORCEMENT STATE TRANSPORT MACHINE SD EMPTY = 21,
 FW ENFORCEMENT STATE TRANSPORT USER SD EMPTY = 22,
 FW ENFORCEMENT STATE TUPLE RESOLUTION EMPTY = 23,
 FW ENFORCEMENT STATE MAX = 24
```

} FW_ENFORCEMENT_STATE;

- **FW_ENFORCEMENT_STATE_INVALID:** This value is invalid and MUST NOT be used by the server. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_ENFORCEMENT_STATE_FULL:** The object is being enforced. This symbolic constant has a value of 1.
- **FW_ENFORCEMENT_STATE_WF_OFF_IN_PROFILE:** The object is not being enforced because the firewall and advanced security component is not active in a profile where the object is meant to be applied. This symbolic constant has a value of 2.
- **FW_ENFORCEMENT_STATE_CATEGORY_OFF:** The object is not being enforced because a third-party software component registered with the firewall and advanced security component to own the functionality that the object is meant to perform. This symbolic constant has a value of 3.

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- **FW_ENFORCEMENT_STATE_DISABLED_OBJECT:** The object is not being enforced because the object is disabled. This symbolic constant has a value of 4.
- **FW_ENFORCEMENT_STATE_INACTIVE_PROFILE:** The object is not being enforced because at least one of the profiles that the object is meant to be applied to is not currently active. This symbolic constant has a value of 5.
- **FW_ENFORCEMENT_STATE_LOCAL_ADDRESS_RESOLUTION_EMPTY:** The object is not being enforced because the local address condition of the object contains a keyword that resolves to an empty set. This symbolic constant has a value of 6.
- **FW_ENFORCEMENT_STATE_REMOTE_ADDRESS_RESOLUTION_EMPTY:** The object is not being enforced because the remote address condition of the object contains a keyword that resolves to an empty set. This symbolic constant has a value of 7.
- **FW_ENFORCEMENT_STATE_LOCAL_PORT_RESOLUTION_EMPTY:** The object is not being enforced because the local port condition of the object contains a keyword that resolves to an empty set. This symbolic constant has a value of 8.
- **FW_ENFORCEMENT_STATE_REMOTE_PORT_RESOLUTION_EMPTY:** The object is not being enforced because the remote port condition of the object contains a keyword that resolves to an empty set. This symbolic constant has a value of 9.
- **FW_ENFORCEMENT_STATE_INTERFACE_RESOLUTION_EMPTY:** The object is not being enforced because the interface condition of the object contains a keyword that resolves to an empty set. This symbolic constant has a value of 10.
- **FW_ENFORCEMENT_STATE_APPLICATION_RESOLUTION_EMPTY:** The object is not being enforced because the application condition of the object contains a path that could not resolve to a valid file system path. This symbolic constant has a value of 11.
- **FW_ENFORCEMENT_STATE_REMOTE_MACHINE_EMPTY:** The object is not being enforced because the remote machine condition of the object contains an SDDL with a **security identifier (SID)** that is not currently available on the host. This symbolic constant has a value of 12.
- **FW_ENFORCEMENT_STATE_REMOTE_USER_EMPTY:** The object is not being enforced because the remote user condition of the object contains an SDDL with a (SID) that is not currently available on the host. This symbolic constant has a value of 13.
- FW_ENFORCEMENT_STATE_LOCAL_GLOBAL_OPEN_PORTS_DISALLOWED: The object is not being enforced because the FW_PROFILE_CONFIG_AUTH_APPS_ALLOW_USER_PREF_MERGE configuration option (see section 2.2.37 for more details) from a profile that the object applied to, disallowed its use. This symbolic constant has a value of 14.
- **FW_ENFORCEMENT_STATE_LOCAL_AUTHORIZED_APPLICATIONS_DISALLOWED:** The object is not being enforced because the FW PROFILE CONFIG GLOBAL PORTS ALLOW USER PREF MERGE configuration option (see

section <u>2.2.37</u> for more details) from a profile that the object applied to, disallowed its use. This symbolic constant has a value of 15.

FW_ENFORCEMENT_STATE_LOCAL_FIREWALL_RULES_DISALLOWED: The object is not being enforced because the FW_PROFILE_CONFIG_ALLOW_LOCAL_POLICY_MERGE configuration option (see section 2.2.37 for more details) from a profile that the object applied to, disallowed its use. This symbolic constant has a value of 16.

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- **FW_ENFORCEMENT_STATE_LOCAL_CONSEC_RULES_DISALLOWED:** The object is not being enforced because the FW_PROFILE_CONFIG_ALLOW_LOCAL_IPSEC_POLICY_MERGE configuration option (see section 2.2.37 for more details) from a profile that the object applied to, disallowed its use. This symbolic constant has a value of 17.
- **FW_ENFORCEMENT_STATE_MISMATCHED_PLATFORM:** The object is not being enforced because the platform validity condition does not match the current platform of the host. This symbolic constant has a value of 18.
- **FW_ENFORCEMENT_STATE_OPTIMIZED_OUT:** The object is not being enforced because the firewall and advanced security component determined that the object-implemented functionality is irrelevant (would not change or affect what traffic is allowed or permitted) at the current time. Therefore, the component optimized out the irrelevant functionality and ignored it. This is a pure optimization. This symbolic constant has a value of 19.
- **FW_ENFORCEMENT_STATE_LOCAL_USER_EMPTY:** The object is not being enforced, because the local user condition of the object contains an SDDL with a SID that is not currently available on the host. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 20.
- **FW_ENFORCEMENT_STATE_TRANSPORT_MACHINE_SD_EMPTY:** The object is not being enforced because the IPsec transport mode machine authorization list contains an SDDL with a SID that is not currently available on the host. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 21.
- **FW_ENFORCEMENT_STATE_TRANSPORT_USER_SD_EMPTY:** The object is not being enforced, because the IPsec transport mode user authorization list contains an SDDL with a SID that is not currently available on the host. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 22.
- **FW_ENFORCEMENT_STATE_TUPLE_RESOLUTION_EMPTY:** The object is not being enforced, because the trust tuple keywords resolve to an empty set. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 23.
- **FW_ENFORCEMENT_STATE_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 24.

2.2.27 FW_OBJECT_METADATA

This structure contains the metadata that is associated with a specific policy object.

```
typedef struct _tag_FW_OBJECT_METADATA {
    unsigned __int64 qwFilterContextID;
    [range(0, 100)] DWORD dwNumEntries;
    [size_is(dwNumEntries)] FW_ENFORCEMENT_STATE* pEnforcementStates;
} FW_OBJECT_METADATA,
*PFW_OBJECT_METADATA;
```

qwFilterContextID: This field is not used across the wires.

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dwNumEntries: A field that specifies the number of metadata hints (FW ENFORCEMENT STATEs) that the structure contains.

pEnforcementStates: A pointer to an array of **FW_ENFORCEMENT_STATE** elements. The number of elements is given by **dwNumEntries**.

2.2.28 FW_OS_PLATFORM_OP

This enumeration describes the operations used in the **<u>FW_OS_PLATFORM</u>** structure to determine if an object should be applied to a specified operating system platform.

```
typedef enum
{
  FW_OS_PLATFORM_OP_EQ = 0,
  FW_OS_PLATFORM_OP_GTEQ = 1,
  FW_OS_PLATFORM_OP_MAX = 2
} FW OS_PLATFORM_OP;
```

FW_OS_PLATFORM_OP_EQ: The operating system platform MUST be the same as the one specified. This is satisfied when the following occurs:

 If (((bPlatform & 0x7) == platform type) && (bMajorVersion == major version) && (bMinorVersion == minor version)).

Otherwise, the operating system is not equal to the one specified. This symbolic constant has a value of 0.

FW_OS_PLATFORM_OP_GTEQ: The operating system MUST be greater than or equal to the one specified. This is satisfied when any of the following occur:

- If (bPlatform & 0x7) > platform type
- If (((bPlatform & 0x7) == platform type) && (bMajorVersion > major version))
- If (((bPlatform & 0x7) == platform type) && (bMajorVersion == major version) && (bMinorVersion >= minor version))

Otherwise, the operation system is not greater than or equal to the one specified. This symbolic constant has a value of 1.

FW_OS_PLATFORM_OP_MAX: This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 2.

2.2.29 FW_OS_PLATFORM

This structure describes a set of operating system platforms. The fields in this data type correspond to the fields of the OSVERSIONINFOEX data type (for more information, see [MSDN-OSVERSIONINFOEX]). There are no constraints on the values allowed for the platform type, major version, or minor version. The set may include values that do not correspond to any existing operating system platform.

```
typedef struct _tag_FW_OS_PLATFORM {
    unsigned char bPlatform;
    unsigned char bMajorVersion;
```

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```
unsigned char bMinorVersion;
unsigned char Reserved;
} FW_OS_PLATFORM,
*PFW_OS_PLATFORM;
```

- **bPlatform:** The three least significant bits identify the platform type. This corresponds to the dwPlatformId field in MSDN. The five most significant bits contain a value from the **FW OS PLATFORM OP** enumeration.
- **bMajorVersion:** Specifies the major version number for the OS. This corresponds to the dwMajorVersion field in MSDN.
- **bMinorVersion:** Specifies the minor version number for the OS. This corresponds to the dwMinorVersion field in MSDN.

Reserved: Not used. Reserved for future use.

2.2.30 FW_OS_PLATFORM_LIST

This structure contains an array of **<u>FW OS PLATFORM</u>** elements. The structure describes a set of operating system platforms. This set is the union of the sets identified by each **FW_OS_PLATFORM** element.

```
typedef struct _tag_FW_OS_PLATFORM_LIST {
  [range(0, 1000)] unsigned long dwNumEntries;
  [size_is(dwNumEntries)] PFW_OS_PLATFORM pPlatforms;
} FW_OS_PLATFORM_LIST,
 *PFW OS PLATFORM LIST;
```

dwNumEntries: This field specifies the number of OS platforms that the structure contains.

pPlatforms: A pointer to an array of **dwNumEntries** contiguous **FW_OS_PLATFORM** elements.

2.2.31 FW_RULE_ORIGIN_TYPE

This enumeration represents where the policy object is stored and from where it originates.

```
typedef enum _tag_FW_RULE_ORIGIN_TYPE
{
   FW_RULE_ORIGIN_INVALID = 0,
   FW_RULE_ORIGIN_LOCAL = 1,
   FW_RULE_ORIGIN_GP = 2,
   FW_RULE_ORIGIN_DYNAMIC = 3,
   FW_RULE_ORIGIN_AUTOGEN = 4,
   FW_RULE_ORIGIN_HARDCODED = 555,
   FW_RULE_ORIGIN_MAX = 6
} FW_RULE_ORIGIN_TYPE;
```

FW_RULE_ORIGIN_INVALID: On enumeration, this value is invalid, and MUST NOT be used by the server. It is defined for simplicity in writing IDL definitions and code. However, the

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server ignores the fields of this data type on input, and hence it is valid for filling rules. This symbolic constant has a value of 0.

- **FW_RULE_ORIGIN_LOCAL:** Specifies that the policy object originates from the local store. This symbolic constant has a value of 1.
- **FW_RULE_ORIGIN_GP:** Specifies that the policy object originates from the GP store. This symbolic constant has a value of 2.
- **FW_RULE_ORIGIN_DYNAMIC:** Specifies that the policy object originates from the dynamic store. This symbolic constant has a value of 3.
- FW_RULE_ORIGIN_AUTOGEN: Not used. This symbolic constant has a value of 4.
- **FW_RULE_ORIGIN_HARDCODED:** Specifies that the policy object originates from the firewall and advanced security component hard-coded values and is used due to lack of user settings. These values are not configurable and are not addressed in this protocol specification. Specific implementations of firewall and advanced security components can choose what hard-coded values to use when no other user settings are available. The only policy objects in this protocol specification that can have this FW_RULE_ORIGIN_HARDCODED value assigned are authentication sets and cryptographic sets, which are defined in sections <u>2.2.64</u> and <u>2.2.73</u>, respectively.<<u>3></u> This symbolic constant has a value of 5.
- **FW_RULE_ORIGIN_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 6.

2.2.32 FW_ENUM_RULES_FLAGS

This enumeration defines flag values that can be used in the enumeration methods that are defined in <u>RRPC_FWEnumFirewallRules</u>, <u>RRPC_FWEnumConnectionSecurityRules</u>, <u>RRPC_FWEnumAuthenticationSets</u>, and <u>RRPC_FWEnumCryptoSets</u>.

```
typedef enum _tag_FW_ENUM_RULES_FLAGS
{
  FW_ENUM_RULES_FLAG_NONE = 0x0000,
  FW_ENUM_RULES_FLAG_RESOLVE_NAME = 0x0001,
  FW_ENUM_RULES_FLAG_RESOLVE_DESCRIPTION = 0x0002,
  FW_ENUM_RULES_FLAG_RESOLVE_APPLICATION = 0x0004,
  FW_ENUM_RULES_FLAG_RESOLVE_GPO_NAME = 0x0010,
  FW_ENUM_RULES_FLAG_EFFECTIVE = 0x0020,
  FW_ENUM_RULES_FLAG_INCLUDE_METADATA = 0x0040,
  FW_ENUM_RULES_FLAG_MAX = 0x0080
} FW ENUM_RULES_FLAGS;
```

- **FW_ENUM_RULES_FLAG_NONE:** This value signifies that no specific flag is used. It is defined for IDL definitions and code to add readability, instead of using the number 0. This symbolic constant has a value 0x0000.
- **FW_ENUM_RULES_FLAG_RESOLVE_NAME:** Resolves rule description strings to user-friendly, localizable strings if they are in the following format: @file.dll,-<resID>. resID refers to the resource ID in the indirect string. Please see [MSDN-SHLoadIndirectString] for further documentation on the string format. This symbolic constant has a value 0x0001.

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- **FW_ENUM_RULES_FLAG_RESOLVE_DESCRIPTION:** Resolves rule description strings to user-friendly, localizable strings if they are in the following format: @file.dll,-<resID>. resID refers to the resource ID in the indirect string. Please see [MSDN-SHLoadIndirectString] for further documentation on the string format. This symbolic constant has a value 0x0002.
- **FW_ENUM_RULES_FLAG_RESOLVE_APPLICATION:** If this flag is set, the server MUST inspect the **wszLocalApplication** field of each **FW_RULE** structure and replace all environment variables in the string with their corresponding values. See [MSDN-ExpandEnvironmentStrings] for more details about environment-variable strings. This symbolic constant has a value 0x0004.
- **FW_ENUM_RULES_FLAG_RESOLVE_KEYWORD:** Resolves keywords in addresses and ports to the actual addresses and ports (dynamic store only). This symbolic constant has a value 0x0008.
- **FW_ENUM_RULES_FLAG_RESOLVE_GPO_NAME:** Resolves the GPO name for the GP_RSOP rules. This symbolic constant has a value 0x0010.
- **FW_ENUM_RULES_FLAG_EFFECTIVE:** If this flag is set, the server MUST only return objects where at least one FW_ENFORCEMENT_STATE entry in the object's metadata is equal to FW_ENFORCEMENT_STATE_FULL. This flag is available for the dynamic store only. This symbolic constant has a value 0x0020.
- **FW_ENUM_RULES_FLAG_INCLUDE_METADATA:** Includes the metadata object information, represented by the **FW_OBJECT_METADATA** structure, in the enumerated objects. This symbolic constant has a value 0x0040.
- **FW_ENUM_RULES_FLAG_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value 0x0080.

2.2.33 FW_RULE_ACTION

This enumeration describes the possible actions on firewall rules.

```
typedef enum _tag_FW_RULE_ACTION
{
   FW_RULE_ACTION_INVALID = 0,
   FW_RULE_ACTION_ALLOW_BYPASS = 1,
   FW_RULE_ACTION_BLOCK = 2,
   FW_RULE_ACTION_ALLOW = 3,
   FW_RULE_ACTION_MAX = 4
} FW RULE ACTION;
```

- **FW_RULE_ACTION_INVALID:** This value is invalid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_RULE_ACTION_ALLOW_BYPASS:** Rules with this action allow traffic but are applicable only to rules that at least specify the **FW_RULE_FLAGS_AUTHENTICATE** flag. This symbolic constant has a value of 1.
- **FW_RULE_ACTION_BLOCK:** Rules with this action block traffic. This symbolic constant has a value of 2.
- **FW_RULE_ACTION_ALLOW:** Rules with this action allow traffic. This symbolic constant has a value of 3.

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FW_RULE_ACTION_MAX: This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 4.

If conflicting rules match the same network traffic, the actions determine the order of precedence. Allow-bypass rules take precedence over block rules, and block rules take precedence over allow rules.

2.2.34 FW_RULE_FLAGS

This enumeration represents flags that can be specified in firewall rules of section 2.2.36.

```
typedef enum tag FW RULE FLAGS
  FW RULE FLAGS NONE = 0 \times 0000,
 FW RULE FLAGS ACTIVE = 0x0001,
  FW RULE FLAGS AUTHENTICATE = 0 \times 0002,
  FW RULE FLAGS AUTHENTICATE WITH ENCRYPTION = 0 \times 0004,
  FW RULE FLAGS ROUTEABLE ADDRS TRAVERSE = 0 \times 0008,
  FW RULE FLAGS LOOSE SOURCE MAPPED = 0 \times 0010,
  FW RULE FLAGS AUTH WITH NO ENCAPSULATION = 0 \times 0020,
  FW RULE FLAGS AUTH WITH ENC NEGOTIATE = 0 \times 0040,
  FW RULE FLAGS ROUTEABLE ADDRS TRAVERSE DEFER APP = 0 \times 0080,
  FW RULE FLAGS ROUTEABLE ADDRS TRAVERSE DEFER USER = 0x0100,
  FW RULE FLAGS AUTHENTICATE BYPASS OUTBOUND = 0 \times 0200,
  FW RULE FLAGS ALLOW PROFILE CROSSING = 0 \times 0400,
  FW RULE FLAGS LOCAL ONLY MAPPED = 0x0800,
  FW RULE FLAGS MAX = 0 \times 1000,
  FW RULE FLAGS MAX V2 1 = 0 \times 0020,
  FW RULE FLAGS MAX V2 9 = 0 \times 0040,
  FW RULE FLAGS MAX V2 10 = 0x0800
} FW RULE FLAGS;
```

FW_RULE_FLAGS_NONE: This value means that none of the following flags are set. It is defined for simplicity in writing IDL definitions and code.

FW_RULE_FLAGS_ACTIVE: The rule is enabled if this flag is set; otherwise, it is disabled.

- **FW_RULE_FLAGS_AUTHENTICATE:** This flag MUST be set only on rules that have the allow actions. If set, traffic that matches the rule is allowed only if it has been authenticated by IPsec; otherwise, traffic is blocked.
- **FW_RULE_FLAGS_AUTHENTICATE_WITH_ENCRYPTION:** This flag is similar to the FW_RULE_FLAGS_AUTHENTICATE flag; however, traffic MUST also be encrypted.
- **FW_RULE_FLAGS_ROUTEABLE_ADDRS_TRAVERSE:** This flag MUST be set only on inbound rules. This flag allows the matching traffic to traverse a NAT edge device and be allowed in the host computer.
- **FW_RULE_FLAGS_LOOSE_SOURCE_MAPPED:** This flag allows responses from a remote IP address that is different from the one to which the outbound matched traffic originally went.
- **FW_RULE_FLAGS_AUTH_WITH_NO_ENCAPSULATION:** This flag MUST be set only on rules that have the FW_RULE_FLAGS_AUTHENTICATE flag set. If set, traffic that matches the rule is allowed if IKE or AuthIP authentication was successful; however, this flag does not necessarily require that traffic be protected by IPsec encapsulations.

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- **FW_RULE_FLAGS_ AUTH_WITH_ENC_NEGOTIATE:** This flag MUST be set only on inbound rules that have the FW_RULE_FLAGS_AUTHENTICATE_WITH_ENCRYPTION flag set. If set and if the first packet that arrives is unencrypted but authenticated by IPsec, the packet is allowed, and an IKE or AuthIP negotiation is started to negotiate encryption settings and encrypt subsequent packets. [MS-AIPS] section 3.2.4 specifies negotiation initiation behavior for hosts that support both IKE and AuthIP negotiation. If the negotiation fails, the connection is dropped.
- FW_RULE_FLAGS_ROUTEABLE_ADDRS_TRAVERSE_DEFER_APP: This flag MUST be set only on inbound rules. This flag allows the matching traffic to traverse a NAT edge device and be allowed in the host computer, if and only if a matching **PortInUse** object is found in the **PortsInUse** collection with **NATTraversalRequested** set to true (see section 3.1.1).
- **FW_RULE_FLAGS_ROUTEABLE_ADDRS_TRAVERSE_DEFER_USER:** This flag MUST be set only on inbound rules. Whenever an application tries to listen for traffic that matches this rule, the operating system asks the administrator of the host whether it should allow this traffic to traverse the NAT.
- **FW_RULE_FLAGS_AUTHENTICATE_BYPASS_OUTBOUND:** This flag MUST be set only on outbound rules that have an allow action with either the FW_RULE_FLAGS_AUTHENTICATE or the FW_RULE_FLAGS_AUTHENTICATE_WITH_ENCRYPTION flag set. If set, this rule is evaluated before block rules, making it equivalent to a rule with an FW_RULE_ACTION_ALLOW_BYPASS, but for outbound.
- **FW_RULE_FLAGS_ALLOW_PROFILE_CROSSING:** This flag allows responses from a network with a different profile type than the network to which the outbound traffic was originally sent. This flag MUST be ignored on rules with an action of FW_RULE_ACTION_BLOCK. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_RULE_FLAGS_LOCAL_ONLY_MAPPED:** If this flag is set on a rule, the remote address and remote port conditions are ignored when determining whether a network traffic flow matches the rule. This flag MUST be ignored on rules with an action of FW_RULE_ACTION_BLOCK. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_RULE_FLAGS_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x1000.
- **FW_RULE_FLAGS_MAX_V2_1:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0201 and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0020.
- **FW_RULE_FLAGS_MAX_V2_9:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0209 and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0040.
- **FW_RULE_FLAGS_MAX_V2_10:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0800.

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2.2.35 FW_RULE2_0

This structure represents a firewall rule that is used by the 2.0 binary version servers and clients (see sections 1.7 and 2.2.41). The fields of this structure are identical to the **FW RULE** structure and its meanings are covered in section 2.2.36.

```
typedef struct _tag_FW_RULE2_0 {
 struct tag FW RULE2 0* pNext;
 unsigned short wSchemaVersion;
  [string, range(1, 10001), ref] wchar_t* wszRuleId;
  [string, range(1, 10001)] wchar t* wszName;
  [string, range(1, 10001)] wchar t* wszDescription;
 unsigned long dwProfiles;
  [range(FW DIR INVALID, FW DIR OUT)]
   FW DIRECTION Direction;
  [range(0, 256)] unsigned short wIpProtocol;
  [switch type(unsigned short), switch is(wIpProtocol)]
   union {
   [case(6,17)]
     struct {
      FW PORTS LocalPorts;
     FW PORTS RemotePorts;
   };
    [case(1)]
     FW ICMP TYPE CODE LIST V4TypeCodeList;
    [case(58)]
      FW ICMP TYPE CODE LIST V6TypeCodeList;
    [default]
                 ;
  };
 FW ADDRESSES LocalAddresses;
 FW ADDRESSES RemoteAddresses;
 FW INTERFACE LUIDS LocalInterfaceIds;
 unsigned long dwLocalInterfaceTypes;
  [string, range(1, 10001)] wchar t* wszLocalApplication;
  [string, range(1, 10001)] wchar t* wszLocalService;
  [range(FW RULE ACTION INVALID, FW RULE ACTION MAX)]
   FW RULE ACTION Action;
 unsigned short wFlags;
  [string, range(1, 10001)] wchar_t* wszRemoteMachineAuthorizationList;
  [string, range(1, 10001)] wchar_t* wszRemoteUserAuthorizationList;
  [string, range(1, 10001)] wchar t* wszEmbeddedContext;
 FW OS PLATFORM LIST PlatformValidityList;
 FW RULE STATUS Status;
  [range(FW_RULE_ORIGIN_INVALID, FW_RULE_ORIGIN_MAX)]
   FW RULE ORIGIN TYPE Origin;
  [string, range(1, 10001)] wchar t* wszGPOName;
 unsigned long Reserved;
} FW RULE2 0,
*PFW RULE2 0;
```

2.2.36 FW_RULE

This structure is used to represent a firewall rule.

```
typedef struct _tag_FW_RULE {
   struct _tag_FW_RULE* pNext;
```

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```
unsigned short wSchemaVersion;
  [string, range(1, 10001), ref] wchar t* wszRuleId;
  [string, range(1, 10001)] wchar_t* wszName;
  [string, range(1, 10001)] wchar t* wszDescription;
  unsigned long dwProfiles;
  [range(FW DIR INVALID, FW DIR OUT)]
   FW DIRECTION Direction;
  [range(0, 256)] unsigned short wIpProtocol;
  [switch_type(unsigned short), switch_is(wIpProtocol)]
   union {
    [case(6,17)]
     struct {
     FW PORTS LocalPorts;
     FW PORTS RemotePorts;
    }:
    [case(1)]
      FW_ICMP_TYPE_CODE_LIST V4TypeCodeList;
    [case(58)]
      FW_ICMP_TYPE_CODE_LIST V6TypeCodeList;
   [default]
                 ;
  };
 FW ADDRESSES LocalAddresses;
 FW ADDRESSES RemoteAddresses;
 FW_INTERFACE_LUIDS LocalInterfaceIds;
 unsigned long dwLocalInterfaceTypes;
  [string, range(1, 10001)] wchar_t* wszLocalApplication;
  [string, range(1, 10001)] wchar t* wszLocalService;
  [range(FW RULE ACTION INVALID, FW RULE ACTION MAX)]
   FW_RULE_ACTION Action;
 unsigned short wFlags;
  [string, range(1, 10001)] wchar t* wszRemoteMachineAuthorizationList;
  [string, range(1, 10001)] wchar t* wszRemoteUserAuthorizationList;
  [string, range(1, 10001)] wchar t* wszEmbeddedContext;
 FW OS PLATFORM LIST PlatformValidityList;
 FW RULE STATUS Status;
  [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX)]
    FW RULE ORIGIN TYPE Origin;
  [string, range(1, 10001)] wchar t* wszGPOName;
 unsigned long Reserved;
  [size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
   PFW OBJECT METADATA pMetaData;
  [string, range(1, 10001)] WCHAR* wszLocalUserAuthorizationList;
  [string, range(1, 10001)] WCHAR* wszPackageId;
  [string, range(1, 10001)] WCHAR* wszLocalUserOwner;
 DWORD dwTrustTupleKeywords;
} FW RULE,
*PFW RULE;
```

pNext: A pointer to the next **FW_RULE** in the list.

wSchemaVersion: Specifies the version of the rule.

wszRuleId: A pointer to a Unicode string that uniquely identifies the rule.

wszName: A pointer to a Unicode string that provides a friendly name for the rule.

wszDescription: A pointer to a Unicode string that provides a friendly description for the rule.

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- **dwProfiles:** A bitmask of the <u>FW_PROFILE_TYPE</u> flags. It is a condition that matches traffic on the specified profiles.
- **Direction:** Specifies the direction of the traffic that the rule matches.
- **wIpProtocol:** A condition that specifies the protocol of the traffic that the rule matches. If the value is within the range 0 to 255, the value describes a protocol in IETF IANA numbers (for more information, see [IANA-PROTO-NUM]). If the value is 256, the rule matches any protocol.
- **LocalPorts:** A condition that specifies the local host ports of the TCP or UDP traffic that the rule matches.
- **RemotePorts:** A condition that specifies the remote host ports of the TCP or UDP traffic that the rule matches.
- **V4TypeCodeList:** A condition that specifies the list of ICMP types of the traffic that the rule matches. This field applies only when **wIpProtocol** specifies ICMP v4.
- **V6TypeCodeList:** A condition that specifies the list of ICMP types of the traffic that the rule matches. This field applies only when **wIpProtocol** specifies ICMP v6.
- **LocalAddresses:** A condition that specifies the addresses of the local host of the traffic that the rule matches. An empty **LocalAddresses** structure means that this condition is not applied.
- **RemoteAddresses:** A condition that specifies the addresses of the remote host of the traffic that the rule matches. An empty **RemoteAddresses** structure means that this condition is not applied.
- **LocalInterfaceIds:** A condition that specifies the list of specific network interfaces used by the traffic that the rule matches. A **LocalInterfaceIds** field with no interface GUID specified means that the rule applies to all interfaces; that is, the condition is not applied.
- **dwLocalInterfaceTypes:** A bitmask of <u>FW_INTERFACE_TYPE</u>. It is a condition that restricts the interface types that are used by the traffic that the rule matches. 0x00000000 means that the condition matches all interface types.
- **wszLocalApplication:** A pointer to a Unicode string. It is a condition that specifies a file path name to the executable that uses the traffic that the rule matches. A null in this field means that the rule applies to all processes in the host.
- **wszLocalService:** A pointer to a Unicode string. It is a condition that specifies the service name of the service that uses the traffic that the rule matches. An L"*" string in this field means that the rule applies to all services in the system. A null in this field means that the rule applies to all processes.
- Action: The action that the rule will take for the traffic matches.
- wFlags: Bit flags from <u>FW_RULE_FLAGS</u>.
- **wszRemoteMachineAuthorizationList:** A pointer to a Unicode string. A condition that specifies the remote machines sending or receiving the traffic that the rule matches. The string is in SDDL format (<u>[MS-DTYP]</u> section 2.5.1).
- **wszRemoteUserAuthorizationList:** A pointer to a Unicode string. A condition that specifies the remote users accepting or receiving the traffic that the rule matches. The string is in SDDL format (<u>[MS-DTYP]</u> section 2.5.1).

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- **wszEmbeddedContext:** A pointer to a Unicode string. It specifies a group name for this rule. Other components in the system use this string to enable or disable groups of rules by verifying that they all have the same group name.
- **PlatformValidityList:** A condition in a rule that determines whether or not the rule is enforced by the local computer based on the local computer's platform information. The rule is enforced only if the local computer's operating system platform is an element of the set described by **PlatformValidityList**.www.setabultaction.com
- **Status:** The status code of the rule, as specified by the <u>FW_RULE_STATUS</u> enumeration. This field is filled out when the structure is returned as output. On input, this field MUST be set to FW_RULE_STATUS_OK.
- **Origin:** The rule origin, as specified in the <u>FW RULE ORIGIN TYPE</u> enumeration. It MUST be filled on enumerated rules and ignored on input.
- **wszGPOName:** A pointer to a Unicode string containing the displayName of the GPO containing this object. When adding a new object, this field is not used. The client SHOULD set the value to NULL, and the server MUST ignore the value. When enumerating an existing object, if the client does not set the FW_ENUM_RULES_FLAG_RESOLVE_GPO_NAME flag, the server MUST set the value to NULL. Otherwise, the server MUST set the value to the displayName of the GPO containing the object or NULL if the object is not contained within a GPO. For details about how the server initializes an object from a GPO, see section 3.1.3. For details about how the displayName of a GPO is stored, see [MS-GPOL] section 2.3.
- **Reserved:** Not used other than to instruct RPC, using the FW_OBJECT_CTRL_FLAG_INCLUDE_METADATA flag, that a pointer to an FW_OBJECT_METADATA structure is present. It has no semantic meaning to the object itself.
- **pMetaData:** A pointer to an FW_OBJECT_METADATA structure that contains specific metadata about the current state of the firewall rule.
- **wszLocalUserAuthorizationList:** A pointer to a Unicode string in SDDL format (<u>MS-DTYP</u>) section 2.5.1). It is a condition that specifies the local users accepting or receiving the traffic that the rule matches.
- **wszPackageId:** A pointer to a Unicode string in SID string format ([MS-DTYP] section 2.4.2.1). It is a condition that specifies the application SID of the process that uses the traffic that the rule matches. A null in this field means that the rule applies to all processes in the host.
- **wszLocalUserOwner:** A pointer to a Unicode string in SID string format. The SID specifies the security principal that owns the rule.
- **dwTrustTupleKeywords:** A bitmask of the FW_TRUST_TUPLE_KEYWORD flags. It is a condition that matches traffic associated with the specified trust tuples.

The following are semantic checks that firewall rules MUST pass:

- The **wSchemaVersion** field MUST NOT be less than 0x000100.
- The wSchemaVersion field SHOULD NOT be less than 0x000200.
- The wszRuleId field MUST NOT contain the pipe (|) character, MUST NOT be NULL, MUST be a string of at least 1 character, and MUST NOT be greater or equal to 512 characters.
- The **wszName** field string MUST meet the following criteria:

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- MUST contain 1 or more characters.
- MUST contain fewer than 10,000 characters.
- MUST NOT be NULL.
- MUST NOT contain the pipe (|) character.
- MUST NOT equal the case-insensitive string "ALL".
- If the **wszDescription** field string is not NULL, it MUST contain at least 1 character, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- If the wszLocalApplication field string is not NULL, it MUST be at least 1 character, MUST NOT be greater than or equal to MAX_PATH (260) characters, and MUST NOT contain the following characters: /,*,?,",<,>,|.
- If the wszLocalService field string is not NULL, it MUST contain at least 1 character, MUST NOT be greater than or equal to MAX_PATH characters, and MUST NOT contain the following characters: /,\,|.
- If the wszEmbeddedContext field string is not NULL, it MUST contain at least 1 character, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- The **Direction** field MUST NOT contain invalid <u>FW DIRECTION</u> values.
- The dwProfiles field MUST NOT contain invalid values and, if it is not equal to the FW_PROFILE_TYPE_ALL profile type, it MUST NOT contain unknown profiles.
- The **wIpProtocol** field MUST NOT be greater than 256.
- If the wPortKeywords field of LocalPorts is FW_PORT_KEYWORD_DYNAMIC_RPC_PORTS or FW_PORT_KEYWORD_RPC_EP, the wIpProtocol field MUST be 6, and Direction MUST be FW_DIRECTION_IN.
- If the **wPortKeywords** field of **LocalPorts** is FW_PORT_KEYWORD_TEREDO_PORT, the **wIpProtocol** field MUST be 17, and **Direction** MUST be FW_DIRECTION_IN.
- The **wPortKeywords** field of **LocalPorts** MUST be 0 if the **Direction** is FW_DIRECTION_OUT.
- If the **wIpProtocol** field is 6 or 17, the **wPortKeywords** field of **RemotePorts** MUST be 0.
- If the **wIpProtocol** field is not 1, 6, 17, or 58, the **LocalPorts**, **RemotePorts**, **V4TypeCodeList**, and **V6TypeCodeList** field MUST be empty.
- The dwV4AddressKeywords and dwV6AddressKeywords fields of LocalAddresses MUST be 0.
- **dwLocalInterfaceTypes** MUST NOT be greater than or equal to FW_INTERFACE_TYPE_MAX.
- Action MUST be a valid action from the <u>FW_RULE_ACTION</u> enumeration.
- **wFlags** MUST NOT be greater than FW_RULE_FLAGS_MAX.
- If **Direction** is FW_DIR_OUT, **wFlags** MUST NOT contain a FW_RULE_FLAGS_ROUTEABLE_ADDRS_TRAVERSE.

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- If Direction is FW_DIR_IN or wIpProtocol is 6 or wFlags contains FW_RULE_FLAGS_AUTHENTICATE or FW_RULE_FLAGS_AUTHENTICATE_WITH_ENCRYPTION, wFlags MUST NOT contain FW_RULE_FLAGS_LOOSE_SOURCE_MAPPED.
- The wFlags field MUST NOT contain both FW_RULE_FLAGS_AUTHENTICATE and FW_RULE_FLAGS_AUTHENTICATE_WITH_ENCRYPTION.
- If wFlags contains either FW_RULE_FLAGS_AUTHENTICATE or FW_RULE_FLAGS_AUTHENTICATE_WITH_ENCRYPTION, Action MUST NOT be FW_RULE_ACTION_BLOCK.
- If Action is FW_RULE_ACTION_ALLOW_BYPASS, Direction MUST be FW_DIR_IN, wFlags MUST contain either FW_RULE_FLAGS_AUTHENTICATE or FW_RULE_FLAGS_AUTHENTICATE_WITH_ENCRYPTION, and wszRemoteMachineAuthorizationList MUST NOT be NULL.
- If wszRemoteMachineAuthorizationList is not NULL, it MUST be at least 1 character, MUST NOT be greater than or equal to 10,000 characters, MUST NOT contain the pipe (|) character, MUST NOT be an empty string (""), MUST be a valid security descriptor ([MS-DTYP] section 2.4.6), MUST have a non-Null ACL, MUST have only either Allow or Deny ACEs, and each ACE MUST have a Filter match access right.
- If wszRemoteUserAuthorizationList is not NULL, it MUST be at least 1 character, MUST NOT be greater than or equal to 10,000 characters, MUST NOT contain the pipe (|) character, MUST NOT be an empty string (""), MUST be a valid security descriptor ([MS-DTYP] section 2.4.6), MUST have a non-NULL ACL, MUST only have either Allow or Deny ACEs, and each ACE MUST have a Filter match access right.
- If wszRemoteMachineAuthorizationList is not NULL or wszRemoteUserAuthorizationList is not NULL, either the FW_RULE_FLAGS_AUTHENTICATE flag or the FW_RULE_FLAGS_AUTHENTICATE_WITH_ENCRYPT flag MUST be set on the wFlags field.
- If the Direction field is FW_DIR_OUT, the wszRemoteMachineAuthorizationList field MUST be NULL.

2.2.37 FW_PROFILE_CONFIG

This enumeration identifies each of the per-profile configuration options supported by this protocol. Each configuration option has a merge law that is used to determine how to merge the values of these options across stores.

```
typedef enum _tag_FW_PROFILE_CONFIG
{
   FW_PROFILE_CONFIG_INVALID = 0,
   FW_PROFILE_CONFIG_ENABLE_FW = 1,
   FW_PROFILE_CONFIG_DISABLE_STEALTH_MODE = 2,
   FW_PROFILE_CONFIG_DISABLE_STEALTH_MODE = 2,
   FW_PROFILE_CONFIG_DISABLE_UNICAST_RESPONSES_TO_MULTICAST_BROADCAST = 4,
   FW_PROFILE_CONFIG_LOG_DROPPED_PACKETS = 5,
   FW_PROFILE_CONFIG_LOG_DROPPED_PACKETS = 5,
   FW_PROFILE_CONFIG_LOG_SUCCESS_CONNECTIONS = 6,
   FW_PROFILE_CONFIG_LOG_IGNORED_RULES = 7,
   FW_PROFILE_CONFIG_LOG_MAX_FILE_SIZE = 8,
   FW_PROFILE_CONFIG_LOG_FILE_PATH = 9,
   FW_PROFILE_CONFIG_DISABLE_INBOUND_NOTIFICATIONS = 10,
   FW_PROFILE_CONFIG_DISABLE_INBOUND_NOTIFICATIONS = 10,
   FW_PROFILE_CONFIG_GLOBAL_PORTS_ALLOW_USER_PREF_MERGE = 11,
   FW_PROFILE_CONFIG_GLOBAL_PORTS_ALLOW_USER_PREF_MERGE = 12,
   FW PROFILE_CONFIG_ALLOW_LOCAL_POLICY_MERGE = 13,
   FU_PROFILE_CONFIG_ALLOW_LOCAL_POLICY_MERGE = 13,
   FU_PROFILE_CONFIG_ALLOW_LOCAL_POLICY_MERGE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULCE_PULC
```

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```
FW_PROFILE_CONFIG_ALLOW_LOCAL_IPSEC_POLICY_MERGE = 14,
FW_PROFILE_CONFIG_DISABLED_INTERFACES = 15,
FW_PROFILE_CONFIG_DEFAULT_OUTBOUND_ACTION = 16,
FW_PROFILE_CONFIG_DEFAULT_INBOUND_ACTION = 17,
FW_PROFILE_CONFIG_DISABLE_STEALTH_MODE_IPSEC_SECURED_PACKET_EXEMPTION = 18,
FW_PROFILE_CONFIG_MAX = 19
} FW PROFILE CONFIG;
```

- **FW_PROFILE_CONFIG_INVALID:** This value is invalid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- FW_PROFILE_CONFIG_ENABLE_FW: This value is an on/off switch for the firewall and advanced security enforcement. It is a DWORD type value; 0x00000000 is off; 0x00000001 is on. If this value is off, the server MUST NOT block any network traffic, regardless of other policy settings. The merge law for this option is to let the value of the GroupPolicyRSoPStore win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 1.
- **FW_PROFILE_CONFIG_DISABLE_STEALTH_MODE:** This value is a **DWORD** used as an on/off switch. When this option is off, the server operates in **stealth mode**. The firewall rules used to enforce stealth mode are implementation-specific.
 The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 2.
- **FW_PROFILE_CONFIG_SHIELDED:** This value is a **DWORD** used as an on/off switch. If this value is on and FW_PROFILE_CONFIG_ENABLE_FW is on, the server MUST block all incoming traffic regardless of other policy settings. The merge law for this option is to let "on" values win. This symbolic constant has a value of 3.
- FW_PROFILE_CONFIG_DISABLE_UNICAST_RESPONSES_TO_MULTICAST_BROADCAST: This value is a DWORD used as an on/off switch. If it is on, unicast responses to multicast broadcast traffic is blocked. The merge law for this option is to let the value of the GroupPolicyRSoPStore win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 4.
- **FW_PROFILE_CONFIG_LOG_DROPPED_PACKETS:** This value is a **DWORD** used as an on/off switch. If this value is on, the firewall logs all the dropped packets. The merge law for this option is to let "on" values win. This symbolic constant has a value of 5.
- **FW_PROFILE_CONFIG_LOG_SUCCESS_CONNECTIONS:** This value is a **DWORD** used as an on/off switch. If this value is on, the firewall logs all successful inbound connections. The merge law for this option is to let "on" values win. This symbolic constant has a value of 6.
- **FW_PROFILE_CONFIG_LOG_IGNORED_RULES:** This value is a **DWORD** used as an on/off switch. The server MAY use this value in an implementation-specific way to control logging of events if a rule is not enforced for any reason. The merge law for this option is to let "on" values win. This symbolic constant has a value of 7.<8>
- FW_PROFILE_CONFIG_LOG_MAX_FILE_SIZE: This value is a DWORD and specifies the size, in kilobytes, of the log where dropped packets and successful connections are logged. The merge law for this option is to let the value of the GroupPolicyRSoPStore win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 8.
- **FW_PROFILE_CONFIG_LOG_FILE_PATH:** This configuration value is a string that represents a file path to the log for when the firewall logs dropped packets and successful connections.

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The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 9.

- **FW_PROFILE_CONFIG_DISABLE_INBOUND_NOTIFICATIONS:** This value is a **DWORD** used as an on/off switch. If this value is off, the firewall MAY display a notification to the user when an application is blocked from listening on a port.<9> If this value is on, the firewall MUST NOT display such a notification. The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 10.
- FW_PROFILE_CONFIG_AUTH_APPS_ALLOW_USER_PREF_MERGE: This value is a DWORD used as an on/off switch. If this value is off, authorized application firewall rules in the local store are ignored and not enforced. The merge law for this option is to let the value of the GroupPolicyRSoPStore win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 11.

The authorized application firewall rules consist of the **<u>FW_RULE</u>** objects where all of the following are true:

- wszLocalApplication is not NULL
- wszLocalService == NULL
- (wIpProtocol == 6) || (wIpProtocol == 17)
- LocalPorts.Ports.dwNumEntries == 0
- LocalPorts.wPortKeywords == FW_PORT_KEYWORD_NONE

Note that for the **wIpProtocol** condition, the numbers 6 and 17 are the assigned Internet protocol numbers for TCP and UDP respectively (for more information, see [IANA-PROTO-NUM]).

FW_PROFILE_CONFIG_GLOBAL_PORTS_ALLOW_USER_PREF_MERGE: This value is a DWORD used as an on/off switch. If this value is off, global port firewall rules in the local store are ignored and not enforced. The setting only has meaning if it is set or enumerated in the Group Policy store or if it is enumerated from the GroupPolicyRSoPStore. The merge law for this option is to let the value GroupPolicyRSoPStore win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 12.

The global port firewall rules consist of the **FW_RULE** objects where all of the following are true:

- wszLocalApplication == NULL
- wszLocalService == NULL
- (wIpProtocol == 6) || (wIpProtocol == 17)
- LocalPorts.Ports.dwNumEntries == 1
- LocalPorts.wPortKeywords == FW_PORT_KEYWORD_NONE

Note that for the **wIpProtocol** condition, the numbers 6 and 17 are the assigned Internet protocol numbers for TCP and UDP respectively (for more information, see <u>[IANA-PROTO-NUM]</u>).

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- **FW_PROFILE_CONFIG_ALLOW_LOCAL_POLICY_MERGE:** This value is a **DWORD** used as an on/off switch. If this value is off, firewall rules from the local store are ignored and not enforced. The merge law for this option is to always use the value of the **GroupPolicyRSoPStore**. This value is valid for all schema versions. This symbolic constant has a value of 13.
- **FW_PROFILE_CONFIG_ALLOW_LOCAL_IPSEC_POLICY_MERGE:** This value is a **DWORD**; it is an on/off switch. If this value is off, connection security rules from the local store are ignored and not enforced, regardless of the schema version and connection security rule version. The merge law for this option is to always use the value of the **GroupPolicyRSoPStore**. This symbolic constant has a value of 14.
- **FW_PROFILE_CONFIG_DISABLED_INTERFACES:** This value is an <u>FW_INTERFACE_LUIDS</u> structure that represents the network adapters where the firewall (only the firewall rules and actions) is off. The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 15.
- **FW_PROFILE_CONFIG_DEFAULT_OUTBOUND_ACTION:** This value is the action that the firewall does by default (and evaluates at the very end) on outbound connections. The allow action is represented by 0x00000000; 0x00000001 represents a block action. The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 16.
- **FW_PROFILE_CONFIG_DEFAULT_INBOUND_ACTION:** This value is the action that the firewall does by default (and evaluates at the very end) on inbound connections. The allow action is represented by 0x00000000; 0x00000001 represents a block action. The merge law for this option is to let the value of the **GroupPolicyRSoPStore**.win if it is configured; otherwise, the local store value is used. This symbolic constant has a value of 17.
- FW_PROFILE_CONFIG_DISABLE_STEALTH_MODE_IPSEC_SECURED_PACKET_EXEMPTI ON: This value is a DWORD used as an on/off switch. This option is ignored if FW_PROFILE_CONFIG_DISABLE_STEALTH_MODE is on. Otherwise, when this option is on, the firewall's stealth mode rules MUST NOT prevent the host computer from responding to unsolicited network traffic if that traffic is secured by IPsec. The merge law for this option is to let the value of the GroupPolicyRSoPStore win if it is configured; otherwise, the local store value is used. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 18.
- **FW_PROFILE_CONFIG_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 19.

2.2.38 FW_GLOBAL_CONFIG_IPSEC_EXEMPT_VALUES

This enumeration identifies specific traffic to be exempted from performing IPsec.

```
typedef enum _FW_GLOBAL_CONFIG_IPSEC_EXEMPT_VALUES
{
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_NONE = 0x0000,
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_NEIGHBOR_DISC = 0x0001,
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_ICMP = 0x0002,
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_ROUTER_DISC = 0x0004,
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_MAX = 0x0010,
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_MAX = 0x0010,
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_MAX_V2_0 = 0x0004
```

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} FW GLOBAL CONFIG IPSEC EXEMPT VALUES;

- FW_GLOBAL_CONFIG_IPSEC_EXEMPT_NONE: No IPsec exemptions.
- **FW_GLOBAL_CONFIG_IPSEC_EXEMPT_NEIGHBOR_DISC:** Exempt neighbor discover IPv6 ICMP type-codes from IPsec.
- FW_GLOBAL_CONFIG_IPSEC_EXEMPT_ICMP: Exempt ICMP from IPsec.
- **FW_GLOBAL_CONFIG_IPSEC_EXEMPT_ROUTER_DISC:** Exempt router discover IPv6 ICMP type-codes from IPsec.
- **FW_GLOBAL_CONFIG_IPSEC_EXEMPT_DHCP:** Exempt both IPv4 and IPv6 DHCP traffic from IPsec.
- **FW_GLOBAL_CONFIG_IPSEC_EXEMPT_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0010.
- **FW_GLOBAL_CONFIG_IPSEC_EXEMPT_MAX_V2_0:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0200 and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0004.

2.2.39 FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_VALUES

This enumeration is used to describe how preshared keys are encoded before being used.

typedef enum _FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_VALUES
{
 FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_UTF_8 = 1,
 FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_MAX = 2
} FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_VALUES;

- **FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_NONE:** Preshared key is not encoded. Instead, it is kept in its wide-character format. This symbolic constant has a value of 0.
- **FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_UTF_8:** Encode the preshared key using UTF-8. This symbolic constant has a value of 1.
- **FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 2.

2.2.40 FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_VALUES

This enumeration is used to describe when IPsec security associations can be established across NAT devices.

```
typedef enum _FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_VALUES
{
   FW GLOBAL CONFIG IPSEC THROUGH NAT NEVER = 0,
```

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```
FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_SERVER_BEHIND_NAT = 1,
FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_SERVER_AND_CLIENT_BEHIND_NAT = 2,
FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_MAX = 3
} FW GLOBAL_CONFIG_IPSEC_THROUGH_NAT_VALUES;
```

```
FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_NEVER: IPsec does not cross NAT boundaries. This symbolic constant has a value of 0.
```

- **FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_SERVER_BEHIND_NAT:** IPsec security associations can be established when the server is across NAT boundaries. This symbolic constant has a value of 1.
- **FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_SERVER_AND_CLIENT_BEHIND_NAT:** IPsec security associations can be established when the server and client are across NAT boundaries. This symbolic constant has a value of 2.
- **FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 3.

2.2.41 FW_GLOBAL_CONFIG

This enumeration identifies the global policy configuration options. Each configuration option has a merge law that is used to determine how to merge the values of these options across stores.

```
typedef enum tag FW GLOBAL CONFIG
 FW GLOBAL CONFIG INVALID = 0,
 FW GLOBAL CONFIG POLICY VERSION SUPPORTED = 1,
 FW_GLOBAL_CONFIG_CURRENT_PROFILE = 2,
  FW GLOBAL CONFIG DISABLE STATEFUL FTP = 3,
 FW GLOBAL CONFIG DISABLE STATEFUL PPTP = 4,
 FW GLOBAL CONFIG SA IDLE TIME = 5,
 FW GLOBAL CONFIG PRESHARED KEY ENCODING = 6,
 FW GLOBAL CONFIG IPSEC EXEMPT = 7,
 FW GLOBAL CONFIG CRL CHECK = 8,
 FW GLOBAL CONFIG IPSEC THROUGH NAT = 9,
 FW GLOBAL CONFIG POLICY VERSION = 10,
 FW GLOBAL CONFIG BINARY VERSION SUPPORTED = 11,
 FW GLOBAL CONFIG IPSEC TUNNEL REMOTE MACHINE AUTHORIZATION LIST = 12,
 FW GLOBAL CONFIG IPSEC TUNNEL REMOTE USER AUTHORIZATION LIST = 13,
 FW GLOBAL CONFIG OPPORTUNISTICALLY MATCH AUTH SET PER KM = 14,
 FW GLOBAL CONFIG IPSEC TRANSPORT REMOTE MACHINE AUTHORIZATION LIST = 15,
 FW GLOBAL CONFIG IPSEC TRANSPORT REMOTE USER AUTHORIZATION LIST = 16,
 FW GLOBAL CONFIG ENABLE PACKET QUEUE = 17,
 FW GLOBAL CONFIG MAX = 17
} FW GLOBAL CONFIG;
```

- **FW_GLOBAL_CONFIG_INVALID:** This value MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_GLOBAL_CONFIG_POLICY_VERSION_SUPPORTED:** This value is a **DWORD** containing the maximum policy version that the server host can accept. The version number is two octets in size. The lowest-order octet is the minor version; the second-to-lowest octet is the major

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version. This value is not merged and is always a fixed value for a particular firewall and advanced security components software build. This symbolic constant has a value of 1.

- FW_GLOBAL_CONFIG_CURRENT_PROFILE: This value is a DWORD and contains a bitmask of the current enforced profiles that are maintained by the server firewall host. See <u>FW_PROFILE_TYPE (section 2.2.2)</u> for the bitmasks that are used to identify profile types. This value is available only in the dynamic store; therefore, it is not merged and has no merge law. This symbolic constant has a value of 2.
- **FW_GLOBAL_CONFIG_DISABLE_STATEFUL_FTP:** This value is an on/off switch. If off, the firewall performs stateful File Transfer Protocol (FTP) filtering to allow secondary connections. The value is a **DWORD**; 0x0000000 means off; 0x00000001 means on. The merge law for this option is to let "on" values win. This symbolic constant has a value of 3.
- FW_GLOBAL_CONFIG_DISABLE_STATEFUL_PPTP: This value is an on/off switch. If off, the firewall performs stateful Point-to-Point Tunneling Protocol (PPTP) analysis. The value is a DWORD; 0x0000000 means off; 0x0000001 means on. The merge law for this option is to let "on" values win. This symbolic constant has a value of 4.
- **FW_GLOBAL_CONFIG_SA_IDLE_TIME:** This value configures the security association idle time, in seconds. Security associations are deleted after network traffic is not seen for this specified period of time. The value is a **DWORD** and MUST be a value in the range of 300 to 3,600 inclusive. The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, use the local store value. This symbolic constant has a value of 5.
- **FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING:** This configuration value specifies the preshared key encoding that is used. The value is a **DWORD** and MUST be a valid value from the **FW_GLOBAL_CONFIG_PRESHARED_KEY_ENCODING_VALUES** enumeration. The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, use the local store value. This symbolic constant has a value of 6.
- FW_GLOBAL_CONFIG_IPSEC_EXEMPT: This configuration value configures IPsec exceptions. The value is a DWORD and MUST be a combination of the valid flags that are defined in <u>FW_GLOBAL_CONFIG_IPSEC_EXEMPT_VALUES</u>; therefore, the maximum value MUST always be FW_GLOBAL_CONFIG_IPSEC_EXEMPT_MAX-1 for servers supporting a schema version of 0x0201 and FW_GLOBAL_CONFIG_IPSEC_EXEMPT_MAX_V2_0-1 for servers supporting a schema version of 0x0200. If the maximum value is exceeded when the method <u>RRPC_FWSetGlobalConfig_(Opnum 4)</u> is called, the method returns ERROR_INVALID_PARAMETER. This error code is returned if no other preceding error is discovered. The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, use the local store value. This symbolic constant has a value of 7.
- **FW_GLOBAL_CONFIG_CRL_CHECK:** This value specifies how **certificate revocation list** (CRL) verification is enforced. The value is a **DWORD** and MUST be 0, 1, or 2. A value of 0 disables CRL checking. A value of 1 specifies that CRL checking is attempted and that certificate validation fails only if the certificate is revoked. Other failures that are encountered during CRL checking (such as the revocation URL being unreachable) do not cause certificate validation to fail. A value of 2 means that checking is required and that certificate validation fails if any error is encountered during CRL processing. The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, use the local store value. This symbolic constant has a value of 8.
- **FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT:** This value is configured when an IPsec security association can be established with a computer across NAT devices. The value is of

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type **FW GLOBAL CONFIG IPSEC THROUGH NAT VALUES** and MUST contain valid values of the same enumeration type. The merge law for this option is to let the value of the **GroupPolicyRSoPStore** win if it is configured; otherwise, use the local store value. This symbolic constant has a value of 9.

- **FW_GLOBAL_CONFIG_POLICY_VERSION:** This value contains the policy version of the policy store being managed. This value is not merged and therefore, has no merge law. This symbolic constant has a value of 10.
- **FW_GLOBAL_CONFIG_BINARY_VERSION_SUPPORTED:** This value contains the binary version of the structures and data types that are supported by the server. This value is not merged. In addition, this value is always a fixed value for a specific firewall and advanced security component's software build. This symbolic constant has a value of 11. This value identifies a policy configuration option that is supported only on servers that have a schema version of 0x0201.
- **FW_GLOBAL_CONFIG_IPSEC_TUNNEL_REMOTE_MACHINE_AUTHORIZATION_LIST:** This value represents a list of remote machines that are allowed to send and receive traffic through the tunnels which request this access check. Machines in the list are allowed through the tunnels. Machines not in the list are denied through the tunnels. The list is specified as a security descriptor which specifies which SIDs (<u>MS-DTYP</u>] section 2.4.2.1) of the remote machines. The value is a Unicode string in Security Descriptor Definition Language (SDDL) format (<u>MS-DTYP</u>] section 2.5.1). This symbolic constant has a value of 12.
- **FW_GLOBAL_CONFIG_IPSEC_TUNNEL_REMOTE_USER_AUTHORIZATION_LIST:** This value represents a list of remote users who are allowed to send and receive traffic through the tunnels which request this access check. Users in the list are allowed through the tunnels. Users not in the list are denied through the tunnels. The list is specified as a security descriptor which specifies which SIDs ([MS-DTYP] section 2.4.2.1) of the remote users. The value is a Unicode string in SDDL format ([MS-DTYP] section 2.5.1). This symbolic constant has a value of 13.
- **FW_GLOBAL_CONFIG_OPPORTUNISTICALLY_MATCH_AUTH_SET_PER_KM:** This value is a **DWORD** used as an on/off switch. When this option is off, keying modules MUST ignore the entire authentication set if they do not support all of the authentication suites specified in the set. When this option is on, keying modules MUST ignore only the authentication suites that they don't support. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 14.
- **FW_GLOBAL_CONFIG_IPSEC_TRANSPORT_REMOTE_MACHINE_AUTHORIZATION_LIST:** This value is a Unicode string in Security Descriptor Definition Language (SDDL) format ([MS-DTYP] section 2.5.1). The security descriptor describes which remote machines are allowed to send and receive traffic secured by transport mode connection security rules which request this access check. Machines granted access by the security descriptor are allowed to send and receive traffic. Machines denied access by the security descriptor are blocked from sending and receiving traffic. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 15.
- **FW_GLOBAL_CONFIG_IPSEC_TRANSPORT_REMOTE_USER_AUTHORIZATION_LIST:** This value is a Unicode string in Security Descriptor Definition Language (SDDL) format. The security descriptor describes which remote users are allowed to send and receive traffic secured by transport mode connection security rules which request this access check. Users granted access by the security descriptor are allowed to send and receive traffic. Users denied access by the security descriptor are blocked from sending and receiving traffic. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 16.

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- FW_GLOBAL_CONFIG_ENABLE_PACKET_QUEUE: This value specifies how scaling for the software on the receive side is enabled for both the encrypted receive and clear text forward path for the IPsec tunnel gateway scenario (as configured by FW_CS_RULE (section 2.2.54)). Use of this option also ensures that the packet order is preserved. The data type for this option value is a DWORD and is a combination of flags. A value of 0x00 indicates that all queuing is to be disabled. A value of 0x01 specifies that inbound encrypted packets are to be queued. A value of 0x02 specifies that packets are to be queued after decryption is performed for forwarding. This symbolic constant has a value of 17.
- **FW_GLOBAL_CONFIG_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. This symbolic constant is defined for simplicity in writing IDL definitions and code. It has a value of 17.

2.2.42 FW_CONFIG_FLAGS

This enumeration identifies flags that can be set on the **<u>RRPC</u> <u>FWGetConfig (Opnum 10)</u>** and **<u>RRPC</u>** <u>FWGetGlobalConfig (Opnum 3)</u> methods.

```
typedef enum _FW_CONFIG_FLAGS
{
    FW_CONFIG_FLAG_RETURN_DEFAULT_IF_NOT_FOUND = 0x0001
} FW CONFIG FLAGS;
```

FW_CONFIG_FLAG_RETURN_DEFAULT_IF_NOT_FOUND: If this flag is specified, and if the RRPC_FWGetConfig (Opnum 10) method or the RRPC_FWGetGlobalConfig (Opnum 3) method fails to find the configuration value in the policy store, then the call will succeed and return the default value used by the firewall service. If this flag is not specified, these methods will fail with ERROR_FILE_NOT_FOUND. The default set of values returned by these two calls is a firewall and advanced security component implementation-specific<10> decision, and is outside the scope of this protocol specification.

2.2.43 FW_NETWORK

This structure represents a network that is associated with a firewall profile. It is used for display purposes in user interfaces.

```
typedef struct _tag_FW_NETWORK {
  [string, unique] wchar_t* pszName;
  FW_PROFILE_TYPE ProfileType;
} FW_NETWORK,
 *PFW NETWORK;
```

pszName: A pointer to a Unicode string that represents the name of the network.

ProfileType: The profile type that is associated with the network. The type MUST be one of the <u>FW_PROFILE_TYPE</u> flags, except FW_PROFILE_TYPE_ALL.

2.2.44 FW_ADAPTER

This structure represents a network interface in the host. It is used for display purposes in the user interface when configuring the **FW_PROFILE_CONFIG_DISABLED_INTERFACES** (section 2.2.37) configuration option.

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```
typedef struct _tag_FW_ADAPTER {
  [string, unique] wchar_t* pszFriendlyName;
  GUID Guid;
} FW_ADAPTER,
 *PFW ADAPTER;
```

pszFriendlyName: A pointer to a Unicode string that presents the friendly name that is associated with the network interface.

Guid: A GUID that uniquely identifies the interface in the host system.

2.2.45 FW_DIAG_APP

This structure is not used on the wire.

2.2.46 FW_RULE_CATEGORY

This enumeration represents the classes of functionality that a third-party software component can register for, take ownership of, and commit to implement. The implementation of such functionality by the firewall and advanced security component, or by the third-party software component, are implementation-specific decisions. This enumeration is only used to present the state of the registrations.

```
typedef [v1_enum] enum _tag_FW_RULE_CATEGORY {
   FW_RULE_CATEGORY_BOOT = 0,
   FW_RULE_CATEGORY_STEALTH = 1,
   FW_RULE_CATEGORY_FIREWALL = 2,
   FW_RULE_CATEGORY_CONSEC = 3,
   FW_RULE_CATEGORY_MAX = 4
} FW_RULE_CATEGORY,
 *PFW_RULE_CATEGORY;
```

- **FW_RULE_CATEGORY_BOOT:** This category of functionality represents the policy that is used while the system is starting up and the firewall and advance security component is not yet running. This symbolic constant has a value of 0.
- **FW_RULE_CATEGORY_STEALTH:** This category of functionality represents the policy that is used to make the system appear invisible when it is connected to a network. For example, this functionality helps prevent attackers from discovering the host and the ports that open to the host. This symbolic constant has a value of 1.
- FW_RULE_CATEGORY_FIREWALL: This category of functionality represents functions that are performed by firewall objects while they are present on the FW_STORE_TYPE_LOCAL, FW_STORE_TYPE_DYNAMIC, and FW_STORE_TYPE_GP_RSOP policy stores (see section 2.2.1). This symbolic constant has a value of 2.
- **FW_RULE_CATEGORY_CONSEC:** This category of functionality represents functions that are performed by the connection security objects. This symbolic constant has a value of 3.
- **FW_RULE_CATEGORY_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 4.

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2.2.47 FW_PRODUCT

This structure represents a third-party software component that registers with the firewall and advanced security component to implement some of the categories.

```
typedef struct _tag_FW_PRODUCT {
  DWORD dwFlags;
  DWORD dwNumRuleCategories;
  [size_is(dwNumRuleCategories), unique]
   FW_RULE_CATEGORY* pRuleCategories;
  [string, ref] wchar_t* pszDisplayName;
  [string, unique] wchar_t* pszPathToSignedProductExe;
} FW_PRODUCT,
 *PFW PRODUCT;
```

dwFlags: This field is not used.

dwNumRuleCategories: The number of rule categories with which the third-party software component registered.

- **pRuleCategories:** A pointer to an array of **dwNumRuleCategories** that are contiguous **FW_RULE_CATEGORY** elements.
- **pszDisplayName:** A pointer to a Unicode string. The string represents the name of the thirdparty software component.
- **pszPathToSignedProductExe:** A pointer to a Unicode string. The string represents the file path to the binary executable of the third-party software component.

2.2.48 FW_IP_VERSION

This enumeration is used to represent the two current IP protocol versions in use: IP version 4 and IP version 6.

```
typedef enum _tag_FW_IP_VERSION
{
   FW_IP_VERSION_INVALID = 0,
   FW_IP_VERSION_V4,
   FW_IP_VERSION_V6 = 2,
   FW_IP_VERSION_MAX = 3
} FW IP_VERSION;
```

FW_IP_VERSION_INVALID: This value MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.

FW_IP_VERSION_V4: This value represents IPv4. This symbolic constant has a value of 1.

- FW_IP_VERSION_V6: This value represents the IPv6. This symbolic constant has a value of 2.
- **FW_IP_VERSION_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 3.

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2.2.49 FW_IPSEC_PHASE

This enumeration is used to identify the IPsec phase of negotiations.

```
typedef enum _tag_FW_IPSEC_PHASE {
   FW_IPSEC_PHASE_INVALID = 0,
   FW_IPSEC_PHASE_1 = 1,
   FW_IPSEC_PHASE_2 = 2,
   FW_IPSEC_PHASE_MAX = 3
} FW_IPSEC_PHASE;
```

- **FW_IPSEC_PHASE_INVALID:** This value MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_IPSEC_PHASE_1:** This value represents the IPsec first phase of negotiations, also called main mode. This symbolic constant has a value of 1.
- FW_IPSEC_PHASE_2: This value represents the IPsec second phase of negotiations. A phase 2 authentication is the second authentication and can mean extended mode or quick mode. On auth sets, phase 2 authentication refers to extended mode (specified in [MS-AIPS] sections 3.6 and 3.7); and on crypto sets, phase 2 refers to quick mode (specified in [MS-AIPS] sections 3.4 and 3.5). This symbolic constant has a value of 2.
- **FW_IPSEC_PHASE_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 3.

2.2.50 FW_CS_RULE_FLAGS

This enumeration describes flag values for connection security rules.

```
typedef enum _tag_FW_CS_RULE_FLAGS
{
  FW_CS_RULE_FLAGS_NONE = 0x00,
  FW_CS_RULE_FLAGS_ACTIVE = 0x01,
  FW_CS_RULE_FLAGS_DTM = 0x02,
  FW_CS_RULE_TUNNEL_BYPASS_IF_ENCRYPTED = 0x08,
  FW_CS_RULE_OUTBOUND_CLEAR = 0x10,
  FW_CS_RULE_FLAGS_APPLY_AUTHZ = 0x20,
  FW_CS_RULE_FLAGS_KEY_MANAGER_ALLOW_DICTATE_KEY = 0x40,
  FW_CS_RULE_FLAGS_KEY_MANAGER_ALLOW_NOTIFY_KEY = 0x80,
  FW_CS_RULE_FLAGS_MAX = 0x100,
  FW_CS_RULE_FLAGS_MAX2_1 = 0x02,
  FW_CS_RULE_FLAGS_MAX_V2_10 = 0x40
  } FW_CS_RULE_FLAGS;
```

- **FW_CS_RULE_FLAGS_NONE:** This value means that none of the following flags are set. This value is defined for simplicity in writing IDL definitions and code.
- **FW_CS_RULE_FLAGS_ACTIVE:** If this flag is set, the rule is enabled; otherwise, the rule is disabled.

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FW_CS_RULE_FLAGS_DTM: If this flag is set, the rule is a dynamic tunnel mode rule.

- **FW_CS_RULE_TUNNEL_BYPASS_IF_ENCRYPTED:** This flag MUST only be set on tunnel mode rules. If this flag is set and traffic is already arriving encrypted, it is exempted from the tunnel.
- **FW_CS_RULE_OUTBOUND_CLEAR:** This flag MUST only be set on tunnel mode rules. If set, when outbound traffic matches the rule, it leaves unprotected, but inbound traffic MUST arrive through the tunnel.
- **FW_CS_RULE_FLAGS_APPLY_AUTHZ:** This flag MUST only be set on tunnel mode rules. If this flag is set, the authenticated peers of the traffic MUST match the SDDLs that are specified in FW_GLOBAL_CONFIG_IPSEC_TUNNEL_REMOTE_MACHINE_AUTHORIZATION_LIST and FW_GLOBAL_CONFIG_IPSEC_TUNNEL_REMOTE_USER_AUTHORIZATION_LIST.
- **FW_CS_RULE_FLAGS_KEY_MANAGER_ALLOW_DICTATE_KEY:** If this flag is set, external key managers are permitted to dictate the cryptographic keys used. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_CS_RULE_FLAGS_KEY_MANAGER_ALLOW_NOTIFY_KEY:** If this flag is set, external key managers are notified of the cryptographic keys used. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_CS_RULE_FLAGS_MAX:** This value and values that exceed this value are not valid for all schema versions and MUST NOT be used. It is only defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x100.
- **FW_CS_RULE_FLAGS_MAX2_1:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0201 and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x02.
- **FW_CS_RULE_FLAGS_MAX_V2_10:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x40.

2.2.51 FW_CS_RULE_ACTION

This enumeration identifies the possible actions a connection security rule (section 2.2.54) can have.

```
typedef enum _tag_FW_CS_RULE_ACTION
{
   FW_CS_RULE_ACTION_INVALID = 0,
   FW_CS_RULE_ACTION_SECURE_SERVER = 1,
   FW_CS_RULE_ACTION_BOUNDARY = 2,
   FW_CS_RULE_ACTION_SECURE = 3,
   FW_CS_RULE_ACTION_DO_NOT_SECURE = 4,
   FW_CS_RULE_ACTION_MAX = 5
} FW_CS_RULE_ACTION;
```

- **FW_CS_RULE_ACTION_INVALID:** This value MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_CS_RULE_ACTION_SECURE_SERVER:** This action requires inbound traffic to be IPsec traffic and attempts to secure outbound traffic with IPsec. This symbolic constant has a value of 1.

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- **FW_CS_RULE_ACTION_BOUNDARY:** This action attempts to secure inbound and outbound traffic with IPsec. If the action fails to secure the traffic, the traffic still flows on the clear. This symbolic constant has a value of 2.
- **FW_CS_RULE_ACTION_SECURE:** This action requires inbound and outbound traffic to be secured by IPsec. This symbolic constant has a value of 3.
- **FW_CS_RULE_ACTION_DO_NOT_SECURE:** This action exempts the traffic from being secured by IPsec. This symbolic constant has a value of 4.
- **FW_CS_RULE_ACTION_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 5.

2.2.52 FW_CS_RULE2_10

This structure describes a connection security rule that is used by the 2.10 binary version for servers and clients (see sections 1.7 and 2.2.37). The fields of this structure are identical to the **FW_CS_RULE** structure, and their meanings are covered in section 2.2.54.

```
typedef struct tag FW CS RULE2 10 {
 struct tag FW CS RULE2 10* pNext;
 unsigned short wSchemaVersion;
  [string, range(1,10001), ref] wchar t* wszRuleId;
  [string, range(1,10001)] wchar t* wszName;
  [string, range(1,10001)] wchar t* wszDescription;
 unsigned long dwProfiles;
 FW ADDRESSES Endpoint1;
 FW ADDRESSES Endpoint2;
 FW INTERFACE LUIDS LocalInterfaceIds;
 unsigned long dwLocalInterfaceTypes;
 unsigned long dwLocalTunnelEndpointV4;
 unsigned char LocalTunnelEndpointV6[16];
 unsigned long dwRemoteTunnelEndpointV4;
 unsigned char RemoteTunnelEndpointV6[16];
  FW PORTS Endpoint1Ports;
 FW PORTS Endpoint2Ports;
  [range(0,256)] unsigned short wIpProtocol;
  [string, range(1,10001)] wchar t* wszPhase1AuthSet;
  [string, range(1,10001)] wchar t* wszPhase2CryptoSet;
  [string, range(1,10001)] wchar t* wszPhase2AuthSet;
  [range(FW CS RULE ACTION SECURE SERVER, FW CS RULE ACTION MAX)]
   FW CS RULE ACTION Action;
 unsigned short wFlags;
  [string, range(1,10001)] wchar t* wszEmbeddedContext;
  FW OS PLATFORM LIST PlatformValidityList;
  [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin;
  [string, range(1,10001)] wchar t* wszGPOName;
 FW RULE STATUS Status;
  [string, range(1,512)] wchar t* wszMMParentRuleId;
 unsigned long Reserved;
  [size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
   PFW OBJECT METADATA pMetaData;
} FW CS RULE2 10,
*PFW CS RULE2 10;
```

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2.2.53 FW_CS_RULE2_0

This structure describes a connection security rule that is used by the 2.0 binary version for servers and clients (see sections <u>1.7</u> and <u>2.2.37</u>). The fields of this structure are identical to the **FW_CS_RULE** structure and their meanings are covered in section <u>2.2.54</u>.

```
typedef struct _tag_FW_CS_RULE2_0 {
 struct tag FW CS RULE2 0* pNext;
 unsigned short wSchemaVersion;
  [string, range(1,10001), ref] wchar t* wszRuleId;
  [string, range(1,10001)] wchar t* wszName;
  [string, range(1,10001)] wchar_t* wszDescription;
 unsigned long dwProfiles;
 FW ADDRESSES Endpoint1;
 FW ADDRESSES Endpoint2;
 FW INTERFACE LUIDS LocalInterfaceIds;
 unsigned long dwLocalInterfaceTypes;
 unsigned long dwLocalTunnelEndpointV4;
 unsigned char LocalTunnelEndpointV6[16];
 unsigned long dwRemoteTunnelEndpointV4;
 unsigned char RemoteTunnelEndpointV6[16];
 FW PORTS Endpoint1Ports;
 FW PORTS Endpoint2Ports;
  [range(0,256)] unsigned short wIpProtocol;
  [string, range(1,10001)] wchar t* wszPhaselAuthSet;
  [string, range(1,10001)] wchar t* wszPhase2CryptoSet;
  [string, range(1,10001)] wchar t* wszPhase2AuthSet;
  [range(FW CS RULE ACTION SECURE SERVER, FW CS RULE ACTION MAX - 1)]
   FW CS RULE ACTION Action;
 unsigned short wFlags;
  [string, range(1,10001)] wchar t* wszEmbeddedContext;
  FW OS PLATFORM LIST PlatformValidityList;
  [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin;
  [string, range(1,10001)] wchar t* wszGPOName;
 FW RULE STATUS Status;
} FW CS RULE2 0,
*PFW CS RULE2 0;
```

2.2.54 FW_CS_RULE

This structure describes a connection security rule.

```
typedef struct _tag_FW_CS_RULE {
  struct _tag_FW_CS_RULE* pNext;
  unsigned short wSchemaVersion;
  [string, range(1,10001), ref] wchar_t* wszRuleId;
  [string, range(1,10001)] wchar_t* wszName;
  [string, range(1,10001)] wchar_t* wszDescription;
  unsigned long dwProfiles;
  FW_ADDRESSES Endpoint1;
  FW_ADDRESSES Endpoint2;
  FW_INTERFACE_LUIDS LocalInterfaceIds;
  unsigned long dwLocalInterfaceTypes;
  unsigned long dwLocalTunnelEndpointV4;
  unsigned char LocalTunnelEndpointV6[16];
```

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```
unsigned long dwRemoteTunnelEndpointV4;
 unsigned char RemoteTunnelEndpointV6[16];
 FW PORTS Endpoint1Ports;
 FW PORTS Endpoint2Ports;
  [range(0,256)] unsigned short wIpProtocol;
  [string, range(1,10001)] wchar t* wszPhase1AuthSet;
  [string, range(1,10001)] wchar t* wszPhase2CryptoSet;
  [string, range(1,10001)] wchar t* wszPhase2AuthSet;
  [range(FW CS RULE ACTION SECURE SERVER, FW CS RULE ACTION MAX - 1)]
   FW CS RULE ACTION Action;
 unsigned short wFlags;
  [string, range(1,10001)] wchar t* wszEmbeddedContext;
 FW OS PLATFORM LIST PlatformValidityList;
  [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin;
  [string, range(1,10001)] wchar t* wszGPOName;
 FW RULE STATUS Status;
  [string, range(1,512)] WCHAR* wszMMParentRuleId;
 DWORD Reserved;
  [size is((Reserved & FW OBJECT_CTRL_FLAG_INCLUDE_METADATA) ? 1 : 0)]
   PFW OBJECT METADATA pMetaData;
  [string, range(1,512)] WCHAR* wszRemoteTunnelEndpointFqdn;
 FW ADDRESSES RemoteTunnelEndpoints;
 DWORD dwKeyModules;
 DWORD FwdPathSALifetime;
  [string, range(1,10001)] LPWSTR* wszTransportMachineAuthzSDDL;
  [string, range(1,10001)] LPWSTR* wszTransportUserAuthzSDDL;
} FW CS RULE,
*PFW_CS_RULE;
```

pNext: A pointer to the next **FW_CS_RULE** in the list.

wSchemaVersion: Specifies the version of the rule.

wszRuleId: A pointer to a Unicode string that uniquely identifies the rule.

wszName: A pointer to a Unicode string that provides a friendly name for the rule.

wszDescription: A pointer to a Unicode string that provides a friendly description for the rule.

- **dwProfiles:** A bitmask of the **FW PROFILE TYPE** flags. It is a condition that matches traffic on the specified profiles.
- Endpoint1: A condition that specifies the addresses of the first host of the traffic that the rule matches. An empty EndPoint1 structure means that this condition is not applied (any match).
- Endpoint2: A condition that specifies the addresses of the second host of the traffic that the rule matches. An empty EndPoint2 structure means that this condition is not applied (any match).
- **LocalInterfaceIds:** A condition that specifies the list of specific network interfaces that are used by the traffic that the rule matches. If the **LocalInterfaceIds** field does not specify an interface GUID, the rule applies to all interfaces; that is, the condition is not applied.

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- **dwLocalInterfaceTypes:** A bitmask of <u>FW INTERFACE TYPE</u>. It is a condition that restricts the interface types used by the traffic that the rule matches. A value of 0x00000000 means the condition matches all interface types.
- **dwLocalTunnelEndpointV4:** This field specifies the IPv4 address of the endpoint that the host machines use as their local endpoint when IPsec operates in tunnel mode.
- **LocalTunnelEndpointV6:** This field specifies the IPv6 address of the endpoint that the host machines use as their local endpoint when IPsec operates in tunnel mode.
- **dwRemoteTunnelEndpointV4:** This field specifies the IPv4 address of the endpoint that the host machines use as their remote endpoint when IPsec operates in tunnel mode.
- **RemoteTunnelEndpointV6:** This field specifies the IPv6 address of the endpoint that the host machines use as their remote endpoint when IPsec operates in tunnel mode.
- **Endpoint1Ports:** A condition that specifies the first host's ports of the TCP or UDP traffic that the rule matches.
- **Endpoint2Ports:** A condition that specifies the second host's ports of the TCP or UDP traffic that the rule matches.
- **wIpProtocol:** A condition that specifies the protocol of the traffic that the rule matches. If the value is in the range of 0 to 255, the value describes a protocol as in IETF IANA numbers (for more information, see [IANA-PROTO-NUM]). If the value is 256, the rule matches any protocol.
- **wszPhase1AuthSet:** A Unicode string that represents the set identifier for the Phase1 authentication policy objects.
- **wszPhase2CryptoSet:** A Unicode string that represents the set identifier for the Phase2 cryptographic policy objects.
- **wszPhase2AuthSet:** A Unicode string that represents the set identifier of the Phase2 authentication policy objects. If this field is NULL, no second authentication is performed.
- **Action:** The connection security action that the rule takes for the traffic matches. This field MUST contain a valid value from the **FW CS RULE ACTION** enumeration.
- wFlags: A bit flag or flags from <u>FW_CS_RULE_FLAGS</u>.
- **wszEmbeddedContext:** A pointer to a Unicode string. It specifies a group name for this rule. Other components in the system use this string to enable or disable a group of rules by verifying that all rules have the same group name.
- **PlatformValidityList:** A condition in a rule that determines whether or not the rule is enforced by the local computer based on the local computer's platform information. The rule is enforced only if the local computer's operating system platform is an element of the set described by **PlatformValidityList**.<a>
- **Origin:** This field is the rule origin, as specified in the <u>FW_RULE_ORIGIN_TYPE</u> enumeration. It MUST be filled on enumerated rules and ignored on input.
- **wszGPOName:** A pointer to a Unicode string containing the displayName of the GPO containing this object. When adding a new object, this field is not used. The client SHOULD set the value to NULL, and the server MUST ignore the value. When enumerating an existing object, if the client does not set the FW_ENUM_RULES_FLAG_RESOLVE_GPO_NAME flag, the server MUST

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set the value to NULL. Otherwise, the server MUST set the value to the displayName of the GPO containing the object or NULL if the object is not contained within a GPO. For details about how the server initializes an object from a GPO, see section 3.1.3. For details about how the displayName of a GPO is stored, see [MS-GPOL] section 2.3.

Status: The status code of the rule, as specified by the <u>FW_RULE_STATUS</u> enumeration. This field is filled out when the structure is returned as output. On input, this field MUST be set to *FW_RULE_STATUS_OK*.

wszMMParentRuleId: This field is not used.

- **Reserved:** Not used other than to instruct RPC by using the FW_OBJECT_CTRL_FLAG_INCLUDE_METADATA flag that a pointer to a FW_OBJECT_METADATA structure is present. It has no semantic meaning to the object itself
- **pMetaData:** A pointer to an FW_OBJECT_METADATA structure that contains specific metadata about the current state of the connection security rule.
- **wszRemoteTunnelEndpointFqdn:** A pointer to a Unicode string containing the fully qualified domain name (FQDN) of the endpoints that the host machines use as their remote endpoint when IPsec operates in tunnel mode.
- **RemoteTunnelEndpoints:** This field specifies the IPv4 and IPv6 addresses of the endpoints that the host machines use as their remote endpoint when IPsec operates in tunnel mode.
- **dwKeyModules:** A bitmask of the FW_KEY_MODULE flags. It specifies the key modules used to establish the cryptographic keys used by IPsec.
- **FwdPathSALifetime:** This value is the lifetime in seconds before a Phase2 established key is renegotiated if the key is used to secure traffic forwarded from one interface to another on the same host machine.
- **wszTransportMachineAuthzSDDL:** A pointer to a Unicode string in Security Descriptor Definition Language (SDDL) format ([MS-DTYP] section 2.2.36). The security descriptor describes which remote machines are allowed to send and receive traffic. Machines granted access by the security descriptor are allowed to send and receive traffic. Machines denied access by the security descriptor are blocked from sending and receiving traffic. This field MUST be null for tunnel mode rules.
- **wszTransportUserAuthzSDDL:** A pointer to a Unicode string in Security Descriptor Definition Language (SDDL) format ([MS-DTYP] section 2.2.36). The security descriptor describes which remote users are allowed to send and receive traffic. Users granted access by the security descriptor are allowed to send and receive traffic. Users denied access by the security descriptor are blocked from sending and receiving traffic. This field MUST be null for tunnel mode rules.

The following are semantic checks that connection security rules MUST pass:

- The **wSchemaVersion** field MUST NOT be less than 0x000200.
- The wszRuleId field MUST NOT contain the pipe '|' character, MUST NOT be NULL, MUST be a string of at least 1 character, and MUST NOT be greater than or equal to 512 characters.
- The **wszName** field string MUST meet the following criteria:
 - MUST contain at least one character.

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- MUST contain less than 10,000 characters.
- MUST NOT be NULL.
- MUST NOT contain the pipe '|' character.
- MUST NOT equal the string "ALL" (case-insensitive).
- If the **wszDescription** field string is not NULL, it MUST be at least 1 character, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe '|' character.
- If the **wszEmbeddedContext** field string is not NULL, it MUST be at least 1 character, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe '|' character.
- The **dwProfiles** field MUST NOT contain invalid values, and if it is not equal to the ALL profile type, it MUST NOT contain unknown profiles.
- The **wIpProtocol** field MUST NOT be greater than 256.
- If wIpProtocol is 6 or 17, the wPortKeywords field of Endpoint1Ports MUST be 0.
- If wIpProtocol is 6 or 17, the wPortKeywords field of Endpoint2Ports MUST be 0.
- If **wIpProtocol** is neither 6 nor 17, the **Endpoint1Ports** and **Endpoint2Ports** fields MUST be empty.
- If the Endpoint1 field is not empty, LocalInterfaceIds MUST be empty and dwLocalInterfaceTypes MUST be 0. If the Endpoint1 field is empty, LocalInterfaceIds MUST NOT be empty and dwLocalInterfaceTypes MUST NOT be 0.
- The Endpoint1 and Endpoint2 address keywords MUST contain valid address keywords.
- The **Endpoint1** and **Endpoint2** structures MUST NOT contain multicast v4 or v6 addresses.
- The **dwLocalInterfaceTypes** MUST NOT be greater than or equal to FW_INTERFACE_TYPE_MAX.
- The Action field MUST be a valid action from the FW_CS_RULE_ACTION enumeration.
- The **wFlags** field MUST NOT be greater than or equal to FW_CS_RULE_FLAGS_MAX.
- If the Action field is FW_CS_RULE_ACTION_DO_NOT_SECURE, wszPhase1AuthSet, wszPhase2AuthSet, and wszPhase2CryptoSet MUST all be NULL; otherwise, wszPhase1AuthSet, wszPhase2AuthSet, and wszPhase2CryptoSet MUST all be at least 1 character long, MUST NOT be greater than or equal to 1,000 characters,<13> and MUST NOT contain the pipe '|' character.

However, the **wszPhase2AuthSet** member can always be NULL. When **wszPhase2AuthSet** is not NULL, it SHOULD pass all of the string checks performed by the **wszPhase1AuthSet** member and the **wszPhase2CryptoSet** member.

A tunnel rule has the dwRemoteTunnelEndpointV4 (or V6) field as an address or the dwLocalTunnelEndpointV4 (or V6) as an address. If the rule is a tunnel rule, the Endpoint1 and Endpoint2 addresses MUST NOT be empty; the Action field MUST be FW_CS_RULE_ACTION_SECURE; wIpProtocol MUST be ANY (256); Endpoint1Ports and Endpoint2Ports MUST be empty; and dwRemoteTunnelEndpointV4 and dwLocalTunnelEndpointV4 MUST either both be ANY or both be specified. The same applies to v6 tunnel endpoint fields.

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- If the rule's wFlags field contains the FW_CS_RULE_FLAGS_DTM flag, then the rule is also a tunnel rule and the following requirements are relaxed: Either dwRemoteTunnelEndpointV4 or dwLocalTunnelEndpointV4, or both, can now be empty. The same applies to the v6 tunnel endpoint fields. Endpoint1 or Endpoint2 or both can now be empty. The action can now also be FW_CS_RULE_ACTION_DO_NOT_SECURE.
- Tunnel endpoint addresses MUST NOT be the loopback addresses.
- If the wFlags field has the FW_CS_RULE_FLAGS_OUTBOUND_CLEAR flag set or the FW_CS_RULE_FLAGS_TUNNEL_BYPASS_IF_ENCRYPTED flag set, the rule MUST be a tunnel mode rule.

2.2.55 FW_CERT_CRITERIA_TYPE

The FW_CERT_CRITERIA_TYPE enumeration defines whether the criteria are to be used for selection, validation, or both.

```
typedef enum
{
  FW_CERT_CRITERIA_TYPE_BOTH,
  FW_CERT_CRITERIA_TYPE_SELECTION,
  FW_CERT_CRITERIA_TYPE_VALIDATION,
  FW_CERT_CRITERIA_TYPE_MAX
} FW_CERT_CRITERIA_TYPE;
```

- **FW_CERT_CRITERIA_TYPE_BOTH:** Indicates that the criteria are to be used for both certificate selection and validation.
- **FW_CERT_CRITERIA_TYPE_SELECTION:** Indicates that the criteria are meant for local certificate selection.
- **FW_CERT_CRITERIA_TYPE_VALIDATION:** Indicates that the criteria are meant for validation of a peer certificate.
- **FW_CERT_CRITERIA_TYPE_MAX:** To be valid, a value of this type MUST be less than this constant.

2.2.56 FW_CERT_CRITERIA_NAME_TYPE

This enumeration defines the type of name to match in the certificate for a given criterion.

```
typedef enum
{
   FW_CERT_CRITERIA_NAME_NONE,
   FW_CERT_CRITERIA_NAME_DNS,
   FW_CERT_CRITERIA_NAME_UPN,
   FW_CERT_CRITERIA_NAME_CN,
   FW_CERT_CRITERIA_NAME_OU,
   FW_CERT_CRITERIA_NAME_O,
   FW_CERT_CRITERIA_NAME_DC,
   FW_CERT_CRITERIA_NAME_DC,
   FW_CERT_CRITERIA_NAME_MAX
} FW_CERT_CRITERIA_NAME_TYPE;
```

FW_CERT_CRITERIA_NAME_NONE: Do not perform any name matching.

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- **FW_CERT_CRITERIA_NAME_DNS:** Match the DNS name in the Subject Alternative Name of the certificate.
- **FW_CERT_CRITERIA_NAME_UPN:** Match the UPN name in the Subject Alternative Name of the certificate.
- **FW_CERT_CRITERIA_NAME_RFC822:** Match the RFC822 name in the Subject Alternative Name of the certificate.
- **FW_CERT_CRITERIA_NAME_CN:** Match the CN relative distinguished names (RDNs) in the Subject DN of the certificate.
- FW_CERT_CRITERIA_NAME_OU: Match the OU RDNs in the Subject DN of the certificate.
- FW_CERT_CRITERIA_NAME_O: Match the O RDNs in the Subject DN of the certificate.
- FW_CERT_CRITERIA_NAME_DC: Match the DC RDNs in the Subject DN of the certificate.
- FW_CERT_CRITERIA_NAME_MAX: To be valid, a value of this type MUST be less than this constant.

2.2.57 FW_CERT_CRITERIA_FLAGS

This enumeration describes bitmask flags that can be set on a criteria structure.

```
typedef enum
{
  FW_AUTH_CERT_CRITERIA_FLAGS_NONE = 0x0000,
  FW_AUTH_CERT_CRITERIA_FLAGS_FOLLOW_RENEWAL = 0x0001,
  FW_AUTH_CERT_CRITERIA_FLAGS_MAX = 0x0002
} FW_CERT_CRITERIA_FLAGS;
```

FW_AUTH_CERT_CRITERIA_FLAGS_NONE: No flags are set.

FW_AUTH_CERT_CRITERIA_FLAGS_FOLLOW_RENEWAL: The renewal links in a certificate are to be followed, if they are found within a certificate.

FW_AUTH_CERT_CRITERIA_FLAGS_MAX: To be valid, a flag value of this type MUST be less than this constant.

2.2.58 FW_CERT_CRITERIA

This structure contains fields that are used when selecting a local certificate and validating a remote peer's certificate during certificate authentication.

```
typedef struct FW_CERT_CRITERIA {
  WORD wSchemaVersion;
  WORD wFlags;
  FW_CERT_CRITERIA_TYPE CertCriteriaType;
  FW_CERT_CRITERIA_NAME_TYPE NameType;
  LPWSTR wszName;
  DWORD dwNumEku;
  LPSTR ppEku;
  LPWSTR wszHash;
} FW_CERT_CRITERIA,
 *PFW CERT_CRITERIA;
```

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wSchemaVersion: Specifies the version of the criteria structure.

wFlags: A <u>WORD</u> containing bit flags, whose value is defined in
 <u>FW_CERT_CRITERIA_FLAGS</u>. The flag
 FW_AUTH_CERT_CRITERIA_FLAGS_FOLLOW_RENEWAL MUST NOT be set if the field
 wszHash is null. If specified, the flag FW_AUTH_CERT_CRITERIA_FLAGS_FOLLOW_RENEWAL
 MUST NOT be used if CertCriteriaType is equal to FW_CERT_CRITERIA_TYPE_VALIDATION.

CertCriteriaType: Specifies the type of criteria used, as among those specified in the <u>FW CERT CRITERIA TYPE</u> enumeration. This value MUST be less than FW_CERT_CRITERIA_TYPE_MAX.

NameType: Specifies the type of name, as among those specified in the <u>FW_CERT_CRITERIA_NAME_TYPE</u> enumeration. This value MUST be less than FW_CERT_CRITERIA_NAME_MAX. If the value is not equal to FW_CERT_CRITERIA_NAME_NONE, then the value for wszName MUST be specified.

wszName: A Unicode string that specifies a name corresponding to the **NameType** specified. The length of this Unicode string MUST be less than 10,000 characters. The name MUST not contain the pipe "|" character.

dwNumEku: Specifies the number of EKU element entries in the ppEku array.

ppEku: Pointer to an array of pointers to null-terminated strings. Each string in the array MUST contain only characters in the range "0" to "9" or the "." character. The number of elements in the array MUST be equal to the value of the **dwNumEku** field.

wszHash: A Unicode string that specifies the hash of the certificate. The number of characters in the string MUST be equal to 40. Each character in the string MUST be in one of the following ranges: "0" to "9", "a" to "f", or "A" to "F".

2.2.59 FW_AUTH_METHOD

This enumeration defines the different authentication methods that are used for authentication. The **IpSecPhase** field of the <u>FW AUTH SET</u> containing the <u>FW AUTH SUITE</u> determines which authentication methods are valid for a particular authentication suite.

```
typedef enum _tag_FW_AUTH_METHOD
{
   FW_AUTH_METHOD_INVALID = 0,
   FW_AUTH_METHOD_ANONYMOUS = 1,
   FW_AUTH_METHOD_MACHINE_KERB = 2,
   FW_AUTH_METHOD_MACHINE_SHKEY = 3,
   FW_AUTH_METHOD_MACHINE_NTLM = 4,
   FW_AUTH_METHOD_MACHINE_CERT = 5,
   FW_AUTH_METHOD_USER_KERB = 6,
   FW_AUTH_METHOD_USER_CERT = 7,
   FW_AUTH_METHOD_USER_NTLM = 8,
   FW_AUTH_METHOD_USER_RESERVED = 9,
   FW_AUTH_METHOD_USER_RESERVED = 10,
   FW_AUTH_METHOD_MAX_2_10 = 9,
   FW_AUTH_METHOD_MAX = 11
} FW AUTH_METHOD;
```

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- **FW_AUTH_METHOD_INVALID:** This value MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_AUTH_METHOD_ANONYMOUS:** This method does not require identity to authenticate. It is equal to no authentication. This method can be used for both FW_IPSEC_PHASE_1 or FW_IPSEC_PHASE_2. This symbolic constant has a value of 1.
- **FW_AUTH_METHOD_MACHINE_KERB:** This method authenticates the identity of machines by using <u>Kerberos Protocol Extensions</u> (as specified in [MS-KILE]). This method MUST be used only on FW_IPSEC_PHASE_1. This symbolic constant has a value of 2.
- **FW_AUTH_METHOD_MACHINE_SHKEY:** This method uses a previous manually shared key to authenticate machine identities. This method MUST be used only on FW_IPSEC_PHASE_1. This symbolic constant has a value of 3.
- **FW_AUTH_METHOD_MACHINE_NTLM:** This method authenticates the identity of machines by using the <u>NTLM Authentication Protocol</u> (as specified in [MS-NLMP]). This method MUST be used only on FW_IPSEC_PHASE_1. This symbolic constant has a value of 4.
- **FW_AUTH_METHOD_MACHINE_CERT:** This method authenticates the identity of a machine by using machine certificates. This method can be used for both FW_IPSEC_PHASE_1 or FW_IPSEC_PHASE_2. This symbolic constant has a value of 5.
- **FW_AUTH_METHOD_USER_KERB:** This method authenticates user identities by using the Kerberos Protocol Extensions. This method MUST be used only on FW_IPSEC_PHASE_2. This symbolic constant has a value of 6.
- **FW_AUTH_METHOD_USER_CERT:** This method authenticates user identities by using user certificates. This method MUST be used only on FW_IPSEC_PHASE_2. This symbolic constant has a value of 7.
- **FW_AUTH_METHOD_USER_NTLM:** This method authenticates user identities by using the NTLM Authentication Protocol. This method MUST be used only on FW_IPSEC_PHASE_2. This symbolic constant has a value of 8.
- **FW_AUTH_METHOD_MACHINE_RESERVED:** This value is invalid and MUST NOT be used. This symbolic constant has a value of 9.
- **FW_AUTH_METHOD_USER_RESERVED:** This value is invalid and MUST NOT be used. This symbolic constant has a value of 10.
- **FW_AUTH_METHOD_MAX_2_10:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 9.
- **FW_AUTH_METHOD_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 11.

2.2.60 FW_AUTH_SUITE_FLAGS

This enumeration describes bitmask flags that can be set on authentication proposals.

typedef enum _tag_FW_AUTH_SUITE_FLAGS
{
 FW_AUTH_SUITE_FLAGS_NONE = 0x0000,

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```
FW_AUTH_SUITE_FLAGS_CERT_EXCLUDE_CA_NAME = 0x0001,
FW_AUTH_SUITE_FLAGS_HEALTH_CERT = 0x0002,
FW_AUTH_SUITE_FLAGS_PERFORM_CERT_ACCOUNT_MAPPING = 0x0004,
FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 = 0x00008,
FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 = 0x0010,
FW_AUTH_SUITE_FLAGS_INTERMEDIATE_CA = 0x0020,
W_AUTH_SUITE_FLAGS_ALLOW_PROXY = 0x0040,
FW_AUTH_SUITE_FLAGS_MAX = 0x0080,
FW_AUTH_SUITE_FLAGS_MAX = 0x0080,
FW_AUTH_SUITE_FLAGS_MAX_V2_1 = 0x0020
} FW_AUTH_SUITE_FLAGS;
```

- **FW_AUTH_SUITE_FLAGS_NONE:** This value means that none of the following flags are set. This value is defined for simplicity in writing IDL definitions and code.
- **FW_AUTH_SUITE_FLAGS_CERT_EXCLUDE_CA_NAME:** If this flag is set, certificate authority (CA) names are excluded. This flag MUST be set only on first authentications.
- FW_AUTH_SUITE_FLAGS_HEALTH_CERT: This flag specifies that the certificate in use is a health certificate. On second authentications, if the authentication method is using a machine certificate, this flag MUST be specified. Also on second authentications, if the authentication method is using a user certificate, this flag MUST NOT be specified.
- **FW_AUTH_SUITE_FLAGS_PERFORM_CERT_ACCOUNT_MAPPING:** This flag specifies that the certificate that is used maps to an account.
- **FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256:** This flag specifies that the default certificate signing algorithm of **RSA** MUST be replaced by the Elliptic Curve Digital Signature Algorithm (ECDSA) using curves with a 256-bit prime moduli.
- **FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384:** This flag specifies that the default certificate signing algorithm of RSA MUST be replaced by the Elliptic Curve Digital Signature Algorithm using curves with a 384-bit prime moduli.
- **FW_AUTH_SUITE_FLAGS_INTERMEDIATE_CA:** This flag specifies that the certificate used is not from a root certificate authority but from an intermediate authority in the chain.
- **W_AUTH_SUITE_FLAGS_ALLOW_PROXY:** This flag specifies that the host machine MUST use a proxy server to communicate with the **Key Distribution Center (KDC)** when performing Kerberos authentication. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_AUTH_SUITE_FLAGS_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0080.
- FW_AUTH_SUITE_FLAGS_MAX_V2_1: This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0201 and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x0020.

2.2.61 FW_AUTH_SUITE2_10

This structure describes an IPsec authentication suite. An authentication suite is a proposal of a set of algorithms and parameters that specify the authentication method to be used. It also includes some modifiers and parameters for the authentication method.

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```
typedef struct tag FW AUTH SUITE2 10 {
 [range(FW AUTH METHOD INVALID+1, FW AUTH METHOD MAX)]
   FW AUTH METHOD Method;
 unsigned short wFlags;
  [switch type(FW AUTH METHOD), switch is(Method)]
   union {
    [case(FW AUTH METHOD MACHINE CERT, FW AUTH METHOD USER CERT)]
     struct {
     [ref, string] wchar t* wszCAName;
   };
    [case(FW AUTH METHOD MACHINE SHKEY)]
     struct {
     [ref, string] wchar t* wszSHKey;
   }:
   [default]
                 ;
 };
} FW AUTH SUITE2 10,
*PFW AUTH SUITE2 10;
```

Method: This field is of type <u>FW_AUTH_METHOD</u>. It specifies the authentication method that is suggested by this proposal suite.

wFlags: This flag is a combination of flags from <u>FW_AUTH_SUITE_FLAGS</u>.

wszCAName: A pointer to a Unicode string. This string represents the name of the certificate authority to be used to authenticate when using machine or user certificate methods.

wszSHKey: A pointer to a Unicode string. This string is the previous, manually shared secret that is used to authenticate when using preshared key methods.

If the method is machine certificate or user certificate, the **wszCAName** string MUST NOT be NULL, MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, MUST NOT contain the pipe(|) character, and MUST be a CERT_X500_NAME_STR string type name encoded with X509_ASN_ENCODING. If the method is SHKEY, the **wszSHKey** string MUST NOT be NULL, MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.

2.2.62 FW_AUTH_SUITE

This structure specifies an IPsec authentication suite and includes certification selection criteria. An authentication suite is a proposal of a set of algorithms and parameters that specify the authentication method to be used.

```
typedef struct _tag_FW_AUTH_SUITE {
  [range(FW_AUTH_METHOD_INVALID+1, FW_AUTH_METHOD_MAX)]
  FW_AUTH_METHOD Method;
  unsigned short wFlags;
  [switch_type(FW_AUTH_METHOD), switch_is(Method)]
  union {
    [case(FW_AUTH_METHOD_MACHINE_CERT,FW_AUTH_METHOD_USER_CERT)]
    struct {
      [ref, string] wchar_t* wszCAName;
      [unique] PFW_CERT_CRITERIA pCertCriteria;
    };
    [case(FW_AUTH_METHOD_MACHINE_SHKEY)]
```

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```
struct {
    [ref, string] wchar_t* wszSHKey;
    pCertCriteria;
    [case(FW_AUTH_METHOD_MACHINE_KERB, FW_AUTH_METHOD_USER_KERB)]
    struct {
      [unique, string] WCHAR* wszProxyServer;
    };
    [default] ;
  };
} FW_AUTH_SUITE,
 *PFW AUTH_SUITE;
```

Method: This field is of type <u>FW_AUTH_METHOD</u>. It specifies the authentication method that is suggested by this proposal suite.

wFlags: This flag is a combination of flags from <u>FW AUTH SUITE FLAGS</u>.

- **wszCAName:** A pointer to a Unicode string. This string represents the name of the certificate authority to be used to authenticate when using machine or user certificate methods.
- **pCertCriteria:** A pointer to a structure of type <u>PFW_CERT_CRITERIA</u>. This field MUST NOT be present unless the **Method** field has the value FW_AUTH_METHOD_MACHINE_CERT or FW_AUTH_METHOD_USER_CERT.

It contains fields which are used when selecting a local certificate and validating a remote peer's certificate during certificate authentication.

- **wszSHKey:** A pointer to a Unicode string. This string is the previous, manually shared secret that is used to authenticate when using preshared key methods.
- **wszProxyServer:** A pointer to a **Unicode** string specifying the fully qualified domain name (FQDN) of the Kerberos proxy server. This field MUST be set if and only if the FW_AUTH_SUITE_FLAGS_ALLOW_PROXY flag is set.

If the method is machine certificate or user certificate, the **wszCAName** string MUST NOT be NULL, MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, MUST NOT contain the pipe(|) character, and MUST be a valid Name as defined in [X501] section 9.2. If the method is SHKEY, the **wszSHKey** string MUST NOT be NULL, MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.

If the **Method** is not FW_AUTH_METHOD_MACHINE_CERT or FW_AUTH_METHOD_USER_CERT then the **pCertCriteria** field MUST be NULL.

2.2.63 FW_AUTH_SET2_10

This structure contains a list of **FW_AUTH_SUITE2_10** elements that are ordered from highest to lowest preference and are negotiated with remote peers to establish authentication algorithms.

```
typedef struct _tag_FW_AUTH_SET2_10 {
  struct _tag_FW_AUTH_SET2_10* pNext;
  unsigned short wSchemaVersion;
  [range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
  FW_IPSEC_PHASE IpSecPhase;
  [string, range(1,255), ref] wchar t* wszSetId;
```

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```
[string, range(1,10001)] wchar_t* wszName;
[string, range(1,10001)] wchar_t* wszDescription;
[string, range(1,10001)] wchar_t* wszEmbeddedContext;
[range(0,1000)] unsigned long dwNumSuites;
[size_is(dwNumSuites)] PFW_AUTH_SUITE pSuites;
[range(FW_RULE_ORIGIN_INVALID,FW_RULE_ORIGIN_MAX-1)]
FW_RULE_ORIGIN_TYPE Origin;
[string, range(1,10001)] wchar_t* wszGPOName;
FW_RULE_STATUS Status;
unsigned long dwAuthSetFlags;
} FW_AUTH_SET2_10,
*PFW AUTH_SET2_10;
```

pNext: A pointer to the next **FW_AUTH_SET2_10** in the list.

wSchemaVersion: Specifies the version of the set.

- **IpSecPhase:** This field is of type **FW IPSEC PHASE**, and it specifies if this authentication set applies for first or second authentications.
- **wszSetId:** A pointer to a Unicode string that uniquely identifies the set. The default set for this policy object is identified with the "{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE3}" string for Phase1 and the "{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE4}" string for Phase2. Default sets are merged across policy stores, and only one is enforced according to predefined merge logic rules.

wszName: A pointer to a Unicode string that provides a friendly name for the set.

wszDescription: A pointer to a Unicode string that provides a friendly description for the set.

wszEmbeddedContext: A pointer to a Unicode string that provides a way for applications to store relevant application-specific context that is related to the set.

dwNumSuites: Specifies the number of authentication suites that the structure contains.

- **pSuites:** A pointer to an array of **FW AUTH SUITE** elements. The number of elements is given by **dwNumSuites**.
- **Origin:** This field is the set origin, as specified in the <u>FW_RULE_ORIGIN_TYPE</u> enumeration. It MUST be filled on enumerated rules and ignored on input.
- **wszGPOName:** A Unicode string that represents the name of the originating GPO. It MUST be set if the origin is Group Policy; otherwise, it MUST be NULL.
- **Status:** A status code of the set, as specified by the <u>FW_RULE_STATUS</u> enumeration. This field is filled out when the structure is returned as output. On input, this field MUST be set to FW_RULE_STATUS_OK.

dwAuthSetFlags: A reserved value and not currently used. It MUST be set to 0.

The following are semantic checks that authentication sets MUST pass:

- The **wSchemaVersion** field MUST NOT be less than 0x000200.
- The wszSetId field MUST NOT contain the pipe (|) character, MUST NOT be NULL, MUST be a string of at least 1 character long, and MUST NOT be greater than or equal to 255 characters.

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- If the **wszName** field string is not NULL, it MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- If the **wszDescription** field string is not NULL, it MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- If the wszEmbeddedContext field string is not NULL, it MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- The **IpSecPhase** field MUST have valid FW_IPSEC_PHASE values.
- If **IpSecPhase** is FW_IPSEC_PHASE_1:
 - The **wszSetId** field MUST NOT have the default phase 1 authentication set ID as a prefix.
 - The authentication set MUST have at least one authentication suite.
 - The **dwNumSuites** field MUST agree with the **pSuites** field.
 - The authentication suites methods MUST only be FW_AUTH_METHOD_ANONYMOUS, FW_AUTH_METHOD_MACHINE_KERB, FW_AUTH_METHOD_MACHINE_NTLM, FW_AUTH_METHOD_MACHINE_CERT, or FW_AUTH_METHOD_MACHINE_SHKEY.
 - Authentication suites that have a method other than machine certificate MUST have the **wFlags** field of the same suite set to 0.
 - If the set schema policy version is 0x200, the wFlags field MUST NOT contain the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 or the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flags.
 - The wFlags field MUST NOT contain both the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 and the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flags.
 - All suites that have the FW_AUTH_METHOD_MACHINE_CERT method and a wFlags field with the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 flag set, MUST be contiguous. The same applies for those suites that have the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flag set, and those suites that have neither flag set (they default to RSA signing).
 - All such contiguous suites that have a specific signing flag (either none, ECDSA256, or ECDSA384) MUST have the same value for the FW_AUTH_SUITE_FLAG_HEALTH_CERT flag. It MUST be set either in all or in none.
 - The set MUST NOT have more than one suite that has the anonymous method (FW_AUTH_METHOD_ANONYMOUS), or that has the machine kerb method (FW_AUTH_METHOD_MACHINE_KERB), or that has the machine ntlm method (FW_AUTH_METHOD_MACHINE_NTLM), or that has the machine shkey method (FW_AUTH_METHOD_MACHINE_SHKEY), as defined in section <u>2.2.59.<14></u>
 - The set MUST NOT have a suite that has an <u>NTLM Authentication Protocol</u> method (as specified in [MS-NLMP]) and a suite SHKey method.
 - If the set has a machine certificate suite that has a wFlag that contains the flag FW_AUTH_SUITE_FLAGS_HEALTH_CERT, all machine certificate method suites in the set MUST also have this flag.

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- If the set schema policy version is less than 0x214, the set MUST NOT have suites that contain the FW_AUTH_METHOD_MACHINE_NEGOEX authentication method.
- If the **IpSecPhase** is FW_IPSEC_PHASE_2:
 - The **wszSetId** MUST NOT have the default phase 2 authentication set ID as a prefix.
 - The **dwNumSuites** field MUST agree with the **pSuites** field.
 - The authentication suites methods MUST only beFW_AUTH_METHOD_ANONYMOUS, FW_AUTH_METHOD_USER_KERB, FW_AUTH_METHOD_USER_NTLM, FW_AUTH_METHOD_USER_CERT, or FW_AUTH_METHOD_MACHINE_CERT.
 - The set MUST NOT have a suite that has the anonymous method as the only suite.
 - Suites in the set MUST NOT contain FW_AUTH_SUITE_FLAGS_CERT_EXCLUDE_CA_NAME.
 - Suites that have user certificate methods MUST NOT contain the FW_AUTH_SUITE_FLAGS_HEALTH_CERT flag; however, suites that have machine certificate methods MUST contain it.
 - Authentication suites that have a method other than machine certificate or user certificate MUST have the wFlags field of the same suite set to 0.
 - If the set schema policy version is 0x200, the wFlags field MUST NOT contain the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 or the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flags.
 - The wFlags field MUST NOT contain both the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 and the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flags.
 - All suites that have a FW_AUTH_METHOD_MACHINE_CERT method and a wFlags field with the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 flag set, MUST be contiguous. The same applies to those suites that have the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flag set and those suites that have neither flag set (they default to RSA signing).
 - The set MUST NOT have more than one suite that has the anonymous method (FW_AUTH_METHOD_ANONYMOUS), or that has the user kerb method (FW_AUTH_METHOD_USER_KERB), or that has the user ntlm method (FW_AUTH_METHOD_USER_NTLM), as defined in section <u>2.2.59</u>.<<u>15></u>
 - A set that contains a suite that has the machine certificate method MUST NOT contain suites that have the user certificate method.
 - A set that contains a suite that has the machine certificate method MUST only contain more suites that have machine certificate or anonymous methods.
 - If the set schema policy version is less than 0x214, the set MUST NOT have suites that contain the FW_AUTH_METHOD_USER_NEGOEX authentication method.

2.2.64 FW_AUTH_SET

This structure contains a list of **FW_AUTH_SUITE** elements that are ordered from highest to lowest preference and are negotiated with remote peers to establish authentication algorithms.

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```
typedef struct tag FW AUTH SET {
 struct tag FW AUTH SET* pNext;
 unsigned short wSchemaVersion;
  [range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)]
   FW IPSEC PHASE IpSecPhase;
  [string, range(1,255), ref] wchar t* wszSetId;
  [string, range(1,10001)] wchar_t* wszName;
  [string, range(1,10001)] wchar t* wszDescription;
  [string, range(1,10001)] wchar t* wszEmbeddedContext;
  [range(0,1000)] unsigned long dwNumSuites;
  [size is(dwNumSuites)] PFW AUTH SUITE pSuites;
  [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin;
  [string, range(1,10001)] wchar_t* wszGPOName;
 FW RULE STATUS Status;
 unsigned long dwAuthSetFlags;
} FW AUTH SET,
 *PFW AUTH SET;
```

pNext: A pointer to the next **FW_AUTH_SET** in the list.

wSchemaVersion: Specifies the version of the set.

- **IpSecPhase:** This field is of type **FW IPSEC PHASE**, and it specifies if this authentication set applies for first or second authentications.
- **wszSetId:** A pointer to a Unicode string that uniquely identifies the set. The primary set for this policy object is identified with the "{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE3}" string for Phase1 and the "{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE4}" string for Phase2.

wszName: A pointer to a Unicode string that provides a friendly name for the set.

wszDescription: A pointer to a Unicode string that provides a friendly description for the set.

wszEmbeddedContext: A pointer to a Unicode string that provides a way for applications to store relevant application-specific context that is related to the set.

dwNumSuites: Specifies the number of authentication suites that the structure contains.

- **pSuites:** A pointer to an array of **FW_AUTH_SUITE** elements. The number of elements is given by **dwNumSuites**.
- **Origin:** This field is the set origin, as specified in the **FW RULE ORIGIN TYPE** enumeration. It MUST be filled on enumerated rules and ignored on input.
- **wszGPOName:** A pointer to a Unicode string containing the displayName of the GPO containing this object. When adding a new object, this field is not used. The client SHOULD set the value to NULL, and the server MUST ignore the value. When enumerating an existing object, if the client does not set the FW_ENUM_RULES_FLAG_RESOLVE_GPO_NAME flag, the server MUST set the value to NULL. Otherwise, the server MUST set the value to the displayName of the GPO containing the object or NULL if the object is not contained within a GPO. For details about how the server initializes an object from a GPO, see section <u>3.1.3</u>. For details about how the displayName of a GPO is stored, see [MS-GPOL] section 2.3.

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Status: The status code of the set which MUST be one of the values defined in the <u>FW RULE STATUS</u> enumeration. This field's value is assigned when the structure is returned as output. When first sent, this field MUST be set to FW_RULE_STATUS_OK.

dwAuthSetFlags: Bit flags from FW_AUTH_SET_FLAGS.

The following are semantic checks that authentication sets MUST pass:

- The **wSchemaVersion** field MUST NOT be less than 0x000200.
- The **wszSetId** field MUST NOT contain the pipe (|) character, MUST NOT be NULL, MUST be a string of at least 1 character long, and MUST NOT be greater than or equal to 255 characters.
- If the **wszName** field string is not NULL, it MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- If the **wszDescription** field string is not NULL, it MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- If the wszEmbeddedContext field string is not NULL, it MUST be at least 1 character long, its length MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.

If the method of a suite is machine certificate or user certificate, and its **pCertCriteria** field is not NULL, then the **wSchemaVersion** of the **pCertCriteria** field MUST be equal to the schema version specified in the **wSchemaVersion** field of the auth set containing the suite.

- The **IpSecPhase** field MUST have valid FW_IPSEC_PHASE values.
- If **IpSecPhase** is FW_IPSEC_PHASE_1:
 - The **wszSetId** field MUST NOT have the primary phase 1 authentication set ID as a prefix.
 - The authentication set MUST have at least one authentication suite.
 - The **dwNumSuites** field MUST agree with the **pSuites** field.
 - The authentication suites methods MUST each be either FW_AUTH_METHOD_ANONYMOUS, FW_AUTH_METHOD_MACHINE_KERB, FW_AUTH_METHOD_MACHINE_NTLM, FW_AUTH_METHOD_MACHINE_CERT, or FW_AUTH_METHOD_MACHINE_SHKEY.
 - Authentication suites that have a method other than machine certificate MUST have the **wFlags** field of the same suite set to 0.
 - If the set schema policy version is 0x200, the wFlags field MUST NOT contain the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 or the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flags.
 - The wFlags field MUST NOT contain both the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 and the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flags.
 - All suites that have the FW_AUTH_METHOD_MACHINE_CERT method and a wFlags field with the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 flag set, MUST be contiguous. The same applies for those suites that have the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flag set, and those suites that have neither flag set (they default to RSA signing).

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- All such contiguous suites that have a specific signing flag (either none, ECDSA256, or ECDSA384) MUST have the same value for the FW_AUTH_SUITE_FLAG_HEALTH_CERT flag.
- The set MUST NOT have more than one suite that has the anonymous method (FW_AUTH_METHOD_ANONYMOUS), or that has the machine kerb method (FW_AUTH_METHOD_MACHINE_KERB), or that has the machine ntlm method (FW_AUTH_METHOD_MACHINE_NTLM), or that has the machine shkey method (FW_AUTH_METHOD_MACHINE_SHKEY), as defined in section <u>2.2.59.<16></u>
- The set MUST NOT have a suite that has an <u>NTLM Authentication Protocol</u> method (as specified in [MS-NLMP]) and a suite SHKey method.
- If the set has a machine certificate suite that has a **wFlag** that contains the flag FW_AUTH_SUITE_FLAGS_HEALTH_CERT, all machine certificate method suites in the set MUST also have this flag.
- If the set schema policy version is less than 0x214, the set MUST NOT have suites that contain the FW_AUTH_METHOD_MACHINE_NEGOEX authentication method.
- If the **IpSecPhase** is FW_IPSEC_PHASE_2:
 - The **wszSetId** MUST NOT have the primary phase 2 authentication set ID as a prefix.
 - The **dwNumSuites** field MUST agree with the **pSuites** field.
 - The authentication suites methods MUST each be one of FW_AUTH_METHOD_ANONYMOUS, FW_AUTH_METHOD_USER_KERB, FW_AUTH_METHOD_USER_NTLM, FW_AUTH_METHOD_USER_CERT, or FW_AUTH_METHOD_MACHINE_CERT.
 - The set MUST NOT have a suite that has the anonymous method as the only suite.
 - Suites in the set MUST NOT contain FW_AUTH_SUITE_FLAGS_CERT_EXCLUDE_CA_NAME.
 - Suites that have user certificate methods MUST NOT contain the FW_AUTH_SUITE_FLAGS_HEALTH_CERT flag; however, suites that have machine certificate methods MUST contain it.
 - Authentication suites that have a method other than machine certificate or user certificate MUST have the **wFlags** field of the same suite set to 0.
 - If the set schema policy version is 0x200, the wFlags field MUST NOT contain the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 or the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flags.
 - The wFlags field MUST NOT contain both the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 and the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flags.
 - All suites that have a FW_AUTH_METHOD_MACHINE_CERT method and a wFlags field with the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA256 flag set, MUST be contiguous. The same applies to those suites that have the FW_AUTH_SUITE_FLAGS_CERT_SIGNING_ECDSA384 flag set and those suites that have neither flag set (they default to RSA signing).
 - The set MUST NOT have more than one suite that has the anonymous method (FW_AUTH_METHOD_ANONYMOUS), or that has the user kerb method

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(FW_AUTH_METHOD_USER_KERB), or that has the user ntlm method (FW_AUTH_METHOD_USER_NTLM), as defined in section 2.2.59.<17>

- A set that contains a suite that has the machine certificate method MUST NOT contain suites that have the user certificate method.
- A set that contains a suite that has the machine certificate method MUST only contain suites that have machine certificate or anonymous methods.

2.2.65 FW_CRYPTO_KEY_EXCHANGE_TYPE

This enumeration is used to identify supported key exchange algorithms.

```
typedef enum _tag_FW_CRYPTO_KEY_EXCHANGE_TYPE
{
  FW_CRYPTO_KEY_EXCHANGE_NONE = 0,
  FW_CRYPTO_KEY_EXCHANGE_DH1 = 1,
  FW_CRYPTO_KEY_EXCHANGE_DH2 = 2,
  FW_CRYPTO_KEY_EXCHANGE_ECDH256 = 3,
  FW_CRYPTO_KEY_EXCHANGE_ECDH384 = 4,
  FW_CRYPTO_KEY_EXCHANGE_DH14 = 5,
  FW_CRYPTO_KEY_EXCHANGE_DH14 = 5,
  FW_CRYPTO_KEY_EXCHANGE_DH14 = FW_CRYPTO_KEY_EXCHANGE_DH2048 = 5,
  FW_CRYPTO_KEY_EXCHANGE_DH24 = 6,
  FW_CRYPTO_KEY_EXCHANGE_DH24 = 6,
  FW_CRYPTO_KEY_EXCHANGE_DH24 = 6,
  FW_CRYPTO_KEY_EXCHANGE_MAX_V2_10 = FW_CRYPTO_KEY_EXCHANGE_DH24 = 6,
  FW_CRYPTO_KEY_EXCHANGE_MAX = 7
} FW_CRYPTO_KEY_EXCHANGE_TYPE;
```

- **FW_CRYPTO_KEY_EXCHANGE_NONE:** This value means that there are no key exchange algorithms defined. When enumerating SAs, this value MAY be returned. It MUST NOT be used for other cases. This symbolic constant has a value of 0.
- **FW_CRYPTO_KEY_EXCHANGE_DH1:** Do key exchange with Diffie-Hellman group 1. This symbolic constant has a value of 1.
- **FW_CRYPTO_KEY_EXCHANGE_DH2:** Do key exchange with Diffie-Hellman group 2. This symbolic constant has a value of 2.
- **FW_CRYPTO_KEY_EXCHANGE_ECDH256:** Do key exchange with elliptic curve Diffie-Hellman 256. This symbolic constant has a value of 3.
- **FW_CRYPTO_KEY_EXCHANGE_ECDH384:** Do key exchange with elliptic curve Diffie-Hellman 384. This symbolic constant has a value of 4.
- **FW_CRYPTO_KEY_EXCHANGE_DH14:** Do key exchange with Diffie-Hellman group 14. This symbolic constant has a value of 5.
- **FW_CRYPTO_KEY_EXCHANGE_DH14 = FW_CRYPTO_KEY_EXCHANGE_DH2048:** Do key exchange with Diffie-Hellman group 14. This group was called Diffie-Hellman group 2048 when it was introduced. The name has been changed to match standard terminology. This symbolic constant has a value of 5.
- **FW_CRYPTO_KEY_EXCHANGE_DH24:** Do key exchange with Diffie-Hellman group 24. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 6.

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FW_CRYPTO_KEY_EXCHANGE_MAX_V2_10 = FW_CRYPTO_KEY_EXCHANGE_DH24: This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 6.

FW_CRYPTO_KEY_EXCHANGE_MAX: This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 7.

2.2.66 FW_CRYPTO_ENCRYPTION_TYPE

This enumeration is used to identify supported encryption algorithms.

```
typedef enum _tag_FW_CRYPTO_ENCRYPTION_TYPE
{
    FW_CRYPTO_ENCRYPTION_NONE = 0,
    FW_CRYPTO_ENCRYPTION_DES = 1,
    FW_CRYPTO_ENCRYPTION_3DES = 2,
    FW_CRYPTO_ENCRYPTION_AES128 = 3,
    FW_CRYPTO_ENCRYPTION_AES192 = 4,
    FW_CRYPTO_ENCRYPTION_AES192 = 4,
    FW_CRYPTO_ENCRYPTION_AES_GCM128 = 6,
    FW_CRYPTO_ENCRYPTION_AES_GCM128 = 6,
    FW_CRYPTO_ENCRYPTION_AES_GCM192 = 7,
    FW_CRYPTO_ENCRYPTION_AES_GCM256 = 8,
    FW_CRYPTO_ENCRYPTION_AES_GCM256 = 8,
    FW_CRYPTO_ENCRYPTION_MAX = 9,
    FW_CRYPTO_ENCRYPTION_MAX_V2_0 = FW_CRYPTO_ENCRYPTION_AES_GCM128
} FW_CRYPTO_ENCRYPTION_TYPE;
```

- **FW_CRYPTO_ENCRYPTION_NONE:** This value MUST be used only when no encryption should be performed. This is a valid value. This symbolic constant has a value of 0.
- **FW_CRYPTO_ENCRYPTION_DES:** Uses the DES algorithm for encryption. This symbolic constant has a value of 1.
- **FW_CRYPTO_ENCRYPTION_3DES:** Uses the 3DES algorithm for encryption. This symbolic constant has a value of 2.
- **FW_CRYPTO_ENCRYPTION_AES128:** Uses the AES algorithm with a 128-bit key size for encryption. This symbolic constant has a value of 3.
- **FW_CRYPTO_ENCRYPTION_AES192:** Uses the AES algorithm with a 192-bit key size for encryption. This symbolic constant has a value of 4.
- **FW_CRYPTO_ENCRYPTION_AES256:** Uses the AES algorithm with a 256-bit key size for encryption. This symbolic constant has a value of 5.
- **FW_CRYPTO_ENCRYPTION_AES_GCM128:** Uses the AESGCM algorithm with a 128-bit key size for encryption. This symbolic constant has a value of 6.
- **FW_CRYPTO_ENCRYPTION_AES_GCM192:** Uses the AESGCM algorithm with a 192-bit key size for encryption. This symbolic constant has a value of 7.
- **FW_CRYPTO_ENCRYPTION_AES_GCM256:** Uses the AESGCM algorithm with a 256-bit key size for encryption. This symbolic constant has a value of 8.

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- **FW_CRYPTO_ENCRYPTION_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 9.
- **FW_CRYPTO_ENCRYPTION_MAX_V2_0:** For schema version 0x0200, this value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0200 and earlier. It is defined for simplicity in writing IDL definitions and describing semantic checks against policy schema versions of 0x0200. This symbolic constant has a value of 6.

2.2.67 FW_CRYPTO_HASH_TYPE

This enumeration is used to identify the different hashing (integrity protection) algorithms supported.

```
typedef enum _tag_FW_CRYPTO_HASH_TYPE
{
  FW_CRYPTO_HASH_NONE = 0,
  FW_CRYPTO_HASH_MD5 = 1,
  FW_CRYPTO_HASH_SHA1 = 2,
  FW_CRYPTO_HASH_SHA256 = 3,
  FW_CRYPTO_HASH_SHA384 = 4,
  FW_CRYPTO_HASH_AES_GMAC128 = 5,
  FW_CRYPTO_HASH_AES_GMAC192 = 6,
  FW_CRYPTO_HASH_AES_GMAC256 = 7,
  FW_CRYPTO_HASH_AES_GMAC256 = 7,
  FW_CRYPTO_HASH_MAX = 8,
  FW_CRYPTO_HASH_MAX = 8,
  FW_CRYPTO_HASH_MAX_V2_0 = FW_CRYPTO_HASH_SHA256
} FW CRYPTO HASH_TYPE;
```

- **FW_CRYPTO_HASH_NONE:** This value MUST be used only when no hashing should be performed. This is a valid value. This symbolic constant has a value of 0.
- **FW_CRYPTO_HASH_MD5:** Use the MD5 algorithm for hashing (integrity protection). This symbolic constant has a value of 1.
- **FW_CRYPTO_HASH_SHA1:** Use the SHA1 algorithm for hashing (integrity protection). This symbolic constant has a value of 2.
- **FW_CRYPTO_HASH_SHA256:** Use the SHA256 algorithm for hashing (integrity protection). This symbolic constant has a value of 3.
- **FW_CRYPTO_HASH_SHA384:** Use the SHA384 algorithm for hashing (integrity protection). This symbolic constant has a value of 4.
- **FW_CRYPTO_HASH_AES_GMAC128:** Use the AESGMAC128 algorithm for hashing (integrity protection). This symbolic constant has a value of 5.
- **FW_CRYPTO_HASH_AES_GMAC192:** Use the AESGMAC192 algorithm for hashing (integrity protection). This symbolic constant has a value of 6.
- **FW_CRYPTO_HASH_AES_GMAC256:** Use the AESGMAC256 algorithm for hashing (integrity protection). This symbolic constant has a value of 7.
- **FW_CRYPTO_HASH_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 8.

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FW_CRYPTO_HASH_MAX_V2_0: This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0200 and earlier. It is defined for simplicity in writing IDL definitions and describing semantic checks against policy schema versions of 0x0200. This symbolic constant has a value of 3.

2.2.68 FW_CRYPTO_PROTOCOL_TYPE

This enumeration is used to identify the different combinations of supported IPsec enforcement protocols.

```
typedef enum _tag_FW_CRYPTO_PROTOCOL_TYPE
{
   FW_CRYPTO_PROTOCOL_INVALID = 0,
   FW_CRYPTO_PROTOCOL_AH = 1,
   FW_CRYPTO_PROTOCOL_ESP = 2,
   FW_CRYPTO_PROTOCOL_BOTH = 3,
   FW_CRYPTO_PROTOCOL_AUTH_NO_ENCAP = 4,
   FW_CRYPTO_PROTOCOL_AUTH_NO_ENCAP = 4,
   FW_CRYPTO_PROTOCOL_MAX = 5,
   FW_CRYPTO_PROTOCOL_MAX_2_1 = (FW_CRYPTO_PROTOCOL_BOTH + 1)
} FW_CRYPTO_PROTOCOL_TYPE;
```

- **FW_CRYPTO_PROTOCOL_INVALID:** This value MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- FW_CRYPTO_PROTOCOL_AH: Uses the authentication header (AH) to enforce IPsec. This symbolic constant has a value of 1.
- **FW_CRYPTO_PROTOCOL_ESP:** Uses the **ESP** protocol header. This symbolic constant has a value of 2.
- **FW_CRYPTO_PROTOCOL_BOTH:** Uses both the AH and ESP protocol headers. This symbolic constant has a value of 3.
- **FW_CRYPTO_PROTOCOL_AUTH_NO_ENCAP:** Uses no encapsulation. This sends the first packet twice: once by using an ESP header and again without any header; subsequent packets have no additional headers. This symbolic constant has a value of 4.
- **FW_CRYPTO_PROTOCOL_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 5.
- **FW_CRYPTO_PROTOCOL_MAX_2_1:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0201 and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 4.

2.2.69 FW_PHASE1_CRYPTO_SUITE

This structure describes an IPsec Phase 1 (or main mode) cryptographic suite. A cryptographic suite is a proposal of a set of algorithms and parameters that specify how different types of enforcement and protection are suggested to be performed.

```
typedef struct _tag_FW_PHASE1_CRYPTO_SUITE {
  [range(FW_CRYPTO_KEY_EXCHANGE_NONE, FW_CRYPTO_KEY_EXCHANGE_MAX-1)]
  FW CRYPTO KEY EXCHANGE TYPE KeyExchange;
```

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```
[range(FW_CRYPTO_ENCRYPTION_NONE+1, FW_CRYPTO_ENCRYPTION_MAX-1)]
FW_CRYPTO_ENCRYPTION_TYPE Encryption;
[range(FW_CRYPTO_HASH_NONE+1, FW_CRYPTO_HASH_MAX-1)]
FW_CRYPTO_HASH_TYPE Hash;
unsigned long dwPlCryptoSuiteFlags;
} FW_PHASE1_CRYPTO_SUITE,
*PFW PHASE1_CRYPTO_SUITE;
```

- **KeyExchange:** This field is of type <u>FW_CRYPTO_KEY_EXCHANGE_TYPE</u>. It specifies the key exchange algorithm for this suite proposal.
- **Encryption:** This field is of type **FW CRYPTO ENCRYPTION TYPE**. It specifies the encryption algorithm for this suite proposal.
- **Hash:** This field is of type <u>FW CRYPTO HASH TYPE</u>. It specifies the hash (integrity protection) algorithm for this suite proposal.
- **dwP1CryptoSuiteFlags:** This is a reserved value and is not used. It MUST be set to 0x00000000.

2.2.70 FW_PHASE2_CRYPTO_SUITE

This structure describes an IPsec Phase 2 (or quick mode) cryptographic suite. A cryptographic suite is a proposal of a set of algorithms and parameters that specify how different types of enforcement and protection are suggested to be performed. It also suggests timeouts for which a key is valid and at which re-keying operations should be performed.

```
typedef struct _tag_FW_PHASE2_CRYPTO_SUITE {
  [range(FW_CRYPTO_PROTOCOL_INVALID+1,FW_CRYPTO_PROTOCOL_MAX-1)]
  FW_CRYPTO_PROTOCOL_TYPE Protocol;
  FW_CRYPTO_HASH_TYPE AhHash;
  FW_CRYPTO_HASH_TYPE EspHash;
  FW_CRYPTO_ENCRYPTION_TYPE Encryption;
  unsigned long dwTimeoutMinutes;
  unsigned long dwTimeoutKBytes;
  unsigned long dwP2CryptoSuiteFlags;
} FW_PHASE2_CRYPTO_SUITE,
 *PFW PHASE2_CRYPTO_SUITE;
```

- **Protocol:** This field is of type **<u>FW CRYPTO PROTOCOL TYPE</u>**, and it specifies the IPsec enforcement protocol combination suggested for this suite.
- **AhHash:** This field is of type **<u>FW CRYPTO HASH TYPE</u>**. It specifies the hash (integrity protection) algorithm for this suite proposal when using the authentication header protocol.
- **EspHash:** This field is of type **FW_CRYPTO_HASH_TYPE**. It specifies the hash (integrity protection) algorithm for this suite proposal when using the ESP protocol.
- **Encryption:** This field is of type **FW_CRYPTO_ENCRYPTION_TYPE**. It specifies the encryption algorithm for this suite proposal.
- **dwTimeoutMinutes:** This is the timeout or lifetime of the key used in this proposal defined in minutes.

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dwTimeoutKBytes: This is the timeout or lifetime of the key used in this proposal defined in kilobytes processed with this configuration.

dwP2CryptoSuiteFlags: This field is reserved and is not used. It MUST be set to 0x00000000.

The following are semantic validation checks that Phase 2 cryptographic suites MUST pass:

- The dwTimeoutMinutes field MUST be greater than or equal to 5 and less than or equal to 2,879.
- The **dwTimeoutKBytes** field MUST be greater than or equal to 20,480 and less than or equal to 2,147,483,647.
- If the Protocol field is FW_CRYPTO_PROTOCOL_AH or FW_CRYPTO_PROTOCOL_BOTH, the AhHash field MUST NOT be equal to FW_CRYPTO_HASH_NONE.
- If the Protocol field is FW_CRYPTO_PROTOCOL_BOTH, the AhHash field MUST be equal to the EspHash field.
- If the Protocol field is FW_CRYPTO_PROTOCOL_BOTH or FW_CRYPTO_PROTOCOL_ESP, EspHash MUST NOT be set to FW_CRYPTO_HASH_NONE or Encryption MUST NOT be set to FW_CRYPTO_ENCRYPTION_NONE, but not both.

2.2.71 FW_PHASE1_CRYPTO_FLAGS

This enumeration is used to identify the different cryptographic flags that are supported.

```
typedef enum _tag_FW_PHASE1_CRYPTO_FLAGS
{
    FW_PHASE1_CRYPTO_FLAGS_NONE = 0x00,
    FW_PHASE1_CRYPTO_FLAGS_DO_NOT_SKIP_DH = 0x01,
    FW_PHASE1_CRYPTO_FLAGS_MAX = 0x02
} FW_PHASE1_CRYPTO_FLAGS;
```

- **FW_PHASE1_CRYPTO_FLAGS_NONE:** This value represents no flag. It is used when none of the behaviors that are represented by the defined flags in the enumeration are intended. This symbolic constant has a value of 0x00.
- **FW_PHASE1_CRYPTO_FLAGS_DO_NOT_SKIP_DH:** This flag ensures that Authenticated IP (AuthIP), as specified in [MS-AIPS], always performs a DH key exchange. (AuthIP can avoid this exchange because the protocol already contains enough key material information to protect the negotiation. Hence, by skipping DH, round trips and the computational cost of DH are avoided.) This symbolic constant has a value of 0x01.
- **FW_PHASE1_CRYPTO_FLAGS_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0x02.

2.2.72 FW_PHASE2_CRYPTO_PFS

This enumeration is used to identify the different perfect forward secrecy (PFS) options supported.

```
typedef enum _tag_FW_PHASE2_CRYPTO_PFS
{
    FW_PHASE2_CRYPTO_PFS_INVALID = 0,
    FW_PHASE2_CRYPTO_PFS_DISABLE = 1,
```

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```
FW_PHASE2_CRYPTO_PFS_PHASE1 = 2,
FW_PHASE2_CRYPTO_PFS_DH1 = 3,
FW_PHASE2_CRYPTO_PFS_DH2 = 4,
FW_PHASE2_CRYPTO_PFS_DH2048 = 5,
FW_PHASE2_CRYPTO_PFS_ECDH256 = 6,
FW_PHASE2_CRYPTO_PFS_ECDH384 = 7,
FW_PHASE2_CRYPTO_PFS_DH24 = 8,
FW_PHASE2_CRYPTO_PFS_DH24 = 8,
FW_PHASE2_CRYPTO_PFS_MAX_V2_10 = FW_PHASE2_CRYPTO_PFS_DH24 = FW_PHASE2_CRYPTO_PFS_DH24,
FW_PHASE2_CRYPTO_PFS_MAX = 9
} FW_PHASE2_CRYPTO_PFS;
```

- **FW_PHASE2_CRYPTO_PFS_INVALID:** This value MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_PHASE2_CRYPTO_PFS_DISABLE:** Do not renegotiate; instead, reuse the keying material negotiated in Phase 1 (main mode). This symbolic constant has a value of 1.
- **FW_PHASE2_CRYPTO_PFS_PHASE1:** Use Phase 1 key exchange to negotiate a Phase 2 (quick mode) key for every Phase 2 negotiation. This symbolic constant has a value of 2.
- **FW_PHASE2_CRYPTO_PFS_DH1:** Use DH1 key exchange to negotiate a Phase 2 (quick mode) key for every Phase 2 negotiation. This symbolic constant has a value of 3.
- **FW_PHASE2_CRYPTO_PFS_DH2:** Use DH2 key exchange to negotiate a Phase 2 (quick mode) key for every Phase 2 negotiation. This symbolic constant has a value of 4.
- **FW_PHASE2_CRYPTO_PFS_DH2048:** Use DH2048 key exchange to negotiate a Phase 2 (quick mode) key for every Phase 2 negotiation. This symbolic constant has a value of 5.
- **FW_PHASE2_CRYPTO_PFS_ECDH256:** Use ECDH256 key exchange to negotiate a Phase 2 (quick mode) key for every Phase 2 negotiation. This symbolic constant has a value of 6.
- **FW_PHASE2_CRYPTO_PFS_ECDH384:** Use ECDH384 key exchange to negotiate a Phase 2 (quick mode) key for every Phase 2 negotiation. This symbolic constant has a value of 7.
- **FW_PHASE2_CRYPTO_PFS_DH24:** Use DH24 key exchange to negotiate a Phase 2 (quick mode) key for every Phase 2 negotiation. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 8.
- **FW_PHASE2_CRYPTO_PFS_MAX_V2_10 = FW_PHASE2_CRYPTO_PFS_DH24:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 8.
- FW_PHASE2_CRYPTO_PFS_MAX: This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 9.

2.2.73 FW_CRYPTO_SET

This structure contains a list of cryptographic suite elements that are ordered from highest to lowest preference and are negotiated with remote peers to establish cryptographic protection algorithms.

```
typedef struct _tag_FW_CRYPTO_SET {
   struct _tag_FW_CRYPTO_SET* pNext;
   unsigned short wSchemaVersion;
```

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```
[range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)]
   FW IPSEC PHASE IpSecPhase;
  [string, range(1,255), ref] wchar_t* wszSetId;
  [string, range(1,10001)] wchar_t* wszName;
  [string, range(1,10001)] wchar t* wszDescription;
  [string, range(1,10001)] wchar t* wszEmbeddedContext;
  [switch type(FW IPSEC PHASE), switch is(IpSecPhase)]
   union {
   [case(FW IPSEC PHASE 1)]
     struct {
     unsigned short wFlags;
     [range(0,1000)] unsigned long dwNumPhase1Suites;
     [size is(dwNumPhaselSuites)] PFW PHASE1 CRYPTO SUITE pPhaselSuites;
     unsigned long dwTimeoutMinutes;
     unsigned long dwTimeoutSessions;
   };
    [case(FW IPSEC PHASE 2)]
     struct {
     FW PHASE2 CRYPTO PFS Pfs;
     [range(0,1000)] unsigned long dwNumPhase2Suites;
     [size is(dwNumPhase2Suites)] PFW PHASE2 CRYPTO SUITE pPhase2Suites;
   };
 };
  [range(FW RULE ORIGIN INVALID,FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin;
  [string, range(1,10001)] wchar t* wszGPOName;
 FW RULE STATUS Status;
 unsigned long dwCryptoSetFlags;
} FW CRYPTO SET,
*PFW CRYPTO SET;
```

pNext: A pointer to the next **FW_CRYPTO_SET** in the list.

wSchemaVersion: Specifies the version of the set.

- **IpSecPhase:** This field is of type **FW IPSEC PHASE**, and it specifies if this cryptographic set applies for Phase1 (main mode) or Phase2 (quick mode).
- wszSetId: A pointer to a Unicode string that uniquely identifies the set. The primary set for this policy object is identified with the "{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE1}" string for Phase1 and with the "{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE2}" string for Phase2.
- wszName: A pointer to a Unicode string that provides a friendly name for the set.
- wszDescription: A pointer to a Unicode string that provides a friendly description for the set.
- **wszEmbeddedContext:** A pointer to a Unicode string. A client implementation MAY use this field to store implementation-specific client context. The server MUST NOT interpret the value of this string. The server MUST preserve the value of this string unmodified.
- **wFlags:** This field is a combination of the <u>FW PHASE1 CRYPTO FLAGS</u> enumeration bit flags.

dwNumPhase1Suites: Specifies the number of Phase1 suites that the structure contains.

pPhase1Suites: A pointer to an array of **dwNumPhase1Suites** contiguous **FW PHASE1 CRYPTO SUITE** elements.

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- **dwTimeoutMinutes:** This value is a lifetime in minutes before a Phase1 established key is renegotiated.
- **dwTimeoutSessions:** This value is the number of sessions before a Phase1 established key is renegotiated.
- **Pfs:** This field MUST contain a valid value of those in the <u>FW_PHASE2_CRYPTO_PFS</u> enumeration. It describes the perfect forward secrecy used for quick mode cryptographic operations.
- **dwNumPhase2Suites:** Specifies the number of Phase2 suites that the structure contains.
- **pPhase2Suites:** A pointer to an array of **FW PHASE2 CRYPTO SUITE** elements. The number of elements is given by **dwNumPhase2Suites**.
- **Origin:** This field is the set origin, as specified in the **FW RULE ORIGIN TYPE** enumeration. It MUST be filled on enumerated rules and ignored on input.
- **wszGPOName:** A pointer to a Unicode string containing the displayName of the GPO containing this object. When adding a new object, this field is not used. The client SHOULD set the value to NULL, and the server MUST ignore the value. When enumerating an existing object, if the client does not set the FW_ENUM_RULES_FLAG_RESOLVE_GPO_NAME flag, the server MUST set the value to NULL. Otherwise, the server MUST set the value to the displayName of the GPO containing the object or NULL if the object is not contained within a GPO. For details about how the server initializes an object from a GPO, see section 3.1.3. For details about how the displayName of a GPO is stored, see [MS-GPOL] section 2.3.
- **Status:** The status code of the set, as specified by the <u>FW_RULE_STATUS</u> enumeration. This field is filled out when the structure is returned as output. On input, this field MUST be set to FW_RULE_STATUS_OK.

dwCryptoSetFlags: Bit flags from FW_CRYPTO_SET_FLAGS.

The following are semantic checks that cryptographic sets MUST pass:

- The **wSchemaVersion** field MUST NOT be less than 0x000200.
- The **wszSetId** field MUST NOT contain the pipe (|) character, MUST NOT be NULL, MUST be a string at least 1 character long, and MUST NOT be greater than or equal to 255 characters.
- If the **wszName** field string is not NULL, it MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- If the **wszDescription** field string is not NULL, it MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- If the wszEmbeddedContext field string is not NULL, it MUST be at least 1 character long, MUST NOT be greater than or equal to 10,000 characters, and MUST NOT contain the pipe (|) character.
- The **IpSecPhase** field MUST have valid **FW_IPSEC_PHASE** values.
- If the **IpSecPhase** field is FW_IPSEC_PHASE_1:
 - The **wszSetId** field MUST be equal to the primary Phase1 cryptographic set ID. (There is only one Phase1 cryptographic set allowed per store.)

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- The wFlags field of the set MUST NOT be greater than or equal to FW_PHASE1_CRYPTO_FLAGS_MAX.
- The **dwTimeoutMinutes** field of the set MUST be greater than or equal to 1, and MUST be less than or equal to 2,879.
- The **dwTimeoutSessions** field of the set MUST be less than or equal to 2,147,483,647.
- The cryptographic set MUST have at least one Phase1 cryptographic suite.
- The **pPhase1Suites** array MUST contain exactly **dwNumPhase1Suites** entries.
- All cryptographic suites within the set MUST have the same value in the **KeyExchange** field and MUST have valid values.
- All Phase1 suites MUST NOT have a KeyExchange field with the FW_CRYPTO_ENCRYPTION_INVALID value and MUST have valid values.
- If the set has a schema policy version of 0x0200, all Phase1 suites MUST NOT have an **Encryption** field with values greater than or equal to FW_CRYPTO_ENCRYPTION_MAX_V2_0.
- All Phase1 suites MUST NOT have an **Encryption** field with the FW_CRYPTO_ENCRYPTION_NONE value and MUST have valid values less than FW_CRYPTO_ENCRYPTION_MAX_V2_0.
- If the set has a schema policy version of 0x0200, all Phase1 suites MUST NOT have a **Hash** field that has values greater than or equal to FW_CRYPTO_HASH_MAX_V2_0.
- All Phase1 suites MUST NOT have a Hash field that has the FW_CRYPTO_HASH_NONE value and MUST have either MD5 (FW_CRYPTO_HASH_MD5) or SHA (FW_CRYPTO_HASH_SHA1, FW_CRYPTO_HASH_SHA256, FW_CRYPTO_HASH_SHA384) valid values.
- If the **IpSecPhase** field is FW_IPSEC_PHASE_2:
 - The **wszSetId** field MUST NOT have the primary Phase2 cryptographic set ID as a prefix.
 - The cryptographic set MUST have at least one Phase2 cryptographic suite.
 - The **pPhase2Suites** array MUST contain exactly **dwNumPhase2Suites** entries.
 - The **Pfs** field MUST NOT be FW_PHASE2_CRYPTO_PFS_INVALID and MUST have valid values.
 - If the set has a schema policy version of 0x0200, all Phase2 cryptographic suites MUST NOT have an **AhHash** field or **EspHash** field with values greater than or equal to FW_CRYPTO_HASH_MAX_V2_0.
 - If the set has a schema policy version of 0x0200, all Phase2 suites MUST NOT have an Encryption field with values greater than or equal to FW_CRYPTO_ENCRYPTION_MAX_V2_0.
 - All Phase2 suites within the set MUST NOT have a dwTimeoutMinutes field less than FW_MIN_CRYPTO_PHASE2_TIMEOUT_MINUTES (5) or greater than FW_MAX_CRYPTO_PHASE2_TIMEOUT_MINUTES (48 * 60 -1).
 - All Phase2 suites within the set MUST NOT have a dwTimeoutKBytes field of less than FW_MIN_CRYPTO_PHASE2_TIMEOUT_KBYTES (20480) or greater than FW_MAX_CRYPTO_PHASE2_TIMEOUT_KBYTES (2147483647).

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- All the Phase2 suites within the set MUST NOT have a **Protocol** field with FW_CRYPTO_PROTOCOL_INVALID and MUST have valid values.
- For all suites that have the **Protocol** field equal to FW_CRYPTO_PROTOCOL_AH or to FW_CRYPTO_PROTOCOL_BOTH:
 - All suites MUST NOT have an AhHash field with the FW_CRYPTO_HASH_NONE value, and MUST have valid values not equal to FW_CRYPTO_HASH_SHA384.
- For all suites that have the **Protocol** field equal to FW_CRYPTO_PROTOCOL_BOTH:
 - All suites MUST have the AhHash field equal to the EspHash field.
- For all suites that have the **Protocol** field equal to FW_CRYPTO_PROTOCOL_ESP:
 - All suites MUST have an **EspHash** field with valid values, including FW_CRYPTO_HASH_NONE. The **EspHash** field MUST NOT equal FW_CRYPTO_HASH_SHA384.
 - All suites MUST have an **Encryption** field with valid values, including FW_CRYPTO_ENCRYPTION_NONE.
 - All suites MUST not have both the EspHash field equal to FW_CRYPTO_HASH_NONE and the Encryption field equal to FW_CRYPTO_ENCRYPTION_NONE.
 - All suites that have the Encryption field equal to FW_CRYPTO_ENCRYPTION_AES_GCM128, 192, or 256 MUST also have a corresponding FW_CRYPTO_HASH_AES_GMAC128, 192, or 256 value on the EspHash field. An AES GCM encryption algorithm corresponds to an AES GMAC hash algorithm if both use the same bit size.

2.2.74 FW_BYTE_BLOB

This structure contains a memory section. The format of the memory is defined by the context where it is used; for example, see the **SubjectName** field of the <u>FW_CERT_INFO</u> structure.

```
typedef struct _tag_FW_BYTE_BLOB {
  [range(0,10000)] unsigned long dwSize;
  [size_is(dwSize)] unsigned char* Blob;
} FW_BYTE_BLOB,
 *PFW BYTE_BLOB;
```

dwSize: This field specifies the size in octets of the Blob field.

Blob: A pointer to an array of dwSize octets.

2.2.75 FW_COOKIE_PAIR

This structure holds random numbers generated out of IPsec negotiations.

```
typedef struct _tag_FW_COOKIE_PAIR {
  unsigned __int64 Initiator;
  unsigned __int64 Responder;
} FW_COOKIE_PAIR,
 *PFW_COOKIE_PAIR;
```

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- **Initiator:** A random number that maps to the negotiated state that is a security association of the machine that initiated communication and, hence, initiated IKE/AuthIP (for more information, see [RFC2409]) as specified in [MS-IKEE] and [MS-AIPS] traffic.
- **Responder:** A random number that maps to the negotiated state that is a security association of the machine that responded to the communication and, hence, responded to the IKE/AuthIP traffic.

2.2.76 FW_PHASE1_KEY_MODULE_TYPE

This enumeration identifies the different IPsec Key Exchange negotiation protocols that can be used.

```
typedef enum _tag_FW_PHASE1_KEY_MODULE_TYPE {
    FW_PHASE1_KEY_MODULE_INVALID = 0,
    FW_PHASE1_KEY_MODULE_IKE = 1,
    FW_PHASE1_KEY_MODULE_AUTH_IP = 2,
    FW_PHASE1_KEY_MODULE_MAX = 3
} FW_PHASE1_KEY_MODULE_TYPE;
```

- **FW_PHASE1_KEY_MODULE_INVALID:** The FW_PHASE1_KEY_MODULE_INVALID constant MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_PHASE1_KEY_MODULE_IKE:** The keying protocol was IKE. This symbolic constant has a value of 1.
- **FW_PHASE1_KEY_MODULE_AUTH_IP:** The keying protocol was AuthIP. This symbolic constant has a value of 2.
- **FW_PHASE1_KEY_MODULE_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 3.

2.2.77 FW_CERT_INFO

This structure represents information on the certificate used in the certificate-based authentication mechanisms.

```
typedef struct _tag_FW_CERT_INFO {
  FW_BYTE_BLOB SubjectName;
  [range(FW_AUTH_SUITE_FLAGS_NONE, FW_AUTH_SUITE_FLAGS_MAX-1)]
    unsigned long dwCertFlags;
} FW_CERT_INFO,
 *PFW_CERT_INFO;
```

SubjectName: The subject name of the certificate represented as a **FW BYTE BLOB** type. This BLOB is an ASN.1-encoded sequence of RDN attributes.

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dwCertFlags: This field can be a combination of bit flags from <u>FW_AUTH_SUITE_FLAGS</u>. This field MUST use only health certificate or certificate to account mapping flags, which represent certificate characteristics.

2.2.78 FW_AUTH_INFO

This structure contains information on the local and remote hosts that resulted from the authentication methods performed between them.

```
typedef struct tag FW AUTH INFO {
  [range(FW AUTH METHOD INVALID + 1, FW_AUTH_METHOD_MAX)]
   FW AUTH METHOD AuthMethod;
  [switch type(FW AUTH METHOD), switch is(AuthMethod)]
   union {
   [case(FW AUTH METHOD MACHINE CERT, FW AUTH METHOD USER CERT)]
     struct {
     FW CERT INFO MyCert;
      FW CERT INFO PeerCert;
    [case(FW AUTH METHOD MACHINE KERB,FW AUTH METHOD USER KERB,
FW AUTH METHOD MACHINE NEGOEX, FW AUTH METHOD USER NEGOEX)]
     struct {
     [string, range(1,10001)] wchar t* wszMyId;
     [string, range(1,10001)] wchar t* wszPeerId;
   };
    [default] ;
  };
 unsigned long dwAuthInfoFlags;
} FW AUTH INFO,
 *PFW AUTH INFO;
```

AuthMethod: This field contains the authentication method used to establish the identities of the endpoints and is stored in the security association. The field can take valid values from the <u>FW_AUTH_METHOD</u> enumeration.

- **MyCert:** This field contains the subject name and certification flags (health, account mapping, exclude CA) from the certificate of the local host that was used in the authentication process when a certificate-based authentication method is used.
- **PeerCert:** This field contains the subject name and certification flags (health, account mapping, exclude CA) from the certificate of the remote host that was used in the authentication process when a certificate-based authentication method is used.
- **wszMyId:** A pointer to a Unicode string representing the identity of the local host when a Kerberos-based authentication method, as specified in [MS-KILE], is used.
- **wszPeerId:** A pointer to a Unicode string representing the identity of the remote host when a Kerberos-based authentication method, as specified in [MS-KILE], is used.

dwAuthInfoFlags: Reserved value and not currently used. It MUST be set to 0.

2.2.79 FW_ENDPOINTS

This structure represents the two endpoints, source and destination, that participate in IP communication.

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```
typedef struct _tag_FW_ENDPOINTS {
  [range(FW_IP_VERSION_INVALID+1,FW_IP_VERSION_MAX-1)]
  FW_IP_VERSION IpVersion;
  unsigned long dwSourceV4Address;
  unsigned long dwDestinationV4Address;
  unsigned char SourceV6Address[16];
  unsigned char DestinationV6Address[16];
} FW_ENDPOINTS,
 *PFW_ENDPOINTS;
```

IpVersion: This field specifies the Internet Protocol version used. This field MUST contain a valid value from the **FW IP VERSION** enumeration.

dwSourceV4Address: This field is the IPv4 address of the source endpoint.

dwDestinationV4Address: This field is the IPv4 address of the destination endpoint.

- **SourceV6Address:** This field is a 16-octet array that represents the IPv6 address of the source endpoint.
- **DestinationV6Address:** This field is a 16-octet array that represents the IPv6 address of the destination endpoint.

The v4 versions or the v6 versions of the fields are used depending on the **IpVersion** field value.

2.2.80 FW_PHASE1_SA_DETAILS

This structure represents a security association that is established after the main mode negotiations take place; it contains the selected algorithms to enforce IPsec and the methods and results of the authentication process.

```
typedef struct _tag_FW_PHASE1_SA_DETAILS {
    unsigned __int64 SaId;
    [range( FW_PHASE1_KEY_MODULE_INVALID+1,FW_PHASE1_KEY_MODULE_MAX-1)]
    FW_PHASE1_KEY_MODULE_TYPE KeyModuleType;
    FW_ENDPOINTS Endpoints;
    FW_PHASE1_CRYPTO_SUITE SelectedProposal;
    unsigned long dwProposalLifetimeKBytes;
    unsigned long dwProposalLifetimeMinutes;
    unsigned long dwProposalMaxNumPhase2;
    FW_COOKIE_PAIR CookiePair;
    PFW_AUTH_INFO pFirstAuth;
    PFW_AUTH_INFO pSecondAuth;
    unsigned long dwPl3aFlags;
} FW_PHASE1_SA_DETAILS,
    *PFW_PHASE1_SA_DETAILS;
```

SaId: A 64-bit integer that uniquely identifies the security association.

KeyModuleType: The keying protocol used, IKE or AuthIP. The field MUST contain only a value from the <u>FW PHASE1 KEY MODULE TYPE</u> enumeration.

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- **Endpoints:** This field contains IP address information of the two endpoints that established this security association. An address of zero means the security association applies to any endpoint.
- **SelectedProposal:** This is the Phase1 cryptographic suite that was selected by the negotiation of the keying protocol.
- dwProposalLifetimeKBytes: Currently not supported.
- **dwProposalLifetimeMinutes:** This field specifies the lifetime in minutes of this security association before a rekey MUST happen.
- **dwProposalMaxNumPhase2:** This field specifies the number of Phase2 (quick mode) negotiations (rekeys) that can happen before this security association MUST be renegotiated.

CookiePair: This value is used for diagnostics.

- **pFirstAuth:** A pointer to an **FW_AUTH_INFO** structure that contains the information that resulted from the method negotiated and used for first authentication. This pointer MUST NOT be null.
- **pSecondAuth:** A pointer to an **FW_AUTH_INFO** structure that contains the information that resulted from the method negotiated and used for second authentication. If the field is NULL, the second authentication was not performed.

dwP1SaFlags: Reserved value and not currently used. It MUST be set to 0.

2.2.81 FW_PHASE2_TRAFFIC_TYPE

This enumeration identifies the two types of traffic enforcement modes that IPsec supports. It is defined in the IDL for future use.

```
typedef enum _tag_FW_PHASE2_TRAFFIC_TYPE {
    FW_PHASE2_TRAFFIC_TYPE_INVALID = 0,
    FW_PHASE2_TRAFFIC_TYPE_TRANSPORT = 1,
    FW_PHASE2_TRAFFIC_TYPE_TUNNEL = 2,
    FW_PHASE2_TRAFFIC_TYPE_MAX = 3
} FW_PHASE2_TRAFFIC_TYPE;
```

- **FW_PHASE2_TRAFFIC_TYPE_INVALID:** This value MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 0.
- **FW_PHASE2_TRAFFIC_TYPE_TRANSPORT:** This value represents IPsec transport mode, which happens directly between two endpoints. This symbolic constant has a value of 1.
- **FW_PHASE2_TRAFFIC_TYPE_TUNNEL:** This value represents IPsec tunnel mode, which uses two other endpoints to tunnel through them when the original endpoints communicate. This symbolic constant has a value of 2.
- **FW_PHASE2_TRAFFIC_TYPE_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 3.

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2.2.82 FW_PHASE2_SA_DETAILS

This structure represents a security association that is established after the quick mode negotiations take place; it contains the selected algorithms to enforce IPsec.

```
typedef struct _tag_FW_PHASE2_SA_DETAILS {
   unsigned __int64 SaId;
   [range(FW_DIR_INVALID+1,FW_DIR_MAX-1)]
    FW_DIRECTION Direction;
   FW_ENDPOINTS Endpoints;
   unsigned short wLocalPort;
   unsigned short wRemotePort;
   unsigned short wIpProtocol;
   FW_PHASE2_CRYPTO_SUITE SelectedProposal;
   FW_PHASE2_CRYPTO_PFS Pfs;
   GUID TransportFilterId;
   unsigned long dwP2saFlags;
   FW_PHASE2_SA_DETAILS,
   *PFW_PHASE2_SA_DETAILS;
```

SaId: A 64-bit integer number that uniquely identifies the security association.

Direction: This field specifies the direction of the traffic this security association is securing.

- **Endpoints:** This field contains IP address information of the two endpoints that established this security association. An address of zero means the security association applies to any endpoint.
- **wLocalPort:** This field specifies the port of the local endpoint that is used in the traffic secured by this security association. A value of 0 specifies any port.
- **wRemotePort:** This field specifies the port of the remote endpoint that is used in the traffic secured by this security association. A value of 0 specifies any port.
- **wIpProtocol:** This field specifies the protocol of the traffic secured by this security association. If the value is within the range 0 to 255, the value describes a protocol as in IETF IANA numbers (for more information, see <u>[IANA-PROTO-NUM]</u>). If the value is 256, the rule matches ANY protocol.
- **SelectedProposal:** This field contains the Phase2 cryptographic suite selected by the negotiation that is used by this security association to enforce IPsec.
- Pfs: This field specifies the perfect forward secrecy used by this security association.
- **TransportFilterId:** This GUID MAY contain additional implementation-specific<<u>18></u> information about the security association. The client MUST ignore this value.

dwP2SaFlags: Reserved value and not currently used. It MUST be set to 0.

2.2.83 FW_PROFILE_CONFIG_VALUE

This union defines the value stored by each of the different policy configuration values identified by the enumeration <u>FW PROFILE CONFIG</u>. This data type is used to pass different types of values across the same structure on function calls.

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```
typedef
[switch_type(FW_PROFILE_CONFIG)]
 union FW PROFILE CONFIG VALUE {
  [case(FW_PROFILE_CONFIG_LOG_FILE_PATH)]
    [string, range(1,10001)] wchar_t* wszStr;
  [case(FW PROFILE CONFIG DISABLED INTERFACES)]
   PFW INTERFACE_LUIDS pDisabledInterfaces;
  [case(FW PROFILE CONFIG ENABLE FW,
        FW PROFILE CONFIG DISABLE STEALTH MODE,
        FW PROFILE CONFIG SHIELDED,
        FW PROFILE CONFIG DISABLE UNICAST RESPONSES TO MULTICAST BROADCAST,
        FW_PROFILE_CONFIG_LOG_DROPPED_PACKETS,
        FW_PROFILE_CONFIG_LOG_SUCCESS_CONNECTIONS,
        FW_PROFILE_CONFIG_LOG_IGNORED_RULES,
        FW_PROFILE_CONFIG_LOG_MAX_FILE_SIZE,
        FW PROFILE CONFIG DISABLE INBOUND NOTIFICATIONS,
        FW_PROFILE_CONFIG_AUTH_APPS_ALLOW_USER_PREF_MERGE,
        FW PROFILE CONFIG GLOBAL PORTS ALLOW USER PREF MERGE,
        FW_PROFILE_CONFIG_ALLOW_LOCAL_POLICY_MERGE,
        FW PROFILE CONFIG ALLOW LOCAL IPSEC POLICY MERGE,
        FW PROFILE CONFIG DEFAULT OUTBOUND ACTION,
        FW PROFILE CONFIG DEFAULT INBOUND ACTION,
        FW PROFILE CONFIG DISABLE STEALTH MODE IPSEC SECURED PACKET EXEMPTION
)]
   unsigned long* pdwVal;
} FW PROFILE CONFIG VALUE,
```

*PFW PROFILE CONFIG VALUE;

wszStr: This field contains a pointer to a Unicode string. It is used when the data type of the configuration value is a string.

pDisabledInterfaces: This field contains a pointer to an **FW INTERFACE LUIDS** data type, which holds a list of GUIDs. This field is custom marshaled, so it is passed as a plain buffer. The following diagrams show how the structures are marshaled.

On 32-bit servers: 1 2 3 0 3 5 9 0 8 9 0 0 1 2 4 6 7 8 1 2 3 4 5 6 7 1 2 3 5 6 8 9 1 dwNumLUIDs pLUIDs GUID1

On 64-bit servers:

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0	1	2	3	4	5	6	7	8	9	1 0	1	2	3	4	5	6	7	8	9	2 0	1	2	3	4	5	6	7	8	9	3 0	1
	dwNumLUIDs																														
	Padding for 64-bit alignment																														
	pLUIDs																														
	GUID1																														

pdwVal: This field contains a pointer to an **unsigned long**. It is used when the data type of the configuration value is an **unsigned long**.

2.2.84 FW_MM_RULE

This structure is used to represent a main mode rule.

```
typedef struct _tag_FW_MM_RULE {
 struct _tag_FW_MM_RULE* pNext;
 unsigned SHORT wSchemaVersion;
  [string, range(1,512), ref] wchar t* wszRuleId;
  [string, range(1,10001)] wchar_t* wszName;
 [string, range(1,10001)] wchar t* wszDescription;
 unsigned LONG dwProfiles;
 FW ADDRESSES Endpoint1;
 FW ADDRESSES Endpoint2;
 [string, range(1,255)] wchar t* wszPhase1AuthSet;
 [string, range(1,255)] wchar t* wszPhaselCryptoSet;
 unsigned SHORT wFlags;
  [string, range(1,10001)] wchar t wszEmbeddedContext;
 FW OS PLATFORM LIST PlatformValidityList;
 [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin;
 [string, range(1,10001)] wchar_t wszGPOName;
 FW RULE STATUS Status;
 signed LONG Reserved;
  [size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
   FW OBJECT_METADATA pMetaData;
} FW MM RULE,
*PFW_MM_RULE;
```

pNext: A pointer to the next **FW_MM_RULE** in the list.

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wSchemaVersion: Specifies the version of the rule.

wszRuleId: A pointer to a Unicode string that uniquely identifies the rule.

wszName: A pointer to a Unicode string that provides a friendly name for the rule.

wszDescription: A pointer to a Unicode string that provides a friendly description for the rule.

- **dwProfiles:** A bitmask of the **<u>FW_PROFILE_TYPE</u>** flags. It is a condition that matches traffic on the specified profiles.
- **Endpoint1:** A condition that specifies the addresses of the first host of the traffic that the rule matches. An empty EndPoint1 structure means this condition is not applied (no match).
- **Endpoint2:** A condition that specifies the addresses of the second host of the traffic that the rule matches. An empty EndPoint2 structure means this condition is not applied (no match).
- **wszPhase1AuthSet:** A Unicode string that represents the set identifier of a Phase1 authentication sets policy objects.
- wFlags: Bit flags from FW CS RULE FLAGS.
- **wszEmbeddedContext:** A pointer to a Unicode string that specifies a group name for this rule. Other components in the system use this string to enable or disable a group of rules by verifying that all rules have the same group name.
- **PlatformValidityList:** A condition in a rule that determines whether or not the rule is enforced by the local computer based on the local computer's platform information. The rule is enforced only if the local computer's operating system platform is an element of the set described by **PlatformValidityList**.<a>
- **Origin:** This field is the rule origin, as specified in the **FW RULE ORIGIN TYPE** enumeration. It MUST be filled on enumerated rules and ignored on input.
- **wszGPOName:** A pointer to a Unicode string containing the displayName of the GPO containing this object. When adding a new object, this field is not used. The client SHOULD set the value to NULL, and the server MUST ignore the value. When enumerating an existing object, if the client does not set the FW_ENUM_RULES_FLAG_RESOLVE_GPO_NAME flag, the server MUST set the value to NULL. Otherwise, the server MUST set the value to the displayName of the GPO containing the object or NULL if the object is not contained within a GPO. For details about how the server initializes an object from a GPO, see section <u>3.1.3</u>. For details about how the displayName of a GPO is stored, see [MS-GPOL] section 2.3.
- **Status:** The status code of the rule, as specified by the <u>FW_RULE_STATUS</u> enumeration. This field is filled out when the structure is returned as output. On input, this field MUST be set to FW_RULE_STATUS_OK.
- **Reserved:** This member is not used, other than to instruct RPC, by using the FW_OBJECT_CTRL_FLAG_INCLUDE_METADATA flag, that a pointer to an <u>FW_OBJECT_METADATA</u> structure is present. It has no semantic meaning to the object itself.
- **pMetaData:** A pointer to an **FW_OBJECT_METADATA** structure that contains specific metadata about the current state of the connection security rule.

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2.2.85 FW_CONN_HANDLE

This type contains an RPC binding handle, as specified in [C706] section 2, to an RPC interface that implements the Firewall and Advanced Security Protocol. For information on handle_t, see [MS-DTYP] section 2.1.3.

This type is declared as follows:

```
typedef handle t FW CONN HANDLE;
```

2.2.86 FW_MATCH_KEY

This enumeration describes the keys that a query is allowed to match.

```
typedef enum tag FW MATCH KEY
 FW MATCH KEY PROFILE = 0,
 FW_MATCH_KEY_STATUS = 1,
 FW_MATCH_KEY_OBJECTID = 2,
 FW MATCH KEY FILTERID = 3,
 FW MATCH KEY APP PATH = 4,
 FW MATCH KEY PROTOCOL = 5,
 FW MATCH KEY LOCAL PORT = 6,
 FW MATCH KEY REMOTE PORT = 7,
 FW MATCH KEY GROUP = 8,
 FW MATCH KEY SVC NAME = 9,
 FW MATCH KEY DIRECTION = 10,
 FW MATCH KEY LOCAL USER OWNER = 11,
 FW MATCH KEY PACKAGE ID = 12,
 FW_MATCH KEY MAX = 13
} FW MATCH KEY;
```

- **FW_MATCH_KEY_PROFILE:** This key matches the profile conditions of the queried object. This symbolic constant has a value of 0.
- **FW_MATCH_KEY_STATUS:** This key matches the status conditions of the queried object. This symbolic constant has a value of 1.
- **FW_MATCH_KEY_OBJECTID:** This key matches the object ID (rule ID or set ID) of the queried object. This symbolic constant has a value of 2.
- **FW_MATCH_KEY_FILTERID:** This value is not used on the wire. This symbolic constant has a value of 3.
- **FW_MATCH_KEY_APP_PATH:** This key matches the application condition of the queried object. This symbolic constant has a value of 4.
- **FW_MATCH_KEY_PROTOCOL:** This key matches the protocol condition of the queried object. This symbolic constant has a value of 5.
- **FW_MATCH_KEY_LOCAL_PORT:** This key matches the TCP or UDP local port condition of the queried object. This symbolic constant has a value of 6.

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- **FW_MATCH_KEY_REMOTE_PORT:** This key matches the TCP or UDP remote port condition of the queried object. This symbolic constant has a value of 7.
- **FW_MATCH_KEY_GROUP:** This key matches the group name (the Embedded context field) of the queried object. This symbolic constant has a value of 8.
- **FW_MATCH_KEY_SVC_NAME:** This key matches the service name condition of the queried object. This symbolic constant has a value of 9.
- **FW_MATCH_KEY_DIRECTION:** This key matches the direction condition of the queried object. This symbolic constant has a value of 10.
- **FW_MATCH_KEY_LOCAL_USER_OWNER:** This key matches the local user owner condition of the queried object. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 11.
- **FW_MATCH_KEY_PACKAGE_ID:** This key matches the package ID condition of the queried object. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used. This symbolic constant has a value of 12.
- **FW_MATCH_KEY_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 13.

2.2.87 FW_DATA_TYPE

This enumeration describes the data types that this protocol uses in generic structures. It is currently used only in section 2.2.88.

```
typedef enum _tag_FW_DATA_TYPE {
    FW_DATA_TYPE_EMPTY,
    FW_DATA_TYPE_UINT8,
    FW_DATA_TYPE_UINT16,
    FW_DATA_TYPE_UINT32,
    FW_DATA_TYPE_UINT64,
    FW_DATA_TYPE_UNICODE_STRING
} FW DATA TYPE;
```

- **FW_DATA_TYPE_EMPTY:** The value SHOULD be empty and not used. This symbolic constant has a value of zero.
- **FW_DATA_TYPE_UINT8:** This data type is a <u>UINT8</u>, which is an 8-bit unsigned integer. This symbolic constant has a value of 1.
- **FW_DATA_TYPE_UINT16:** This data type is a <u>UINT16</u>, which is a 16-bit unsigned integer. This symbolic constant has a value of 2.
- **FW_DATA_TYPE_UINT32:** This data type is a <u>UINT32</u>, which is a 32-bit unsigned integer. This symbolic constant has a value of 3.
- **FW_DATA_TYPE_UINT64:** This data type is a <u>UINT64</u>, which is a 64-bit unsigned integer. This symbolic constant has a value of 4.
- **FW_DATA_TYPE_UNICODE_STRING:** This data type is a Unicode string. This symbolic constant has a value of 5.

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2.2.88 FW_MATCH_VALUE

This structure is used to generically store different data types.

```
typedef struct _tag_FW_MATCH_VALUE {
 FW DATA TYPE type;
 [switch type(FW DATA TYPE), switch is(type)]
    union {
    [case(FW DATA TYPE UINT8)]
     unsigned CHAR uInt8;
    [case(FW DATA TYPE UINT16)]
     unsigned SHORT uInt16;
    [case(FW DATA TYPE UINT32)]
     unsigned LONG uInt32;
    [case(FW DATA TYPE UINT64)]
     unsigned __int64 uInt64;
    [case(FW DATA TYPE UNICODE STRING)]
      struct {
      [string, range(1,10001)] wchar t* wszString;
    };
    [case(FW DATA TYPE EMPTY)]
      ;
  };
} FW MATCH VALUE;
```

type: This field identifies the data type that is stored in the structure.

uInt8: This field contains an 8-bit unsigned integer.

uInt16: This field contains a 16-bit unsigned integer.

uInt32: This field contains a 32-bit unsigned integer.

uInt64: This field contains a 64-bit unsigned integer.

wszString: This field contains a pointer to a Unicode string.

2.2.89 FW_MATCH_TYPE

This enumeration specifies how a match key is matched against an object.

```
typedef enum _tag_FW_MATCH_TYPE
{
    FW_MATCH_TYPE_TRAFFIC_MATCH = 0,
    FW_MATCH_TYPE_EQUAL = 1,
    FW_MATCH_TYPE_MAX = 2
} FW MATCH TYPE;
```

FW_MATCH_TYPE_TRAFFIC_MATCH: The match operation evaluates to TRUE for all objects that match the network traffic that is represented by the value matched against. This symbolic constant has a value of 0.

FW_MATCH_TYPE_EQUAL: The match operation evaluates to TRUE for all objects that have a value equal to the one matched against. This symbolic constant has a value of 1.

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FW_MATCH_TYPE_MAX: This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 2.

2.2.90 FW_QUERY_CONDITION

This structure specifies a condition of a query. A condition can evaluate to TRUE or FALSE. It contains a match key that identifies what to match, a match value that identifies what to match with, and a match type that identifies how to match.

```
typedef struct _tag_FW_QUERY_CONDITION {
  FW_MATCH_KEY matchKey;
  FW_MATCH_TYPE matchType;
  FW_MATCH_VALUE matchValue;
  } FW_QUERY_CONDITION,
  *PFW_QUERY_CONDITION;
```

matchKey: This field identifies what information to match.

matchType: This field identifies how to perform the match operation.

matchValue: This field identifies what to match with.

A query condition structure MUST pass the following semantics checks:

- The matchKey field MUST have a valid FW_MATCH_KEY value that is less than FW_MATCH_KEY_MAX, MUST be a string of 1 or more characters, and MUST NOT be greater than or equal to 255 characters.
- The matchType field MUST have a valid FW_MATCH_TYPE value that is less than FW_MATCH_KEY_MAX.
- If the **matchType** field is equal to FW_MATH_TYPE_EQUAL, the **matchKey** field MUST be either FW_MATCH_KEY_GROUP or FW_MATCH_KEY_DIRECTION.
- If the matchKey field is equal to FW_MATCH_KEY_PROFILE or FW_MATCH_KEY_STATUS, the matchValue MUST have its type field equal to FW_DATA_TYPE_UINT32.
- If the matchKey field is equal to FW_MATCH_KEY_FILTERID, the matchValue MUST have its type field equal to FW_DATA_TYPE_UINT64.
- If the matchKey field is equal to FW_MATCH_KEY_PROTOCOL, FW_MATCH_KEY_LOCAL_PORT, or FW_MATCH_KEY_REMOTE_PORT; then the matchValue MUST have its type field equal to FW_DATA_TYPE_UINT16.
- If the matchKey field is equal to FW_MATCH_KEY_OBJECTID, FW_MATCH_KEY_APP_PATH, FW_MATCH_KEY_GROUP, or FW_MATCH_KEY_SVC_NAME; then the matchValue MUST have its type field equal to FW_DATA_TYPE_UNICODE_STRING.

2.2.91 FW_QUERY_CONDITIONS

This structure is used to contain a number of <u>FW_QUERY_CONDITION</u> elements. This structure can evaluate to either TRUE or FALSE. It evaluates to TRUE if all query condition elements evaluate to TRUE; otherwise, it evaluates to FALSE.

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```
typedef struct _tag_FW_QUERY_CONDITIONS {
    unsigned LONG dwNumEntries;
    [size_is(dwNumEntries)] FW_QUERY_CONDITION* pAndedConditions;
} FW_QUERY_CONDITIONS,
 *PFW_QUERY_CONDITIONS;
```

dwNumEntries: Specifies the number of query conditions that the structure contains.

pAndedConditions: A pointer to an array of FW_QUERY_CONDITIONS elements, which are all logically AND'd together. The number of elements is given by dwNumEntries.

A query condition structure MUST pass the following semantic checks:

- If the dwNumEntries field is zero, the AndedConditions field MUST be NULL; and if the dwNumEntries field is not zero, the AndedConditions field MUST NOT be NULL.
- If the AndedConditions field array has a FW_QUERY_CONDITION element with the matchKey field equal to FW_MATCH_KEY_LOCAL_PORT or FW_MATCH_KEY_REMOTE_PORT at position N of the array, the array MUST have another element whose matchKey field is equal to FW_MATCH_KEY_PROTOCOL at position M, where M < N.
- All elements of the AndedConditions array MUST have valid FW_QUERY_CONDITION structures.

2.2.92 FW_QUERY

This structure is used to query objects from the store. The structure contains a number of **FW_QUERY_CONDITIONS** elements. This structure can evaluate to either TRUE or FALSE. It evaluates to TRUE if at least one of the query conditions containers evaluates to TRUE; otherwise, if all evaluate to FALSE, it evaluates to FALSE.

```
typedef struct _tag_FW_QUERY {
  unsigned SHORT wSchemaVersion;
  unsigned LONG dwNumEntries;
  [size_is(dwNumEntries)] FW_QUERY_CONDITIONS* ORCOnditions;
  FW_RULE_STATUS Status;
} FW_QUERY,
*PFW_QUERY;
```

- **wSchemaVersion:** The schema version of the query object. The version MUST be at least 0x00020A.
- **dwNumEntries:** This field specifies the number of query conditions containers that the structure contains.
- **ORConditions:** A pointer to an array of **FW_QUERY_CONDITIONS** elements, which are all logically OR'd together. The number of elements is given by **dwNumEntries**.
- **Status:** The status code of the query, as specified by the <u>FW_RULE_STATUS</u> enumeration. This field is filled out when the structure is returned as output. On input, this field SHOULD be set to FW_RULE_STATUS_OK.

The following are semantic checks that query object MUST pass:

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- The **wSchemaVersion** MUST NOT be less than 0x00020A.
- If the **dwNumEntries** field is zero, the **ORConditions** field MUST be NULL, and if the **dwNumEntries** field is not zero, the **ORConditions** field MUST NOT be NULL.
- The **ORConditions** field MUST have valid **FW_QUERY_CONDITIONS** elements.
- If the query object is used for querying connection security rules, it MUST NOT have any conditions with **matchKey** equal to FW_MATCH_KEY_APP_PATH or FW_MATCH_KEY_SVC_NAME.
- If the query object is being used for querying main mode rules, it MUST NOT have any conditions with matchKey equal to FW_MATCH_KEY_PROTOCOL, FW_MATCH_KEY_LOCAL_PORT, FW_MATCH_KEY_REMOTE_PORT, FW_MATCH_KEY_GROUP, or FW_MATCH_KEY_DIRECTION, or any of the match keys disallowed by connection security rules.
- If the query object is being used for querying authentication or cryptographic sets, it MUST NOT have any conditions with matchKey equal to FW_MATCH_KEY_PROFILE or FW_MATCH_KEY_FILTERID, or any of the match keys disallowed by main mode rules.

2.2.93 FW_POLICY_STORE_HANDLE

typedef [context_handle] void* FW_POLICY_STORE_HANDLE; typedef [ref] FW POLICY STORE HANDLE* PFW POLICY STORE HANDLE;

This type is an RPC context handle. It is a handle to a policy store exposed by this protocol. This handle is used to manage the policy contained in each store. Policy stores are identified by the **FW STORE TYPE** enumeration.

2.2.94 FW_PRODUCT_HANDLE

This type is declared as follows:

```
typedef [context_handle] void* FW_PRODUCT_HANDLE;
```

This type is an RPC context handle. It is a handle to the third-party software components that are registered with the firewall and advanced security component which are exposed through this protocol.

2.2.95 FW_KEY_MODULE

This enumeration defines the possible keying modules that the policy rule applies to.

```
typedef enum
{
   FW_KEY_MODULE_DEFAULT = 0,
   FW_KEY_MODULE_IKEv1 = 1,
   FW_KEY_MODULE_AUTHIP = 2,
   FW_KEY_MODULE_IKEv2 = 3,
   FW KEY_MODULE_IKEv2 = 4
```

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- **FW_KEY_MODULE_DEFAULT:** This value represents the default keying modules. The default keying modules are implementation-specific.
- **FW_KEY_MODULE_IKEv1:** This value represents a keying module implementing the Internet Key Exchange (IKE) protocol as specified in [RFC2409].
- **FW_KEY_MODULE_AUTHIP:** This value represents a keying module implementing the Authenticated Internet protocol as specified in [MS-AIPS].
- **FW_KEY_MODULE_IKEv2:** This value represents a keying module implementing the Internet Key Exchange (IKEv2) protocol as specified in [<u>RFC4306</u>].
- **FW_KEY_MODULE_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined to provide for simplicity when writing IDL definitions and code. This symbolic constant has a value of 4.

2.2.96 FW_TRUST_TUPLE_KEYWORD

This enumeration represents flags that are used to identify trust tuples. The traffic corresponding to these keywords changes dynamically and is tracked by the TrustTuples object (see section 3.1.1). All the flags supported by a given schema version can be combined.

```
typedef enum _tag_FW_TRUST_TUPLE_KEYWORD_NONE
{
    FW_TRUST_TUPLE_KEYWORD_NONE = 0x0000,
    FW_TRUST_TUPLE_KEYWORD_PROXIMITY = 0x0001,
    FW_TRUST_TUPLE_KEYWORD_PROXIMITY_SHARING = 0x0002,
    FW_TRUST_TUPLE_KEYWORD_WFD_PRINT = 0x0004,
    FW_TRUST_TUPLE_KEYWORD_WFD_DEVICES = 0x0000,
    FW_TRUST_TUPLE_KEYWORD_MAX = 0x0020,
    FW_TRUST_TUPLE_KEYWORD_MAX_V2_20 = 0x0004
} FW_TRUST_TUPLE_KEYWORD_NONE;
```

- **FW_TRUST_TUPLE_KEYWORD_NONE:** This value means that none of the following flags are set. It is defined for simplicity in writing IDL definitions and code.
- **FW_TRUST_TUPLE_KEYWORD_PROXIMITY:** Represents all traffic matching a trust tuple in the**TrustTuples** collection where **IsProximity** is true.
- **FW_TRUST_TUPLE_KEYWORD_PROXIMITY_SHARING:** Represents all traffic matching a trust tuple in the **TrustTuples** collection where **IsProximitySharing** is true.
- **FW_TRUST_TUPLE_KEYWORD_WFD_PRINT:** Represents all traffic matching a trust tuple in the **TrustTuples** collection where **IsWFDPrint** is true.
- **FW_TRUST_TUPLE_KEYWORD_WFD_DISPLAY:** Represents all traffic matching a trust tuple in the **TrustTuples** collection where **IsWFDDevices** is true.
- **FW_TRUST_TUPLE_KEYWORD_WFD_DEVICES:** Represents all traffic matching a trust tuple in the **TrustTuples** collection where **IsWFDDevices** is true.

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- **FW_TRUST_TUPLE_KEYWORD_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 20.<21>
- **FW_TRUST_TUPLE_KEYWORD_MAX_V2_20:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x0214 and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 4.

2.2.97 FW_RULE2_10

This structure represents a firewall rule that is used by the 2.10 binary version servers and clients (see sections 1.7 and 2.2.41). The fields of this structure are identical to the FW_RULE structure and its meanings are covered in section 2.2.36.

```
typedef struct _tag_FW_RULE2_10 {
 struct _tag_FW_RULE2_10* pNext;
 unsigned short wSchemaVersion;
 [string, range(1, 10001), ref] wchar t* wszRuleId;
 [string, range(1, 10001)] wchar t* wszName;
  [string, range(1, 10001)] wchar t* wszDescription;
 unsigned long dwProfiles;
  [range(FW DIR INVALID, FW DIR OUT)]
   FW DIRECTION Direction;
  [range(0, 256)] unsigned short wIpProtocol;
  [switch type(unsigned short), switch is(wIpProtocol)]
   union {
   [case(6,17)]
     struct {
     FW PORTS LocalPorts;
     FW PORTS RemotePorts;
   };
    [case(1)]
     FW ICMP TYPE CODE LIST V4TypeCodeList;
    [case(58)]
     FW ICMP TYPE CODE LIST V6TypeCodeList;
    [default]
                ;
  };
 FW ADDRESSES LocalAddresses;
 FW ADDRESSES RemoteAddresses;
 FW INTERFACE LUIDS LocalInterfaceIds;
 unsigned long dwLocalInterfaceTypes;
  [string, range(1, 10001)] wchar t* wszLocalApplication;
  [string, range(1, 10001)] wchar_t* wszLocalService;
  [range(FW RULE ACTION INVALID, FW RULE ACTION MAX)]
   FW RULE ACTION Action;
 unsigned short wFlags;
  [string, range(1, 10001)] wchar t* wszRemoteMachineAuthorizationList;
  [string, range(1, 10001)] wchar_t* wszRemoteUserAuthorizationList;
  [string, range(1, 10001)] wchar t* wszEmbeddedContext;
  FW OS PLATFORM LIST PlatformValidityList;
 FW RULE STATUS Status;
  [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX)]
   FW RULE ORIGIN TYPE Origin;
  [string, range(1, 10001)] wchar_t* wszGPOName;
  unsigned long Reserved;
  [size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
   PFW OBJECT METADATA pMetaData;
```

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```
} FW_RULE2_10,
 *PFW RULE2 10;
```

2.2.98 FW_AUTH_SET_FLAGS

This enumeration represents flags that can be specified in authentication sets of section 2.2.64.

```
typedef enum _tag_FW_AUTH_SET_FLAGS
{
   FW_AUTH_SET_FLAGS_NONE = 0x00,
   FW_AUTH_SET_FLAGS_EMPTY = 0x01,
   FW_AUTH_SET_FLAGS_MAX = 0x02,
   FW_AUTH_SET_FLAGS_MAX_2_10 = 0x01
} FW_AUTH_SET_FLAGS;
```

- **FW_AUTH_SET_FLAGS_NONE:** This value means that none of the following flags are set. It is defined for simplicity in writing IDL definitions and code.
- **FW_AUTH_SET_FLAGS_EMPTY:** If this flag is set, the authentication set does not contain any authentication suites. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_AUTH_SET_FLAGS_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 2.
- **FW_AUTH_SET_FLAGS_MAX_2_10:** This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 1.

2.2.99 FW_CRYPTO_SET_FLAGS

This enumeration represents flags that can be specified in crypto sets of section 2.2.73.

```
typedef enum _tag_FW_CRYPTO_SET_FLAGS
{
   FW_CRYPTO_SET_FLAGS_NONE = 0x00,
   FW_CRYPTO_SET_FLAGS_EMPTY = 0x01,
   FW_CRYPTO_SET_FLAGS_MAX = 0x02,
   FW_CRYPTO_SET_FLAGS_MAX_2_10 = 0x01
} FW CRYPTO SET FLAGS;
```

- **FW_CRYPTO_SET_FLAGS_NONE:** This value means that none of the following flags are set. It is defined for simplicity in writing IDL definitions and code.
- **FW_CRYPTO_SET_FLAGS_EMPTY:** If this flag is set, the crypto set does not contain any crypto suites. For schema versions 0x0200, 0x0201, and 0x020A, this value is invalid and MUST NOT be used.
- **FW_CRYPTO_SET_FLAGS_MAX:** This value and values that exceed this value are not valid and MUST NOT be used. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 2.

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FW_CRYPTO_SET_FLAGS_MAX_2_10: This value and values that exceed this value are not valid and MUST NOT be used by servers and clients with schema version 0x020A and earlier. It is defined for simplicity in writing IDL definitions and code. This symbolic constant has a value of 1.

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3 Protocol Details

The client side of this protocol is simply a pass-through. That is, there are no additional timers or other states required on the client side of this protocol. Calls made by the higher-layer protocol or application are passed directly to the transport, and the results returned by the transport are passed directly back to the higher-layer protocol or application.

3.1 Server Details

3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with what is described in this document.

GlobalConfiguration: A table of policy configuration options where each entry contains:

- GlobalOptionType: This identifies the global option type. The global option types supported by this protocol are defined by the data type <u>FW GLOBAL CONFIG (section 2.2.41)</u>.
- GlobalOptionValue: This contains the current value for this global option type. See
 FW_GLOBAL_CONFIG (section 2.2.41) for details about the data type used to represent each global option type.

ProfileConfiguration: A table of policy configuration options that apply to a single profile where each entry contains:

- ProfileOptionType: This identifies the profile option type. The profile option types supported by this protocol are defined by the data type <u>FW_PROFILE_CONFIG (section 2.2.37)</u>.
- ProfileOptionValue: This contains the current value for this profile option type. See
 FW_PROFILE_CONFIG (section 2.2.37) for details about the data type used to represent each profile option type.

ProfileConfigurationTable: This is a table of the **ProfileConfiguration** objects for each profile type, where each entry contains:

- ProfileType: This identifies the profile to which the configuration applies. The profile types supported by this protocol are defined by the data type <u>FW PROFILE TYPE (section 2.2.2)</u>. This table only contains entries for the domain, private, and public profiles.
- **ProfileConfiguration**: This contains the configuration options for that profile.

FirewallRule: This describes a firewall rule, which is defined in this protocol by the data type **FW_RULE (section 2.2.36)**.

FirewallRules: A set of FirewallRule objects.

AuthenticationSet: This describes an authentication set, which is defined in this protocol by the data type <u>FW_AUTH_SET (section 2.2.64)</u>. This object contains two additional properties:

• **IsAuthPrimary**: A Boolean value indicating that this is a primary set. The Phase 1 and Phase 2 primary authentication sets are identified by well-known set IDs as specified in section <u>2.2.64</u>.

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Note that the value of this property can always be derived from the set ID; it is described separately solely for convenience.

Primary authentication sets differ from other authentication sets in that they are guaranteed to exist in the **GroupPolicyRSoPStore** and the **LocalStore**. If the administrator does not explicitly add the primary sets, the server initializes them to default values. See section 3.1.3 for details.

Although this protocol imposes no limitations on how administrators use the primary authentication sets, the intent is to decouple management of authentication settings from management of connection security and main mode rules. In this model, most rules do not use unique authentication sets, but instead reference the primary sets.

 IsAuthConfigured: A Boolean value indicating that this set was configured by an administrator rather than initialized to hard-coded values. This property MUST be ignored if IsAuthPrimary is false.

AuthenticationSets: A set of AuthenticationSet objects.

CryptoSet: This describes a crypto set, which is defined in this protocol by the data type **FW_CRYPTO_SET (section 2.2.73)**. This object contains two additional properties:

• **IsCryptoPrimary**: A Boolean value indicating that this is a primary set. The Phase 1 and Phase 2 primary crypto sets are identified by well-known set IDs as specified in section 2.2.73. Note that the value of this property can always be derived from the set ID; it is described separately solely for convenience.

Primary crypto sets differ from other crypto sets in that they are guaranteed to exist in the **GroupPolicyRSoPStore** and the **LocalStore**. If the administrator does not explicitly add the primary sets, the server initializes them to default values. See section <u>3.1.3</u> for details.

Although this protocol imposes no limitations on how administrators use the primary crypto sets, the intent is to decouple management of crypto settings from management of connection security rules. In this model, most rules do not use unique crypto sets, but instead reference the primary sets.

• **IsCryptoConfigured**: A Boolean value indicating this set was configured by an administrator rather than initialized to default values by the server. This property MUST be ignored if **IsCryptoPrimary** is false.

CryptoSets: A set of CryptoSet objects.

ConnectionSecurityRule: This describes a connection security rule, which is defined in this protocol by the data type **FW CS RULE (section 2.2.54)**. A **ConnectionSecurityRule** contains references to **AuthenticationSet** and **CryptoSet** objects in the store.

ConnectionSecurityRules: A set of ConnectionSecurityRule objects.

MainModeRule: A main mode rule, which is defined in this protocol by the data type <u>FW_MM_RULE (section 2.2.84)</u>. A **MainModeRule** contains a reference to an **AuthenticationSet** in the store.

MainModeRules: A set of MainModeRule objects.

PolicyStore: This represents a collection of policy settings. A **PolicyStore** contains a single instance of each of the following objects:

GlobalConfiguration

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- ProfileConfigurationTable
- FirewallRules
- AuthenticationSets
- CryptoSets
- ConnectionSecurityRules
- MainModeRules

PolicyStoreConnection: This represents a client connection to a **PolicyStore**. It maintains the association between the RPC connection and the **PolicyStore** being managed. It contains the following fields:

- StoreType: The type of store being managed, which is defined in this protocol by the data type <u>FW_STORE_TYPE (section 2.2.1)</u>. This value MUST be FW_STORE_TYPE_GP_RSOP, FW_STORE_TYPE_LOCAL, FW_STORE_TYPE_DYNAMIC, or FW_STORE_TYPE_DEFAULTS.
- **BinaryVersion**: An unsigned integer representing the binary version of the RPC interface used by the client. This value MUST be a valid Protocol Version (see section <u>1.7</u>).

PortInUse: This represents an Internet Protocol transport layer port that is currently in use by an endpoint on the local computer. It contains the following fields:

- **AddressFamily**: The address family of the endpoint. This MUST be IPv4 or IPv6.
- **TransportProtocol**: The transport protocol used by the endpoint. This MUST be TCP or UDP.
- **PortNumber**: The port number used by the transport protocol. This MUST be an integer in the range of 1 to 65535 inclusive.
- **IsDynamicRPC**: A Boolean value indicating that the port is in use by an RPC server, and that the port was randomly selected at runtime.
- **IsRPCEndpointMapper**: A Boolean value indicating that the port is in use by the RPC endpoint mapper.
- **IsTeredo**: A Boolean value indicating that the port is in use by Teredo.
- **IsIPTLSIn**: A Boolean value indicating that the port is in use for inbound IP-TLS connections.
- **IsIPTLSOut**: A Boolean value indicating that the port is in use for outbound IP-TLS connections.
- **NATTraversalRequested**: A Boolean value indicating that the application that created the endpoint is designed to take advantage of IPv6 NAT traversal capabilities (Teredo, for example).

PortsInUse: A set of **PortInUse** objects. The contents of the **PortsInUse** collection are determined solely through the <u>AddPortInUse (section 3.1.6.1)</u> and <u>DeletePortInUse (section 3.1.6.2)</u> abstract interfaces.

TrustTuple: This describes Internet Protocol transport layer traffic that is currently being sent or received by an endpoint on the local computer. It contains the following fields:

- **AddressFamily**: The address family of the traffic. This MUST be IPv4 or IPv6.
- **TransportProtocol**: The transport protocol used by the traffic. This MUST be TCP or UDP.

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- LocalAddress: The local IPv4 or IPv6 address of the traffic.
- **RemoteAddress**: The remote IPv4 or IPv6 address of the traffic.
- **LocalPortNumber**: The local port number used by the transport protocol. This MUST be an integer in the range of 1 to 65535 inclusive.
- **RemotePortNumber**: The remote port number used by the transport protocol. This MUST be an integer in the range of 1 to 65535 inclusive.
- **IsProximity**: A Boolean value indicating that the remote endpoint is located in close physical proximity to the local computer.
- **IsProximitySharing**: A Boolean value indicating that the traffic is used to share data with a remote endpoint located in close physical proximity to the local computer.
- **IsWFDPrint**: A Boolean value indicating that the traffic is used to send data to a printer over Wi-Fi Direct.
- **IsWFDDisplay**: A Boolean value indicating that the traffic is used to mirror or extend the local computer screen with a display device over Wi-Fi Direct.
- IsWFDDevices: A Boolean value indicating that the traffic is used to send data to a device over Wi-Fi Direct.

TrustTuples: A set of **TrustTuple** objects. The contents of the **TrustTuples** collection are determined solely through the AddTrustTuple (section 3.1.6.7) and DeleteTrustTuple (section 3.1.6.8) abstract interfaces.

MSFASPServer: This represents the state maintained by a server that implements this protocol. It contains multiple instances of **PolicyStore**. These instances are identified by the data type **FW_STORE_TYPE** (section 2.2.1). The server maintains the following objects:

- GroupPolicyRSoPStore: An instance of PolicyStore corresponding to FW_STORE_TYPE_GP_RSOP. The state of this object MUST be maintained in persistent storage.
- LocalStore: An instance of **PolicyStore** corresponding to FW_STORE_TYPE_LOCAL. The state of this object MUST be maintained in persistent storage.
- **DynamicStore**: An instance of **PolicyStore** corresponding to FW_STORE_TYPE_DYNAMIC.
- DefaultsStore: An instance of PolicyStore corresponding to FW_STORE_TYPE_DEFAULTS. The state of this object MUST be maintained in persistent storage. The name DefaultsStore was chosen to maintain consistent naming between the ADM and the data types and operations defined in this protocol. However, this element is not used to store default settings in the traditional sense. Instead, it is used to store a known good configuration for the LocalStore. The administrator can explicitly revert the LocalStore to these settings by invoking RRPC_FWRestoreDefaults (section 3.1.4.3). Otherwise, the contents of this store are ignored.
- PortsInUse: This represents the set of all PortInUse objects managed by the server. Elements
 are added and deleted from this set through the abstract interfaces AddPortInUse and
 DeletePortInUse.
- **TrustTuples**: This represents the set of all **TrustTuple** objects managed by the server. Elements are added and deleted from this set through the abstract interfaces AddTrustTuple and DeleteTrustTuple.

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3.1.2 Timers

No protocol timer events are required on the server side other than the timers required by the underlying **RPC transport**, as specified in <u>[MS-RPCE]</u>.

3.1.3 Initialization

The server initializes when the server host machine starts. The server MUST restore the state of the **GroupPolicyRSoPStore**, the **LocalStore**, and the **DefaultsStore** from persistent storage. The order in which the stores are loaded does not matter. The **PortsInUse** collection and the **TrustTuples** collection MUST be initialized to an empty set.

The server MUST ensure that **LocalStore** and **GroupPolicyRSoPStore** contain the Phase 1 and Phase 2 primary **AuthenticationSet** objects. If either of the primary sets is missing, the server MUST create a new instance and set the corresponding **IsAuthConfigured** property to false. The values used to initialize the new instances are implementation-specific.<<u><22></u>

The server MUST ensure that **LocalStore** and **GroupPolicyRSoPStore** contain the Phase 1 and Phase 2 primary **CryptoSet** objects. If either of the primary sets is missing, the server MUST create a new instance and set the corresponding **IsCryptoConfigured** property to false. The values used to initialize the new instances are implementation-specific.<23>

The server MUST merge **GroupPolicyRSoPStore** and **LocalStore** and use the result to initialize **DynamicStore**. The merge logic is as follows:

- For the GlobalConfiguration and ProfileConfiguration options, if an option is configured in only one store, that value MUST be used. If an option is configured in neither store, the option MUST be initialized to an implementation-specific<24> default value. If an option is configured in both stores, the values MUST be merged according to the merge law for that option. The merge laws for GlobalConfiguration and ProfileConfiguration options are specified in sections 2.2.41 and 2.2.37 respectively.
- For FirewallRules, ConnectionSecurityRules, and MainModeRules, all the rules from both stores MUST be combined and added to DynamicStore.
- For AuthenticationSets, if a primary set in GroupPolicyRSoPStore has IsAuthConfigured set to true, that set MUST be added to DynamicStore and the corresponding set in LocalStore MUST be ignored. Otherwise, the primary set from LocalStore MUST be used. For all other sets (that is, the sets where IsAuthPrimary is false), the sets from both stores MUST be combined and added to DynamicStore.
- For CryptoSets, if a primary set in GroupPolicyRSoPStore has IsCryptoConfigured set to true, that set MUST be added to DynamicStore and the corresponding set in LocalStore MUST be ignored. Otherwise, the primary set from LocalStore MUST be used. For all other sets (that is, the sets where IsCryptoPrimary is false), the sets from both stores MUST be combined and added to DynamicStore.

After the merge is complete, the server MUST invoke the abstract interface <u>SetEffectiveFirewallPolicy (section 3.1.6.6)</u> with the contents of **DynamicStore**. It MUST register the RPC interface and begin listening on the RPC endpoint as specified in section <u>2.1</u>.

3.1.4 Message Processing Events and Sequencing Rules

This protocol MUST indicate to the RPC runtime that it is to perform a strict **Network Data Representation (NDR)** data consistency check at target level 6.0, as specified in [MS-RPCE].

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This protocol MUST indicate to the RPC runtime, via the strict_context_handle attribute, that it is to reject the use of context handles that are created by using a different method of RPC interface than this one, as specified in [MS-RPCE] section 3.

Because the server makes access control decisions as part of message processing, the client MUST authenticate to the server as specified in section 2.1. The server MUST verify that the client is authorized to perform the requested operation. The server MUST retrieve the client's identity token by invoking the abstract interface GetRpcImpersonationAccessToken() as specified in [MS-RPCE] section 3.3.3.4.3.1. The server implementation maintains a list of authorized clients. The protocol has no methods for reading or setting that list. If the client invoking the method is not on the authorized list, the server MUST fail the call and return an error code of ERROR_ACCESS_DENIED (5).<25>

Methods in RPC Opnum Order

Method	Description
RRPC FWOpenPolicyStore	This method requests the server to open a specified policy store. Opnum: 0
<u>RRPC_FWClosePolicyStore</u>	This method receives an opened store handle and closes it, freeing any resources that were allocated by the server-to-server operations on the opened store. Opnum: 1
<u>RRPC_FWRestoreDefaults</u>	This method erases the local policy store and replaces it with the default policy that the server host had out of the box after installation. After the method returns, the local store contains exactly the same policy as it did after installation. Opnum: 2
<u>RRPC_FWGetGlobalConfig</u>	This method retrieves the value of a global policy configuration option. The client specifies to the server from what store this value MUST be retrieved and in what specific configuration option it is interested. Opnum: 3
RRPC FWSetGlobalConfig	This method modifies the value of a global policy configuration option. The client specifies to the server in what store this value MUST be written and what specific configuration option it is interested in modifying. Opnum: 4
RRPC FWAddFirewallRule	This method requests the server to add the specified firewall rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 5
RRPC_FWSetFirewallRule	This method requests the server to modify the specified firewall rule in the policy contained in the

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Method	Description
	policy store that is referenced by the specified opened policy store handle. Opnum: 6
<u>RRPC_FWDeleteFirewallRule</u>	This method requests the server to delete the specified firewall rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 7
RRPC FWDeleteAllFirewallRules	This method deletes all firewall rules in the firewall linked list of the memory representation of the store being modified. Opnum: 8
<u>RRPC_FWEnumFirewallRules</u>	This method requests the server to return all the firewall rules contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all the firewall rule objects. Opnum: 9
<u>RRPC_FWGetConfiq</u>	This method retrieves the value of a profile configuration option. The client specifies to the server from what store and profile this value MUST be retrieved and in what specific configuration option it is interested. Opnum: 10
RRPC_FWSetConfig	This method modifies the value of a profile configuration option. The client specifies to the server in what store and profile this value MUST be written and what specific configuration option it is interested in modifying. Opnum: 11
RRPC FWAddConnectionSecurityRule	This method requests the server to add the connection security rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 12
RRPC FWSetConnectionSecurityRule	This method requests the server to modify the specified connection security rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 13
RRPC_FWDeleteConnectionSecurityRule	This method requests the server to delete the specified connection security rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 14
RRPC FWDeleteAllConnectionSecurityRules	This method requests the server to delete all the connection security rules in the policy contained in

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Method	Description
	the policy store that is referenced by the specified opened policy store handle. Opnum: 15
RRPC FWEnumConnectionSecurityRules	This method requests the server to return all the connection security rules contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all the connection security rule objects. Opnum: 16
<u>RRPC_FWAddAuthenticationSet</u>	This method requests the server to add the authentication set in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 17
<u>RRPC</u> FWSetAuthenticationSet	This method requests the server to modify the specified authentication set in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 18
<u>RRPC_FWDeleteAuthenticationSet</u>	This method requests the server to delete the specified authentication set in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 19
<u>RRPC_FWDeleteAllAuthenticationSets</u>	This method requests the server to delete all the authentication sets of a specific IPsec phase in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 20
<u>RRPC_FWEnumAuthenticationSets</u>	This method requests the server to return all the authentication sets of the specified IPsec phase contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of these objects. Opnum: 21
RRPC FWAddCryptoSet	This method adds a cryptographic set in the cryptographic linked list of the memory representation of the store being modified. Opnum: 22
RRPC_FWSetCryptoSet	This method requests the server to modify the specified cryptographic set in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 23
RRPC_FWDeleteCryptoSet	This method requests the server to delete the specified cryptographic set in the policy contained in

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Method	Description
	the policy store that is referenced by the specified opened policy store handle. Opnum: 24
<u>RRPC_FWDeleteAllCryptoSets</u>	This method requests the server to delete all the cryptographic sets of a specific IPsec phase in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 25
<u>RRPC_FWEnumCryptoSets</u>	This method requests the server to return all the cryptographic sets of the specified IPsec phase contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all these cryptographic objects. Opnum: 26
<u>RRPC_FWEnumPhase1SAs</u>	This method requests the server to return all the security associations of the IPsec first-negotiation phase contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all these security associations. Opnum: 27
<u>RRPC_FWEnumPhase2SAs</u>	This method requests the server to return all the security associations of the IPsec second-negotiation phase contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all these security associations. Opnum: 28
RRPC_FWDeletePhase1SAs	This method requests the server to delete all the IPsec first negotiation phase security associations that match the specified endpoints. Opnum: 29
RRPC FWDeletePhase2SAs	This method requests the server to delete all the IPsec second negotiation phase security associations that match the specified endpoints. Opnum: 30
RRPC FWEnumProducts	This method requests the server to return all the registered third-party software components registered with the firewall and advanced security component. Opnum: 31
RRPC_FWAddMainModeRule	This method requests the server to add the main mode rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 32
RRPC_FWSetMainModeRule	This method requests the server to modify the specified main mode rule in the policy contained in

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Method	Description
	the policy store that is referenced by the specified opened policy store handle. Opnum: 33
<u>RRPC_FWDeleteMainModeRule</u>	This method requests the server to delete the specified main mode rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 34
<u>RRPC_FWDeleteAllMainModeRules</u>	This method requests the server to delete all the main mode rules in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 35
<u>RRPC_FWEnumMainModeRules</u>	This method requests the server to return all the main mode rules contained in the store that is referenced by the hPolicyStore handle. The method returns a linked list of all the main mode rule objects. Opnum: 36
<u>RRPC_FWQueryFirewallRules</u>	This method requests the server to return all the firewall rules that match the specified query object contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all the firewall rule objects. Opnum: 37
RRPC FWQueryConnectionSecurityRules	This method requests the server to return all the connection security rules that match the specified query object contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all the connection security rule objects. Opnum: 38
RRPC_FWQueryMainModeRules	This method requests the server to return all the main mode rules that match the specified query object contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all the main mode rule objects. Opnum: 39
RRPC FWQueryAuthenticationSets	This method requests the server to return all the authentication sets that match the specified query object contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all the authentication set objects. Opnum: 40
RRPC_FWQueryCryptoSets	This method requests the server to return all the crypto sets that match the specified query object contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list

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Method	Description
	of all the crypto set objects.
	Opnum: 41
RRPC FWEnumNetworks	This method requests the server to return all the networks to which the host that has the firewall and advanced security component is connected. Opnum: 42
<u>RRPC_FWEnumAdapters</u>	This method requests the server to return all the network interfaces that are used by the host that has the firewall and advanced security component. Opnum: 43
RRPC FWGetGlobalConfig2 10	This method retrieves the value of a global policy configuration option. The client specifies to the server from what store this value MUST be retrieved and in what specific configuration option it is interested. Opnum: 44
<u>RRPC_FWGetConfig2_10</u>	This method retrieves the value of a profile configuration option. The client specifies to the server from what store and profile this value MUST be retrieved and in what specific configuration option it is interested. Opnum: 45
RRPC FWAddFirewallRule2 10	This method requests the server to add the specified firewall rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 46
RRPC FWSetFirewallRule2 10	This method requests the server to modify the specified firewall rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 47
RRPC FWEnumFirewallRules2 10	This method requests the server to return all the firewall rules contained in the store that is referenced by the hPolicyStore handle. The method returns a linked list of all the firewall rule objects. Opnum: 48
RRPC FWAddConnectionSecurityRule2 10	This method requests the server to add the connection security rule in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 49
RRPC FWSetConnectionSecurityRule2 10	This method requests the server to modify the specified connection security rule in the policy contained in the policy store that is referenced by

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Method	Description
	the specified opened policy store handle. Opnum: 50
RRPC FWEnumConnectionSecurityRules2 10	This method requests the server to return all the connection security rules contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all the connection security rule objects. Opnum: 51
RRPC FWAddAuthenticationSet2 10	This method requests the server to add the authentication set in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 52
RRPC FWSetAuthenticationSet2 10	This method requests the server to modify the specified authentication set in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 53
<u>RRPC FWEnumAuthenticationSets2 10</u>	This method requests the server to return all the authentication sets of the specified IPsec phase contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of these objects. Opnum: 54
RRPC FWAddCryptoSet2 10	This method adds a cryptographic set in the cryptographic linked list of the memory representation of the store being modified. Opnum: 55
RRPC_FWSetCryptoSet2_10	This method requests the server to modify the specified cryptographic set in the policy contained in the policy store that is referenced by the specified opened policy store handle. Opnum: 56
RRPC FWEnumCryptoSets2 10	This method requests the server to return all the cryptographic sets of the specified IPsec phase that is contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method returns a linked list of all these cryptographic objects. Opnum: 57
RRPC_FWAddConnectionSecurityRule2_20	This method requests the server to add the specified connection security rule in the policy contained in the policy store that is referenced by the handle specified in the <i>hPolicyStore</i> parameter. Opnum: 58
RRPC FWSetConnectionSecurityRule2 20	This method requests the server to modify the specified connection security rule in the policy

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Method	Description
	contained in the policy store that is referenced by the handle specified in the <i>hPolicyStore</i> parameter. Opnum: 59
RRPC FWEnumConnectionSecurityRules2 20	This method requests the server to return all the connection security rules contained in the store that is referenced by the <i>hPolicyStore</i> handle. Opnum: 60
RRPC FWQueryConnectionSecurityRules2 20	This method requests the server to return all the connection security rules that match the specified query object that are contained in the store that is referenced by the <i>hPolicy</i> handle. Opnum: 61
RRPC_FWAddAuthenticationSet2_20	This method requests the server to add the authentication set in the policy contained in the policy store that is referenced by the handle specified in the <i>hPolicy</i> parameter. Opnum: 62
<u>RRPC</u> FWSetAuthenticationSet2 20	This method requests the server to modify the specified authentication set in the policy contained in the policy store that is referenced by the handle specified in the <i>hPolicy</i> parameter. Opnum: 63
<u>RRPC</u> FWEnumAuthenticationSets2 20	This method requests the server to return all the authentication sets of the specified IPsec phase contained in the store that is referenced in the <i>hPolicy</i> handle. The method returns a linked list of these objects. Opnum: 64
<u>RRPC</u> FWQueryAuthenticationSets2_20	This method requests the server to return all the authentication sets that match the specified query object that are contained in the store that is referenced in the <i>hPolicy</i> handle. Opnum: 65
RRPC FWAddFirewallRule2 20	This method requests the server to add the specified firewall rule in the policy contained in the policy store referenced by the handle that is specified in the <i>hPolicyStore</i> parameter. Opnum: 66
<u>RRPC</u> FWSetFirewallRule2_20	This method requests the server to modify the specified firewall rule in the policy contained in the policy store that is referenced by the handle specified in the <i>hPolicyStore</i> parameter. Opnum: 67
RRPC FWEnumFirewallRules2 20	This method requests the server to return all the firewall rules contained in the store that is referenced by the <i>hPolicyStore</i> handle. The method

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Method	Description
	returns a linked list of all the firewall rule objects. Opnum: 68
RRPC FWQueryFirewallRules2 20	This method requests the server to return all the firewall rules matching the specified query object that are contained in the store referenced by the <i>hPolicy</i> handle. Opnum: 69

3.1.4.1 RRPC_FWOpenPolicyStore (Opnum 0)

The **RRPC_FWOpenPolicyStore** method requests the server to open a specified policy store. The store can be opened for reading or for editing the firewall policy. The method also returns a handle to the opened store with which the client can then perform operations on this policy store. The server allocates a **PolicyStoreConnection** object to track the policy store type and the binary version associated with the handle.

```
unsigned long RRPC_FWOpenPolicyStore(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] unsigned short BinaryVersion,
   [in, range(FW_STORE_TYPE_INVALID+1, FW_STORE_TYPE_MAX-1)]
   FW_STORE_TYPE StoreType,
   [in, range(FW_POLICY_ACCESS_RIGHT_INVALID+1, FW_POLICY_ACCESS_RIGHT_MAX-1)]
   FW_POLICY_ACCESS_RIGHT AccessRight,
   [in] unsigned long dwFlags,
   [out] PFW_POLICY_STORE_HANDLE phPolicyStore
}
```

);

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

BinaryVersion: This parameter specifies the RPC interface binary version. This implies versions of the methods and versions of the structures. This value MUST be a valid Protocol Version (see section 1.7). See section 1.7 for capability negotiation based on the BinaryVersion.

StoreType: This parameter specifies the policy store type that the client wants to open.

AccessRight: This parameter specifies the read or read/write access rights that the client is requesting on the store.

dwFlags: This parameter is not used. The server MUST ignore this parameter. The client SHOULD pass a value of zero.

phPolicyStore: This is an output parameter that provides a pointer to an <u>FW POLICY STORE HANDLE</u> data type. If successful, this parameter contains a handle to the opened store.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF].

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.2 RRPC_FWClosePolicyStore (Opnum 1)

The **RRPC_FWClosePolicyStore** method receives an opened store handle, closes it, and deallocates the corresponding **PolicyStoreConnection** object.

```
unsigned long RRPC_FWClosePolicyStore(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in, out] PFW_POLICY_STORE_HANDLE phPolicyStore
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

phPolicyStore: This is an input and output parameter that provides a pointer to an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method, which the client intends to stop using and close.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF].

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.3 RRPC_FWRestoreDefaults (Opnum 2)

The **RRPC_FWRestoreDefaults** method replaces the contents of **LocalStore** with the contents of **DefaultsStore**.

```
unsigned long RRPC_FWRestoreDefaults(
   [in] FW_CONN_HANDLE rpcConnHandle
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF].

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST first validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method. Next, the server MUST replace the contents of **LocalStore** with the contents of **DefaultsStore**. The server then MUST merge the new contents of **LocalStore** with the existing contents of the **GroupPolicyRSoPStore** (as described in section

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<u>3.1.1</u>) and store the result in **DynamicStore**. Finally, the server MUST invoke the abstract interface <u>SetEffectiveFirewallPolicy (section 3.1.6.6)</u> with the contents of **DynamicStore**.

3.1.4.4 RRPC_FWGetGlobalConfig (Opnum 3)

The **RRPC_FWGetGlobalConfig** method retrieves the value of a global policy configuration option. The client specifies to the server from what store this value MUST be retrieved and in what specific configuration option it is interested.

```
unsigned long RRPC_FWGetGlobalConfig(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] unsigned short BinaryVersion,
  [in] FW_STORE_TYPE StoreType,
  [in, range(FW_GLOBAL_CONFIG_INVALID+1, FW_GLOBAL_CONFIG_MAX-1)]
  FW_GLOBAL_CONFIG configID,
  [in] unsigned long dwFlags,
  [in, out, unique, size_is(cbData), length_is(*pcbTransmittedLen)]
    unsigned char* pBuffer,
  [in] unsigned long cbData,
  [in, out] unsigned long* pcbTransmittedLen,
  [out] unsigned long* pcbRequired
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **BinaryVersion:** This parameter specifies the RPC interface binary version. This implies versions of the methods and versions of the structures.
- **StoreType:** This parameter specifies the policy store from which the client wants to retrieve the configuration option value.
- **configID:** This parameter specifies the specific global policy configuration option the client is interested in retrieving.
- **dwFlags:** This parameter is a combination of flags from the <u>FW_CONFIG_FLAGS</u> enumeration, which modifies the behavior of this method, as specified in the definition of the enumeration.
- **pBuffer:** This is an input/output parameter. This parameter is a pointer to the buffer that the client provides to contain the value of the profile configuration option being requested.
- **cbData:** This parameter is the size of the buffer that the *pBuffer* parameter points to.
- **pcbTransmittedLen:** This is a pointer to an input and output parameter that specifies the length of the transmitted data within the buffer.
- **pcbRequired:** This is a pointer to an output parameter that specifies the required minimum buffer size in octets in order for the method to be able to return the configuration value. This output parameter is nonzero only if the buffer (pointed to by *pBuffer* and whose size is *cbData*) was not big enough to contain the value.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

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Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specific configuration option is not found within the policy. This means that it is not configured. If the option is not configured in any other store, the firewall uses a default value.
0x00000032 ERROR_NOT_SUPPORTED	The store type specified does not support this method.
0x000000EA ERROR_MORE_DATA	The buffer is not big enough to hold the configuration option value.
0x00000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because: The specific configuration option is not meant to be available in the specified store.
	The specified configuration option is not defined.One of the required values is not specified.
	 The buffer size is not enough to hold the specific value.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.5 RRPC_FWSetGlobalConfig (Opnum 4)

The **RRPC_FWSetGlobalConfig** method modifies the value of a global policy configuration option. The client specifies to the server in what store this value MUST be written and what specific configuration option it is interested in modifying.

```
unsigned long RRPC_FWSetGlobalConfig(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] unsigned short BinaryVersion,
  [in] FW_STORE_TYPE StoreType,
  [in, range(FW_GLOBAL_CONFIG_INVALID+1, FW_GLOBAL_CONFIG_MAX-1)]
  FW_GLOBAL_CONFIG configID,
  [in, unique, size_is(dwBufSize)]
    unsigned char* lpBuffer,
  [in, range(0, 10*1024)] unsigned long dwBufSize
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

BinaryVersion: This parameter specifies the RPC interface binary version. This implies versions of the methods and versions of the structures.

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StoreType: This parameter specifies the policy store in which the client wants to modify this configuration option.

configID: This parameter specifies the specific global policy configuration option the client wants to modify.

IpBuffer: This is an input parameter. This parameter is a pointer to the buffer that the client provides containing the value to write on the configuration option specified. If the buffer is NULL, this method deletes the configuration option.

dwBufSize: This parameter is the size of the buffer to which the *pBuffer* parameter points.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000032 ERROR_NOT_SUPPORTED	The store type specified does not support this method.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The specific configuration option is not meant to be available in the specified store.
	 The specified configuration option is not defined.
	 One of the required values is not specified.
	• The buffer is null but <i>dwBufSize</i> says otherwise.
	 The buffer size is not enough to hold the specific value.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method performs a merge operation of the resultant configuration values, as defined in section 3.1.3. It then determines what modifications are necessary on the rule objects to make sure the policy is enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.6 RRPC_FWAddFirewallRule (Opnum 5)

The **RRPC_FWAddFirewallRule** method requests the server to add the specified firewall rule in the policy contained in the policy store that is referenced by the handle specified in the *hPolicyStore* parameter.

```
ULONG RRPC_FWAddFirewallRule(
[in] FW CONN HANDLE rpcConnHandle,
```

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```
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
[in] PFW_RULE2_0 pRule
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicyStore:** This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle that is successfully opened by using the **<u>RRPC FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the firewall rule that the client wants to add to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_RULE2_0</u> data type.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	A parameter of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>pRule</i> object did not pass the firewall rule validations that are specified in the definition of the <u>FW_RULE</u> data type.
	 One of the required values is not specified.
	 A policy store does not support rules with profile conditions other than ALL profiles.
	 The wszLocalApplication field of the rule contains a string that was determined to be an invalid path.

Exceptions Thrown: No exceptions are thrown except those that are thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method adds a firewall rule to the firewall linked list of the memory representation of the store being modified. It also writes through and saves the rule in disk. If called on an online store, the firewall rule is also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

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3.1.4.7 RRPC_FWSetFirewallRule (Opnum 6)

The **RRPC_FWSetFirewallRule** method requests the server to modify the specified firewall rule in the policy contained in the policy store that is referenced by the handle specified in the *hPolicyStore* parameter.

ULONG RRPC_FWSetFirewallRule(
 [in] FW_CONN_HANDLE rpcConnHandle,
 [in] FW_POLICY_STORE_HANDLE hPolicyStore,
 [in] PFW_RULE2_0 pRule
);

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicyStore:** This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle that is successfully opened by using the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the firewall rule that the client wants to modify in the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_RULE2_0</u> data type.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule that is referenced by the wszRuleID member string of the FW_RULE data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	A parameter of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>pRule</i> object did not pass the firewall rule validations that are specified in the definition of the FW_RULE data type.
	 One of the required values is not specified.
	 A policy store does not support rules that have profile conditions other than ALL profiles.
	 The wszLocalApplication field of the rule contains a string that was determined to be an invalid path.

Exceptions Thrown: No exceptions are thrown except those that are thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.8 RRPC_FWDeleteFirewallRule (Opnum 7)

The **RRPC_FWDeleteFirewallRule** method requests the server to delete the specified firewall rule in the policy contained in the policy store referenced by the handle specified in the *hPolicyStore* parameter.

- unsigned long RRPC_FWDeleteFirewallRule(
 [in] FW_CONN_HANDLE rpcConnHandle,
 [in] FW_POLICY_STORE_HANDLE hPolicyStore,
 [in, string, ref] const wchar_t* wszRuleID
);
- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicyStore:** This input parameter is an **FW_POLICY_STORE_HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **<u>RRPC_FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read/write access rights.
- **wszRuleID:** This parameter is the pointer to a string that is the ID of the firewall rule the client wants to delete from the specified store.

This ID can be obtained by enumerating firewall rules using **<u>RRPC_FWEnumFirewallRules</u>** (Opnum 9) where the ID is returned in the <u>FW_RULE2_0</u> structure.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the wszRuleID member string of the FW_RULE data type is not found in the policy store.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method deletes a firewall rule already stored in the firewall linked list of the memory representation of the store being modified. It uses this list to determine if the rule exists or not. It also writes through and deletes the rule from disk. If called on an online store, the removal of the firewall rule is also enforced.

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.9 RRPC_FWDeleteAllFirewallRules (Opnum 8)

The **RRPC_FWDeleteAllFirewallRules** method deletes all firewall rules in the firewall linked list of the memory representation of the store being modified. It also writes through and deletes all rules from the disk representation. If called on an online store, no firewall rules are enforced after the method returns.

```
unsigned long RRPC_FWDeleteAllFirewallRules(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicyStore
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicyStore: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.10 RRPC_FWEnumFirewallRules (Opnum 9)

The **RRPC_FWEnumFirewallRules** method requests the server to return all the firewall rules contained in the store that is referenced by the *hPolicyStore* handle. The method returns a linked list of all the firewall rule objects.

```
ULONG RRPC_FWEnumFirewallRules(
```

```
[in] FW CONN HANDLE rpcConnHandle,
```

- [in] FW_POLICY_STORE_HANDLE hPolicyStore,
- [in] ULONG dwFilteredByStatus,
- [in] ULONG dwProfileFilter,
- [in] USHORT wFlags,

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```
[out, ref] ULONG* pdwNumRules,
[out] PFW_RULE2_0* ppRules
```

);

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicyStore:** This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle that is successfully opened by using the **<u>RRPC FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read or read/write access rights.
- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW_RULE_STATUS_CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code from the class specified by this parameter will be returned in the linked list.
- **dwProfileFilter:** This parameter is a combination of flags from the **FW PROFILE TYPE** enumeration. This method also uses this parameter to determine whether rules should be returned. Rules that contain a profile specified by this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This output parameter, if successful, MUST be equal to the number of rules returned.
- **ppRules:** This output parameter, if successful, contains a linked list of <u>FW_RULE2_0</u> data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains profiles that are not valid.

Exceptions Thrown: No exceptions are thrown except those that are thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

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3.1.4.11 RRPC_FWGetConfig (Opnum 10)

The **RRPC_FWGetConfig** method retrieves the value of a profile configuration option. The client specifies to the server from what store and profile this value MUST be retrieved and in what specific configuration option it is interested.

```
unsigned long RRPC_FWGetConfig(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicyStore,
  [in, range(FW_PROFILE_CONFIG_ENABLE_FW, FW_PROFILE_CONFIG_MAX-1)]
  FW_PROFILE_CONFIG configID,
  [in] FW_PROFILE_TYPE Profile,
  [in] unsigned long dwFlags,
  [in, out, unique, size_is(cbData), length_is(*pcbTransmittedLen)]
  unsigned char* pBuffer,
  [in] unsigned long cbData,
  [in, out] unsigned long* pcbTransmittedLen,
  [out] unsigned long* pcbRequired
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

- **hPolicyStore:** This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **<u>RRPC FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read/write access rights.
- **configID:** This parameter specifies the specific profile configuration option the client is interested in retrieving.

Profile: This parameter specifies from which specific profile this value MUST be retrieved.

- **dwFlags:** This parameter is a combination of flags from the <u>FW CONFIG FLAGS</u> enumeration, which modifies the behavior of this method, as specified in the definition of the enumeration.
- **pBuffer:** This is an input/output parameter. This parameter is a pointer to the buffer that the client provides to contain the value of the profile configuration option being requested.
- **cbData:** This parameter is the size of the buffer that the *pBuffer* parameter points to.
- **pcbTransmittedLen:** This is a pointer to an input and output parameter that specifies the length of the transmitted data within the buffer.
- **pcbRequired:** This is a pointer to an output parameter that specifies the required minimum buffer size in octets for the method to be able to return the configuration value. This output parameter is nonzero only if the buffer (pointed to by *pBuffer* and whose size is *cbData*) was not big enough to contain the value.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following are common.

Return value/code	Description
0x0000005	The client does not have the required credentials to call the

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Return value/code	Description
ERROR_ACCESS_DENIED	method.
0x00000002 ERROR_FILE_NOT_FOUND	The specific configuration option is not found within the policy. This means that it is not configured. If the option is not configured in any other store, the firewall uses a default value.
0x00000032 ERROR_NOT_SUPPORTED	The method does not support the specified combination of parameters. This can be because:
	 The store type specified does not support this method.
	 The configuration option is not supported in this store.
	 The <i>Profile</i> parameter contains a combination of profiles (instead of a single profile) or an unknown profile.
0x000000EA ERROR_MORE_DATA	The buffer is not big enough to hold the configuration option value.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The specified configuration option is not defined.
	 One of the required values is not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.12 RRPC_FWSetConfig (Opnum 11)

The **RRPC_FWSetConfig** method modifies the value of a profile configuration option. The client specifies to the server in what store and profile this value MUST be written and what specific configuration option it is interested in modifying.

```
unsigned long RRPC_FWSetConfig(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicyStore,
  [in, range(FW_PROFILE_CONFIG_ENABLE_FW, FW_PROFILE_CONFIG_MAX-1)]
  FW_PROFILE_CONFIG configID,
  [in] FW_PROFILE_TYPE Profile,
  [in, switch_is(configID)] FW_PROFILE_CONFIG_VALUE pConfig,
  [in, range(0, 10*1024)] unsigned long dwBufSize
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicyStore: This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the

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<u>RRPC</u> FWOpenPolicyStore (Opnum 0) method. The handle MUST have read/write access rights.

configID: This parameter specifies the specific profile configuration option the client is interested in retrieving.

Profile: This parameter specifies from which specific profile this value MUST be retrieved.

pConfig: This is an input parameter. This parameter is a pointer to the buffer that the client provides containing the value to write on the configuration option specified. If the buffer is NULL, this method deletes the configuration option. The buffer is of type <u>FW PROFILE CONFIG VALUE</u>.

dwBufSize: This parameter is the size of the buffer that the *pConfig* parameter points to.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The method does not support the specified combination of parameters. This can be because:
	 The store type specified does not support this method.
	 The Profile parameter contains a combination of profiles (instead of a single profile) or an unknown profile.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The specific configuration option is not meant to be available in the specified store.
	 The specified configuration option is not defined.
	 The size of the buffer does not match the size of the type of the configuration value.
	• The buffer is null but <i>dwBufSize</i> says otherwise.
	 The caller wants to set a LOG_MAX_FILE_SIZE that is not within the valid values [min, max].
	 The default action configuration value specifies a value that maps to neither allow nor block.
	 The LOG_FILE_PATH configuration value contains the following invalid characters: /,*,?,",<,>, .

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

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This method performs a merge operation of the resultant configuration values, as defined in section 3.1.3. It then determines what modifications are necessary on the rule objects (for example, remove rule enforcement if firewall is off) to make sure the policy is enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.13 RRPC_FWAddConnectionSecurityRule (Opnum 12)

The **RRPC_FWAddConnectionSecurityRule** method requests the server to add the connection security rule in the policy contained in the policy store that is referenced by the specified opened policy store handle.

```
ULONG RRPC_FWAddConnectionSecurityRule(
```

- [in] FW_CONN_HANDLE rpcConnHandle,
- [in] FW_POLICY_STORE_HANDLE hPolicy,
- [in] PFW_CS_RULE2_0 pRule

);

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle that is successfully opened by using the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the connection security rule that the client wants to add to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_CS_RULE2_0</u> data type.
- **Return Values:** This method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	A parameter of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>pRule</i> object did not pass the connection security rule validations specified in the definition of the <u>FW_CS_RULE</u> data type.
	 The rule has a phase 2 crypto set that specified FW_CRYPTO_PRPTOCOL_AUTH_NO_ENCAP (see section

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Return value/code	Description
	2.2.68), and it is a tunnel mode rule, or it also has an AuthSet structure that specifies a preshared key auth method.
	 A required value is not specified.

This method adds a connection security rule in the connection security link list of the memory representation of the store being modified. It also writes through and saves the rule to disk. If called on an online store, the connection security rule is also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.14 RRPC_FWSetConnectionSecurityRule (Opnum 13)

The **RRPC_FWSetConnectionSecurityRule** method requests the server to modify the specified connection security rule in the policy contained in the policy store that is referenced by the handle specified in the *hPolicy* parameter.

```
ULONG RRPC_FWSetConnectionSecurityRule(
```

[in] FW_CONN_HANDLE rpcConnHandle,

- [in] FW_POLICY_STORE_HANDLE hPolicy,
- [in] PFW_CS_RULE2_0 pRule

);

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle that is successfully opened by using the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

pRule: This parameter represents the connection security rule that the client wants to modify in the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_CS_RULE2_0</u> data type.

Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. This error is also returned if the client does not have the required credentials to call the method.

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Return value/code	Description
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule that is referenced by the <i>wszRuleID</i> member string of the <u>FW_CS_RULE</u> data type is not found in the policy store.
0x0000057 ERROR_INVALID_PARAMETER	 A parameter of this method is incorrect, or is required and not specified. This error can be returned because: The <i>pRule</i> object did not pass the connection security rule validations that are specified in the definition of the FW_CS_RULE data type. The rule has a phase 2 crypto set that specified FW_CRYPTO_PRPTOCOL_AUTH_NO_ENCAP (see section 2.2.68), and either it is a tunnel mode rule or it has an AuthSet that specifies a preshared key auth method. A required value is not specified.

This method modifies a connection security rule already stored in the connection security linked list of the memory representation of the store being modified. It uses this list to determine whether the rule exists. It also writes through and saves the rule in disk. If called on an online store, the connection security rule modifications are also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.15 RRPC_FWDeleteConnectionSecurityRule (Opnum 14)

The **RRPC_FWDeleteConnectionSecurityRule** method requests the server to delete the specified connection security rule in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter.

```
unsigned long RRPC_FWDeleteConnectionSecurityRule(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in, string, ref] wchar_t* pRuleId
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

pRuleId: This parameter is the pointer to a string that is the ID of the connection security rule the client wants to delete from the specified store.

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This ID can be obtained by enumerating connection security rules using **<u>RRPC</u>** FWEnumConnectionSecurityRules (Opnum 16)</u> where the ID is returned in the FW CS RULE2 0 structure.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the <i>pRuleID</i> member string is not found in the policy store.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method deletes a connection security rule already stored in the connection security linked list of the memory representation of the store being modified. It uses this list to determine if the rule exists or not. It also writes through and deletes the rule from disk. If called on an online store, the removal of the connection security rule is also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.16 RRPC_FWDeleteAllConnectionSecurityRules (Opnum 15)

The **RRPC_FWDeleteAllConnectionSecurityRules** method requests the server to delete all the connection security rules in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter.

unsigned long RRPC_FWDeleteAllConnectionSecurityRules(
 [in] FW_CONN_HANDLE rpcConnHandle,
 [in] FW_POLICY_STORE_HANDLE hPolicy
);

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

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Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.

This method deletes all connection security rules in the connection security linked list of the memory representation of the store being modified. It also writes through and deletes all rules from the disk representation. If called on an online store, no connection security rules are enforced after the method returns.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.17 RRPC_FWEnumConnectionSecurityRules (Opnum 16)

The **RRPC_FWEnumConnectionSecurityRules** method requests the server to return all the connection security rules contained in the store that is referenced by the *hPolicy* handle. The method returns a linked list of all the connection security rule objects.

```
ULONG RRPC_FWEnumConnectionSecurityRules(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] ULONG dwFilteredByStatus,
   [in] ULONG dwProfileFilter,
   [in] USHORT wFlags,
   [out, ref] ULONG* pdwNumRules,
   [out] PFW_CS_RULE2_0* ppRules
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle that is successfully opened by using the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read or read/write access rights.

dwFilteredByStatus: This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code from the class that is specified by this parameter will be returned in the linked list.

dwProfileFilter: This parameter is a combination of flags from the <u>FW_PROFILE_TYPE</u> enumeration. This method also uses this parameter to determine whether rules should be returned. Rules that contain a profile that is specified by this parameter will be returned in the linked list.

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- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This output parameter, if successful, MUST be equal to the number of rules returned.
- **ppRules:** This output parameter, if successful, contains a linked list of **FW_CS_RULE2_0** data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.18 RRPC_FWAddAuthenticationSet (Opnum 17)

The **RRPC_FWAddAuthenticationSet** method requests the server to add the authentication set in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter.

```
unsigned long RRPC_FWAddAuthenticationSet(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] PFW_AUTH_SET2_10 pAuth
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

pAuth: This parameter represents the authentication set the client wants to add to the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET2_10</u> data type.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

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Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified set has a set ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because: The <i>pAuth</i> object did not pass the authentication set validations specified in the definition of the <u>FW_AUTH_SET</u> data type. One of the required values is not specified.

This method adds an authentication set in the authentication linked list of the memory representation of the store being modified. It also writes through and saves the set in disk. If called on an online store and the set is a primary set, the method enumerates the connection security rule list and reapplies each rule referencing this primary set to complete the enforcement of the policy.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.19 RRPC_FWSetAuthenticationSet (Opnum 18)

The **RRPC_FWSetAuthenticationSet** method requests the server to modify the specified authentication set in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter.

```
unsigned long RRPC_FWSetAuthenticationSet(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
```

```
[in] PFW_AUTH_SET2_10 pAuth
```

);

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

```
hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
```

pAuth: This parameter represents the authentication set the client wants to modify in the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET2_10</u> data type.

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Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified set referenced by the wszSetID member string of the FW_AUTH_SET data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>pAuth</i> object did not pass the authentication set validations specified in the definition of the FW_AUTH_SET data type.
	 One of the required values is not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method modifies an authentication set in the authentication linked list of the memory representation of the store being modified. It also writes through and saves the set in disk. If called on an online store, the method enumerates the connection security rules list and reapplies each rule referencing this primary set to complete the enforcement of the policy.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.20 RRPC_FWDeleteAuthenticationSet (Opnum 19)

The **RRPC_FWDeleteAuthenticationSet** method requests the server to delete the specified authentication set in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter.

```
unsigned long RRPC_FWDeleteAuthenticationSet(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
  FW_IPSEC_PHASE IpSecPhase,
  [in, string, ref] const wchar_t* wszSetId
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the

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<u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

IpSecPhase: This parameter specifies the IPsec negotiation phase type this set is used in.

- **wszSetId:** This parameter is the pointer to a string that is the ID of the authentication set the client wants to delete from the specified store.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000962 ERROR_ACTIVE_CONNECTIONS	The specified set is still referenced by connection security rules. This failure happens only when the set is not a primary set. There is always a primary set to use, either from other stores or a hard-coded one.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the wszSetID string is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	The specified IPsec phase is not a valid one.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method deletes an authentication set in the authentication linked list of the memory representation of the store being modified. It also writes through and saves the set in disk. If called on an online store, and the set is not a primary set, the method does not delete the specified set if any connection rule references this set.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.21 RRPC_FWDeleteAllAuthenticationSets (Opnum 20)

The **RRPC_FWDeleteAllAuthenticationSets** method requests the server to delete all the authentication sets of a specific IPsec phase in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter.

```
unsigned long RRPC_FWDeleteAllAuthenticationSets(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
   FW_IPSEC_PHASE IpSecPhase
);
```

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- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

IpSecPhase: This parameter specifies the IPsec negotiation phase type in which this set is used.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000962 ERROR_ACTIVE_CONNECTIONS	The specified set is still referenced by connection security rules. This failure happens only when the set is not a primary set. There is always a primary set to use, either from other stores or a hard-coded one.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the wszSetID string is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	The specified IPsec phase is not a valid one.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method deletes all the authentication sets in the authentication linked list of the memory representation of the store being modified. It also writes through and deletes the sets from disk. If called on an online store, the method does not delete the sets if any nonprimary set is referenced by a connection security rule.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.22 RRPC_FWEnumAuthenticationSets (Opnum 21)

The **RRPC_FWEnumAuthenticationSets** method requests the server to return all the authentication sets of the specified IPsec phase contained in the store referenced by the *hPolicy* handle. The method returns a linked list of these objects.

```
unsigned long RRPC_FWEnumAuthenticationSets(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
  FW_IPSEC_PHASE IpSecPhase,
```

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```
[in] unsigned long dwFilteredByStatus,
[in] unsigned short wFlags,
[out] unsigned long* pdwNumAuthSets,
[out] PFW_AUTH_SET2_10* ppAuth
```

);

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read or read/write access rights.
- **IpSecPhase:** This parameter specifies the specific IPsec negotiation phase to which this set applies.
- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine if rules should be returned or not. Sets that contain a status code of the class specified by this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> that modifies the behavior of the method and performs operations on the sets before returning them in the linked list.
- **pdwNumAuthSets:** This is an output parameter that on success MUST be equal to the number of sets returned.
- **ppAuth:** This is an output parameter that on success contains a linked list of <u>FW_AUTH_SET2_10</u> data types.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>IpSecPhase</i> parameter specifies an invalid IPsec negotiation phase.
	 One of the required values is not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

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When this method is called, the server looks for the binary version of the client, which was associated with the *hPolicy* handle when the client sent the **RRPC_FWOpenPolicyStore()** call. The server compares this binary version parameter with the schema version it supports. If the server has a schema version of 0x0201 and the client passed a 0x0200 binary version, then the server removes all values that are not valid for a <u>FW_AUTH_SET (section 2.2.64)</u> structure that has a 0x0200 schema version. If the removed value was present on one or more suites of the set, the server removes those suites as a whole, leaving the remaining suites intact. For each set that had a value removed, the server sets a FW_RULE_STATUS_PARTIALLY_IGNORED value on the **Status** field of the set. Then the client receives authentication sets with values that correspond to the correct schema version, but the client recognizes that the information it has about the sets is potentially incomplete.

3.1.4.23 RRPC_FWAddCryptoSet (Opnum 22)

The **RRPC_FWAddCryptoSet** method adds a cryptographic set in the cryptographic linked list of the memory representation of the store being modified. It also writes through and saves the set to the disk. If called on an online store, and the set is a primary set, the method enumerates the connection security rule list and reapplies each rule referencing this primary set to complete the enforcement of the policy.

The server MUST determine whether the local computer is operating in **common criteria mode** by invoking the abstract interface <u>IsComputerInCommonCriteriaMode (section 3.1.6.5)</u>. If the local computer is operating in common criteria mode, the server MUST fail the operation and return an error of ERROR_ACCESS_DENIED (5). Otherwise, the server MUST validate that the client is authorized to perform the requested operation (as defined in section <u>3.1.4</u>) before executing this method.

```
unsigned long RRPC_FWAddCryptoSet(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in] PFW_CRYPTO_SET pCrypto
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

pCrypto: This parameter represents the cryptographic set the client wants to add to the store. The set MUST be valid, as specified in the definition of the <u>FW_CRYPTO_SET</u> data type.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.

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Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>pCrypto</i> object did not pass the cryptographic set validations specified in the definition of the FW_CRYPTO_SET data type.
	 One of the required values is not specified.

3.1.4.24 RRPC_FWSetCryptoSet (Opnum 23)

The **RRPC_FWSetCryptoSet** method requests the server to modify the specified cryptographic set in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter.

```
unsigned long RRPC_FWSetCryptoSet(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in] PFW_CRYPTO_SET pCrypto
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

```
hPolicy: This input parameter is an FW POLICY_STORE HANDLE data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
```

pCrypto: This parameter represents the cryptographic set the client wants to modify in the store. The set MUST be valid, as specified in the definition of the <u>FW_CRYPTO_SET</u> data type.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.

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Return value/code	Description
0x00000002 ERROR_FILE_NOT_FOUND	The specified set referenced by the wszSetID member string of the FW_CRYPTO_SET data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>pCrypto</i> object did not pass the cryptographic set validations specified in the definition of the FW_CRYPTO_SET data type.
	 One of the required values is not specified.

This method modifies a cryptographic set in the cryptographic linked list of the memory representation of the store being modified. It also writes through and saves the set to the disk. If called on an online store, the method enumerates the connection security rules list and reapplies each rule referencing this primary set to complete the enforcement of the policy.

The server MUST determine whether the local computer is operating in common criteria mode by invoking the abstract interface <u>IsComputerInCommonCriteriaMode (section 3.1.6.5)</u>. If the local computer is operating in common criteria mode, the server MUST fail the operation and return an error of ERROR_ACCESS_DENIED (5). Otherwise, the server MUST validate that the client is authorized to perform the requested operation (as defined in section <u>3.1.4</u>) before executing this method.

3.1.4.25 RRPC_FWDeleteCryptoSet (Opnum 24)

The **RRPC_FWDeleteCryptoSet** method requests the server to delete the specified cryptographic set in the policy contained in the policy store that is referenced by the handle specified in the *hPolicy* parameter.

```
ULONG RRPC_FWDeleteCryptoSet(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
  FW_IPSEC_PHASE IpSecPhase,
  [in, string, ref] const wchar_t* wszSetId
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle that is successfully opened by using the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

IpSecPhase: This parameter specifies the IPsec negotiation phase type in which this set is used.

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wszSetId: This parameter is the pointer to a string that is the ID of the cryptographic set that the client wants to delete from the specified store.

This ID can be obtained by enumerating cryptographic sets using the **<u>RRPC FWEnumCryptoSets (Opnum 26)</u>** where the ID is returned in the **<u>FW CRYPTO SET</u>** structure.

Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000962 ERROR_ACTIVE_CONNECTIONS	The specified set is still referenced by connection security or main mode rules. This failure happens only when the set is not a primary set. There is always a primary set to use, either from other stores or a hard-coded one.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule that is referenced by the wszSetID string is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	The specified IPsec phase is not a valid one.

Exceptions Thrown: No exceptions are thrown except those that are thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method deletes a cryptographic set in the cryptographic linked list of the memory representation of the store being modified. It also writes through and saves the set to disk. If called on an online store and the set is not a primary set, the method does not delete the specified set if any connection rule references this set.

The server MUST determine whether the local computer is operating in common criteria mode by invoking the abstract interface <u>IsComputerInCommonCriteriaMode (section 3.1.6.5)</u>. If the local computer is operating in common criteria mode, the server MUST fail the operation and return an error of ERROR_ACCESS_DENIED (5). Otherwise, the server MUST validate that the client is authorized to perform the requested operation (as defined in section <u>3.1.4</u>) before executing this method.

3.1.4.26 RRPC_FWDeleteAllCryptoSets (Opnum 25)

The **RRPC_FWDeleteAllCryptoSets** method requests the server to delete all the cryptographic sets of a specific IPsec phase in the policy contained in the policy store that is referenced by the handle specified in the *hPolicy* parameter.

unsigned long RRPC_FWDeleteAllCryptoSets(
 [in] FW_CONN_HANDLE rpcConnHandle,
 [in] FW POLICY STORE HANDLE hPolicy,

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```
[in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
    FW_IPSEC_PHASE IpSecPhase
):
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicy:** This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle that is successfully opened by using the **<u>RRPC FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read/write access rights.

IpSecPhase: This parameter specifies the IPsec negotiation phase type in which this set is used.

Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000962 ERROR_ACTIVE_CONNECTIONS	There are nonprimary sets still being referenced by connection security or main mode rules. There is always a primary set to use, either from other stores or a hard-coded one; therefore, this failure never occurs because of primary sets.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The specified IPsec phase is not a valid one.

Exceptions Thrown: No exceptions are thrown except those that are thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

This method deletes all the cryptographic sets in the cryptographic linked list of the memory representation of the store being modified. It also writes through and deletes the sets from disk. If called on an online store, the method does not delete the sets if any nonprimary set is referenced by a connection security rule.

The server MUST determine whether the local computer is operating in common criteria mode by invoking the abstract interface <u>IsComputerInCommonCriteriaMode (section 3.1.6.5)</u>. If the local computer is operating in common criteria mode, the server MUST fail the operation and return an error of ERROR_ACCESS_DENIED (5). Otherwise, the server MUST validate that the client is authorized to perform the requested operation (as defined in section <u>3.1.4</u>) before executing this method.

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3.1.4.27 RRPC_FWEnumCryptoSets (Opnum 26)

The **RRPC_FWEnumCryptoSets** method requests the server to return all the cryptographic sets of the specified IPsec phase contained in the store referenced by the *hPolicy* handle. The method returns a linked list of all these cryptographic objects.

```
unsigned long RRPC_FWEnumCryptoSets(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
   FW_IPSEC_PHASE IpSecPhase,
   [in] unsigned long dwFilteredByStatus,
   [in] unsigned short wFlags,
   [out, ref] unsigned long* pdwNumSets,
   [out] PFW_CRYPTO_SET* ppCryptoSets
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read or read/write access rights.
- **IpSecPhase:** This parameter specifies the specific IPsec negotiation phase to which this set applies.
- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW_RULE_STATUS_CLASS</u> enumeration. This method uses this bitmask to determine if rules should be returned or not. Sets that contain a status code of the class specified by matches to this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> that modifies the behavior of the method and performs operations on the sets before returning them in the linked list.
- pdwNumSets: This is an output parameter that on success MUST be equal to the number of sets returned.
- **ppCryptoSets:** This is an output parameter that on success contains a linked list of **FW_CRYPTO_SET** data types.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>IpSecPhase</i> parameter specifies an invalid IPsec

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Return value/code	Description
	negotiation phase.
	 One of the required values is not specified.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

When this method is called, the server looks for the binary version of the client, which was associated with the hPolicy handle when the client sent the **RRPC_FWOpenPolicyStore()** call. The server compares this binary version parameter with the schema version that it supports. If the server has a schema version of 0x0201 and the client passed a 0x0200 binary version, the server removes all values that are not valid for a **FW_CRYPTO_SET** (section 2.2.73) structure that has a 0x0200 schema version. If the removed value was present on one or more suites of the set, the server removes those suites as a whole, leaving the remaining suites intact. For each set that had a value removed, the server sets a FW_RULE_STATUS_PARTIALLY_IGNORED value on the **Status** field of the set. The client then receives cryptographic sets with values that correspond to the correct schema version, but the client recognizes that the information it has about the sets is potentially incomplete.

3.1.4.28 RRPC_FWEnumPhase1SAs (Opnum 27)

The **RRPC_FWEnumPhase1SAs** method requests the server to return all the security associations of the IPsec first negotiation phase contained in the store referenced by the *hPolicy* handle. The method returns a linked list of all these security associations.

unsigned long RRPC_FWEnumPhaselSAs(
 [in] FW_CONN_HANDLE rpcConnHandle,
 [in] FW_POLICY_STORE_HANDLE hPolicy,
 [in, unique] PFW_ENDPOINTS pEndpoints,
 [out, ref] unsigned long* pdwNumSAs,
 [out, size_is(, *pdwNumSAs)] PFW_PHASE1_SA_DETAILS* ppSAs
);

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the FW_STORE_TYPE_DYNAMIC store.

pEndpoints: This parameter is a pointer to an **FW_ENDPOINTS** data type that can hold the addresses of the destination and source host. These addresses are used to match the security associations that will be returned. If this parameter is NULL, the method returns all IPsec first-phase security associations.

pdwNumSAs: This is an output parameter that on success MUST be equal to the number of security associations returned.

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- **ppSAs:** This is an output parameter that on success contains a linked list of **FW PHASE1 SA DETAILS** data types, each of which represents the first-phase security association.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000032 ERROR_NOT_SUPPORTED	The store handle is not of the dynamic store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

When this method is called, the server looks for the binary version of the client, which was associated with the *hPolicy* handle when the client sent the **RRPC_FWOpenPolicyStore** call. The server compares this binary version parameter with the schema version that it supports. If the server's schema version is greater than the binary version passed by the client, the server removes all FW_PHASE1_SA_DETAILS objects that contain values that are not valid for an <u>FW_AUTH_SET</u> (section 2.2.64) structure that has the schema version value passed by the client.

3.1.4.29 RRPC_FWEnumPhase2SAs (Opnum 28)

The **RRPC_FWEnumPhase2SAs** method requests the server to return all the security associations of the IPsec second negotiation phase contained in the store referenced by the *hPolicy* handle. The method returns a linked list of all these security associations.

```
unsigned long RRPC_FWEnumPhase2SAs(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in, unique] PFW_ENDPOINTS pEndpoints,
   [out, ref] unsigned long* pdwNumSAs,
   [out, size_is(, *pdwNumSAs)] PFW_PHASE2_SA_DETAILS* ppSAs
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the FW_STORE_TYPE_DYNAMIC store.

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- **pEndpoints:** This parameter is a pointer to an **FW_ENDPOINTS** data type that can hold the addresses of the destination and source host. These addresses are used to match the security associations that will be returned. If this parameter is NULL, the method will return all IPsec second phase security associations. If an endpoint is empty (that is, equal to 0), the endpoint matches any address.
- **pdwNumSAs:** This is an output parameter that on success MUST be equal to the number of security associations returned.
- **ppSAs:** This is an output parameter that on success contains a linked list of <u>FW PHASE2 SA DETAILS</u> data types, each of which represents a second phase security association.
- **Return Values:** The method returns 0 if successful; if failed, it returns a non-zero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000032 ERROR_NOT_SUPPORTED	The store handle is not of the dynamic store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.30 RRPC_FWDeletePhase1SAs (Opnum 29)

The **RRPC_FWDeletePhase1SAs** method requests the server to delete all the IPsec first negotiation phase security associations that match the specified endpoints.

```
unsigned long RRPC_FWDeletePhaselSAs(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in, unique] PFW_ENDPOINTS pEndpoints
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

```
hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the FW_STORE_TYPE_DYNAMIC store.
```

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- **pEndpoints:** This parameter is a pointer to an **FW_ENDPOINTS** data type that can hold the addresses of the destination and source host. These addresses are used to match the security associations that will be deleted. If this parameter is NULL, the method deletes all IPsec first-phase security associations. If an endpoint is empty (that is, equal to 0), the endpoint matches any address.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000032 ERROR_NOT_SUPPORTED	The store handle is not of the dynamic store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect or is required and not specified.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.31 RRPC_FWDeletePhase2SAs (Opnum 30)

The **RRPC_FWDeletePhase2SAs (Opnum 30)** method requests the server to delete all the IPsec second-negotiation-phase security associations that match the specified endpoints.

unsigned long RRPC_FWDeletePhase2SAs(

- [in] FW_CONN_HANDLE rpcConnHandle,
- [in] FW_POLICY_STORE_HANDLE hPolicy,
- [in, unique] PFW_ENDPOINTS pEndpoints

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the FW_STORE_TYPE_DYNAMIC store.
- **pEndpoints:** This parameter is a pointer to an **FW ENDPOINTS** data type that can hold the addresses of the destination and source host. These addresses are used to match the security associations that will be deleted. If this parameter is NULL, the method deletes all IPsec second-phase security associations. If an endpoint is empty (that is, equal to 0), the endpoint matches any address.

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^{);}

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000032 ERROR_NOT_SUPPORTED	The store handle is not of the dynamic store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.32 RRPC_FWEnumProducts (Opnum 31)

The **RRPC_FWEnumProducts (Opnum 31)** method requests the server to return all the registered third-party software components registered with the firewall and advanced security component. The only method supported is binary version 0x020A.

```
unsigned long RRPC_FWEnumProducts(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [out] unsigned long* pdwNumProducts,
   [out, size_is(,*pdwNumProducts)]
        PFW_PRODUCT* ppProducts
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

- hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW_STORE_TYPE_DYNAMIC</u> store.
- **pdwNumProducts:** This is an output parameter that on success MUST be equal to the number of products returned.
- **ppProducts:** An array of **<u>FW PRODUCT</u>** data types, representing the registration of third-party software components.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

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Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000032 ERROR_NOT_SUPPORTED	The store handle is not of the dynamic store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.33 RRPC_FWAddMainModeRule (Opnum 32)

The **RRPC_FWAddMainModeRule (Opnum 32)** method requests the server to add the main mode rule in the policy contained in the policy store referenced by the specified opened policy store handle. The only method supported is binary version 0x020A.

```
unsigned long RRPC_FWAddMainModeRule(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] PFW_MM_RULE pMMRule,
   [out] FW_RULE_STATUS* pStatus
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW STORE TYPE DYNAMIC</u> store.

pMMRule: This parameter represents the main mode rule that the client adds in the store. The rule MUST be valid, as specified in the definition of the <u>FW_MM_RULE</u> data type.

pStatus: This is an output parameter that on return will have the status code of the rule.

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.

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Return value/code	Description
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.34 RRPC_FWSetMainModeRule (Opnum 33)

The **RRPC_FWSetMainModeRule (Opnum 33)** method requests the server to modify the specified main mode rule in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter. The only method supported is binary version 0x020A.

```
unsigned long RRPC_FWSetMainModeRule(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] PFW_MM_RULE pMMRule,
   [out] FW_RULE_STATUS* pStatus
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW_STORE_TYPE_DYNAMIC</u> store.

pMMRule: This parameter represents the main mode rule the client modifies in the store. The rule MUST be valid, as specified in the definition of the <u>FW_MM_RULE</u> data type.

pStatus: This is an output parameter that on return will have the status code of the rule.

Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified set referenced by the wszRuleID member <u>STRING</u> of the FW_MM_RULE data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified.

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.35 RRPC_FWDeleteMainModeRule (Opnum 34)

The **RRPC_FWDeleteMainModeRule (Opnum 34)** method requests the server to delete the specified main mode rule in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter. The only method supported is binary version 0x020A.

```
unsigned long RRPC_FWDeleteMainModeRule(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in, string, ref] LPCWSTR pRuleId
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

- hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW_STORE_TYPE_DYNAMIC</u> store.
- **pRuleId:** This parameter is the pointer to a <u>STRING</u> that is the ID of the main mode rule the client deletes from the specified store.

This ID can be obtained by enumerating main mode rules using the **<u>RRPC</u>** FWEnumMainModeRules(Opnum 36)</u> where the ID is returned in the FW_MM_RULE structure.

Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified set referenced by the wszRuleID member string of the FW_MM_RULE data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

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3.1.4.36 RRPC_FWDeleteAllMainModeRules (Opnum 35)

The RRPC_FWDeleteAllMainModeRules (Opnum 35) method requests the server to delete all the main mode rules in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter. The only method supported is binary version 0x020A.

```
unsigned long RRPC FWDeleteAllMainModeRules(
 [in] FW CONN HANDLE rpcConnHandle,
  [in] FW POLICY STORE HANDLE hPolicy
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an **FW_POLICY_STORE_HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **RRPC_FWOpenPolicyStore (Opnum 0)** method. This handle MUST be of the FW STORE TYPE DYNAMIC store.

Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in 3.1.4) before executing this method.

3.1.4.37 RRPC_FWEnumMainModeRules (Opnum 36)

The RRPC_FWEnumMainModeRules (Opnum 36) method requests the server to return all the main mode rules contained in the store referenced by the hPolicy handle. The method returns a linked list of all the main mode rule objects. The only method supported is binary version 0x020A.

```
unsigned long RRPC FWEnumMainModeRules(
  [in] FW CONN HANDLE rpcConnHandle,
  [in] FW POLICY STORE HANDLE hPolicy,
  [in] unsigned long dwFilteredByStatus,
  [in] unsigned long dwProfileFilter,
  [in] unsigned short wFlags,
  [out, ref] unsigned long* pdwNumRules,
  [out] PFW MM RULE* ppMMRules
```

);

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- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW STORE TYPE DYNAMIC</u> store.
- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code of the class specified by this parameter will be returned in the linked list.
- **dwProfileFilter:** This parameter is a combination of flags from the **FW PROFILE TYPE** enumeration. This method also uses this parameter to determine whether rules should be returned. Rules that contain a profile specified by this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppMMRules:** This is an output parameter that on success contains a linked list of <u>FW MM RULE</u> data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.38 RRPC_FWQueryFirewallRules (Opnum 37)

The **RRPC_FWQueryFirewallRules (Opnum 37)** method requests the server to return all the firewall rules that match the specified query object that are contained in the store referenced by the *hPolicy* handle. The method returns a linked list of all the firewall rule objects. The only method supported is binary version 0x020A.

```
unsigned long RRPC_FWQueryFirewallRules(
   [in] FW CONN HANDLE rpcConnHandle,
```

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```
[in] FW_POLICY_STORE_HANDLE hPolicy,
[in] PFW_QUERY pQuery,
[in] unsigned short wFlags,
[out, ref] unsigned long* pdwNumRules,
[out] PFW_RULE2_10* ppRule
```

);

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW STORE TYPE DYNAMIC</u> store.
- **pQuery:** This parameter represents the query object that the client uses to specify which main mode rules MUST be retrieved from the store. The query object MUST be valid, as specified in the definition of the <u>FW_QUERY</u> data type.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppRule:** This is an output parameter that on success contains a linked list of <u>FW_RULE2_10</u> data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>pQuery</i> parameter contains invalid profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.39 RRPC_FWQueryConnectionSecurityRules (Opnum 38)

The **RRPC_FWQueryConnectionSecurityRules (Opnum 38)** method requests the server to return all the connection security rules that match the specified query object that are contained in the store referenced by the *hPolicy* handle. The method returns a linked list of all the connection security rule objects. The only method supported is binary version 0x020A.

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```
unsigned long RRPC_FWQueryConnectionSecurityRules(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] PFW_QUERY pQuery,
   [in] unsigned short wFlags,
   [out, ref] unsigned long* pdwNumRules,
   [out] PFW_CS_RULE2_10* ppRules
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW_STORE TYPE_DYNAMIC</u> store.
- **pQuery:** This parameter represents the query object that the client uses to specify which main mode rules MUST be retrieved from the store. The query object MUST be valid, as specified in the definition of the <u>FW_QUERY</u> data type.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u>, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppRules:** This is an output parameter that on success contains a linked list of <u>FW CS RULE2 10</u> data types.
- **Return Values:** The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The pQuery parameter contains invalid profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.40 RRPC_FWQueryMainModeRules (Opnum 39)

The **RRPC_FWQueryMainModeRules (Opnum 39)** method requests the server to return all the main mode rules that match the specified query object that are contained in the store referenced by

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the *hPolicy* handle. The method returns a linked list of all the main mode rule objects. The only method supported is binary version 0x020A.

unsigned long RRPC_FWQueryMainModeRules(
 [in] FW_CONN_HANDLE rpcConnHandle,
 [in] FW_POLICY_STORE_HANDLE hPolicy,
 [in] PFW_QUERY pQuery,
 [in] unsigned short wFlags,
 [out, ref] unsigned long* pdwNumRules,
 [out] PFW_MM_RULE ppMMRules
);

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW STORE TYPE DYNAMIC</u> store.
- **pQuery:** This parameter represents the query object that the client uses to specify which main mode rules MUST be retrieved from the store. The query object MUST be valid, as specified in the definition of the **FW_QUERY** data type.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppMMRules:** This is an output parameter that on success contains a linked list of <u>FW_MM_RULE</u> data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The pQuery parameter contains invalid profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

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3.1.4.41 RRPC_FWQueryAuthenticationSets (Opnum 40)

The **RRPC_FWQueryAuthenticationSets (Opnum 40)** method requests the server to return all the authentication sets that match the specified query object that are contained in the store referenced by the *hPolicy* handle. The method returns a linked list of all the authentication set objects. The only method supported is binary version 0x020A.

```
unsigned long RRFC_FWQueryAuthenticationSets(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
    FW_IPSEC_PHASE IPsecPhase,
  [in] PFW_QUERY pQuery,
  [in] unsigned short wFlags,
  [out, ref] unsigned long* pdwNumSets,
  [out] PFW_AUTH_SET2_10* ppAuthSets
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW_STORE_TYPE_DYNAMIC</u> store.

IPsecPhase: This parameter specifies the specific IPsec negotiation phase to which this set applies.

pQuery: This parameter represents the query object that the client wants to use to specify which main mode rules MUST be retrieved from the store. The query object MUST be valid, as specified in the definition of the <u>FW_QUERY</u> data type.

wFlags: This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.

pdwNumSets: This is an output parameter that, on success, MUST be equal to the number of sets returned.

ppAuthSets: This is an output parameter that on success contains a linked list of <u>FW_AUTH_SET2_10</u> data types.

Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.42 RRPC_FWQueryCryptoSets (Opnum 41)

The **RRPC_FWQueryCryptoSets (Opnum 41)** method requests the server to return all the crypto sets that match the specified query object that are contained in the store referenced by the *hPolicy* handle. The method returns a linked list of all the crypto set objects. The only method supported is binary version 0x020A.

```
unsigned long RRPC_FWQueryCryptoSets(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
   FW_IPSEC_PHASE IPsecPhase,
   [in] PFW_QUERY pQuery,
   [in] unsigned short wFlags,
   [out, ref] unsigned long* pdwNumSets,
   [out] PFW_CRYPTO_SET* ppCryptoSets
);
```

```
rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
```

```
    hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW_STORE_TYPE_DYNAMIC</u> store.
```

- **IPsecPhase:** This parameter specifies the specific IPsec negotiation phase to which this set applies.
- **pQuery:** This parameter represents the query object that the client wants to use to specify which main mode rules MUST be retrieved from the store. The query object MUST be valid, as specified in the definition of the <u>FW_QUERY</u> data type.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- pdwNumSets: This is an output parameter that, on success, MUST be equal to the number of sets returned.
- **ppCryptoSets:** This is an output parameter that, on success, contains a linked list of **FW CRYPTO SET** data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

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Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The pQuery parameter contains invalid profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.43 RRPC_FWEnumNetworks (Opnum 42)

The **RRPC_FWEnumNetworks (Opnum 42)** method requests the server to return all the networks to which the host with the firewall and advanced security component is connected. The only method supported is binary version 0x020A.

```
unsigned long RRPC_FWEnumNetworks(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [out] unsigned long pdwNumNetworks,
   [out, size_is(,*pdwNumNetworks)]
        PFW_NETWORK* ppNetworks
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW_STORE_TYPE_DYNAMIC</u> store.

pdwNumNetworks: This is an output parameter that, on success, MUST be equal to the number of networks returned.

ppNetworks: This is an output parameter that, on success, contains an array of **FW_NETWORK** data types.

Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	A parameter contains invalid profiles.

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.44 RRPC_FWEnumAdapters (Opnum 43)

The **RRPC_FWEnumAdapters (Opnum 43)** method requests the server to return all the networks interfaces that the host with the firewall and advanced security component has. The only method supported is binary version 0x020A.

```
unsigned long RRPC_FWEnumAdapters(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [out] unsigned long pdwNumAdapters,
  [out, size_is(,*pdwNumAdapters)]
    PFW_ADAPTER* ppAdapters
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. This handle MUST be of the <u>FW STORE TYPE DYNAMIC</u> store.
- **pdwNumAdapters:** This is an output parameter that, on success, MUST be equal to the number of networks returned.
- **ppAdapters:** This is an output parameter that, on success, contains an array of **FW ADAPTER** data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	A parameter contains invalid profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

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3.1.4.45 RRPC_FWGetGlobalConfig2_10 (Opnum 44)

The **RRPC_FWGetGlobalConfig2_10 (Opnum 44)** method retrieves the value of a global policy configuration option. The client specifies to the server from which store this value MUST be retrieved and in which specific configuration option it is interested. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWGetGlobalConfig2_10(
    [in] FW_CONN_HANDLE rpcConnHandle,
    [in] unsigned short BinaryVersion,
    [in] FW_STORE_TYPE StoreType,
    [in, range(FW_GLOBAL_CONFIG_INVALID+1, FW_GLOBAL_CONFIG_MAX-1)]
    FW_GLOBAL_CONFIG configID,
    [in] unsigned long dwFlags,
    [in, out, unique, size_is(cbData), length_is(*pcbTransmittedLen)]
    BYTE* pBuffer,
    [in] unsigned long cbData,
    [in, out] unsigned long* pcbTransmittedLen,
    [out] unsigned long* pcbRequired,
    [out] FW_RULE_ORIGIN_TYPE* pOrigin
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **BinaryVersion:** This parameter specifies the RPC interface binary version. This implies versions of the methods and versions of the structures.
- **StoreType:** This parameter specifies the policy store from which the client retrieves the configuration option value.
- **configID:** This parameter specifies the specific global policy configuration option that the client is interested in retrieving.
- **dwFlags:** This parameter is a combination of flags from the <u>FW CONFIG FLAGS</u> enumeration, which modifies the behavior of this method, as specified in the definition of the enumeration.
- **pBuffer:** This is an input/output parameter. This parameter is a pointer to the buffer that the client provides to contain the value of the profile configuration option that is being requested.
- **cbData:** This parameter is the size of the buffer to which the *pBuffer* parameter points.
- **pcbTransmittedLen:** This is a pointer to an input and output parameter that specifies the length of the transmitted data within the buffer.
- **pcbRequired:** This is a pointer to an output parameter that specifies the required minimum buffer size, in octets, for the method to be able to return the configuration value. This output parameter is nonzero only if the buffer (pointed to by *pBuffer* and whose size is *cbData*) was not big enough to contain the value.
- **pOrigin:** This field is the origin of the configuration option, as specified in the **FW RULE ORIGIN TYPE** enumeration. On success, it MUST be filled.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

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Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specific configuration option is not found within the policy. This means that it is not configured. If the option is not configured in any other store, the firewall uses a default value.
0x00000032 ERROR_NOT_SUPPORTED	The specified store type does not support this method.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because:
	 The specific configuration option is not meant to be available in the specified store.
	 The specified configuration option is not defined.
	One of the required values is not specified.
	 The buffer is not big enough to hold the specific value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.46 RRPC_FWGetConfig2_10 (Opnum 45)

The **RRPC_FWGetConfig2_10 (Opnum 45)** method retrieves the value of a profile configuration option. The client specifies to the server from which store and profile this value MUST be retrieved and in which specific configuration option it is interested. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWGetConfig2_10(
    [in] FW_CONN_HANDLE rpcConnHandle,
    [in] FW_POLICY_STORE_HANDLE hPolicyStore,
    [in, range(FW_GLOBAL_CONFIG_INVALID+1, FW_GLOBAL_CONFIG_MAX-1)]
    FW_GLOBAL_CONFIG configID,
    [in] FW_PROFILE_TYPE Profile,
    [in] unsigned long dwFlags,
    [in, out, unique, size_is(cbData), length_is(*pcbTransmittedLen)]
    BYTE* pBuffer,
    [in] unsigned long cbData,
    [in, out] unsigned long* pcbTransmittedLen,
    [out] unsigned long* pcbRequired,
    [out] FW_RULE_ORIGIN_TYPE* pOrigin
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

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- **hPolicyStore:** This input parameter is an **FW_POLICY_STORE_HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **RRPC_FWOpenPolicyStore (Opnum 0)** method. The handle MUST have read/write access rights.
- **configID:** This parameter specifies the specific global policy configuration option that the client is interested in retrieving.
- Profile: This parameter specifies from which specific profile this value MUST be retrieved.
- **dwFlags:** This parameter is a combination of flags from the <u>FW CONFIG FLAGS</u> enumeration, which modifies the behavior of this method, as specified in the definition of the enumeration.
- **pBuffer:** This is an input/output parameter. This parameter is a pointer to the buffer that the client provides to contain the value of the profile configuration option being requested.
- cbData: This parameter is the size of the buffer to which the *pBuffer* parameter points.
- **pcbTransmittedLen:** This is a pointer to an input and output parameter that specifies the length of the transmitted data within the buffer.
- **pcbRequired:** This is a pointer to an output parameter that specifies the required minimum buffer size, in octets, for the method to be able to return the configuration value. This output parameter is nonzero only if the buffer (pointed to by *pBuffer* and whose size is *cbData*) was not big enough to contain the value.
- **pOrigin:** This field is the origin of the configuration option, as specified in the **FW RULE ORIGIN TYPE** enumeration. On success, it MUST be filled.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specific configuration option is not found within the policy. This means that it is not configured. If the option is not configured in any other store, the firewall uses a default value.
0x00000032 ERROR_NOT_SUPPORTED	The store type specified does not support this method.
0x000000EA ERROR_MORE_DATA	The buffer is not big enough to hold the configuration option value.
0x00000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because: The specific configuration option is not meant to be available in the specified store. The specified configuration option is not defined.
	 One of the required values is not specified.

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Return value/code	Description
	 The buffer is not big enough to hold the specific value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.47 RRPC_FWAddFirewallRule2_10 (Opnum 46)

The **RRPC_FWAddFirewallRule2_10 (Opnum 46)** method requests the server to add the specified firewall rule in the policy contained in the policy store referenced by the handle specified in the *hPolicyStore* parameter. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWAddFirewallRule2_10(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicyStore,
   [in] PFW_RULE2_10 pRule,
   [out] FW_RULE_STATUS* pStatus
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicyStore: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the firewall rule that the client wants to add to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_RULE2_10</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the <u>FW_RULE_STATUS</u> enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x0000057	One of the parameters of this method either is incorrect or is

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Return value/code	Description
ERROR_INVALID_PARAMETER	required and not specified. This error can be returned because:
	 The <i>pRule</i> object did not pass the firewall rule validations specified in the definition of the <u>FW_RULE</u> data type.
	 One of the required values is not specified.
	 A policy store does not support rules with profile conditions other than ALL profiles.
	 The wszLocalApplication parameter contains a string that at enforcement time does not represent a valid file path.

This method adds a firewall rule in the firewall linked list of the memory representation of the store being modified. It also writes through and saves the rule on disk. If called on an online store, the firewall rule is also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.48 RRPC_FWSetFirewallRule2_10 (Opnum 47)

The **RRPC_FWSetFirewallRule2_10 (Opnum 47)** method requests the server to modify the specified firewall rule in the policy contained in the policy store referenced by the handle specified in the *hPolicyStore* parameter. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWSetFirewallRule2_10(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicyStore,
   [in] PFW_RULE2_10 pRule,
   [out] FW_RULE_STATUS* pStatus
}
```

);

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicyStore:** This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **<u>RRPC_FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the firewall rule that the client wants to add to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_RULE2_10</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the <u>FW RULE STATUS</u> enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

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Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the <i>wszRuleID</i> member string of the <u>FW_RULE</u> data type is not found in the policy store.
0x0000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because: The <i>pRule</i> object did not pass the firewall rule validations specified in the definition of the FW_RULE data type. One of the required values is not specified. A policy store does not support rules with profile conditions other than ALL profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.49 RRPC_FWEnumFirewallRules2_10 (Opnum 48)

The **RRPC_FWEnumFirewallRules2_10 (Opnum 48)** method requests the server to return all the firewall rules contained in the store referenced by the *hPolicyStore* handle. The method returns a linked list of all the firewall rule objects. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWEnumFirewallRules2_10(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicyStore,
   [in] unsigned long dwFilteredByStatus,
   [in] unsigned long dwProfileFilter,
   [in] unsigned short wFlags,
   [out, ref] unsigned long* pdwNumRules,
   [out] PFW_RULE2_10* ppRules
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicyStore: This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **<u>RRPC FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read/write access rights.

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dwFilteredByStatus: This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code of the specified class that match this parameter will be returned in the linked list.

- **dwProfileFilter:** This parameter is a combination of flags from the **<u>FW PROFILE TYPE</u>** enumeration. This method also uses this parameter to determine whether rules should be returned. Rules that contain a profile specified by this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that, on success, MUST be equal to the number of rules returned.
- **ppRules:** This is an output parameter that, on success, contains a linked list of <u>FW_RULE2_10</u> data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.50 RRPC_FWAddConnectionSecurityRule2_10 (Opnum 49)

The **RRPC_FWAddConnectionSecurityRule2_10 (Opnum 49)** method requests the server to add the specified connection security rule in the policy contained in the policy store referenced by the handle specified in the *hPolicyStore* parameter. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWAddConnectionSecurityRule2_10(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicyStore,
  [in] PFW_CS_RULE2_10 pRule,
  [out] FW_RULE_STATUS* pStatus
);
```

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- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicyStore:** This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **<u>RRPC_FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the firewall rule that the client adds to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW CS RULE2 10</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the **<u>FW RULE STATUS</u>** enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x0000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because: The <i>pRule</i> object did not pass the firewall rule validations specified in the definition of the <u>FW_CS_RULE</u> data type. One of the required values is not specified. A policy store does not support rules with profile conditions other than ALL profiles.

This method adds a firewall rule in the firewall linked list of the memory representation of the store being modified. It also writes through and saves the rule on disk. If called on an online store, the firewall rule is also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.51 RRPC_FWSetConnectionSecurityRule2_10 (Opnum 50)

The **RRPC_FWSetConnectionSecurityRule2_10 (Opnum 50)** method requests the server to modify the specified connection security rule in the policy contained in the policy store referenced by

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the handle specified in the *hPolicyStore* parameter. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWSetConnectionSecurityRule2_10(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicyStore,
   [in] PFW_CS_RULE2_10 pRule,
   [out] FW_RULE_STATUS* pStatus
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicyStore:** This input parameter is an **FW_POLICY_STORE_HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **RRPC_FWOpenPolicyStore (Opnum 0)** method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the connection security rule that the client wants to add to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_CS_RULE2_10</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the **<u>FW RULE STATUS</u>** enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the wszRuleID member string of the FW CS RULE data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because: The <i>pRule</i> object did not pass the firewall rule validations specified in the definition of the FW_CS_RULE data type.
	 One of the required values is not specified. A policy store does not support rules with profile conditions other than ALL profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.52 RRPC_FWEnumConnectionSecurityRules2_10 (Opnum 51)

The **RRPC_FWEnumConnectionSecurityRules2_10 (Opnum 51)** method requests the server to return all the connection security rules contained in the store referenced by the *hPolicyStore* handle. The method returns a linked list of all the connection security rule objects. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWEnumConnectionSecurityRules2_10(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicyStore,
  [in] unsigned long dwFilteredByStatus,
  [in] unsigned long dwProfileFilter,
  [in] unsigned short wFlags,
  [out, ref] unsigned long* pdwNumRules,
  [out] PFW_CS_RULE2_10* ppRules
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

- hPolicyStore: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code of the specified class that match this parameter will be returned in the linked list.
- **dwProfileFilter:** This parameter is a combination of flags from the **<u>FW_PROFILE_TYPE</u>** enumeration. This method also uses this parameter to determine whether rules should be returned. Rules that contain a profile specified by this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppRules:** This is an output parameter that on success contains a linked list of <u>FW_CS_RULE2_10</u> data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x0000005	The <i>hPolicyStore</i> handle was not opened with read/write access

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Return value/code	Description
ERROR_ACCESS_DENIED	rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.53 RRPC_FWAddAuthenticationSet2_10 (Opnum 52)

The **RRPC_FWAddAuthenticationSet2_10 (Opnum 52)** method requests the server to add the authentication set in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWAddAuthenticationSet2_10(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in] PFW_AUTH_SET2_10 pAuth,
  [out] FW_RULE_STATUS* pStatus
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pAuth:** This parameter represents the authentication set that the client wants to add to the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET2_10</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the <u>FW_RULE_STATUS</u> enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.

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Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because:
	 The pAuth object did not pass the firewall rule validations specified in the definition of the <u>FW_AUTH_SET</u> data type.
	 One of the required values is not specified.

This method adds a firewall rule in the firewall linked list of the memory representation of the store being modified. It also writes through and saves the rule on disk. If the method is called on an online store, the firewall rule is also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.54 RRPC_FWSetAuthenticationSet2_10 (Opnum 53)

The **RRPC_FWSetAuthenticationSet2_10 (Opnum 53)** method requests the server to modify the specified authentication set in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWSetAuthenticationSet2_10(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] PFW_AUTH_SET2_10 pAuth,
   [out] FW_RULE_STATUS* pStatus
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

pAuth: This parameter represents the authentication set that the client wants to add to the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET2_10</u> data type.

pStatus: This output parameter is the status code of the rule as specified by the **FW RULE STATUS** enumeration. This field is filled out on return.

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Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the wszSetID member string of the FW_AUTH_SET data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because:
	 The <i>pAuth</i> object did not pass the firewall rule validations specified in the definition of the FW_AUTH_SET data type.
	 One of the required values is not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.55 RRPC_FWEnumAuthenticationSets2_10 (Opnum 54)

The **RRPC_FWEnumAuthenticationSets2_10 (Opnum 54)** method requests the server to return all the authentication sets of the specified IPsec phase contained in the store referenced by the *hPolicyStore* handle. The method returns a linked list of these objects. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWEnumAuthenticationSets2_10(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicyStore,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
  FW_IPSEC_PHASE IpSecPhase,
  [in] unsigned long dwFilteredByStatus,
  [in] unsigned short wFlags,
  [out, ref] unsigned long* pdwNumAuthSets,
  [out] PFW_AUTH_SET2_10* ppAuth
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicyStore: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

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IpSecPhase: This parameter specifies the specific IPsec negotiation phase to which this set applies.

- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code of the specified class that match this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumAuthSets:** This is an output parameter that on success MUST be equal to the number of sets returned.
- **ppAuth:** This parameter represents the authentication set that the client adds to the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET2_10</u> data type.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.56 RRPC_FWAddCryptoSet2_10 (Opnum 55)

The **RRPC_FWAddCryptoSet2_10 (Opnum 55)** method adds a cryptographic set in the cryptographic linked list of the memory representation of the store being modified. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWAddCryptoSet2_10(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] PFW_CRYPTO_SET pCrypto,
   [out] FW_RULE_STATUS* pStatus
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

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- hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pCrypto:** This parameter represents the cryptographic set that the client adds to the store. The set MUST be valid, as specified in the definition of the <u>FW_CRYPTO_SET</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the **FW RULE STATUS** enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified cryptographic set has a cryptographic set ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because: The <i>pCrypto</i> object did not pass the crypto set validations specified in the definition of the FW CRYPTO SET data type.
	 One of the required values is not specified.

This method adds a firewall rule in the firewall linked list of the memory representation of the store being modified. It also writes through and saves the rule on disk. If called on an online store, the firewall rule is also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.57 RRPC_FWSetCryptoSet2_10 (Opnum 56)

The **RRPC_FWSetCryptoSet2_10 (Opnum 56)** method requests the server to modify the specified cryptographic set in the policy contained in the policy store referenced by the handle specified in the *hPolicy* parameter. The method is only supported for binary versions 0x020A and 0x0214.

```
unsigned long RRPC_FWSetCryptoSet2_10(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW POLICY STORE HANDLE hPolicy,
```

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```
[in] PFW_CRYPTO_SET pCrypto,
[out] FW_RULE_STATUS* pStatus
```

);

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pCrypto:** This parameter represents the cryptographic set that the client adds to the store. The set MUST be valid, as specified in the definition of the <u>FW_CRYPTO_SET</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the **FW RULE STATUS** enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the wszSetID member string of the FW_CRYPTO_SET data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because:
	 The <i>pCrypto</i> object did not pass the crypto set validations specified in the definition of the FW_CRYPTO_SET data type.
	 One of the required values is not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.58 RRPC_FWEnumCryptoSets2_10 (Opnum 57)

The **RRPC_FWEnumCryptoSets2_10 (Opnum 57)** method requests the server to return all the cryptographic sets of the specified IPsec phase contained in the store referenced by the *hPolicyStore* handle. The method returns a linked list of these objects. The method is only supported for binary versions 0x020A and 0x0214.

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```
unsigned long RRPC_FWEnumCryptoSets2_10(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicyStore,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
  FW_IPSEC_PHASE IpSecPhase,
  [in] unsigned long dwFilteredByStatus,
  [in] unsigned long dwFilteredByStatus,
  [out, ref] unsigned long* pdwNumSets,
  [out] PFW_CRYPTO_SET* ppCryptoSets
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicyStore: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **IpSecPhase:** This parameter specifies the specific IPsec negotiation phase to which this set applies.
- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code of the class specified that match this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumSets:** This is an output parameter that, on success, MUST be equal to the number of sets returned.
- **ppCryptoSets:** This parameter represents the authentication set that the client adds to the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET</u> data type.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

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3.1.4.59 RRPC_FWAddConnectionSecurityRule2_20 (Opnum 58)

The **RRPC_FWAddConnectionSecurityRule2_20** method requests the server to add the specified connection security rule in the policy contained in the policy store referenced by the handle specified in the *hPolicyStore* parameter. The method is only supported for binary version 0x0214.

unsigned unsigned long RRPC_FWAddConnectionSecurityRule2_20(
 [in] FW_CONN_HANDLE rpcConnHandle,
 [in] FW_POLICY_STORE_HANDLE hPolicyStore,
 [in] PFW_CS_RULE pRule,
 [out] FW_RULE_STATUS* pStatus
);

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

- **hPolicyStore:** This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the firewall rule that the client adds to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_CS_RULE</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the **<u>FW_RULE_STATUS</u>** enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because: The <i>pRule</i> object did not pass the firewall rule validations specified in the definition of the FW_CS_RULE data type. One of the required values is not specified. A policy store does not support rules with profile conditions other than ALL profiles.

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This method adds a firewall rule in the firewall linked list of the memory representation of the store being modified. It also writes through and saves the rule on disk. If called on an online store, the firewall rule is also enforced.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.60 RRPC_FWSetConnectionSecurityRule2_20 (Opnum 59)

The **RRPC_FWSetConnectionSecurityRule2_20** method requests the server to modify the specified connection security rule in the policy contained in the policy store referenced by the handle specified in the hPolicyStore parameter. The method is only supported for binary version 0x0214.

```
unsigned unsigned long RRPC_FWModifyConnectionSecurityRule2_20(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicyStore,
  [in] PFW_CS_RULE pRule,
  [out] FW_RULE_STATUS* pStatus
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicyStore: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the firewall rule that the client wants to add to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_CS_RULE</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the **FW RULE STATUS** enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the wszRuleID member string of the FW_CS_RULE data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because:

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Return value/code	Description
	 The <i>pRule</i> object did not pass the firewall rule validations specified in the definition of the FW_CS_RULE data type.
	 One of the required values is not specified.
	 A policy store does not support rules with profile conditions other than ALL profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.61 RRPC_FWEnumConnectionSecurityRules2_20 (Opnum 60)

The **<u>RRPC</u> <u>FWEnumConnectionSecurityRules2</u> <u>20</u> (<u>Opnum 60</u>) method requests the server to return all the connection security rules contained in the store referenced by the** *hPolicyStore* **handle. The method returns a linked list of all the connection security rule objects. The method is only supported for binary version 0x0214.**

```
unsigned long RRPC_FWEnumConnectionSecurityRules2_20(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicyStore,
   [in] unsigned long dwFilteredByStatus,
   [in] unsigned long dwProfileFilter,
   [in] unsigned short wFlags,
   [out, ref] unsigned long* pdwNumRules,
   [out] PFW_CS_RULE* ppRules
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicyStore: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

dwFilteredByStatus: This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code of the specified class that match this parameter will be returned in the linked list.

- **dwProfileFilter:** This parameter is a combination of flags from the **FW PROFILE TYPE** enumeration. This method also uses this parameter to determine whether rules should be returned. Rules that contain a profile specified by this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.

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- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppRules:** This is an output parameter that on success contains a linked list of **FW_CS_RULE** data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.62 RRPC_FWQueryConnectionSecurityRules2_20 (Opnum 61)

The **<u>RRPC</u> <u>FWQueryConnectionSecurityRules2</u> <u>20</u> (<u>Opnum 61</u>) method requests the server to return all the connection security rules that match the specified query object that are contained in the store referenced by the** *hPolicy* **handle. The method returns a linked list of all the connection security rule objects. The method is only supported for binary version 0x0214.**

```
unsigned unsigned long RRPC_FWQueryConnectionSecurityRules2_20(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
```

- [in] unsigned PFW_QUERY pQuery,
- [in] unsigned short wFlags,
- [out, ref] unsigned long* pdwNumRules,
- [out] PFW_CS_RULE* ppRules

);

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST be of the FW_STORE_TYPE_DYNAMIC store.
- **pQuery:** This parameter represents the query object that the client uses to specify which main mode rules MUST be retrieved from the store. The query object MUST be valid, as specified in the definition of the <u>FW_QUERY</u> data type.

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- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppRules:** This is an output parameter that on success contains a linked list of **FW_CS_RULE** data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.63 RRPC_FWAddAuthenticationSet2_20 (Opnum 62)

The **RRPC_FWAddAuthenticationSet2_20** method requests the server to add the authentication set in the policy contained in the policy store referenced by the handle specified in the **hPolicy** parameter. The method is only supported for binary version 0x0214.

```
unsigned long RRPC_FWAddAuthenticationSet2_20(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] PFW_AUTH_SET pAuth,
   [out] FW_RULE_STATUS* pStatus
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an **FW POLICY STORE HANDLE** data type. The data type MUST contain an opened policy store handle, successfully opened with the **<u>RRPC FWOpenPolicyStore (Opnum 0)</u>** method. The handle MUST have read/write access rights.

pAuth: This parameter represents the authentication set the client wants to add to the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET</u> data type.

pStatus:

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Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x000000B7 ERROR_ALREADY_EXISTS	The specified set has a set ID that already exists in the specified store.
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>pSet</i> object did not pass the firewall rule validations specified in the definition of the FW_AUTH_SET data type.
	 One of the required values is not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE].

This method adds a firewall rule in the firewall linked list of the memory representation of the store being modified. It also writes through and saves the rule in disk. If the method is called on an online store, the firewall rule is also enforced.

The server MUST validate the client credentials to the administrator or network operator before executing this method.

3.1.4.64 RRPC_FWSetAuthenticationSet2_20 (Opnum 63)

The **RRPC_FWSetAuthenticationSet2_20** method requests the server to modify the specified authentication set in the policy contained in the policy store referenced by the handle specified in the **hPolicy** parameter. The method is only supported for binary version 0x0214.

```
unsigned long RRPC_FWSetAuthenticationSet2_20(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] PFW_AUTH_SET pAuth,
   [out] FW_RULE_STATUS* pStatus
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

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pAuth: This parameter represents the authentication set that the client wants to add to the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET</u> data type.

pStatus:

Return Values: The method returns 0 if successful; if failed, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0X00000002 ERROR_FILE_NOT_FOUND	The specified rule referenced by the wszSetID member string of the FW_AUTH_SET data type is not found in the policy store.
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method is incorrect, or is required and not specified. This error can be returned because:
	 The <i>pSet</i> object did not pass the firewall rule validations specified in the definition of the FW_AUTH_SET data type.
	 One of the required values is not specified.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE].

The server MUST validate the client credentials to the administrator or network operator before executing this method.

3.1.4.65 RRPC_FWEnumAuthenticationSets2_20 (Opnum 64)

The **RRPC_FWEnumAuthenticationSets2_20** method requests the server to return all the authentication sets of the specified IPsec phase contained in the store referenced in the **hPolicy** handle. The method returns a linked list of these objects. The method is only supported for binary version 0x0214.

```
unsigned long RRPC_FWEnumAuthenticationSets2_20(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
  FW_IPSEC_PHASE IpSecPhase,
  [in] DWORD dwFilteredByStatus,
  [in] WORD wFlags,
  [out] DWORD* pdwNumAuthSets,
  [out] PFW_AUTH_SET* ppAuth
);
```

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

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- hPolicy: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **IpSecPhase:** This parameter specifies the specific IPsec negotiation phase to which this set applies.
- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code of the specified class that match this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- pdwNumAuthSets: This is an output parameter that, on success, MUST be equal to the number of sets returned.
- **ppAuth:** This parameter represents the authentication set the client has added to the store. The set MUST be valid, as specified in the definition of the <u>FW_AUTH_SET</u> data type.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicy</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The dwProfileFilter parameter contains invalid profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in <u>[MS-RPCE]</u>.

3.1.4.66 RRPC_FWQueryAuthenticationSets2_20 (Opnum 65)

The **RRPC_FWQueryAuthenticationSets2_20** method requests the server to return all the authentication sets that match the specified query object that are contained in the store referenced in the **hPolicy** handle. The method returns a linked list of all the authentication set objects. The method is only supported for binary version 0x0214.

```
unsigned long RRPC_FWQueryAuthenticationSets2_20(
  [in] FW_CONN_HANDLE rpcConnHandle,
  [in] FW_POLICY_STORE_HANDLE hPolicy,
  [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
  FW_IPSEC_PHASE IpSecPhase,
  [in] PFW_QUERY pQuery,
  [in] WORD wFlags,
  [out, ref] DWORD* pdwNumSets,
  [out] PFW AUTH SET* ppAuthSets
```

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- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST be of the FW_STORE_TYPE_DYNAMIC store.
- **IpSecPhase:** This parameter specifies the specific IPsec negotiation phase to which this set applies.
- **pQuery:** This parameter represents the query object that the client wants to use to specify which main mode rules MUST be retrieved from the store. The query object MUST be valid, as specified in the definition of the <u>FW_QUERY</u> data type.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumSets:** This is an output parameter that, on success, MUST be equal to the number of sets returned.
- **ppAuthSets:** This is an output parameter that, on success, contains a linked list of **FW_AUTH_SET** data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x00000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE].

The server MUST validate the client credentials to the administrator or network operator before executing this method.

3.1.4.67 RRPC_FWAddFirewallRule2_20 (Opnum 66)

The **RRPC_FWAddFirewallRule2_20** method requests the server to add the specified firewall rule in the policy contained in the policy store referenced by the handle specified in the *hPolicyStore* parameter. The method is only supported for binary version 0x0214.

unsigned unsigned long RRPC_FWAddFirewallRule2_20(

- [in] FW CONN HANDLE rpcConnHandle,
- [in] FW_POLICY_STORE_HANDLE hPolicyStore,
- [in] FW_RULE pRule,

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```
[out] FW_RULE_STATUS* pStatus
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- **hPolicyStore:** This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **pRule:** This parameter represents the firewall rule that the client adds to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_RULE</u> data type.
- **pStatus:** This output parameter is the status code of the rule as specified by the **FW RULE STATUS** enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description	
0x000000B7 ERROR_ALREADY_EXISTS	The specified rule has a rule ID that already exists in the specified store.	
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only.	
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.	
0x00000057 ERROR_INVALID_PARAMETER	One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because:	
	 The <i>pRule</i> object did not pass the firewall rule validations specified in the definition of the FW_RULE data type. 	
	 One of the required values is not specified. 	
	 A policy store does not support rules with profile conditions other than ALL profiles. 	
	 The wszLocalApplication member contains a string that, at enforcement time, does not represent a valid file path. 	

This method adds a firewall rule in the firewall linked list of the memory representation of the store being modified. It also writes through and saves the rule on disk. If called on an online store, the firewall rule is also enforced.

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.68 RRPC_FWSetFirewallRule2_20 (Opnum 67)

The **RRPC_FWAddConnectionSecurityRule2_20** method requests the server to modify the specified connection security rule in the policy contained in the policy store referenced by the handle specified in the *hPolicyStore* parameter. The method is only supported for binary version 0x0214.

unsigned unsigned long RRPC_FWAddConnectionSecurityRule2_20(
 [in] FW_CONN_HANDLE rpcConnHandle,
 [in] FW_POLICY_STORE_HANDLE hPolicyStore,
 [in] PFW_RULE pRule,
 [out] FW_RULE_STATUS* pStatus
);

rpcConnHandle: This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.

hPolicyStore: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.

pRule: This parameter represents the firewall rule that the client adds to the store. The rule MUST be a valid rule, as specified in the definition of the <u>FW_RULE</u> data type.

- **pStatus:** This output parameter is the status code of the rule as specified by the **FW_RULE_STATUS** enumeration. This field is filled out on return.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description		
0x00000032 ERROR_NOT_SUPPORTED	The specified store does not support this method; the store might be read-only. The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method. The specified rule referenced by the wszRuleID member string of the FW_RULE data type is not found in the policy store.		
0x00000005 ERROR_ACCESS_DENIED			
0x00000002 ERROR_FILE_NOT_FOUND			
0x00000057 ERROR_INVALID_PARAMETER	 One of the parameters of this method either is incorrect or is required and not specified. This error can be returned because: The <i>pRule</i> object did not pass the firewall rule validations specified in the definition of the FW_RULE data type. One of the required values is not specified. A policy store does not support rules with profile conditions other than ALL profiles. 		

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The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.69 RRPC_FWEnumFirewallRules2_20 (Opnum 68)

The **<u>RRPC</u> <u>FWEnumFirewallRules2</u> <u>20</u> (<u>Opnum 68</u>) method requests the server to return all the firewall rules contained in the store referenced by the** *hPolicyStore* **handle. The method returns a linked list of all the firewall rule objects. The method is only supported for binary version 0x0214.**

```
unsigned unsigned long RRPC_FWEnumFirewallRules2_20(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicyStore,
   [in] unsigned long dwFilteredByStatus,
   [in] unsigned long dwProfileFilter,
   [in] unsigned short wFlags,
   [out, ref] unsigned long* pdwNumRules,
   [out] PFW_CS_RULE* ppRules
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicyStore: This input parameter is an <u>FW_POLICY_STORE_HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC_FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST have read/write access rights.
- **dwFilteredByStatus:** This parameter is a combination of flags from the <u>FW RULE STATUS CLASS</u> enumeration. This method uses this bitmask to determine whether rules should be returned. Rules that contain a status code of the specified class that match this parameter will be returned in the linked list.
- **dwProfileFilter:** This parameter is a combination of flags from the **FW PROFILE TYPE** enumeration. This method also uses this parameter to determine whether rules should be returned. Rules that contain a profile specified by this parameter will be returned in the linked list.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppRules:** This is an output parameter that on success contains a linked list of <u>FW_CS_RULE</u> data types.
- **Return Values:** The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

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Return value/code	Description	
0x00000005 ERROR_ACCESS_DENIED	The <i>hPolicyStore</i> handle was not opened with read/write access rights. The error is also returned if the client does not have the required credentials to call the method.	
0x00000057 ERROR_INVALID_PARAMETER	The <i>dwProfileFilter</i> parameter contains invalid profiles.	

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.4.70 RRPC_FWQueryFirewallRules2_20 (Opnum 69)

The **<u>RRPC</u> <u>FWQueryFirewallRules2</u> <u>20</u> (<u>Opnum 69</u>) method requests the server to return all the firewall rules that match the specified query object that are contained in the store referenced by the** *hPolicy* **handle. The method returns a linked list of all the connection security rule objects. The method is only supported for binary version 0x0214.**

```
unsigned unsigned long RRPC_FWQueryFirewallRules2_20(
   [in] FW_CONN_HANDLE rpcConnHandle,
   [in] FW_POLICY_STORE_HANDLE hPolicy,
   [in] unsigned PFW_QUERY pQuery,
   [in] unsigned short wFlags,
   [out, ref] unsigned long* pdwNumRules,
   [out] PFW_CS_RULE* ppRules
);
```

- **rpcConnHandle:** This parameter is an RPC binding handle that connects to the RPC interface of the Firewall and Advanced Security Protocol.
- hPolicy: This input parameter is an <u>FW POLICY STORE HANDLE</u> data type. The data type MUST contain an opened policy store handle, successfully opened with the <u>RRPC FWOpenPolicyStore (Opnum 0)</u> method. The handle MUST be of the FW_STORE_TYPE_DYNAMIC store.
- **pQuery:** This parameter represents the query object that the client uses to specify which main mode rules MUST be retrieved from the store. The query object MUST be valid, as specified in the definition of the **<u>FW QUERY</u>** data type.
- **wFlags:** This parameter is a combination of flags from the <u>FW_ENUM_RULES_FLAGS</u> enumeration, which modifies the behavior of the method and performs operations on the rules before returning them in the linked list.
- **pdwNumRules:** This is an output parameter that on success MUST be equal to the number of rules returned.
- **ppRules:** This is an output parameter that on success contains a linked list of **FW CS RULE** data types.

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Return Values: The method returns 0 if successful; if it fails, it returns a nonzero error code. The field can take any specific error code value, as specified in [MS-ERREF]. The following return values are common.

Return value/code	Description
0x0000005 ERROR_ACCESS_DENIED	The client does not have the required credentials to call the method.
0x00000057 ERROR_INVALID_PARAMETER	The <i>pQuery</i> parameter contains invalid profiles.

Exceptions Thrown: No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [MS-RPCE]. If any lower-layer errors are reported by RPC exception, this exception is converted to an error code and reported to higher-layer protocols via the return value.

The server MUST validate that the client is authorized to perform the requested operation (as defined in section 3.1.4) before executing this method.

3.1.5 Timer Events

No timer events are required on the server other than the events maintained in the underlying RPC transport.

3.1.6 Other Local Events

The following sections describe the abstract interfaces available.

3.1.6.1 AddPortInUse

AddPortInUse is an abstract interface called by applications and services on the local computer to add a **PortInUse** object to the server's **PortsInUse** collection. The interface is defined as follows:

void AddPortInUse([in] PortInUse portToAdd);

Input Parameter: portToAdd: The PortInUse object to be added.

Output Parameter: None.

3.1.6.2 DeletePortInUse

DeletePortInUse is an abstract interface called by applications and services on the local computer to delete a **PortInUse** object from the server's **PortsInUse** collection. The interface is defined as follows:

void DeletePortInUse([in] PortInUse portToDelete);

Input Parameter: portToDelete: The **PortInUse** object to be deleted. If the port is not found in the server's **PortsInUse** collection, the method has no effect.

Output Parameter: None.

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3.1.6.3 AddDefaultFirewallRule

AddDefaultFirewallRule is an abstract interface called by applications and services on the local computer to add a new **FirewallRule** object to the **FirewallRules** collection in the server's **DefaultsStore**. The interface is defined as follows:

```
void AddDefaultFirewallRule([in] FirewallRule ruleToAdd);
```

Input Parameter: ruleToAdd: The FirewallRule object to be added.

Output Parameter: None.

3.1.6.4 SetGroupPolicyRSoPStore

SetGroupPolicyRSoPStore is an abstract interface used to set the state of the **GroupPolicyRSoPStore** object. This interface is typically invoked by an implementation of [MS-GPFAS] in order to notify the server of a policy change. See [MS-GPFAS] section 3.2.5 for details.

The server MUST replace the contents of **GroupPolicyRSoPStore** with the contents of the newSettings object. The server then MUST merge the existing contents of **LocalStore** with the new contents of **GroupPolicyRSoPStore** (as described in section <u>3.1.1</u>) and store the result in **DynamicStore**. The server MUST invoke the abstract interface <u>SetEffectiveFirewallPolicy (section</u> <u>3.1.6.6</u>) with the contents of **DynamicStore**. The interface is defined as follows:

```
void SetGroupPolicyRSoPStore(
    [in] PolicyStore newSettings
);
```

Input Parameter: newSettings: A **PolicyStore** object containing the new settings for the **GroupPolicyRSoPStore**.

Output Parameter: None.

3.1.6.5 IsComputerInCommonCriteriaMode

IsComputerInCommonCriteriaMode is an abstract interface exposed by the host operating system and invoked by the MS-FASP server to determine whether the local computer is conforming to all the security functional requirements specified in [CCITSE3.1-3], Part 2. The algorithm for computing the return value is implementation-specific.<28> The interface is defined as follows:

```
bool IsComputerInCommonCriteriaMode();
```

Input Parameter: None.

Output Parameter: None.

3.1.6.6 SetEffectiveFirewallPolicy

SetEffectiveFirewallPolicy is an abstract interface exposed by the host operating system and invoked by the MS-FASP server whenever the effective firewall policy changes. The algorithm for processing the new policy settings is implementation-specific.<29>The interface is defined as follows:

void SetEffectiveFirewallPolicy(

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```
[in] PolicyStore newEffectivePolicy
);
```

Input Parameter: newEffectivePolicy: A **PolicyStore** object containing the new effective firewall policy for the local computer.

Output Parameter: None.

3.1.6.7 AddTrustTuple

AddTrustTuple is an abstract interface called by applications and services on the local computer to add a **TrustTuple** object to the server's **TrustTuples** collection. The interface is defined as follows:

```
void AddTrustTuple([in] TrustTuple tupleToAdd);
```

Input Parameter: tupleToAdd: The TrustTuple object to be added.

Output Parameter: None.

3.1.6.8 DeleteTrustTuple

DeleteTrustTuple is an abstract interface called by applications and services on the local computer to delete a **TrustTuple** object from the server's **TrustTuples** collection. The interface is defined as follows:

void DeleteTrustTuple([in] TrustTuple tupleToDelete);

Input Parameter: tupleToDelete: The **TrustTuple** object to be deleted. If the trust tuple is not found in the server's **TrustTuples** collection, the method has no effect.

Output Parameter: None.

3.2 Client Details

3.2.1 Abstract Data Model

None.

3.2.2 Timers

No protocol timers are required other than those internal ones used in the RPC to implement resiliency to network outages, as specified in [MS-RPCE].

3.2.3 Initialization

The client creates an RPC association (or binding) to the server RPC before an RPC method is called. The client may create a separate association for each method invocation, or it may reuse an association for multiple invocations.

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3.2.4 Message Processing Events and Sequencing Rules

This protocol MUST indicate to the RPC runtime that it is to perform a strict NDR data consistency check at target level 6.0, as specified in [MS-RPCE] section 3.

The client SHOULD ignore errors returned from the RPC server and notify the application invoker of the error received. Otherwise, no special message processing is required on the client beyond the processing required in the underlying RPC protocol.

3.2.5 Timer Events

No protocol timer events are required on the client other than those internal ones maintained in the underlying RPC, as specified in [MS-RPCE].

3.2.6 Other Local Events

No local events are required on the client other than those internal ones maintained in the underlying RPC, as specified in [MS-RPCE].

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4 Protocol Examples

4.1 Opening a Policy Store

Before a client application can perform most of the operations, it must open a policy store handle. The protocol sequence that opens a policy store is as follows.

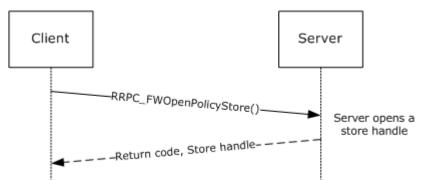


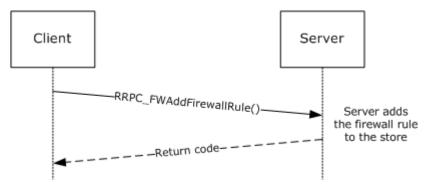
Figure 2: Opening a policy store

To open a policy store, the client must first get an rpcBinding to this interface in the server. Then the client simply calls the RPC method to open the required store. In this case, the client chooses the local store.

```
FW POLICY STORE HANDLE
                           hStore = NULL;
   DWORD
   RRPC FWOpenPolicyStore(
        [in] FW CONN HANDLE
                                                 rpcConnHandle = rpcBinding,
        [in] WORD
                                                 BinaryVersion = 0 \times 0200,
        [in] FW STORE TYPE
                                                 StoreType = FW STORE TYPE LOCAL,
        [in] FW POLICY ACCESS RIGHT AccessRight = FW POLICY ACCESS RIGHT READ WRITE,
        [in] DWORD
                                                 dwFlags = 0,
        [out] PFW POLICY STORE HANDLE
                                               phPolicyStore = &hStore
        );
```

4.2 Adding a Firewall Rule

Once the client has a handle to an open policy store, the client can perform operations on the policy store. The protocol sequence that adds a firewall rule to the policy store is as follows.



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Figure 3: Adding a firewall rule

To add a firewall rule, the client application first fills an **FW_RULE** structure. The following examples fill this structure to represent a rule to allow inbound traffic to port 80 for the "c:\servers\MyWebServer.exe" application, which is also a service with the WebServerSVC name. The example also places this rule in the "HTTPWebServer" rule group.

```
FW PORT RANGE Port = {80,80};
FW RULE HTTPRule =
{
     struct tag FW RULE *pNext = NULL;
     WORD wSchemaVersion = 0x0200;
WCHAR* wszRuleId = L"{d439709f-d8ec-4d2e-b615-4cfcd9bacc05}";
     WCHAR*
                          wszName = "Web server requests";
     WCHAR* wszDescription = "This rule allows incoming HTTP server requests";
DWORD dwProfiles = FW_PROFILE_TYPE_ALL;
     FW_DIRECTION Direction = FW_DIR_IN;

        WORD
        wIpProtocol = 0x0006;

        FW_PORTS
        LocalPorts = {0x0000, {1, &Port}};

        FW_PORTS
        RemotePorts = {0};

     FW_ADDRESSES LocalAddresses = {0};
FW_ADDRESSES RemoteAddresses = {0};
     FW INTERFACE LUIDS LocalInterfaceIds = {0};
     TW_INTERFECTIONDeconfidential(0);DWORDdwLocalInterfaceTypes = 0;WCHAR*wszLocalApplication = "c:\servers"WCHAR*wszLocalService = "WebServerSVC";FW_RULE_ACTIONAction = FW_RULE_ACTION_ALLOW;WORDwFlags = FW_RULE_FLAGS_ACTIVE;
                               wszLocalApplication = "c:\servers\MyWebServer.exe";
     WCHAR*wszRemoteMachineAuthorizationList = NULL;WCHAR*wszRemoteUserAuthorizationList = NULL;WCHAR*wszEmbeddedContext = L"HTTP WebServer";
     FW OS PLATFORM LIST PlatformValidityList = {0};
     FW RULE STATUS Status = FW RULE STATUS OK;
     FW RULE ORIGIN TYPE Origin = 0;
     WCHAR* wszGPOName =NULL;
DWORD Reserved = 0;
     };
```

Once the **FW_RULE** structure is filled out, the client can simply invoke the RPC **<u>RRPC_FWAddFirewallRule</u>** method, passing the required parameters as follows.

```
DWORD

RRPC_FWAddFirewallRule(

    [in] FW_CONN_HANDLE rpcConnHandle = rpcBinding,

    [in] FW_POLICY_STORE_HANDLE hPolicyStore = hStore,

    [in] PFW_RULE pRule = &HTTPRule

    );
```

If the return code is FW_ERROR_ALREADY_EXISTS, the rule exists in the store. The client may want to try using a different Rule ID or bubble up the error.

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4.3 Enumerating the Firewall Rules

To enumerate the firewall rules that the server is enforcing in the store, the client calls the **<u>RRPC</u>** <u>FWEnumFirewallRules</u> (<u>Opnum 9</u>) method. The protocol sequence that enumerates firewall rules from the policy store is as follows:

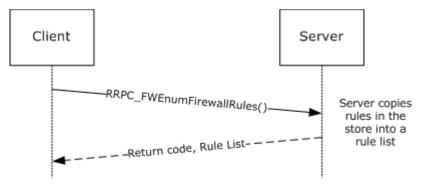


Figure 4: Enumerating firewall rules

In this case example, the client enumerates rules in the current profile and filters by FW_RULE_STATUS_CLASS_OK and FW_RULE_STATUS_CLASS_PARTIALLY_IGNORED.

```
PFW RULE pRules = NULL;
DWORD dwNumRules = 0;
    DWORD
    RRPC FWEnumFirewallRules(
        [in] FW CONN HANDLE rpcConnHandle = rpcBinding ,
        [in] FW POLICY STORE HANDLE hPolicyStore = hStore,
        [in] DWORD
                                     dwFilteredByStatus =
FW_RULE_STATUS_CLASS_OK | FW_RULE_STATUS_CLASS_PARTIALLY_IGNORED,
        [in] DWORD
                                     dwProfileFilter, FW PROFILE TYPE CURRENT,
        [in] WORD
                                     wFlag = 0
                               *pdwNumRules = &dwNumRules,
        [out, ref] DWORD
        [out, ref] DWORD *pdwNumRules =
[out] PFW_RULE *ppRules = &pRules
        );
```

4.4 Closing a Policy Store Handle

Once a client application has finished managing the policy, it must close the policy store handle. The protocol sequence that closes a policy store follows.

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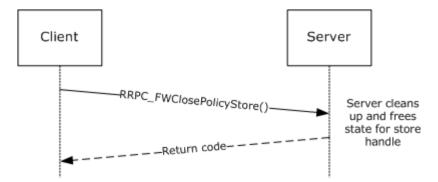


Figure 5: Closing a policy store

To close the handle, the client simply passes the handle to the close method.

```
DWORD
RRPC_FWClosePolicyStore(
   [in] FW_CONN_HANDLE rpcConnHandle = rpcBinding,
   [in, out] PFW_POLICY_STORE_HANDLE phPolicyStore = &hStore
   );
```

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5 Security

5.1 Security Considerations for Implementers

The enumeration methods require the server to return the correct number of objects linked in the returned linked list. For example, the **DWORD** variable passed in the *pdwNumRules* parameter of **RRPC_FWEnumFirewallRules (Opnum 9)** MUST be equal to the actual number of rules returned in *ppRules*.

However, the client MUST NOT assume that the server is accurate in the actual object count. The client can allocate a buffer based on the rule count; however, while filling the buffer, the client MUST actively validate that the number of objects in the buffer does not exceed the object count. Failure to do this validation could result in buffer overruns on the client.

5.2 Index of Security Parameters

Security Parameter	
Remote procedure call (RPC) authentication	<u>2.1</u>
The required permissions to call each of the methods of the protocol interface	<u>3.1.4</u>

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6 Appendix A: Full IDL

For ease of implementation, the full IDL is provided below.

```
import "ms-dtyp.idl";
cpp quote("#ifndef FIREWALL H ")
cpp quote("#define FW CURRENT BINARY VERSION (FW VERSION(2,20))")
cpp quote("#define FW CURRENT SCHEMA VERSION (FW VERSION(2,20))")
*
*
   Firewall Policy Stores structures
                                                            *
*****
typedef enum _tag_FW_STORE_TYPE
   FW STORE TYPE INVALID,
   FW STORE TYPE GP RSOP,
                         // Read-only
   FW STORE TYPE LOCAL,
   FW_STORE_TYPE_NOT_USED_VALUE_3, // Read-only
   FW_STORE_TYPE_NOT_USED_VALUE_4,
   FW_STORE_TYPE_DYNAMIC,
   FW STORE TYPE GPO,
   FW STORE TYPE DEFAULTS,
   FW STORE TYPE MAX,
} FW_STORE TYPE;
typedef
[v1 enum]
enum tag FW PROFILE TYPE
{
   FW_PROFILE_TYPE_INVALID = 0,
   FW_PROFILE_TYPE_DOMAIN = 0x001,
   FW PROFILE TYPE STANDARD = 0 \times 002,
   FW PROFILE_TYPE_PRIVATE = FW_PROFILE_TYPE_STANDARD,
   FW_PROFILE_TYPE_PUBLIC = 0x004,
FW_PROFILE_TYPE_ALL = 0x7FFFFFFF,
   FW PROFILE TYPE CURRENT = 0x8000000,
   FW PROFILE TYPE NONE = FW PROFILE TYPE CURRENT + 1
} FW PROFILE TYPE;
typedef enum _tag_FW_POLICY_ACCESS_RIGHT
{
   FW POLICY ACCESS RIGHT INVALID,
   FW POLICY ACCESS RIGHT READ,
   FW_POLICY_ACCESS_RIGHT_READ WRITE,
   FW POLICY ACCESS RIGHT MAX
}FW POLICY ACCESS RIGHT;
/******
* Firewall Rules structures
*****
typedef struct tag FW IPV4 SUBNET
```

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```
{
   DWORD dwAddress;
DWORD dwSubNetMask;
} FW IPV4 SUBNET, *PFW IPV4 SUBNET;
typedef struct _tag_FW_IPV4_SUBNET_LIST
{
    [range(0, 10000)]
   DWORD
                      dwNumEntries;
   [size is(dwNumEntries)]
   PFW IPV4 SUBNET pSubNets;
} FW_IPV4_SUBNET_LIST, *PFW_IPV4_SUBNET_LIST;
typedef struct _tag_FW_IPV6_SUBNET
{
   BYTE
                       Address[16];
   [range(0, 128)]
   DWORD
                       dwNumPrefixBits;
} FW_IPV6_SUBNET, *PFW_IPV6_SUBNET;
typedef struct tag FW IPV6 SUBNET LIST
{
   [range(0, 10000)]
   DWORD
                        dwNumEntries;
   [size_is(dwNumEntries)]
   PFW IPV6 SUBNET pSubNets;
} FW IPV6 SUBNET LIST, *PFW IPV6 SUBNET LIST;
typedef struct _tag_FW_IPV4_ADDRESS_RANGE
{
   DWORD
             dwBegin;
   DWORD
             dwEnd;
} FW_IPV4_ADDRESS_RANGE, *PFW_IPV4_ADDRESS_RANGE;
typedef struct _tag_FW_IPV6_ADDRESS_RANGE
{
   BYTE
           Begin[16];
   BYTE End[16];
} FW_IPV6_ADDRESS_RANGE, *PFW_IPV6_ADDRESS_RANGE;
typedef struct _tag_FW_IPV4_RANGE_LIST
{
   [range(0, 10000)]
   DWORD
                               dwNumEntries;
   [size_is(dwNumEntries)]
                              pRanges;
   PFW IPV4 ADDRESS RANGE
} FW_IPV4_RANGE_LIST, *PFW_IPV4_RANGE_LIST;
typedef struct _tag_FW_IPV6_RANGE_LIST
{
   [range(0, 10000)]
   DWORD
                               dwNumEntries;
   [size_is(dwNumEntries)]
PFW_IPV6_ADDRESS_RANGE pRanges;
} FW IPV6 RANGE LIST, *PFW IPV6 RANGE LIST;
```

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```
typedef struct _tag_FW_PORT_RANGE
{
    WORD
                      wBegin;
    WORD
                       wEnd;
} FW PORT RANGE, *PFW PORT RANGE;
typedef struct _tag_FW_PORT_RANGE_LIST
{
    [range(0, 10000)]
    DWORD
                          dwNumEntries;
    [size is(dwNumEntries)]
    PFW PORT RANGE pPorts;
} FW PORT_RANGE_LIST, *PFW_PORT_RANGE_LIST;
typedef enum tag FW PORT KEYWORD
{
    FW PORT KEYWORD NONE = 0x00,
    FW_PORT_KEYWORD_DYNAMIC_RPC_PORTS = 0x01,
    FW_PORT_KEYWORD_RPC_EP = 0x02,
   - UXU2,

-.._iURI_KEYWORD_TEREDO_PORT = 0x04,

FW_PORT_KEYWORD_IP_TLS_IN = 0x08,

FW_PORT_KEYWORD_IP_TLS_OUT = 0x10,

FW_PORT_KEYWORD_DHCP = 0x20,

FW_PORT_KEYWORD_PIAVWO_-
    FW_PORT_KEYWORD_PLAYTO_DISCOVERY = 0x40,

      FW_PORT_KEYWORD_MAX
      = 0x80,

      FW_PORT_KEYWORD_MAX_V2_1
      = 0x08,

      FW_PORT_KEYWORD_MAX_V2_10
      = 0x20

}FW_PORT_KEYWORD;
typedef struct _tag_FW_PORTS
{
                           wPortKeywords; // Bit-flags from FW PORT KEYWORD
    WORD
    FW PORT RANGE LIST Ports;
}FW_PORTS,*PFW_PORTS;
cpp quote("#define FW ICMP CODE ANY (256)")
cpp quote("#define FW IP PROTOCOL ANY (256)")
typedef struct _tag_FW_ICMP_TYPE_CODE
{
    BYTE
                           bType;
    [range(0, 256)]
                            wCode;
    WORD
} FW ICMP TYPE CODE, *PFW ICMP TYPE CODE;
typedef struct _tag_FW_ICMP_TYPE_CODE_LIST
{
    [range(0, 10000)]
    DWORD
                            dwNumEntries;
    [size is(dwNumEntries)]
    PFW ICMP TYPE CODE pEntries;
} FW_ICMP_TYPE_CODE_LIST, *PFW_ICMP_TYPE_CODE_LIST;
typedef struct _tag_FW_INTERFACE_LUIDS
{
     [range(0, 10000)]
    DWORD
            dwNumLUIDs;
    [size is(dwNumLUIDs)]
```

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```
pLUIDs;
     GUID*
} FW INTERFACE LUIDS, *PFW INTERFACE LUIDS;
typedef enum tag FW DIRECTION
{
     FW DIR INVALID = 0,
    FW DIR IN,
    FW DIR OUT,
    FW DIR MAX
} FW DIRECTION;
// Interface Types bitmap.
typedef enum _tag_FW_INTERFACE_TYPE
{
    FW_INTERFACE_TYPE_ALL= 0x0000,FW_INTERFACE_TYPE_LAN= 0x0001,FW_INTERFACE_TYPE_WIRELESS= 0x0002,
     FW_INTERFACE_TYPE_REMOTE_ACCESS = 0x0004,
     FW_INTERFACE_TYPE_MAX = 0x0008
} FW INTERFACE TYPE;
typedef enum _tag_FW_ADDRESS_KEYWORD
{
     FW ADDRESS KEYWORD NONE
                                                 = 0 \times 0000,
    FW_ADDRESS_KEYWORD_LOCAL_SUBNET= 0x0000,FW_ADDRESS_KEYWORD_DNS= 0x0001,FW_ADDRESS_KEYWORD_DHCP= 0x0004,FW_ADDRESS_KEYWORD_WINS= 0x0008,
     FW ADDRESS KEYWORD DEFAULT GATEWAY = 0x0010,
    FW_ADDRESS_KEYWORD_INTRANET= 0x0020,FW_ADDRESS_KEYWORD_INTERNET= 0x0040,
     FW ADDRESS KEYWORD PLAYTO RENDERERS= 0x0080,
     FW_ADDRESS_KEYWORD_REMOTE_INTRANET = 0x0100,
     FW_ADDRESS_KEYWORD_MAX= 0x0200,FW_ADDRESS_KEYWORD_MAX_V2_10= 0x0020
}FW ADDRESS KEYWORD;
typedef struct tag FW ADDRESSES
{
     DWORD
                                dwV4AddressKeywords; // Bit flags from FW ADDRESS KEYWORD
    DWORD
                               dwV6AddressKeywords; // Bit flags from FW ADDRESS KEYWORD
     FW_IPV4_SUBNET_LIST V4SubNets;
    FW_IPV4_RANGE_LIST V4Ranges;
FW_IPV6_SUBNET_LIST V6SubNets;
FW_IPV6_RANGE_LIST V6Ranges;
}FW ADDRESSES, *PFW ADDRESSES;
typedef enum _tag_FW_TRUST_TUPLE_KEYWORD
{
    FW_TRUST_TUPLE_KEYWORD_NONE= 0x0000,FW_TRUST_TUPLE_KEYWORD_PROXIMITY= 0x0001,FW_TRUST_TUPLE_KEYWORD_PROXIMITY_SHARING= 0x0002,
     FW_TRUST_TUPLE_KEYWORD NONE
```

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```
FW TRUST TUPLE KEYWORD WFD PRINT
                                               = 0 \times 0004,
    FW TRUST TUPLE KEYWORD WFD DISPLAY
                                               = 0 \times 0008,
    FW TRUST TUPLE KEYWORD WFD DEVICES
                                               = 0 \times 0010,
    FW TRUST TUPLE KEYWORD MAX
                                               = 0 \times 0020,
    FW TRUST TUPLE KEYWORD MAX V2 20
                                                = 0 \times 0004,
}FW TRUST TUPLE KEYWORD;
tvpedef
[v1 enum]
enum tag FW RULE STATUS
    FW RULE STATUS OK = 0x00010000,
        // The rule was parsed successfully from the store.
    FW RULE STATUS PARTIALLY IGNORED = 0x00020000,
        // The rule is from a later version of the service. Some fields
        //\ensuremath{ were not understood and have been ignored. This may cause the
        \ensuremath{//} rule to be less restrictive than on the version where it was
        // created. To mitigate any risk from this fallback behavior,
        // ensure that the original rule is as specific as possible. To
        // avoid this fallback behavior, create version-specific GPOs, or
        // apply a Platform condition to the rule.
    FW RULE STATUS IGNORED = 0x00040000,
        // The rule is from a newer schema version than the service, and
        // the unknown fields could not be ignored. The whole rule was
        // ignored.
    FW RULE STATUS PARSING ERROR = 0x00080000,
        // The service was unable to parse the rule.
    FW_RULE_STATUS_PARSING ERROR NAME = 0x00080001,
        // The name contains invalid characters, or is an invalid length.
    FW RULE STATUS PARSING ERROR DESC = 0x00080002,
        // The description contains invalid characters, or is an invalid
        // length.
    FW RULE STATUS PARSING ERROR APP = 0x00080003,
        // The application contains invalid characters, or is an invalid
        // length.
    FW RULE STATUS PARSING ERROR SVC = 0x00080004,
        // The service contains invalid characters, or is an invalid length.
    FW RULE STATUS PARSING ERROR RMA = 0x00080005,
        // The authorized remote machines list contains invalid characters,
        // or is an invalid length.
    FW RULE STATUS PARSING ERROR RUA = 0x00080006,
        // The authorized remote users list contains invalid characters, or
        // is an invalid length.
    FW_RULE_STATUS_PARSING_ERROR_EMBD = 0x00080007,
        // The group (sometimes called the embedded context) contains
        // invalid characters, or is an invalid length.
    FW RULE STATUS PARSING ERROR RULE ID = 0x00080008,
```

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// The rule ID contains invalid characters, or is an invalid length. FW RULE STATUS PARSING ERROR PHASE1 AUTH = 0x00080009, // The phase 1 auth set ID contains invalid characters, or is an // invalid length. FW RULE STATUS PARSING ERROR PHASE2 CRYPTO = 0x0008000A, // The quick mode crypto set ID contains invalid characters, or is // an invalid length. FW RULE STATUS PARSING ERROR PHASE2 AUTH = 0x0008000B, // The main mode crypto set ID contains invalid characters, or is // an invalid length. FW RULE STATUS PARSING ERROR RESOLVE APP = 0x0008000C, // The application name could not be resolved. FW RULE STATUS PARSING ERROR MAINMODE ID = 0x0008000D, // This error value is not used. FW RULE STATUS PARSING ERROR PHASE1 CRYPTO = 0x0008000E, // The phase 2 auth set ID contains invalid characters, or is an // invalid length. FW RULE STATUS PARSING ERROR REMOTE ENDPOINTS = 0x0008000F, // The remote endpoints are invalid. FW RULE STATUS PARSING ERROR REMOTE ENDPOINT FQDN = 0x00080010, // The remote endpoint FQDN is invalid. FW RULE STATUS PARSING ERROR KEY MODULE = 0x00080011, // The choice of key modules is invalid. FW RULE STATUS PARSING ERROR LUA = 0x00080012, $//\ {\rm The}$ local user authorization list contains invalid characters, // or is an invalid length. FW RULE STATUS PARSING ERROR FWD LIFETIME = 0x00080013, // The forward path SA lifetime is invalid. FW RULE STATUS PARSING ERROR TRANSPORT MACHINE AUTHZ SDDL = 0x00080014, // The transport rule machine SDDL is not valid. FW RULE STATUS PARSING ERROR TRANSPORT USER AUTHZ SDDL = 0x00080015, // The transport rule user SDDL is not valid. FW RULE STATUS SEMANTIC ERROR = 0x00100000, // The rule was parsed successfully, but there was an unknown // semantic error when processing the rule. FW RULE STATUS SEMANTIC ERROR RULE ID = 0x00100010, // The Rule ID was not specified. FW RULE STATUS SEMANTIC ERROR PORTS = 0x00100020, // Mismatch in number of ports and ports buffer. FW RULE STATUS SEMANTIC ERROR PORT KEYW = 0x00100021, // One of the port keywords is invalid.

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FW RULE STATUS SEMANTIC ERROR PORT RANGE = 0x00100022, // An invalid port range was specified, or 0 was used as a port // number. FW RULE STATUS SEMANTIC ERROR PORTRANGE RESTRICTION = 0x00100023, // Port ranges are only allowed in connection security rules when // the action is Do Not Secure. FW RULE STATUS SEMANTIC ERROR ADDR V4 SUBNETS = 0x00100040, // Mismatch in number of V4 address subnets and subnets buffer. FW RULE STATUS SEMANTIC ERROR ADDR V6 SUBNETS = 0x00100041, // Mismatch in number of V6 address subnets and subnets buffer. FW RULE STATUS SEMANTIC ERROR ADDR V4 RANGES = 0x00100042, // Mismatch in number of V4 address ranges and ranges buffer. FW RULE STATUS SEMANTIC ERROR ADDR V6 RANGES = 0x00100043, // Mismatch in number of V6 address ranges and ranges buffer. FW RULE STATUS SEMANTIC ERROR ADDR RANGE = 0x00100044, // The address range is invalid. The end address is less than the // beginning address. FW_RULE_STATUS_SEMANTIC_ERROR_ADDR_MASK = 0x00100045, // One or more of the subnet masks is invalid. FW RULE STATUS SEMANTIC ERROR ADDR PREFIX = 0x00100046, $\ensuremath{{//}}$ One or more of the address prefixes is invalid. FW RULE STATUS SEMANTIC ERROR ADDR KEYW = 0×00100047 , // One or more of the address keywords are invalid. FW RULE STATUS SEMANTIC ERROR LADDR PROP = 0x00100048, // Some of the keywords specified on the local address are only // valid on the remote address. FW RULE STATUS SEMANTIC ERROR RADDR PROP = 0x00100049, // Some of the keywords specified on the remote address are only // valid on the local address. FW RULE STATUS SEMANTIC ERROR ADDR V6 = 0x0010004A, // An unspecified, multicast, broadcast, or loopback IPv6 address // was specified. FW RULE STATUS SEMANTIC ERROR LADDR INTF = 0x0010004B, // A local address cannot be used in conjunction with an interface // or interface type condition. FW RULE STATUS SEMANTIC ERROR ADDR V4 = 0x0010004C, // An unspecified, multicast, broadcast, or loopback IPv4 address // was specified. FW RULE STATUS SEMANTIC ERROR TUNNEL ENDPOINT ADDR = 0x0010004D, // Endpoint 'any' cannot be specified for a tunnel-mode rule. FW RULE STATUS SEMANTIC ERROR DTE VER = 0x0010004E, // The target schema version does not support dynamic endpoints.

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FW RULE STATUS SEMANTIC ERROR DTE MISMATCH ADDR = 0x0010004F, // When specifying tunnel endpoints in both IPv4 and IPv6, a tunnel // endpoint may not be dynamic for one address family and explicit // for the other. (A dynamic tunnel endpoint is one set to "Any".) FW RULE STATUS SEMANTIC ERROR PROFILE = 0x00100050, // The profile type is invalid. FW RULE STATUS SEMANTIC ERROR ICMP = 0x00100060, // Mismatch in number of ICMP and ICMP buffers. FW RULE STATUS SEMANTIC ERROR ICMP CODE = 0x00100061, // Invalid ICMP code specified. FW RULE STATUS SEMANTIC ERROR IF ID = 0x00100070, // Mismatch in number of interfaces and interface buffers. FW RULE STATUS SEMANTIC ERROR IF TYPE = 0x00100071, // The interface type is invalid. FW RULE STATUS SEMANTIC ERROR ACTION = 0x00100080, // The action is invalid. FW_RULE_STATUS_SEMANTIC_ERROR_ALLOW_BYPASS = 0x00100081, // Allow-bypass action specified, but the rule does not meet // allow-bypass criteria (inbound, authenticate/encrypt flags set, // remote machine auth list specified). FW_RULE_STATUS_SEMANTIC_ERROR_DO_NOT_SECURE = 0x00100082, // If the action is Do Not Secure, the auth and crypto sets must be // null. FW RULE STATUS SEMANTIC ERROR ACTION BLOCK IS ENCRYPTED SECURE = 0x00100083, // Block action was specified in conjunction with require security // or require encryption. FW RULE STATUS SEMANTIC ERROR DIR = 0x00100090, // The direction is invalid. FW RULE STATUS SEMANTIC ERROR PROT = 0x001000A0, // The protocol number is invalid. FW RULE STATUS SEMANTIC ERROR PROT PROP = 0x001000A1, // The protocol-specific options do not match the protocol that was // chosen. FW RULE STATUS SEMANTIC ERROR DEFER EDGE PROP = 0x001000A2, // The edge traversal flags are inconsistent. Defer To App must be // set without Edge Traversal, but Defer To User must be set with // Edge Traversal. FW RULE STATUS SEMANTIC ERROR ALLOW BYPASS OUTBOUND = 0x001000A3, // Allow-bypass action specified, but the rule does not meet // allow-bypass criteria (authenticate/encrypt flags set). FW RULE STATUS SEMANTIC ERROR DEFER USER INVALID RULE = 0x001000A4, // Defer to user' setting can only be used in a firewall rule where // the program path and TCP/UDP protocol are specified with no // additional conditions.

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<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS = 0x001000B0,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTO_AUTH = 0x001000B1,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTO_BLOCK = 0x001000B2,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTO_DYN_RPC = 0x001000B3,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTHENTICATE_ENCRYPT = 0x001000B4,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTH_WITH_ENC_NEGOTIATE_VER = 0x001000B5,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTH_WITH_ENC_NEGOTIATE = 0x001000B6,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ESP_NO_ENCAP_VER = 0x001000E7,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ESP_NO_ENCAP = 0x001000B8,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_TUNNEL_AUTH_MODES_VER = 0x001000B9,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_TUNNEL_AUTH_MODES = 0x001000BA,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_IP_HTTPS_VER = 0x001000BB,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_IP_TLS_VER = 0x001000BB,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PORTRANGE_VER = 0x001000BC,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_ADDRS_TRAVERSE_DEFER_VER = 0x001000BD,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_FLAGS_AUTH_WITH_ENC_NEGOTIATE_OUTBOUND = 0x001000BE, // The Authentication (Dynamic Encryption) flag cannot be used when // the direction is Outbound.</pre>

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FW RULE STATUS SEMANTIC ERROR FLAGS AUTHENTICATE WITH OUTBOUND BYPASS VER = 0x001000BF, // The target schema version does not support outbound Allow-Bypass // rules. FW RULE STATUS SEMANTIC ERROR REMOTE AUTH LIST = 0x001000C0, // Authorization lists can only be used if authentication is // required on the rule. FW RULE STATUS SEMANTIC ERROR REMOTE USER LIST = 0x001000C1, // Remote user authorization can only be applied to inbound rules. FW RULE STATUS SEMANTIC ERROR LOCAL USER LIST = 0x001000C2, // The authorized local user list may not be used in conjunction // with a service SID. FW RULE STATUS SEMANTIC ERROR LUA VER = 0x001000C3, // The target schema version does not support the authorized local // user list. FW RULE STATUS SEMANTIC ERROR LOCAL USER OWNER = 0x001000C4, // The local user owner field may not be used in conjunction with a // service SID. FW_RULE_STATUS_SEMANTIC_ERROR_LOCAL_USER_OWNER_VER = 0x001000C5, // The target schema version does not support the local user owner // field. FW RULE STATUS SEMANTIC ERROR FLAGS ALLOW PROFILE CROSSING VER = 0x001000DD, $\ensuremath{//}$ The target schema version does not support profile crossing. FW_RULE_STATUS_SEMANTIC_ERROR FLAGS LOCAL ONLY MAPPED VER = 0x001000D1, // The target schema version does not support local-only mapping. FW RULE STATUS SEMANTIC ERROR PLATFORM = 0x001000E0, // Number of valid OS platforms and the list of valid OS Platforms // don't match. FW RULE STATUS SEMANTIC ERROR PLATFORM OP VER = 0x001000E1, // The target schema version does not support the platform operator // specified. FW RULE STATUS SEMANTIC ERROR PLATFORM OP = 0x001000E2, // One of the platform operators is invalid. FW RULE STATUS SEMANTIC ERROR DTE NOANY ADDR = 0x001000F0, // The DTM flag requires at least one dynamic endpoint. FW RULE STATUS SEMANTIC ERROR TUNNEL EXEMPT WITH GATEWAY = 0x001000F1, // A dynamic tunnel-mode exemption rule cannot have tunnel // endpoints. FW RULE STATUS SEMANTIC ERROR TUNNEL EXEMPT VER = 0x001000F2, // The target schema version does not support tunnel-mode // exemptions. FW RULE STATUS SEMANTIC ERROR ADDR KEYWORD VER = 0x001000F3, // The target schema version does not support one or more of the // address keywords given.

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FW RULE STATUS SEMANTIC ERROR KEY MODULE VER = 0x001000F4, // The target schema version does not support custom key module // preferences. FW RULE STATUS SEMANTIC ERROR APP CONTAINER PACKAGE ID = 0x00100100, // The application package SID is invalid. FW RULE STATUS SEMANTIC ERROR APP CONTAINER PACKAGE ID VER = 0x00100101, // The target schema version does not support application package // SIDs. FW RULE STATUS SEMANTIC ERROR TRUST TUPLE KEYWORD INCOMPATIBLE = 0x00100200, // Logical endpoints (trust tuples) cannot be combined with // specific addresses or ports. FW RULE STATUS SEMANTIC ERROR TRUST TUPLE KEYWORD INVALID = 0x00100201, // One or more of the logical endpoints (trust tuples) are invalid. FW RULE STATUS_SEMANTIC_ERROR_TRUST_TUPLE_KEYWORD_VER = 0x00100202, // The target schema version does not support logical endpoints // (trust tuples). FW RULE STATUS SEMANTIC ERROR PHASE1 AUTH SET ID = 0x00100500, $\ensuremath{{//}}$ The phase 1 auth set ID must be specified. FW RULE STATUS SEMANTIC ERROR PHASE2 CRYPTO SET ID = 0x00100510, // The quick mode crypto set ID must be specified. FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_SET_ID = 0x00100511, // The main mode crypto set ID must be specified. FW RULE STATUS SEMANTIC ERROR FLAGS KEY MANAGER DICTATE VER = 0x00100512, // The target schema version does not support the Key Manager // Dictation flag. FW RULE STATUS SEMANTIC ERROR FLAGS KEY MANAGER NOTIFY VER = 0x00100513, // The target schema version does not support the Key Manager // Notification flag. FW RULE STATUS SEMANTIC ERROR TRANSPORT MACHINE AUTHZ VER = 0x00100514, // The target schema version does not support transport rule // machine authorization lists. FW RULE STATUS SEMANTIC ERROR TRANSPORT USER AUTHZ VER = 0x00100515, // The target schema version does not support transport rule user // authorization lists. FW RULE STATUS SEMANTIC ERROR TRANSPORT MACHINE AUTHZ ON TUNNEL = 0x00100516, // Transport machine authorization SDDL specified on tunnel-mode // rule FW RULE STATUS SEMANTIC ERROR TRANSPORT USER AUTHZ ON TUNNEL = 0x00100517, // Transport user authorization SDDL specified on tunnel-mode rule FW RULE STATUS SEMANTIC ERROR PER RULE AND GLOBAL AUTHZ = 0x00100518, // The Apply Global Authorization flag cannot be used when a // per-rule authorization list is also specified. FW RULE STATUS SEMANTIC ERROR SET ID = 0x00101000,

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// The Set ID was not specified. FW RULE STATUS SEMANTIC ERROR IPSEC PHASE = 0x00101010, // The IPsec phase is invalid. FW RULE STATUS SEMANTIC ERROR EMPTY SUITES = 0x00101020, // No suites specified in the set. FW RULE STATUS SEMANTIC ERROR PHASE1 AUTH METHOD = 0x00101030, // One of the phase 1 auth methods is invalid. FW RULE STATUS SEMANTIC ERROR PHASE2 AUTH METHOD = 0x00101031, // One of the phase 2 auth methods is invalid. FW RULE STATUS SEMANTIC ERROR AUTH METHOD ANONYMOUS = 0x00101032, // Anonymous cannot be the only authentication method. FW RULE STATUS SEMANTIC ERROR AUTH METHOD DUPLICATE = 0x00101033, $//\ {\rm The}$ same authentication method cannot be used more than once // within a set. FW RULE STATUS SEMANTIC ERROR AUTH METHOD VER = 0x00101034, // The target schema version does not support one or more of the // authentication methods given. FW RULE STATUS SEMANTIC ERROR AUTH SUITE FLAGS = 0x00101040, // Invalid auth suite flags specified. FW_RULE_STATUS_SEMANTIC_ERROR_HEALTH CERT = 0x00101041, // Machine certificates can only be used in phase 2 auth if they // are machine health certificates. FW_RULE_STATUS_SEMANTIC_ERROR_AUTH_SIGNCERT_VER = 0x00101042, // The target schema version does not support the requested // certificate signing algorithm. FW RULE STATUS SEMANTIC ERROR AUTH INTERMEDIATE CA VER = 0x00101043, // The target schema version does not support targeting // Intermediate CA's. FW RULE STATUS SEMANTIC ERROR MACHINE SHKEY = 0x00101050, // Machine Preshared Key was selected as an authentication type, // but no key string was specified. FW RULE STATUS SEMANTIC ERROR CA NAME = 0x00101060, // The certificate authority name is required, and must be // formatted as an X.509 distinguished name. FW RULE STATUS SEMANTIC ERROR MIXED CERTS = 0x00101061, // Machine health certificates and regular certificates cannot both // be proposed within the same authentication set. FW RULE STATUS SEMANTIC ERROR NON CONTIGUOUS CERTS = 0x00101062, // When specifying multiple certificate authentication proposals, // all the certificate proposals with the same signing method must $\ensuremath{{\prime}}\xspace$ // must be grouped together within the set. FW RULE STATUS SEMANTIC ERROR MIXED CA TYPE IN BLOCK = 0x00101063, // This error value is not used.

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- FW_RULE_STATUS_SEMANTIC_ERROR_PROXY_SERVER = 0x00101080, // The Kerberos proxy name must be a fully qualified domain name // (FQDN). For example: kerbproxy.contoso.com
- FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_NON_DEFAULT_ID = 0x00105000,
 // The main mode crypto set ID should be the global main mode
 // crypto set ID.

- $\label{eq:fw_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_KEY_EXCHANGE = 0x00105004, $$// One of the main mode key exchange algorithms is invalid.$

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<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_HASH = 0x00105006,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_ENCRYPTION_VER = 0x00105007,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_HASH_VER = 0x00105008, // The target schema version does not support one of the main mode // hash algorithms chosen.</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE1_CRYPTO_KEY_EXCH_VER = 0x00105009,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PFS = 0x00105020,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PROTOCOL = 0x00105021,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_ENCRYPTION = 0x00105022,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_HASH = 0x00105023,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_TIMEOUT_MINUTES = 0x00105024,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_TIMEOUT_KBYTES = 0x00105025,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_ENCRYPTION_VER = 0x00105026,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_HASH_VER = 0x00105027,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_PHASE2_CRYPTO_PFS_VER = 0x00105028,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_CRYPTO_ENCR_HASH = 0x00105040,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_CRYPTO_ENCR_HASH_COMPAT = 0x00105041,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_SCHEMA_VERSION = 0x00105050,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_OR_AND_CONDITIONS = 0x00106000,</pre>

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<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_AND_CONDITIONS = 0x00106001,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_KEY = 0x00106002,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_MATCH_TYPE = 0x00106003,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_DATA_TYPE = 0x00106004,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_CONDITION_KEY_AND_DATA_TYPE = 0x00106005,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEYS_PROTOCOL_PORT = 0x00106006,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_PROFILE = 0x00106007,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_STATUS = 0x00106008,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_FILTERID = 0x00106009,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_APP_PATH = 0x00106010,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_PROTOCOL = 0x00106011,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_LOCAL_PORT = 0x00106012,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_REMOTE_PORT = 0x00106013,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_QUERY_KEY_SVC_NAME = 0x00106015,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_REQUIRE_IN_CLEAR_OUT_ON_TRANSPORT = 0x00107000,</pre>
<pre>FW_RULE_STATUS_SEMANTIC_ERROR_BYPASS_TUNNEL_IF_SECURE_ON_TRANSPORT = 0x00107001,</pre>

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	JS_SEMANTIC_ERROR_AUTH_NOENCA ntication (No Encapsulation)		node
// Auther	US_SEMANTIC_ERROR_AUTH_NOENCA ntication (No Encapsulation) in preshared keys.		nat
	JS_RUNTIME_ERROR = 0x0020000 time error occurred while t:		
	JS_RUNTIME_ERROR_PHASE1_AUTH hase 1 authentication set was		
	JS_RUNTIME_ERROR_PHASE2_AUTH hase 2 authentication set was		
	JS_RUNTIME_ERROR_PHASE2_CRYP nick mode cryptographic set o		
// A coni // auther	US_RUNTIME_ERROR_AUTH_MCHN_SI Elict was detected between the ntication sets. When preshare cannot be a phase 2 authent:	he phase 1 and phase 2 ed keys are used in phase 1,	
	JS_RUNTIME_ERROR_PHASE1_CRYP ain mode cryptographic set wa		
// Auther	US_RUNTIME_ERROR_AUTH_NOENCA htication (No Encapsulation) L-mode rule.		
// Auther	US_RUNTIME_ERROR_AUTH_NOENCA htication (No Encapsulation) uses a preshared key.		lle
// The ke // auther	US_RUNTIME_ERROR_KEY_MODULE_A ey module in the rule is incon- ntication methods specified a ntication sets.	ompatible with the	
FW_RULE_STATUS_P	JS_ERROR = FW_RULE_STATUS_PA RUNTIME_ERROR, cor occurred.	ARSING_ERROR FW_RULE_STATUS	S_SEMANTIC_ERROR
	JS_ALL = 0xFFFF0000 rate all rules, regardless o: :	f status.	
<pre>// Rule status bi typedef enum _tag {</pre>	itflags g_FW_RULE_STATUS_CLASS		
FW_RULE_STATC The rule has fiel FW_RULE_STATC	JS_CLASS_OK lly from the store. JS_CLASS_PARTIALLY_IGNORED Lds that the service can succ JS_CLASS_IGNORED that the service must ignore	= FW_RULE_STATUS_IGN	

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```
FW RULE STATUS CLASS PARSING ERROR
                                                    = FW RULE STATUS PARSING ERROR, // The
rule failed to be parsed correctly.
   FW RULE STATUS CLASS SEMANTIC ERROR
                                                    = FW RULE STATUS SEMANTIC ERROR, // There
is a semantic error when considering the fields of the rule in conjunction.
   FW RULE STATUS CLASS RUNTIME ERROR
                                                   = FW RULE STATUS RUNTIME ERROR, // There
is a run-time error when the object is considered in conjuntion with other Policy Objects.
   FW RULE STATUS CLASS ERROR
                                                    = FW RULE STATUS ERROR, // An error
occurred.
                                                    = FW RULE STATUS_ALL // All the status.
   FW RULE STATUS CLASS ALL
(Used to enum ALL the rules, regardless of the status.)
} FW RULE STATUS CLASS;
typedef enum tag FW OBJECT CTRL FLAG
{
   FW OBJECT CTRL FLAG INCLUDE METADATA
                                         = 0x0001, // Allow RPC to marshall the metadata
pointer in the objects.
} FW OBJECT CTRL FLAG;
typedef enum tag FW ENFORCEMENT STATE
{
   FW ENFORCEMENT STATE INVALID,
   FW ENFORCEMENT STATE FULL,
   FW ENFORCEMENT STATE WF OFF IN PROFILE,
   FW ENFORCEMENT STATE CATEGORY OFF,
   FW ENFORCEMENT STATE DISABLED OBJECT,
   FW ENFORCEMENT STATE INACTIVE PROFILE,
   FW_ENFORCEMENT_STATE_LOCAL_ADDRESS_RESOLUTION_EMPTY,
   FW ENFORCEMENT STATE REMOTE ADDRESS RESOLUTION EMPTY,
   FW_ENFORCEMENT_STATE_LOCAL_PORT_RESOLUTION_EMPTY,
   FW ENFORCEMENT STATE REMOTE PORT RESOLUTION EMPTY,
   FW ENFORCEMENT STATE INTERFACE RESOLUTION EMPTY,
   FW ENFORCEMENT STATE APPLICATION RESOLUTION EMPTY,
   FW ENFORCEMENT STATE REMOTE MACHINE EMPTY,
   FW ENFORCEMENT STATE REMOTE USER EMPTY,
   FW ENFORCEMENT STATE LOCAL GLOBAL OPEN PORTS DISALLOWED,
   FW ENFORCEMENT STATE LOCAL AUTHORIZED APPLICATIONS DISALLOWED,
   FW ENFORCEMENT STATE LOCAL FIREWALL RULES DISALLOWED,
   FW ENFORCEMENT STATE LOCAL CONSEC RULES DISALLOWED,
   FW_ENFORCEMENT_STATE_MISMATCHED_PLATFORM,
   FW_ENFORCEMENT_STATE_OPTIMIZED_OUT,
   FW ENFORCEMENT STATE LOCAL USER EMPTY,
   FW ENFORCEMENT_STATE_TRANSPORT_MACHINE_SD_EMPTY,
   FW ENFORCEMENT STATE TRANSPORT USER SD EMPTY,
   FW ENFORCEMENT STATE TUPLE RESOLUTION EMPTY,
   FW ENFORCEMENT STATE MAX
} FW ENFORCEMENT STATE;
typedef struct _tag_FW_OBJECT_METADATA
{
   UINT64 qwFilterContextID;
    [range(0, 100)]
```

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```
DWORD dwNumEntries;
    [size is(dwNumEntries)]
   FW ENFORCEMENT STATE *pEnforcementStates;
} FW OBJECT METADATA, *PFW OBJECT METADATA;
typedef enum _tag_FW_OS_PLATFORM_OP
{
   FW OS PLATFORM OP EQ,
   FW OS PLATFORM OP GTEQ,
   FW OS PLATFORM OP MAX,
} FW OS PLATFORM OP;
// Values for platform, major and minor versions correspond to values in the OSVERSIONINFOEX
structure.
typedef struct _tag_FW_OS_PLATFORM
{
   BYTE bPlatform;
   BYTE bMajorVersion;
   BYTE bMinorVersion;
   BYTE
         Reserved;
}FW OS PLATFORM, *PFW OS PLATFORM;
typedef struct _tag_FW_OS_PLATFORM_LIST
{
    [range(0, 10000)]
   DWORD
                      dwNumEntries;
    [size is(dwNumEntries)]
   PFW OS PLATFORM pPlatforms;
}FW OS PLATFORM LIST, *PFW OS PLATFORM LIST;
typedef enum tag FW RULE ORIGIN TYPE
{
   FW RULE ORIGIN INVALID,
   FW RULE ORIGIN LOCAL,
   FW RULE ORIGIN GP,
   FW RULE ORIGIN DYNAMIC,
   FW RULE ORIGIN AUTOGEN,
   FW RULE ORIGIN HARDCODED,
   FW RULE ORIGIN MAX
}FW RULE ORIGIN TYPE;
typedef enum tag FW ENUM RULES FLAGS
{
   FW ENUM RULES FLAG NONE
                                           = 0 \times 0000,
   FW ENUM RULES FLAG RESOLVE NAME
                                          = 0x0001, // Resolves rule name if in the format
of '@file.dll,-<resID>'.
   FW ENUM RULES FLAG RESOLVE DESCRIPTION = 0x0002, // Resolves rule descriptions if in the
format of '@file.dll,-<resID>'.
   FW ENUM RULES FLAG RESOLVE APPLICATION = 0x0004, // Resolves environment variables in
the application string.
   FW ENUM RULES FLAG RESOLVE KEYWORD
                                          = 0x0008, // Resolves keywords in addresses and
ports to the actual addresses and ports (dynamic store only).
   FW ENUM RULES FLAG RESOLVE GPO NAME
                                           = 0x0010, // Resolves GPO name for the GP RSOP
rules.
   FW ENUM RULES FLAG EFFECTIVE
                                           = 0x0020, // Enum Rules only if we attempted to
push them to BFE (dynamic store only).
```

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```
FW ENUM RULES FLAG INCLUDE METADATA
                                              = 0x0040, // Include Object MetaData in the
Enumerated Object.
   FW ENUM RULES FLAG MAX
                                               = 0 \times 0080
}FW ENUM RULES FLAGS;
// Ordered by priority - highest on top.
typedef enum tag FW RULE ACTION
{
    FW RULE ACTION INVALID = 0,
    FW RULE ACTION ALLOW BYPASS,
    FW_RULE_ACTION_BLOCK,
    FW RULE ACTION ALLOW,
    FW RULE ACTION MAX
} FW RULE ACTION;
typedef enum _tag_FW_RULE_FLAGS
{
   FW_RULE_FLAGS_NONE= 0x0000,FW_RULE_FLAGS_ACTIVE= 0x0001,FW_RULE_FLAGS_AUTHENTICATE= 0x0002,
    FW RULE FLAGS AUTHENTICATE WITH ENCRYPTION = 0x0004,
    FW_RULE_FLAGS_ROUTEABLE_ADDRS_TRAVERSE = 0x0008,
    FW RULE FLAGS LOOSE SOURCE MAPPED = 0 \times 00010,
    // This is the new "NoEncapsulation" flag in Win7.
    FW RULE FLAGS AUTH WITH NO ENCAPSULATION = 0 \times 0020,
    // These are the new flags added for SSP in Win 7.
    FW RULE FLAGS AUTH WITH ENC NEGOTIATE = 0 \times 0040,
    FW RULE FLAGS ROUTEABLE ADDRS TRAVERSE DEFER APP = 0x0080,
    FW RULE FLAGS ROUTEABLE ADDRS TRAVERSE DEFER USER = 0x0100,
    FW RULE FLAGS AUTHENTICATE BYPASS OUTBOUND = 0 \times 0200,
    // This is the new flag in Windows 8 to allow profile crossings for clusters.
    FW RULE FLAGS ALLOW PROFILE CROSSING = 0 \times 0400,
    // This is the new flag in Windows 8 to allow LOM on flows.
    FW RULE FLAGS LOCAL ONLY MAPPED = 0 \times 0800,
   FW_RULE_FLAGS_MAX= 0FW_RULE_FLAGS_MAX_V2_1= 0x0020,FW_RULE_FLAGS_MAX_V2_9= 0x0040,
                                    = 0 \times 1000,
    FW_RULE_FLAGS_MAX_V2_10
                                 = 0 \times 0800,
}FW RULE FLAGS;
typedef struct tag FW RULE2 0
{
    struct _tag_FW_RULE2_0 *pNext;
          wSchemaVersion;
    WORD
    [string, range(1,10001), ref]
    WCHAR* wszRuleId;
    [string, range(1,10001)]
    WCHAR* wszName;
    [string, range(1,10001)]
    WCHAR* wszDescription;
    DWORD
                    dwProfiles;
    [range(FW_DIR_INVALID, FW_DIR_OUT)]
    FW_DIRECTION Direction;
    [range(0,256)]
    WORD
                    wIpProtocol; // 0-255 or FW IP PROTOCOL ANY
    [switch_type(WORD), switch_is(wIpProtocol)]
    union
```

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```
{
       // Ports specified if wIpProtocol = 6(TCP) or 17(UDP).
       [case(6,17)]
       struct
       {
           FW PORTS
                                 LocalPorts;
           FW PORTS
                                 RemotePorts;
       };
       // ICMP types/codes specified if wIpProtocol = 1(ICMPv4) or 58(ICMPv6).
       [case(1)]
       FW ICMP TYPE CODE LIST
                                 V4TypeCodeList;
       [case(58)]
       FW ICMP TYPE CODE LIST
                                 V6TypeCodeList;
       [default]
       ;
   };
   FW_ADDRESSESLocalAddresses;FW_ADDRESSESRemoteAddresses;
   FW_INTERFACE_LUIDS LocalInterfaceIds;
                      dwLocalInterfaceTypes; // Bit flags from FW INTERFACE TYPE
   DWORD
   [string, range(1,10001)]
   WCHAR*
                      wszLocalApplication;
   [string, range(1,10001)]
   WCHAR*
                      wszLocalService;
   [range(FW RULE ACTION INVALID, FW RULE ACTION MAX)]
   FW_RULE_ACTION Action;
                                  // Bit flags from FW RULE FLAGS
   WORD
                      wFlags;
   [string, range(1,10001)]
   WCHAR*
                      wszRemoteMachineAuthorizationList; // Authorized remote machines
SDDL.
   [string, range(1,10001)]
   WCHAR*
                      wszRemoteUserAuthorizationList; // Authorized remote users SDDL
   [string, range(1,10001)]
   WCHAR*
                      wszEmbeddedContext;
   FW OS PLATFORM LIST PlatformValidityList;
   FW RULE STATUS
                      Status;
                                 // Parsing error if any, filled on return. On input, set
this to FW RULE STATUS OK.
   [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX)]
   FW RULE ORIGIN TYPE Origin; // Rule origin, filled on enumerated rules. Ignored on
input.
   [string, range(1,10001)]
   WCHAR*
                      wszGPOName; // Name of originating GPO, if rule origin is GP.
   DWORD
                       Reserved;
} FW RULE2 0, *PFW RULE2 0;
typedef struct tag FW RULE2 10
{
   struct _tag_FW_RULE2_10 *pNext;
   WORD
                  wSchemaVersion;
   [string, range(1,512), ref]
   LPWSTR
             wszRuleId;
   [string, range(1,10001)]
```

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```
LPWSTR
                  wszName;
    [string, range(1,10001)]
   LPWSTR wszDescription;
   DWORD
                  dwProfiles;
    [range(FW DIR INVALID, FW DIR OUT)]
   FW DIRECTION
                  Direction;
    [range(0,256)]
   WORD
                  wIpProtocol; // 0-255 or FW IP PROTOCOL ANY
    [switch_type(WORD), switch_is(wIpProtocol)]
   union
    {
       // Ports specified if wIpProtocol = 6(TCP) or 17(UDP).
       [case(6,17)]
       struct
       {
           FW PORTS
                                  LocalPorts;
           FW PORTS
                                  RemotePorts;
       };
       // ICMP types/codes specified if wIpProtocol = 1(ICMPv4) or 58(ICMPv6).
       [case(1)]
       FW ICMP TYPE CODE LIST
                                  V4TypeCodeList;
       [case(58)]
       FW ICMP TYPE CODE LIST
                                  V6TypeCodeList;
       [default]
       ;
   };
   FW ADDRESSES
                      LocalAddresses;
   FW_ADDRESSES LocalAddresses;
FW ADDRESSES RemoteAddresses;
   FW INTERFACE LUIDS LocalInterfaceIds;
   DWORD
                      dwLocalInterfaceTypes; // Bit flags from FW INTERFACE TYPE
   [string, range(1,10001)]
   LPWSTR
                      wszLocalApplication;
   [string, range(1,10001)]
   LPWSTR
                      wszLocalService;
   [range(FW RULE ACTION INVALID, FW RULE ACTION MAX)]
   FW RULE ACTION Action;
   WORD
                       wFlags;
                                  // Bit flags from FW RULE FLAGS
   [string, range(1,10001)]
   LPWSTR
                      wszRemoteMachineAuthorizationList; // Authorized remote machines
SDDL
   [string, range(1,10001)]
                       wszRemoteUserAuthorizationList; // Authorized remote users SDDL
   LPWSTR
    [string, range(1,10001)]
   LPWSTR
                     wszEmbeddedContext;
   FW OS PLATFORM LIST PlatformValidityList;
   FW RULE STATUS
                      Status;
                                  // Parsing error if any, filled on return. On input, set
this to FW RULE STATUS OK.
    [range(FW_RULE_ORIGIN_INVALID, FW_RULE_ORIGIN_MAX)]
    FW RULE ORIGIN TYPE Origin;
                                // Rule origin, filled on enumerated rules. Ignored on
input.
   [string, range(1,10001)]
   LPWSTR
                       wszGPOName; // Name of originating GPO, if rule origin is GP.
   DWORD
                       Reserved;
    [size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
```

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```
PFW OBJECT METADATA pMetaData;
} FW_RULE2_10, *PFW_RULE2_10;
typedef struct tag FW RULE
   struct _tag_FW_RULE *pNext;
   WORD
                  wSchemaVersion;
   [string, range(1,512), ref]
   LPWSTR
                  wszRuleId;
   [string, range(1,10001)]
   LPWSTR wszName;
   [string, range(1,10001)]
   LPWSTR wszDescription;
   DWORD
                  dwProfiles;
   [range(FW_DIR_INVALID, FW_DIR_OUT)]
   FW DIRECTION Direction;
   [range(0,256)]
                 wIpProtocol; // 0-255 or FW IP PROTOCOL ANY
   WORD
   [switch type(WORD), switch is(wIpProtocol)]
   union
   {
       // Ports specified if wIpProtocol = 6(TCP) or 17(UDP).
       [case(6,17)]
       struct
       {
           FW PORTS
                                  LocalPorts;
           FW PORTS
                                 RemotePorts;
       };
       // ICMP types/codes specified if wIpProtocol = 1(ICMPv4) or 58(ICMPv6).
       [case(1)]
       FW ICMP TYPE CODE LIST
                                  V4TypeCodeList;
       [case(58)]
       FW ICMP TYPE CODE LIST
                                 V6TypeCodeList;
       [default]
       ;
   };
   FW_ADDRESSES LocalAddresses;
FW_ADDRESSES RemoteAddresses;
   FW INTERFACE LUIDS LocalInterfaceIds;
   DWORD
                      dwLocalInterfaceTypes; // Bit flags from FW INTERFACE TYPE
   [string, range(1,10001)]
   LPWSTR
                      wszLocalApplication;
   [string, range(1,10001)]
   LPWSTR
                      wszLocalService;
   [range(FW RULE ACTION INVALID, FW RULE ACTION MAX)]
   FW_RULE_ACTION Action;
   WORD
                      wFlags;
                                  // Bit flags from FW RULE FLAGS
   [string, range(1,10001)]
                      wszRemoteMachineAuthorizationList; // Authorized remote machines
   LPWSTR
SDDL.
   [string, range(1,10001)]
   LPWSTR
                       wszRemoteUserAuthorizationList; // Authorized remote users SDDL
   [string, range(1,10001)]
   LPWSTR
                      wszEmbeddedContext;
```

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```
FW OS PLATFORM LIST PlatformValidityList;
   FW RULE STATUS
                       Status; // Parsing error if any, filled on return. On input, set
this to FW RULE STATUS OK.
   [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX)]
   FW RULE ORIGIN TYPE Origin; // Rule origin, filled on enumerated rules. Ignored on
input.
    [string, range(1,10001)]
   LPWSTR
                      wszGPOName; // Name of originating GPO, if rule origin is GP.
   DWORD
                       Reserved:
    [size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
   PFW OBJECT METADATA pMetaData;
    [string, range(1,10001)]
   WCHAR*
                      wszLocalUserAuthorizationList; // Authorized local users SDDL
    [string, range(1,10001)]
                      wszPackageId; // Application Container Package Id Sid
   WCHAR *
    [string, range(1,10001)]
                      wszLocalUserOwner; // User Owner of the Rule
   WCHAR *
    // Trust Tuple Keywords
                     dwTrustTupleKeywords;
   DWORD
} FW RULE, *PFW RULE;
Configuration settings structures
 #define FW PROFILE CONFIG LOG FILE SIZE MIN
                                                      1
                                                  32767
#define FW PROFILE CONFIG LOG FILE SIZE MAX
// All config settings are read-only for dynamic store.
typedef enum _tag_FW_PROFILE_CONFIG
{ //
                                                           Туре
   FW PROFILE CONFIG INVALID,
   FW PROFILE CONFIG ENABLE FW,
                                                         11
                                                              Boolean (as DWORD)
                                                         // Boolean (as DWORD)
// Boolean (as DWORD)
   FW_PROFILE_CONFIG_DISABLE STEALTH MODE,
   FW PROFILE CONFIG SHIELDED,
   FW PROFILE CONFIG DISABLE UNICAST RESPONSES TO MULTICAST BROADCAST,
                                                   // Boolean (as DWORD)
                                                        // Boolean (as DWORD)
   FW PROFILE CONFIG LOG DROPPED PACKETS,
                                                     // Boolean (as DWORD)
   FW PROFILE CONFIG LOG SUCCESS CONNECTIONS,
   FW PROFILE_CONFIG_LOG_IGNORED_RULES,
                                                        // Boolean (as DWORD)
   FW_FNOFTHE_CONFIG_LOG_MAX_FILE_SIZE,
                                                        // DWORD (in KBytes)

      FW_PROFILE_CONFIG_LOG_FILE_PATH,
      //
      String

      FW_PROFILE_CONFIG_DISABLE_INBOUND_NOTIFICATIONS,
      //
      Boolean (as DWORD)

      FW_PROFILE_CONFIG_AUTH_APPS_ALLOW_USER_PREF_MERGE,
      //
      Boolean (as DWORD) -

GP RSOP/GPO store only
   FW_PROFILE_CONFIG_GLOBAL_PORTS_ALLOW_USER_PREF_MERGE, // Boolean (as DWORD) -
GP RSOP/GPO store only
   FW PROFILE CONFIG ALLOW LOCAL POLICY MERGE, // Boolean (as DWORD) -
GP RSOP/GPO store only
```

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```
FW PROFILE CONFIG ALLOW LOCAL IPSEC POLICY MERGE,
                                                          11
                                                              Boolean (as DWORD) -
GP RSOP/GPO store only
   FW PROFILE CONFIG DISABLED INTERFACES,
                                                          11
                                                                 PFW INTERFACE LUIDS - Local
store only
   FW PROFILE CONFIG DEFAULT OUTBOUND ACTION,
                                                          11
                                                                DWORD(0 = Allow, 1 = block)
   FW PROFILE CONFIG DEFAULT INBOUND ACTION,
                                                          11
                                                               DWORD(0 = Allow, 1 = block)
   FW PROFILE CONFIG DISABLE STEALTH MODE IPSEC SECURED PACKET EXEMPTION,
                                                          11
                                                                 Boolean (as DWORD)
   FW PROFILE CONFIG MAX
} FW PROFILE CONFIG;
typedef enum FW GLOBAL CONFIG IPSEC EXEMPT VALUES
{
   FW GLOBAL CONFIG IPSEC EXEMPT NONE
                                                    = 0 \times 0000,
                                                   = 0 \times 0001,
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_NEIGHBOR_DISC
   FW GLOBAL CONFIG IPSEC EXEMPT ICMP
                                                    = 0 \times 0002,
   FW_GLOBAL_CONFIG_IPSEC_EXEMPT_ROUTER_DISC = 0x0004,
   FW GLOBAL CONFIG IPSEC EXEMPT NEIGHBOR DISC RFC =
      FW GLOBAL CONFIG IPSEC EXEMPT NEIGHBOR DISC |
FW GLOBAL CONFIG IPSEC EXEMPT ROUTER DISC,
   FW GLOBAL CONFIG IPSEC EXEMPT DHCP
                                                     = 0x0008,
                                                    = 0 \times 0010
   FW GLOBAL CONFIG IPSEC EXEMPT MAX
}FW GLOBAL CONFIG IPSEC EXEMPT VALUES;
typedef enum FW GLOBAL CONFIG PRESHARED KEY ENCODING VALUES
{
                                                     = 0, // Preshared key is not encoded.
   FW GLOBAL CONFIG PRESHARED KEY ENCODING NONE
Kept in its wide-char format.
   FW GLOBAL CONFIG PRESHARED KEY ENCODING UTF 8,
   FW GLOBAL CONFIG PRESHARED KEY ENCODING MAX
} FW GLOBAL CONFIG PRESHARED KEY ENCODING VALUES;
typedef enum FW GLOBAL CONFIG IPSEC THROUGH NAT VALUES
{
   FW GLOBAL CONFIG IPSEC THROUGH NAT NEVER
                                                                     = 0, // IPsec does not
cross NAT boundaries.
   FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_SERVER_BEHIND_NAT,
FW_GLOBAL_CONFIG_IPSEC_THROUGH_NAT_SERVER_AND_CLIENT_BEHIND_NAT,
   FW GLOBAL CONFIG IPSEC THROUGH NAT MAX
} FW GLOBAL CONFIG IPSEC THROUGH NAT VALUES;
#define FW GLOBAL CONFIG CRL CHECK MAX
                                                 2
#define FW GLOBAL CONFIG SA IDLE TIME MAX
                                             3600
#define FW GLOBAL CONFIG SA IDLE TIME MIN
                                             300
// All config settings are read-only for dynamic store.
typedef enum _tag_FW_GLOBAL_CONFIG
{ // Type
   FW GLOBAL CONFIG INVALID,
   FW GLOBAL CONFIG POLICY VERSION SUPPORTED,
                                                                      11
                                                                         Policy version
supported by the Firewall service
   FW GLOBAL CONFIG CURRENT PROFILE,
                                                                      11
                                                                            FW PROFILE TYPE
(dynamic store only)
                                                                            Boolean (as DWORD)
   FW GLOBAL CONFIG DISABLE STATEFUL FTP,
                                                                      11
   FW GLOBAL CONFIG DISABLE STATEFUL PPTP,
                                                                      11
                                                                            Deprecated,
Boolean as (DWORD)
   FW GLOBAL CONFIG SA IDLE TIME,
                                                                      11
                                                                            DWORD (300-3600
seconds)
```

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```
FW GLOBAL CONFIG PRESHARED KEY ENCODING,
                                                                11
                                                                    DWORD (a value
from FW GLOBAL CONFIG PRESHARED KEY ENCODING VALUES)
  FW GLOBAL CONFIG IPSEC EXEMPT,
                                                                11
                                                                      DWORD (bit-flags
from FW_GLOBAL_CONFIG_IPSEC_EXEMPT_VALUES)
                                                                11
                                                                      Max value:
FW GLOBAL CONFIG IPSEC EXEMPT MAX-1
  FW GLOBAL CONFIG CRL CHECK,
                                                                11
                                                                   DWORD 0 - disables
CRL checking
                                                                // 1 - CRL checking
is attempted and certificate validation fails only if the
                                                                // certificate is
revoked. Other failures that are encountered during CRL checking
                                                                11
                                                                      (such as the
revocation URL being unreachable) do not cause certificate validation to fail.
                                                                // 2 - checking is
required and that certificate validation fails if any error is encountered
                                                                11
                                                                      during CRL
processing.
   FW GLOBAL CONFIG IPSEC THROUGH NAT,
                                                                11
FW_GLOBAL_CONFIG_IPSEC_THROUGH NAT VALUES
   FW GLOBAL CONFIG POLICY VERSION,
                                                                // Policy version
   FW GLOBAL CONFIG BINARY VERSION SUPPORTED,
                                                                // Binary version
supported by the Firewall Service (structures)
   FW_GLOBAL_CONFIG_IPSEC_TUNNEL_REMOTE_MACHINE_AUTHORIZATION_LIST, // May be zero-length
to indicate that all machines or users are authorized or may contain
   FW GLOBAL CONFIG IPSEC TUNNEL REMOTE USER AUTHORIZATION LIST, // a null-terminated,
Unicode string describing a security descriptor in SDDL.
   FW GLOBAL CONFIG OPPORTUNISTICALLY MATCH AUTH SET PER KM, // Boolean (as DWORD)
   FW GLOBAL CONFIG IPSEC TRANSPORT REMOTE MACHINE AUTHORIZATION LIST,
   FW GLOBAL CONFIG IPSEC TRANSPORT REMOTE USER AUTHORIZATION LIST,
   FW GLOBAL CONFIG ENABLE PACKET QUEUE,
   FW GLOBAL CONFIG MAX
} FW GLOBAL CONFIG;
typedef enum FW CONFIG FLAGS
   FW CONFIG FLAG RETURN DEFAULT IF NOT FOUND = 0x0001 // If specified, if FWGetConfig or
FWGetGlobalConfig fail to
                                                      // find the configuration value in
the store, the call will succeed and
                                                      // return the default value used by
the firewall service.
                                                      // If not specified, if FWGetConfig
or FWGetGlobalConfig fail to
                                                     // find the configuration value in
the store, the call will fail
                                                     // with ERROR FILE NOT FOUND.
} FW CONFIG FLAGS;
* Network state structures.
 // Based on INetwork (q.v.)
typedef struct tag_FW_NETWORK
{
  [string, unique]
  wchar t* pszName;
```

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```
FW PROFILE TYPE ProfileType;
} FW_NETWORK, *PFW_NETWORK;
// Adapter that can have the firewall enabled/disabled
typedef struct tag FW ADAPTER
{
  [string, unique]
  wchar t* pszFriendlyName;
  GUID Guid;
} FW ADAPTER, *PFW ADAPTER;
typedef struct tag_FW_DIAG_APP
{
  [string, unique]
  wchar_t* pszAppPath;
} FW_DIAG_APP, *PFW_DIAG_APP;
* Third-party firewall products structures.
*****
// Different types of rules that the firewall supports
typedef
[v1 enum]
enum tag_FW_RULE_CATEGORY
{
  FW RULE CATEGORY BOOT,
  FW RULE CATEGORY STEALTH,
  FW RULE CATEGORY FIREWALL,
  FW RULE CATEGORY CONSEC,
  // Not a valid rule category -- only used for bounds checking.
  FW RULE CATEGORY MAX
} FW RULE CATEGORY, *PFW RULE CATEGORY;
// Struct representing a third-party firewall product
typedef struct tag FW PRODUCT
{
  // Currently, no flags are defined, so this is just a placeholder.
  DWORD dwFlags;
  // Array of rule types implemented by the firewall. May be zero length, in
  // which case branding is confirmed but Windows firewall functionality is
  // not replaced.
  DWORD dwNumRuleCategories;
  [size is(dwNumRuleCategories), unique]
  FW RULE CATEGORY* pRuleCategories;
  [string, ref]
  wchar_t* pszDisplayName;
  // The following field is only used when enumerating the registered
  // products. It must be null when calling FWRegisterProduct.
  [string, unique]
  wchar_t* pszPathToSignedProductExe;
} FW PRODUCT, *PFW PRODUCT;
```

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```
* Connection Security Rule structures
                                                       *
 typedef enum _tag_FW_IP_VERSION
{
   FW IP VERSION INVALID,
   FW_IP_VERSION_V4,
   FW_IP_VERSION_V6,
   FW_IP_VERSION_MAX
}FW_IP_VERSION;
typedef enum _tag_FW_IPSEC_PHASE
{
   FW IPSEC PHASE INVALID,
   FW IPSEC PHASE 1,
   FW IPSEC PHASE 2,
   FW IPSEC PHASE MAX
}FW IPSEC PHASE;
typedef enum _tag_FW_CS_RULE_FLAGS
{
   FW CS RULE FLAGS NONE
                                                = 0 \times 00,
   FW_CS_RULE_FLAGS_ACTIVE
FW_CS_RULE_FLAGS_DTM
                                                = 0 \times 01,
   FW_CS_RULE_FLAGS_DTM
                                                = 0 \times 02,
   FW_CS_RULE_FLAGS_TUNNEL_BYPASS_IF_ENCRYPTED = 0x08,
   FW_{CS}RULE_{FLAGS}OUTBOUND_{CLEAR} = 0x10,

FW_{CS}RULE_{FLAGS}APPLY_{AUTHZ} = 0x20.
   FW CS RULE FLAGS APPLY AUTHZ
                                              = 0 \times 20,
   FW CS RULE FLAGS KEY MANAGER ALLOW DICTATE KEY = 0 \times 40,
   FW CS RULE FLAGS KEY MANAGER ALLOW NOTIFY KEY = 0 \times 80,
                                              = 0x100,
= 0x02,
   FW_CS_RULE_FLAGS_MAX=FW_CS_RULE_FLAGS_MAX_V2_1=FW_CS_RULE_FLAGS_MAX_V2_10=0x40
}FW CS RULE FLAGS;
typedef enum tag FW CS RULE ACTION
{
   FW CS RULE ACTION INVALID,
   FW CS RULE ACTION SECURE SERVER,
   FW CS RULE ACTION BOUNDARY,
   FW CS RULE ACTION SECURE,
   FW_CS_RULE_ACTION_DO_NOT_SECURE,
   FW CS RULE ACTION MAX
}FW CS RULE ACTION;
typedef struct _tag_FW_CS_RULE2_0
{
   struct _tag_FW_CS_RULE2_0 *pNext;
   WORD
                     wSchemaVersion;
   [string, range(1,512), ref]
   WCHAR* wszRuleId;
   [string, range(1,10001)]
   WCHAR*
                      wszName;
```

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```
[string, range(1,10001)]
    WCHAR*
                      wszDescription;
    DWORD
                       dwProfiles;
    FW ADDRESSES
                      Endpoint1;
                     Endpoint2;
    FW ADDRESSES
    FW INTERFACE LUIDS LocalInterfaceIds;
    DWORD
                       dwLocalInterfaceTypes; // Bit flags from FW INTERFACE TYPE
    DWORD
                      dwLocalTunnelEndpointV4;
                      LocalTunnelEndpointV6[16];
    BYTE
    DWORD
                      dwRemoteTunnelEndpointV4;
    BYTE
                      RemoteTunnelEndpointV6[16];
    FW PORTS
                     Endpoint1Ports;
    FW PORTS
                      Endpoint2Ports;
    [range(0,256)]
    WORD
                      wIpProtocol;
    [string, range(1,255)]
   WCHAR*
                     wszPhaselAuthSet; // Set this to FW DEFAULT PHASE1 AUTH SET to use
the default.
    [string, range(1,255)]
    WCHAR*
                     wszPhase2CryptoSet; // Set this to FW DEFAULT PHASE2 CRYPTO SET to
use the default.
   [string, range(1,255)]
   WCHAR*
                      wszPhase2AuthSet; // If NULL, no phase 2 authentication is
performed.
                                           // Set this to FW DEFAULT PHASE2 AUTH SET to use
the default.
    // Phase 1 crypto is global; Set Id unnecessary.
    [range(FW CS RULE ACTION_SECURE_SERVER, FW_CS_RULE_ACTION_MAX)]
    FW CS RULE ACTION Action;
                       wFlags;
    WORD
                                        // Bit flags from FW CS RULE FLAGS
    [string, range(1,10001)]
    WCHAR*
                      wszEmbeddedContext;
    FW OS PLATFORM LIST PlatformValidityList;
    [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
    FW RULE ORIGIN TYPE Origin;
                                   // Rule origin, filled on enumerated rules. Ignored on
input.
    [string, range(1,10001)]
                     wszGPOName; // Name of originating GPO, if rule origin is GP.
    WCHAR*
                       Status; // Parsing error if any, filled on return. On input, set
    FW RULE STATUS
this to FW RULE STATUS OK.
}FW CS RULE2 0, *PFW CS RULE2 0;
typedef enum tag FW KEY MODULE
{
    FW KEY MODULE DEFAULT = 0 \times 0,
    FW KEY MODULE IKEv1 = 0x1,
    FW KEY MODULE AUTHIP = 0x2,
    FW KEY MODULE IKEv2 = 0x4,
    FW KEY MODULE MAX = 0x8
} FW KEY MODULE;
typedef struct _tag_FW_CS_RULE2_10
```

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```
{
```

```
struct _tag_FW_CS_RULE2_10 *pNext;
        WORD
                                                wSchemaVersion;
        [string, range(1,512), ref]
        WCHAR*
                                                wszRuleId;
        [string, range(1,10001)]
        WCHAR*
                                                wszName;
        [string, range(1,10001)]
        WCHAR*
                                                wszDescription;
        DWORD
                                                dwProfiles;
        FW_ADDRESSES Endpoint1;
FW_ADDRESSES Endpoint2;
        FW_INTERFACE_LUIDS LocalInterfaceIds;
        DWORD
                                                dwLocalInterfaceTypes; // Bit flags from FW INTERFACE TYPE
        DWORD
                                                dwLocalTunnelEndpointV4;
        BYTE
                                                LocalTunnelEndpointV6[16];
                                            dwRemoteTunnelEndpointV4;
        DWORD
        BYTE
                                               RemoteTunnelEndpointV6[16];
        FW PORTS
                                               Endpoint1Ports;
        FW PORTS
                                                Endpoint2Ports;
        [range(0,256)]
        WORD
                                                 wIpProtocol;
        [string, range(1,255)]
        WCHAR*
                                               wszPhaselAuthSet; // Set this to FW_DEFAULT_PHASE1_AUTH_SET to use
the default.
        [string, range(1,255)]
                                   wszPhase2CryptoSet; // Set this to FW DEFAULT PHASE2 CRYPTO SET to
        WCHAR*
use the default.
        [string, range(1,255)]
        WCHAR*
                                                 wszPhase2AuthSet; // If NULL, no phase 2 authentication is
performed.
                                                                                            // Set this to FW DEFAULT PHASE2 AUTH SET to use
the default.
        // Phase 1 crypto is global; Set Id unnecessary.
        [range(FW CS RULE ACTION SECURE SERVER, FW CS RULE ACTION MAX)]
        FW CS RULE ACTION Action;
        WORD
                                                                                       // Bit flags from FW CS RULE FLAGS
                                                 wFlags;
        [string, range(1,10001)]
        WCHAR*
                                                wszEmbeddedContext;
        FW OS PLATFORM LIST PlatformValidityList;
        [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
        FW_RULE_ORIGIN_TYPE Origin; // Rule origin, filled on enumerated rules. Ignored on
input.
         [string, range(1,10001)]
        WCHAR* wszGPOName; // Name of originating GPO, if rule origin is GP.
FW_RULE_STATUS Status; // Parsing error if the first firs
                                               Status; // Parsing error if any, filled on return. On input, set
this to FW RULE STATUS OK.
        [string, range(1,512)]
        WCHAR*
                                                 wszMMParentRuleId;
        DWORD
                                                 Reserved:
         [size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
        PFW OBJECT METADATA pMetaData;
```

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```
}FW CS RULE2 10, *PFW CS RULE2 10;
typedef struct tag FW CS RULE
{
    struct _tag_FW_CS_RULE *pNext;
    WORD
                       wSchemaVersion;
    [string, range(1,512), ref]
    WCHAR*
                      wszRuleId;
    [string, range(1,10001)]
    WCHAR*
                       wszName;
    [string, range(1,10001)]
    WCHAR*
                      wszDescription;
    DWORD
                      dwProfiles;
   FW_ADDRESSES Endpoint1;
FW_ADDRESSES Endpoint2;
    FW_INTERFACE_LUIDS LocalInterfaceIds;
    DWORD
                       dwLocalInterfaceTypes; // Bit flags from FW INTERFACE TYPE
    DWORD
                       dwLocalTunnelEndpointV4;
    BYTE
                       LocalTunnelEndpointV6[16];
    DWORD
                       dwRemoteTunnelEndpointV4;
                       RemoteTunnelEndpointV6[16];
    BYTE
    FW PORTS
                      Endpoint1Ports;
    FW PORTS
                       Endpoint2Ports;
    [range(0,256)]
    WORD
                       wIpProtocol;
    [string, range(1,255)]
    WCHAR*
                       wszPhaselAuthSet; // Set this to FW DEFAULT PHASE1 AUTH SET to use
the default.
    [string, range(1,255)]
    WCHAR*
                      wszPhase2CryptoSet; // Set this to FW DEFAULT PHASE2 CRYPTO SET to
use the default.
    [string, range(1,255)]
    WCHAR*
                       wszPhase2AuthSet; // If NULL, no phase 2 authentication is
performed.
                                           // Set this to FW DEFAULT PHASE2 AUTH SET to use
the default.
    // Phase 1 crypto is global; Set Id unnecessary.
    [range(FW CS RULE ACTION SECURE SERVER, FW_CS_RULE_ACTION_MAX)]
    FW CS RULE ACTION Action;
    WORD
                       wFlags;
                                         // Bit flags from FW CS RULE FLAGS
    [string, range(1,10001)]
                      wszEmbeddedContext;
    WCHAR*
    FW OS PLATFORM LIST PlatformValidityList;
    [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
    FW RULE ORIGIN TYPE Origin;
                                 // Rule origin, filled on enumerated rules. Ignored on
input.
    [string, range(1,10001)]
    WCHAR*
                     wszGPOName; // Name of originating GPO, if rule origin is GP.
   FW RULE STATUS
                      Status; // Parsing error if any, filled on return. On input, set
this to FW RULE STATUS OK.
    [string, range(1,512)]
    WCHAR*
                       wszMMParentRuleId;
    DWORD
                       Reserved;
```

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```
[size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
    PFW OBJECT METADATA pMetaData;
    [string, range(1,512)]
   WCHAR* wszRemoteTunnelEndpointFqdn;
FW_ADDRESSES RemoteTunnelEndpoints;
DWORD dwKeyModules;
    DWORD
                        FwdPathSALifetime; // In seconds. Lifetime of SAs initiated by FWD
path traffic.
    [string, range(1,10001)]
                 wszTransportMachineAuthzSDDL; // SDDL describing machine
    LPWSTR
authorization
   [string, range(1,10001)]
                          wszTransportUserAuthzSDDL; // SDDL describing user authorization
    LPWSTR
}FW CS RULE, *PFW CS RULE;
* Ipsec Authentication Sets (Phase 1 and 2) structures
 *****
typedef enum tag FW AUTH METHOD
{
    FW AUTH METHOD_INVALID,
   FW_AUTH_METHOD_ANONYMOUS,// Phase 1 and 2FW_AUTH_METHOD_MACHINE_KERB,// Phase 1 onlyFW_AUTH_METHOD_MACHINE_SHKEY,// Phase 1 (IKE) onlyFW_AUTH_METHOD_MACHINE_NTLM,// Phase 1 (AuthIp) only
    // If machine cert is specified for Phase2, it MUST be a health cert,
    // and no other authentication suites may be defined other than possibly anonymous.
   FW_AUTH_METHOD_MACHINE_CER1,FW_AUTH_METHOD_USER_KERB,FW_AUTH_METHOD_USER_CERT,FW_AUTH_METHOD_USER_NTLM,FW_AUTH_METHOD_USER_NTLM,FW_AUTH_METHOD_MACHINE_RESERVED,FW_AUTH_METHOD_USER_RESERVED,FW_AUTH_METHOD_USER_RESERVED,FW_AUTH_METHOD_USER_RESERVED,
    FW_AUTH_METHOD_MACHINE_CERT, // Phase 1 and 2
    FW AUTH METHOD MAX,
    FW_AUTH_METHOD_MAX_2_10 = (FW_AUTH METHOD USER NTLM + 1)
}FW AUTH METHOD;
typedef enum tag FW AUTH SUITE FLAGS
{
    FW AUTH SUITE FLAGS NONE
                                                                 = 0 \times 0000,
    // For Method = FW_AUTH_METHOD_MACHINE_CERT, Phase 1 only
    FW_AUTH_SUITE_FLAGS_CERT_EXCLUDE_CA_NAME = 0x0001,
    // For Method = FW AUTH METHOD MACHINE CERT, Phase 1 and 2
    // For Phase 2, if Method = FW AUTH METHOD MACHINE CERT, this flag MUST be specified.
    FW AUTH SUITE FLAGS HEALTH CERT
                                                                 = 0 \times 0002,
    // For Method = FW AUTH METHOD MACHINE CERT (Phase 1 and 2), FW AUTH METHOD USER CERT
    FW_AUTH_SUITE_FLAGS_PERFORM_CERT_ACCOUNT_MAPPING = 0x0004,
    FW AUTH SUITE FLAGS CERT SIGNING ECDSA256
                                                                 = 0 \times 0008,
```

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```
FW AUTH SUITE FLAGS CERT SIGNING ECDSA384
                                                             = 0 \times 0010,
    FW AUTH SUITE FLAGS MAX V2 1
                                                             = 0 \times 0020,
    FW_AUTH_SUITE_FLAGS_INTERMEDIATE_CA
                                                             = 0 \times 0020,
    FW AUTH SUITE FLAGS ALLOW PROXY
                                                             = 0 \times 0040,
    FW AUTH SUITE FLAGS MAX
                                                             = 0 \times 0080
}FW AUTH SUITE FLAGS;
typedef struct tag FW AUTH SUITE2 10
{
    [range(FW AUTH METHOD INVALID+1, FW AUTH METHOD MAX)]
    FW_AUTH_METHOD Method;
    WORD
                      wFlags;
                                          // Bit-flags from FW AUTH SUITE FLAGS
    [switch type (FW AUTH METHOD), switch is (Method)]
    union
    {
        // For Method = FW_AUTH_METHOD_MACHINE_CERT
        // For Method = FW AUTH METHOD USER CERT
        [case(FW AUTH METHOD MACHINE CERT, FW AUTH METHOD USER CERT)]
        struct
        {
           [ref, string]
           WCHAR* wszCAName;
        };
        // For Method = FW_AUTH_METHOD_MACHINE_SHKEY
        [case(FW_AUTH_METHOD_MACHINE_SHKEY)]
        struct
        {
           [ref, string]
           WCHAR* wszSHKey;
        };
        [default]
        ;
    };
}FW AUTH SUITE2 10, *PFW AUTH SUITE2 10;
typedef enum tag FW CERT CRITERIA NAME TYPE
{
    FW CERT CRITERIA NAME NONE,
   FW CERT CRITERIA NAME DNS,
   FW_CERT_CRITERIA_NAME_UPN,
   FW_CERT_CRITERIA_NAME_RFC822,
    FW_CERT_CRITERIA NAME CN,
    FW_CERT_CRITERIA_NAME_OU,
    FW CERT CRITERIA NAME O,
    FW CERT CRITERIA NAME DC,
    FW CERT_CRITERIA_NAME_MAX
}FW CERT CRITERIA NAME TYPE;
typedef enum tag FW CERT CRITERIA TYPE
{
    FW CERT CRITERIA TYPE BOTH,
    FW_CERT_CRITERIA_TYPE_SELECTION,
    FW_CERT_CRITERIA_TYPE VALIDATION,
    FW CERT CRITERIA TYPE MAX
```

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```
}FW CERT CRITERIA TYPE;
typedef enum tag FW CERT CRITERIA FLAGS
{
    FW AUTH CERT CRITERIA FLAGS NONE
                                                                      = 0 \times 0000,
    FW AUTH CERT CRITERIA FLAGS FOLLOW RENEWAL
                                                           = 0 \times 0001,
    FW_AUTH_CERT_CRITERIA_FLAGS_MAX
                                                                       = 0 \times 0002
}FW AUTH CERT CRITERIA FLAGS;
typedef struct tag FW CERT CRITERIA
{
    WORD wSchemaVersion;
   WORD wFlags;
   FW CERT CRITERIA TYPE CertCriteriaType;
    FW CERT CRITERIA NAME TYPE NameType;
    [string, unique]
   LPWSTR wszName;
   DWORD dwNumEku;
   [size is(dwNumEku), unique]
   LPSTR* ppEku;
   [string, unique]
   LPWSTR wszHash;
}FW_CERT_CRITERIA, *PFW_CERT_CRITERIA;
typedef struct _tag_FW_AUTH_SUITE
{
    [range(FW AUTH METHOD INVALID+1, FW AUTH METHOD MAX)]
    FW_AUTH_METHOD
                    Method;
    WORD
                       wFlags;
                                          // Bit-flags from FW AUTH SUITE FLAGS
    [switch type(FW AUTH METHOD), switch is(Method)]
    union
    {
        // For Method = FW_AUTH_METHOD_MACHINE_CERT
        // For Method = FW AUTH METHOD USER CERT
        [case(FW AUTH METHOD MACHINE CERT, FW AUTH METHOD USER CERT)]
        struct
        {
           [ref, string]
           WCHAR*
                       wszCAName;
           [unique]
            PFW CERT CRITERIA pCertCriteria;
        };
        // For Method = FW AUTH METHOD MACHINE SHKEY
        [case(FW AUTH METHOD MACHINE SHKEY)]
        struct
        {
            [ref, string]
            WCHAR*
                            wszSHKey;
        };
        // For Method = FW AUTH METHOD MACHINE KERB
        // For Method = FW AUTH METHOD USER KERB
        [case(FW AUTH METHOD MACHINE KERB, FW AUTH_METHOD_USER_KERB)]
        struct
        {
```

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```
[unique, string]
           WCHAR* wszProxyServer;
       };
       [default]
       ;
   };
}FW AUTH SUITE, *PFW AUTH SUITE;
typedef enum _tag_FW_AUTH SET FLAGS
{
   FW AUTH SET FLAGS NONE
                            = 0 \times 00,
   FW_AUTH_SET_FLAGS_EMPTY = 0x01,
   FW_AUTH_SET_FLAGS_MAX = 0x02,
FW_AUTH_SET_FLAGS_MAX_2_10 = 0x01
} FW_AUTH_SET FLAGS;
typedef struct _tag_FW_AUTH SET2 10
{
   struct _tag_FW_AUTH_SET2_10* pNext;
   WORD
                      wSchemaVersion:
   [range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)]
   FW IPSEC PHASE IpSecPhase;
   [string, range(1,255), ref]
   WCHAR*
                      wszSetId;
                                     // To make this the default auth set, set the Id to
FW DEFAULT PHASE1 AUTH SET
                                      // or FW DEFAULT PHASE2 AUTH SET as appropriate.
   [string, range(1,10001)]
   WCHAR*
                     wszName;
   [string, range(1,10001)]
   WCHAR*
                      wszDescription;
   [string, range(1,10001)]
   WCHAR*
                      wszEmbeddedContext;
   [range(0, 10000)]
   DWORD
                      dwNumSuites;
   [size is(dwNumSuites)]
   PFW AUTH SUITE2 10
                         pSuites;
   [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin;
                               // Rule origin, filled on enumerated rules. Ignored on
input.
   [string, range(1,10001)]
   WCHAR*
                   wszGPOName; // Name of originating GPO, if rule origin is GP.
   FW RULE STATUS
                       Status; // Parsing error if any, filled on return. On input, set
this to FW_RULE_STATUS_OK.
   DWORD
                      dwAuthSetFlags;
}FW AUTH SET2 10, *PFW AUTH SET2 10;
typedef struct tag FW AUTH SET
{
   struct _tag_FW_AUTH SET*
                             pNext;
   WORD
                     wSchemaVersion;
    [range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)]
   FW_IPSEC_PHASE IpSecPhase;
   [string, range(1,255), ref]
   WCHAR*
                      wszSetId;
                                      // To make this the default auth set, set the Id to
FW DEFAULT PHASE1 AUTH SET
                                      // or FW DEFAULT PHASE2 AUTH SET as appropriate.
```

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```
[string, range(1,10001)]
   WCHAR*
                     wszName;
   [string, range(1,10001)]
   WCHAR*
                     wszDescription;
    [string, range(1,10001)]
   WCHAR*
                     wszEmbeddedContext;
   [range(0, 10000)]
   DWORD
                      dwNumSuites;
   [size is(dwNumSuites)]
   PFW AUTH SUITE
                    pSuites;
    [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin; // Rule origin, filled on enumerated rules. Ignored on
input.
   [string, range(1,10001)]
   WCHAR* wszGPOName; // Name of originating GPO, if rule origin is GP.
FW_RULE_STATUS Status; // Parsing error if any, filled on return. On input, set
this to FW RULE STATUS OK.
  DWORD dwAuthSetFlags; // Flags from FW_AUTH_SET_FLAGS
}FW AUTH SET, *PFW AUTH SET;
/*****
                                                     *
                                                     *
    Ipsec Crypto Set structures
typedef enum tag FW CRYPTO KEY EXCHANGE TYPE
   FW CRYPTO KEY EXCHANGE NONE = 0, // When enumerating SAs, this value may be returned.
Invalid for all other cases.
   FW CRYPTO KEY EXCHANGE DH1,
   FW CRYPTO KEY EXCHANGE DH2,
   FW CRYPTO KEY EXCHANGE ECDH256,
   FW CRYPTO KEY EXCHANGE ECDH384,
   FW CRYPTO KEY EXCHANGE DH2048,
   FW_CRYPTO_KEY_EXCHANGE DH24,
   FW_CRYPTO_KEY_EXCHANGE_MAX,
   FW_CRYPTO_KEY_EXCHANGE_DH14 = FW_CRYPTO_KEY_EXCHANGE_DH2048,
   FW CRYPTO KEY EXCHANGE MAX V2 10 = FW CRYPTO KEY EXCHANGE DH24
}FW CRYPTO KEY EXCHANGE TYPE;
typedef enum _tag_FW_CRYPTO_ENCRYPTION_TYPE
{
   FW CRYPTO ENCRYPTION NONE,
   FW CRYPTO ENCRYPTION DES,
   FW CRYPTO ENCRYPTION 3DES,
   FW_CRYPTO_ENCRYPTION AES128,
   FW_CRYPTO_ENCRYPTION_AES192,
   FW_CRYPTO_ENCRYPTION AES256,
   FW CRYPTO ENCRYPTION AES GCM128,
   FW CRYPTO ENCRYPTION_AES_GCM192,
   FW_CRYPTO_ENCRYPTION_AES_GCM256,
   FW CRYPTO ENCRYPTION MAX,
   FW CRYPTO ENCRYPTION MAX V2 0 = FW CRYPTO ENCRYPTION AES GCM128
}FW CRYPTO ENCRYPTION TYPE;
```

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```
typedef enum _tag_FW_CRYPTO_HASH_TYPE
{
   FW CRYPTO HASH NONE,
   FW CRYPTO HASH MD5,
   FW CRYPTO HASH SHA1,
   FW_CRYPTO_HASH_SHA256,
   FW CRYPTO HASH SHA384,
   FW CRYPTO HASH AES GMAC128,
   FW CRYPTO HASH AES GMAC192,
   FW CRYPTO HASH AES GMAC256,
   FW_CRYPTO_HASH_MAX,
   FW CRYPTO HASH MAX V2 0 = FW CRYPTO HASH SHA256
}FW CRYPTO HASH TYPE;
typedef enum _tag_FW_CRYPTO_PROTOCOL_TYPE
{
   FW CRYPTO PROTOCOL INVALID,
   FW CRYPTO PROTOCOL AH,
   FW CRYPTO PROTOCOL ESP,
   FW CRYPTO PROTOCOL BOTH,
   FW CRYPTO PROTOCOL AUTH NO ENCAP,
   FW_CRYPTO_PROTOCOL_MAX,
   FW_CRYPTO_PROTOCOL_MAX_2_1 = (FW_CRYPTO_PROTOCOL_BOTH + 1)
}FW CRYPTO PROTOCOL TYPE;
typedef enum _tag_FW_CRYPTO_SET_FLAGS
{
   FW CRYPTO SET FLAGS NONE
                               = 0 \times 00,
   FW CRYPTO SET FLAGS EMPTY = 0x01,
   FW_CRYPTO_SET_FLAGS_MAX = 0x02,
FW_CRYPTO_SET_FLAGS_MAX_2_10 = 0x01
} FW_CRYPTO_SET_FLAGS;
typedef struct tag FW PHASE1 CRYPTO SUITE
{
    [range(FW CRYPTO KEY EXCHANGE NONE, FW CRYPTO KEY EXCHANGE MAX-1)]
   FW CRYPTO KEY EXCHANGE TYPE KeyExchange;
   [range(FW CRYPTO ENCRYPTION NONE+1, FW CRYPTO ENCRYPTION MAX-1)]
   FW CRYPTO ENCRYPTION TYPE Encryption;
    [range(FW CRYPTO HASH NONE+1, FW CRYPTO HASH MAX-1)]
   FW CRYPTO HASH TYPE
                              Hash;
   DWORD
                                dwP1CryptoSuiteFlags;
}FW PHASE1 CRYPTO SUITE, *PFW PHASE1 CRYPTO SUITE;
typedef struct _tag_FW_PHASE2_CRYPTO_SUITE
{
    [range(FW_CRYPTO_PROTOCOL_INVALID+1, FW_CRYPTO_PROTOCOL_MAX-1)]
   FW CRYPTO PROTOCOL TYPE Protocol;
                        AhHash;
   FW_CRYPTO_HASH_TYPE
   FW CRYPTO HASH TYPE
                             EspHash;
   FW CRYPTO ENCRYPTION TYPE Encryption;
   DWORD
                               dwTimeoutMinutes;
   DWORD
                               dwTimeoutKBytes;
```

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```
DWORD
                                dwP2CryptoSuiteFlags;
}FW PHASE2 CRYPTO SUITE, *PFW PHASE2 CRYPTO SUITE;
typedef enum _tag FW PHASE1 CRYPTO FLAGS
{
    FW PHASE1 CRYPTO FLAGS NONE
                                                      = 0 \times 00,
    FW PHASE1 CRYPTO FLAGS DO NOT SKIP DH
                                                     = 0 \times 01,
    FW PHASE1 CRYPTO FLAGS MAX
                                                      = 0 \times 02
}FW PHASE1 CRYPTO FLAGS;
typedef enum _tag_FW_PHASE2_CRYPTO_PFS
{
    FW_PHASE2_CRYPTO_PFS_INVALID,
   FW_PHASE2_CRYPTO_PFS_DISABLE,
FW_PHASE2_CRYPTO_PFS_PHASE1,
    FW PHASE2 CRYPTO PFS DH1,
    FW PHASE2 CRYPTO PFS DH2,
    FW PHASE2 CRYPTO PFS DH2048,
    FW PHASE2 CRYPTO PFS ECDH256,
    FW PHASE2 CRYPTO PFS ECDH384,
    FW PHASE2 CRYPTO PFS DH24,
    FW PHASE2 CRYPTO PFS MAX,
    FW_PHASE2_CRYPTO_PFS_MAX_V2_10 = FW_PHASE2_CRYPTO_PFS_DH24
}FW PHASE2 CRYPTO PFS;
typedef struct tag FW CRYPTO SET
{
    struct _tag_FW_CRYPTO_SET* pNext;
    WORD
                        wSchemaVersion;
    [range(FW IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)]
    FW IPSEC PHASE
                       IpSecPhase;
    [string, range(1,255), ref]
                         wszSetId; \ // For phase 1 crypto, this MUST be set to
    WCHAR*
\ensuremath{\texttt{FW}\_\texttt{PHASE1\_CRYPTO\_SET}} (there can only be one phase 1 crypto set).
                                     // For phase 2 crypto, set this to
FW DEFAULT PHASE2 CRYPTO SET to make it the default.
    [string, range(1,10001)]
    WCHAR*
                        wszName;
    [string, range(1,10001)]
    WCHAR*
                        wszDescription;
    [string, range(1,10001)]
    WCHAR*
                        wszEmbeddedContext;
    [switch_type(FW_IPSEC_PHASE), switch_is(IpSecPhase)]
    union
    {
        [case(FW IPSEC PHASE 1)]
        struct
        {
            WORD
                                       wFlags;
                                                      // Bit-flags from FW PHASE1 CRYPTO FLAGS
            [range(0, 10000)]
                                        dwNumPhase1Suites;
            DWORD
            [size is(dwNumPhase1Suites)]
            PFW PHASE1 CRYPTO SUITE pPhase1Suites;
            DWORD
                                        dwTimeOutMinutes;
            DWORD
                                       dwTimeOutSessions;
        };
```

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```
[case(FW IPSEC PHASE 2)]
       struct
       {
           FW_PHASE2_CRYPTO_PFS Pfs;
           [range(0, 10000)]
           DWORD
                                   dwNumPhase2Suites;
           [size is(dwNumPhase2Suites)]
           PFW PHASE2 CRYPTO SUITE pPhase2Suites;
       };
   };
   [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW RULE ORIGIN TYPE Origin; // Rule origin, filled on enumerated rules. Ignored on
input.
   [string, range(1,10001)]
                     wszGPOName; // Name of originating GPO, if rule origin is GP.
   WCHAR*
   WCHAR*wszGPOName; // Name of originating GPO, if rule origin is Gr.FW_RULE_STATUSStatus; // Parsing error if any, filled on return. On input, set
this to FW RULE STATUS OK.
  DWORD dwCryptoSetFlags; // Flags from FW CRYPTO SET FLAGS
}FW CRYPTO SET, *PFW CRYPTO SET;
*
    SA structures (dynamic store only)
 typedef struct _tag_FW_BYTE_BLOB
{
   [range(0, 10000)]
   DWORD dwSize;
   [size_is(dwSize)]
   BYTE* Blob;
}FW BYTE BLOB, *PFW BYTE BLOB;
typedef struct _tag_FW_COOKIE_PAIR
{
   UINT64 Initiator;
UINT64 Responder;
}FW COOKIE PAIR, *PFW COOKIE PAIR;
typedef enum tag FW PHASE1 KEY MODULE TYPE
{
   FW PHASE1 KEY MODULE INVALID,
   FW PHASE1 KEY MODULE IKE,
   FW PHASE1 KEY MODULE AUTH IP,
   FW PHASE1 KEY MODULE MAX
}FW PHASE1 KEY MODULE TYPE;
typedef struct _tag_FW_CERT_INFO
{
   FW BYTE BLOB SubjectName;
   [range(FW AUTH SUITE FLAGS NONE, FW AUTH SUITE FLAGS MAX-1)]
    // Only FW AUTH SUITE FLAGS HEALTH CERT and/or
FW_AUTH_SUITE_FLAGS_PERFORM_CERT_ACCOUNT_MAPPING will be set.
   DWORD
              dwCertFlags; // Bit-flags from FW AUTH SUITE FLAGS
}FW CERT INFO, *PFW CERT INFO;
```

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```
typedef struct tag FW AUTH INFO
{
    [range(FW AUTH METHOD INVALID + 1, FW AUTH METHOD MAX)]
   FW AUTH METHOD AuthMethod;
    [switch type(FW AUTH METHOD), switch is(AuthMethod)]
   union
    {
        [case(FW AUTH METHOD MACHINE CERT, FW AUTH METHOD USER CERT)]
       // For auth method = cert
       struct
        {
           FW CERT INFO
                            MyCert;
           FW CERT INFO
                             PeerCert;
       };
        [case(FW AUTH METHOD MACHINE KERB, FW AUTH METHOD USER KERB,
             FW AUTH METHOD MACHINE RESERVED, FW AUTH METHOD USER RESERVED)]
        // For auth method = kerb
       struct
        {
           [string, range(1,10001)]
           WCHAR* wszMyId;
           [string, range(1,10001)]
           WCHAR* wszPeerId;
       };
       [default]
       ;
    };
   DWORD
                  dwAuthInfoFlags;
}FW_AUTH_INFO, *PFW_AUTH_INFO;
typedef struct _tag_FW_ENDPOINTS
{
    [range(FW IP VERSION INVALID+1, FW IP VERSION MAX-1)]
   FW IP VERSION IpVersion;
             dwSourceV4Address;
   DWORD
   DWORD
                  dwDestinationV4Address;
   BYTE
                  SourceV6Address[16];
                 DestinationV6Address[16];
   BYTE
}FW ENDPOINTS, *PFW ENDPOINTS;
typedef struct tag FW PHASE1 SA DETAILS
{
   UINT64
                               SaId;
   [range(FW PHASE1 KEY MODULE INVALID+1, FW PHASE1 KEY MODULE MAX-1)]
   FW PHASE1 KEY MODULE TYPE KeyModuleType;
   FW ENDPOINTS
                               Endpoints; // 0 = Any
   FW PHASE1 CRYPTO SUITE
                               SelectedProposal;
                                                            // Currently not supported.
   DWORD
                               dwProposalLifetimeKBytes;
   DWORD
                               dwProposalLifetimeMinutes;
   DWORD
                               dwProposalMaxNumPhase2;
   FW COOKIE PAIR
                               CookiePair;
   PFW AUTH INFO
                               pFirstAuth; // First authentication - always present
   PFW AUTH INFO
                               pSecondAuth; // First authentication - may be NULL
   DWORD
                               dwP1SaFlags; // Currently set to 0.
```

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```
}FW PHASE1 SA DETAILS, *PFW PHASE1 SA DETAILS;
typedef enum tag FW PHASE2 TRAFFIC TYPE
{
   FW PHASE2 TRAFFIC TYPE INVALID,
   FW PHASE2 TRAFFIC TYPE TRANSPORT,
   FW PHASE2 TRAFFIC TYPE TUNNEL,
   FW PHASE2 TRAFFIC TYPE MAX
}FW PHASE2 TRAFFIC TYPE;
typedef struct _tag_FW_PHASE2_SA_DETAILS
{
   UINT64
                       Sald:
    [range(FW DIR INVALID+1, FW DIR MAX-1)]
   FW_DIRECTION Direction;
   FW ENDPOINTS Endpoints; // 0 = Any
   WORD
                      wLocalPort; // 0 = Any
   WORD
                       wRemotePort; // 0 = Any
   WORD
                       wIpProtocol; // 0-255 or FW IP PROTOCOL ANY
   FW_PHASE2_CRYPTO_SUITE SelectedProposal;
   FW PHASE2 CRYPTO PFS
                           Pfs;
   GUID
                       TransportFilterId;
   DWORD
                       dwP2SaFlags; // Currently set to 0.
}FW PHASE2 SA DETAILS, *PFW PHASE2 SA DETAILS;
typedef
   [switch type(FW PROFILE CONFIG)]
union _FW_PROFILE_CONFIG_VALUE
{
    [case(FW PROFILE CONFIG LOG FILE PATH)]
   [string, range(1,10001)]
   WCHAR* wszStr;
    [case(FW PROFILE CONFIG DISABLED INTERFACES)]
   PFW INTERFACE LUIDS pDisabledInterfaces;
    [case(FW PROFILE CONFIG ENABLE FW,
       FW PROFILE CONFIG DISABLE STEALTH MODE,
        FW PROFILE CONFIG SHIELDED,
        FW PROFILE CONFIG DISABLE UNICAST RESPONSES TO MULTICAST BROADCAST,
       FW PROFILE CONFIG LOG DROPPED PACKETS,
       FW PROFILE CONFIG LOG SUCCESS CONNECTIONS,
       FW PROFILE CONFIG LOG IGNORED RULES,
       FW PROFILE CONFIG LOG MAX FILE SIZE,
        FW PROFILE CONFIG DISABLE INBOUND NOTIFICATIONS,
       FW PROFILE CONFIG AUTH APPS ALLOW USER PREF MERGE,
       FW PROFILE CONFIG GLOBAL PORTS ALLOW USER PREF MERGE,
        FW PROFILE CONFIG ALLOW LOCAL POLICY MERGE,
       FW PROFILE CONFIG ALLOW LOCAL IPSEC POLICY MERGE,
        FW PROFILE CONFIG DEFAULT OUTBOUND ACTION,
```

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```
FW PROFILE CONFIG DEFAULT INBOUND ACTION,
      FW PROFILE CONFIG DISABLE STEALTH MODE IPSEC SECURED PACKET EXEMPTION)]
   DWORD* pdwVal;
}FW PROFILE CONFIG VALUE, *PFW PROFILE CONFIG VALUE;
*
                                                          +
   Main Mode Rule Structures
                                                          +
*****
typedef struct _tag_FW_MM_RULE
{
   struct _tag_FW_MM_RULE *pNext;
   WORD
                  wSchemaVersion;
   [string, range(1,512), ref]
   WCHAR*
          wszRuleId;
   [string, range(1,10001)]
   WCHAR* wszName;
   [string, range(1,10001)]
   WCHAR*
                  wszDescription;
   DWORD
                  dwProfiles;
  FW_ADDRESSES Endpoint1;
FW_ADDRESSES Endpoint2;
   [string, range(1,255)]
            wszPhaselAuthSet; // Set this to FW_DEFAULT_PHASE1_AUTH_SET to use
   WCHAR*
the default.
   [string, range(1,255)]
   WCHAR* wszPhaselCryptoSet; // Set this to FW_DEFAULT_PHASE1_CRYPTO SET to
use the default.
  WORD wFlags;
                                 // Bit flags from FW CS RULE FLAGS
   [string, range(1,10001)]
   WCHAR* wszEmbeddedContext;
   FW OS PLATFORM LIST PlatformValidityList;
   [range(FW RULE ORIGIN INVALID, FW RULE ORIGIN MAX-1)]
   FW_RULE_ORIGIN_TYPE Origin; // Rule origin, filled on enumerated rules. Ignored on
input.
   [string, range(1,10001)]
  WCHAR*wszGPOName; // Name of originating GPO, if rule origin is GP.FW_RULE_STATUSStatus; // Parsing error if any, filled on return. On input, set
this to FW_RULE_STATUS_OK.
  DWORD
                  Reserved;
   [size is((Reserved & FW OBJECT CTRL FLAG INCLUDE METADATA) ? 1 : 0)]
   PFW OBJECT METADATA pMetaData;
}FW MM RULE, *PFW MM RULE;
*
*
   Query Structures
                                                          *
```

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```
typedef enum _tag_FW_MATCH_KEY
{
    FW MATCH KEY PROFILE,
    FW MATCH KEY STATUS,
    FW MATCH KEY OBJECTID,
    FW MATCH KEY FILTERID,
    FW MATCH KEY APP PATH, // The APP Path
    FW MATCH KEY PROTOCOL,
    FW MATCH KEY LOCAL PORT,
    FW MATCH KEY REMOTE PORT,
    FW_MATCH_KEY_GROUP,
    FW_MATCH_KEY_SVC_NAME,
    FW_MATCH_KEY_DIRECTION,
    FW_MATCH_KEY_LOCAL_USER_OWNER,
    FW MATCH KEY PACKAGE ID,
    FW_MATCH_KEY_MAX
}FW MATCH KEY;
typedef enum _tag_FW_DATA_TYPE
{
    FW DATA TYPE EMPTY,
   FW DATA TYPE UINT8,
   FW_DATA_TYPE_UINT16,
    FW DATA TYPE UINT32,
    FW DATA TYPE UINT64,
    FW DATA TYPE UNICODE STRING
}FW DATA TYPE;
typedef struct _tag_FW_MATCH_VALUE
{
    FW DATA TYPE type;
    [switch type(FW DATA TYPE), switch is(type)]
    union
    {
       [case(FW_DATA_TYPE_UINT8)]
       UINT8 uInt8;
       [case(FW DATA TYPE UINT16)]
      UINT16 uInt16;
      [case(FW_DATA_TYPE_UINT32)]
      UINT32 uInt32;
      [case(FW DATA TYPE UINT64)]
      UINT64 uInt64;
      [case(FW DATA TYPE UNICODE STRING)]
       struct
       {
           [string, range(1,10001)]
           LPWSTR wszString;
       };
       [case(FW DATA TYPE EMPTY)]
       ;
   };
}FW_MATCH_VALUE;
typedef enum _tag_FW_MATCH_TYPE
{
    FW MATCH TYPE TRAFFIC MATCH,
```

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```
FW MATCH TYPE EQUAL,
   FW_MATCH_TYPE_MAX
}FW MATCH TYPE;
typedef struct _tag_FW_QUERY_CONDITION
{
   FW_MATCH_KEY matchKey;
   FW MATCH TYPE matchType;
   FW MATCH VALUE matchValue;
}FW QUERY CONDITION, *PFW QUERY CONDITION;
typedef struct _tag_FW_QUERY_CONDITIONS
{
   DWORD dwNumEntries;
   [size is(dwNumEntries)]
   FW QUERY CONDITION *AndedConditions;
}FW QUERY CONDITIONS, *PFW QUERY CONDITIONS;
typedef struct _tag_FW_QUERY
{
   WORD wSchemaVersion;
   UINT32 dwNumEntries;
   [size_is(dwNumEntries)]
   FW_QUERY_CONDITIONS *ORConditions;
   FW RULE STATUS Status;
}FW QUERY, *PFW QUERY;
cpp_quote("#endif //__FIREWALL_H_")
cpp_quote("#define MIDL_user_allocate MIDL_fw_allocate")
cpp_quote("#define MIDL_user_free MIDL_fw_free")
cpp_quote("void * __RPC_USER MIDL_fw_allocate(size_t size);")
cpp quote("void RPC USER MIDL fw free(void * );")
[
  uuid(6b5bdd1e-528c-422c-af8c-a4079be4fe48),
  version(1.0),
  pointer default(unique)
]
interface RemoteFW
{
typedef
handle_t FW_CONN_HANDLE;
typedef
[context handle]
HANDLE FW POLICY STORE HANDLE;
```

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```
typedef
[ref]
FW POLICY STORE HANDLE *PFW POLICY STORE HANDLE;
typedef
[context handle]
void* FW PRODUCT HANDLE;
   DWORD
   RRPC FWOpenPolicyStore(
       [in] FW_CONN_HANDLE
                                               rpcConnHandle,
       [in] WORD
                                               BinaryVersion,
       [in, range(FW_STORE_TYPE_INVALID+1, FW_STORE_TYPE_MAX-1)]
                                                                     FW STORE TYPE
StoreType,
       [in, range(FW POLICY ACCESS RIGHT INVALID+1, FW POLICY ACCESS RIGHT MAX-1)]
FW POLICY ACCESS RIGHT AccessRight,
       [in] DWORD
                                              dwFlags,
       [out] PFW_POLICY_STORE HANDLE
                                            phPolicyStore
       );
   DWORD
   RRPC FWClosePolicyStore(
                             rpcConnHandle,
       [in] FW CONN HANDLE
       [in, out] PFW POLICY STORE HANDLE phPolicyStore
       );
   DWORD
   RRPC FWRestoreDefaults([in] FW CONN HANDLE rpcConnHandle);
   DWORD
   RRPC FWGetGlobalConfig(
                               rpcConnHandle,
BinaryVersion,
       [in] WORD
       [in] FW STORE TYPE StoreType,
       [in, range(FW GLOBAL CONFIG INVALID+1, FW GLOBAL CONFIG MAX-1)]
        FW_GLOBAL_CONFIG configID,
       [in] DWORD
                                  dwFlags,
                                                      // Bit-wise combination of flags
from FW CONFIG FLAGS
       [in, out, unique, size is(cbData), length is(*pcbTransmittedLen)]
                    pBuffer,
cbData,
       BYTE*
       [in] DWORD
                             pcbTransmittedLen,
pcbRequired
       [in,out] LPDWORD
       [out] LPDWORD
       );
  DWORD
  RRPC FWSetGlobalConfig(
       [in] FW CONN HANDLE
                                      rpcConnHandle,
       [in] WORD
                                      BinaryVersion,
       [in] FW_STORE_TYPE
                                      StoreType,
       [in, range(FW_GLOBAL_CONFIG_INVALID+1, FW_GLOBAL_CONFIG_MAX-1)] FW_GLOBAL_CONFIG
configID,
       [in, unique, size_is(dwBufSize)]
        BYTE *
                                      lpBuffer,
```

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```
[in, range(0, 10*1024)] DWORD dwBufSize
       );
  DWORD
  RRPC FWAddFirewallRule(
       [in] FW CONN HANDLE
                                  rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in] PFW RULE2 0
                                  pRule
       );
   DWORD
   RRPC FWSetFirewallRule(
       [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
        [in] PFW_RULE2_0
                         pRule
       );
   DWORD
   RRPC FWDeleteFirewallRule(
       [in] FW CONN HANDLE
                                  rpcConnHandle,
       [in] FW_POLICY_STORE_HANDLE hPolicyStore,
       [in, string, ref] LPCWSTR wszRuleID
       );
   DWORD
   RRPC FWDeleteAllFirewallRules(
       [in] FW CONN HANDLE rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore
       );
   DWORD
   RRPC FWEnumFirewallRules(
        [in] FW CONN HANDLE rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
                        dwFilteredByStatus,
dwProfileFilter, // Bit-flags from FW_PROFILE_TYPE
       [in] DWORD
       [in] DWORD
       [in] WORD
                                  wFlags, // Bit-flags from ENUM RULES FLAGS
                                  *pdwNumRules,
       [out, ref] DWORD
       [out] PFW_RULE2 0
                                  *ppRules
       );
   DWORD
   RRPC FWGetConfig(
       [in] FW CONN HANDLE
                                   rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in, range(FW PROFILE CONFIG ENABLE FW, FW PROFILE CONFIG MAX-1)] FW PROFILE CONFIG
configID,
       [in] FW_PROFILE_TYPE Profile,
       [in] DWORD
                                   dwFlags,
                                                        // Bit-wise combination of flags
from FW CONFIG FLAGS
       [in, out, unique, size_is(cbData), length_is(*pcbTransmittedLen)]
       BYTE*
                                  pBuffer,
       [in] DWORD
                                   cbData,
```

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```
pcbTransmittedLen,
         [in,out] LPDWORD
[out] LPDWORD
                                         pcbRequired
         );
    DWORD
    RRPC FWSetConfig(
         [in] FW CONN HANDLE
                                            rpcConnHandle,
         [in] FW POLICY STORE HANDLE hPolicyStore,
         [in, range(FW PROFILE CONFIG ENABLE FW, FW PROFILE CONFIG MAX-1)] FW PROFILE CONFIG
configID,
         [in] FW_PROFILE_TYPE
                                          Profile,
         [in, switch is (configID)] FW PROFILE CONFIG VALUE pConfig,
         [in, range(0, 10*1024)] DWORD dwBufSize
         );
    DWORD
        C_FWAddConnectionsecures:
[in] FW_CONN_HANDLE rpcConnHandle
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
pRule
    RRPC FWAddConnectionSecurityRule(
                                               rpcConnHandle,
         );
    DWORD
    RRPC FWSetConnectionSecurityRule(
         [in] FW CONN HANDLE
                                              rpcConnHandle,
         [in] FW_POLICY_STORE_HANDLErpcconnHandle[in] FW_POLICY_STORE_HANDLEhPolicyStore,[in] PFW_CS_RULE2_0pRule
         );
    DWORD
    RRPC FWDeleteConnectionSecurityRule(
         [in] FW_CONN_HANDLErpcConnHandle,[in] FW_POLICY_STORE_HANDLEhPolicyStore,[in, string, ref] LPWSTRpRuleId
         );
    DWORD
    RRPC FWDeleteAllConnectionSecurityRules(
         [in] FW_CONN_HANDLE rpcConnHandle,
         [in] FW POLICY STORE_HANDLE hPolicyStore
         );
    DWORD
    RRPC FWEnumConnectionSecurityRules(
         [in] FW CONN HANDLE rpcConnHandle,
         [in] FW POLICY STORE HANDLE hPolicyStore,
                            dwFilteredByStatus,// Bit-flags from
         [in] DWORD
FW RULE STATUS CLASS
         STATUS_CLASS[in] DWORDdwProfileFilter,[in] WORDwFlags,[out, ref] DWORD *pdwNumRules,[out] PFW_CS_RULE2_0*ppRules
                                                                 // Bit-flags from FW PROFILE TYPE
                                                                 // Bit-flags from FW ENUM RULES FLAGS
         );
```

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```
DWORD
   RRPC FWAddAuthenticationSet(
       [in] FW CONN HANDLE
                                   rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in] PFW_AUTH_SET2_10 pAuth
       );
   DWORD
   RRPC_FWSetAuthenticationSet(
       [in] FW CONN HANDLE rpcConnHandle,
       [in] FW_POLICY_STORE_HANDLE hPolicyStore,
       [in] PFW_AUTH_SET2_10 pAuth
       );
   DWORD
   RRPC FWDeleteAuthenticationSet(
       [in] FW CONN HANDLE
                                    rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in, range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)] FW IPSEC PHASE
IpSecPhase,
       [in, string, ref] LPCWSTR
                                     wszSetId
       );
   DWORD
   RRPC FWDeleteAllAuthenticationSets(
       [in] FW CONN HANDLE rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in, range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)] FW IPSEC PHASE
IpSecPhase
       );
   DWORD
   RRPC FWEnumAuthenticationSets(
       [in] FW CONN HANDLE
                                     rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)] FW IPSEC PHASE
IpSecPhase,
       [in] DWORD
                                     dwFilteredByStatus,// Bit-flags from
FW RULE STATUS CLASS
       [in] WORD
                                     wFlags,
                                                       // Bit-flags from
FW_ENUM_RULES_FLAGS
       [out] DWORD*
                                    pdwNumAuthSets,
       [out] PFW_AUTH_SET2 10*
                                  ppAuth
       );
   DWORD
   RRPC FWAddCryptoSet(
       [in] FW CONN HANDLE
                                  rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in] PFW CRYPTO SET pCrypto
       );
```

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```
DWORD
   RRPC FWSetCryptoSet(
       [in] FW_CONN_HANDLE
                                  rpcConnHandle,
        [in] FW POLICY STORE HANDLE hPolicyStore,
        [in] PFW_CRYPTO_SET pCrypto
        );
   DWORD
   RRPC FWDeleteCryptoSet(
        [in] FW_CONN_HANDLE
                                     rpcConnHandle,
        [in] FW POLICY STORE HANDLE hPolicyStore,
        [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)] FW_IPSEC_PHASE
IpSecPhase,
       [in, string, ref] LPCWSTR wszSetId
        );
   DWORD
   RRPC FWDeleteAllCryptoSets(
       [in] FW_CONN_HANDLE
                                    rpcConnHandle,
        [in] FW_POLICY_STORE_HANDLE hPolicyStore,
        [in, range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)] FW IPSEC PHASE
IpSecPhase
       );
   DWORD
   RRPC FWEnumCryptoSets(
       [in] FW_CONN HANDLE
       [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
        [in, range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)] FW IPSEC PHASE
IpSecPhase,
       [in] DWORD
                                       dwFilteredByStatus,// Bit-flags from
FW RULE STATUS CLASS
       [in] WORD
                                                         // Bit-flags from
                                      wFlags,
FW ENUM_RULES_FLAGS
       [out, ref] DWORD*
        [out, ref] DWORD* pdwNumS
[out] PFW_CRYPTO_SET* ppCryptoSets
                                       pdwNumSets,
       );
   DWORD
   RRPC FWEnumPhase1SAs(
                                         rpcConnHandle,
hPolicyStore,
pEndpoints, // NULL or empty implies all
       [in] FW CONN HANDLE
        [in] FW_POLICY_STORE_HANDLE
        [in,unique] PFW_ENDPOINTS
endpoints.
        [out,ref] DWORD*
                                             pdwNumSAs,
        [out,size_is(, *pdwNumSAs)] PFW_PHASE1_SA DETAILS* ppSAs
        );
   DWORD
   RRPC FWEnumPhase2SAs (
        [in] FW CONN HANDLE
                                             rpcConnHandle,
        [in] FW POLICY STORE HANDLE
                                           hPolicyStore,
```

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```
[in, unique] PFW ENDPOINTS
                                             pEndpoints, // NULL or empty implies all
endpoints.
       [out, ref] DWORD*
                                              pdwNumSAs,
        [out,size is( , *pdwNumSAs)] PFW PHASE2 SA DETAILS*
                                                                     ppSAs
        );
   DWORD
   RRPC FWDeletePhase1SAs(
                                      rpcConnHandle,
       [in] FW CONN HANDLE
        [in] FW_POLICY_STORE_HANDLE hPolicyStore,
        [in, unique]PFW_ENDPOINTS pEndpoints // NULL or empty implies all endpoints.
        );
   DWORD
   RRPC FWDeletePhase2SAs(
        [in] FW CONN HANDLE
                                       rpcConnHandle,
        [in] FW POLICY STORE HANDLE hPolicyStore,
        [in, unique]PFW_ENDPOINTS pEndpoints // NULL or empty implies all endpoints.
        );
   DWORD
   RRPC FWEnumProducts(
       [in] FW CONN HANDLE rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
      [out] DWORD* pdwNumProducts,
       [out, size_is(,*pdwNumProducts)] PFW_PRODUCT* ppProducts
      );
   DWORD
   RRPC FWAddMainModeRule(
       [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
        [in] PFW_MM_RULE pMMRule,
[out] FW_RULE_STATUS * pStatus
        );
   DWORD
   RRPC FWSetMainModeRule(
       [in] FW CONN HANDLE
                                    rpcConnHandle,
        [in] FW POLICY STORE HANDLE hPolicyStore,
        [in] PFW MM RULE
                             pMMRule,
        [out] FW_RULE_STATUS *
                                   pStatus
        );
   DWORD
   RRPC FWDeleteMainModeRule(
       [in] FW CONN HANDLE
                                     rpcConnHandle,
        [in] FW_POLICY_STORE_HANDLE hPolicyStore,
        [in, string, ref] LPWSTR pRuleId
        );
   DWORD
```

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```
RRPC FWDeleteAllMainModeRules(
       [in] FW CONN HANDLE
                                   rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore
       );
   DWORD
   RRPC FWEnumMainModeRules(
       [in] FW CONN HANDLE
                                      rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in] DWORD
                                    dwFilteredByStatus,// Bit-flags from
FW_RULE_STATUS_CLASS
       [in] DWORD
                                      dwProfileFilter, // Bit-flags from FW PROFILE TYPE
       [in] WORD
                                      wFlags,
                                                         // Bit-flags from
FW_ENUM_RULES_FLAGS
                                     pdwNumRules,
       [out, ref] DWORD*
       [out] PFW MM RULE *
                                      ppMMRules
       );
   DWORD
   RRPC FWQueryFirewallRules(
       [in] FW CONN HANDLE
                                      rpcConnHandle,
       [in] FW_POLICY_STORE_HANDLE
                                      hPolicyStore,
       [in] PFW QUERY
                                                        // Query selecting the rules to
                                      pQuery,
return
       [in] WORD
                                      wFlags,
                                                        // Bit-flags from
FW_ENUM_RULES_FLAGS
       [out, ref] DWORD*
                                      pdwNumRules,
       [out] PFW RULE2 10 *
                                     ppRules
       );
   DWORD
   RRPC FWQueryConnectionSecurityRules2 10(
       [in] FW CONN HANDLE rpcConnHandle,
       [in] FW_POLICY_STORE_HANDLE hPolicyStore,
       [in] PFW_QUERY
                                    pQuery,
                                                        // Query selecting the rules to
return
       [in] WORD
                                      wFlags,
                                                       // Bit-flags from
FW ENUM RULES FLAGS
       [out, ref] DWORD*
                                     pdwNumRules,
       [out] PFW_CS_RULE2_10 *
                                    ppRules
       );
   DWORD
   RRPC FWQueryMainModeRules(
       [in] FW CONN HANDLE
                                      rpcConnHandle,
       [in] FW POLICY STORE HANDLE
                                     hPolicyStore,
       [in] PFW QUERY
                                                        // Query selecting the rules to
                                      pQuery,
return
       [in] WORD
                                      wFlags,
                                                       // Bit-flags from
FW ENUM RULES FLAGS
       [out, ref] DWORD*
                                      pdwNumRules,
       [out] PFW_MM_RULE *
                                      ppMMRules
       );
```

```
DWORD
```

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```
RRPC FWQueryAuthenticationSets(
       [in] FW CONN HANDLE
                                      rpcConnHandle,
        [in] FW POLICY STORE HANDLE hPolicyStore,
       [in, range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)] FW IPSEC PHASE
IPsecPhase,
       [in] PFW QUERY
                                                         // Query selecting the rules to
                                       pQuery,
return
       [in] WORD
                                       wFlags,
                                                        // Bit-flags from
FW ENUM RULES_FLAGS
        [out, ref] DWORD*
                                       pdwNumSets,
        [out] PFW AUTH SET2 10 *
                                         ppAuthSets
       );
   DWORD
   RRPC FWQueryCryptoSets(
       [in] FW CONN HANDLE
                                      rpcConnHandle,
       [in] FW_POLICY_STORE_HANDLE hPolicyStore,
       [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)] FW_IPSEC_PHASE
IPsecPhase,
       [in] PFW QUERY
                                                        // Query selecting the rules to
                                       pQuery,
return
                                                         // Bit-flags from
       [in] WORD
                                       wFlags,
FW ENUM RULES_FLAGS
       [out, ref] DWORD*
                                      pdwNumSets,
        [out] PFW CRYPTO SET *
                                      ppCryptoSets
       );
   DWORD
   RRPC FWEnumNetworks(
      [in] FW CONN HANDLE rpcConnHandle,
      [in] FW POLICY STORE HANDLE hPolicyStore,
      [out] DWORD* pdwNumNetworks,
      [out, size is(, *pdwNumNetworks)] PFW NETWORK* ppNetworks
      );
   DWORD
   RRPC FWEnumAdapters(
      [in] FW CONN HANDLE rpcConnHandle,
      [in] FW POLICY STORE HANDLE hPolicyStore,
      [out] DWORD* pdwNumAdapters,
       [out, size is(, *pdwNumAdapters)] PFW ADAPTER* ppAdapters
      );
   DWORD
   RRPC FWGetGlobalConfig2 10(
       [in] FW CONN HANDLE
                                   rpcConnHandle,
       [in] WORD
                                   BinaryVersion,
       [in] FW_STORE TYPE
                                   StoreType,
       [in, range(FW GLOBAL CONFIG INVALID+1, FW GLOBAL CONFIG MAX-1)]
         FW_GLOBAL_CONFIG configID,
       [in] DWORD
                                    dwFlags,
                                                        // Bit-wise combination of flags
from FW CONFIG FLAGS
       [in, out, unique, size_is(cbData), length_is(*pcbTransmittedLen)]
       BYTE*
                                  pBuffer,
       [in] DWORD
                                   cbData,
```

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```
[in,out] LPDWORD pcbTransmittedLen,
[out] LPDWORD pcbRequired,
         [out] FW RULE ORIGIN TYPE * pOrigin
         );
    DWORD
    RRPC FWGetConfig2 10(
         [in] FW CONN HANDLE rpcConnHandle,
         [in] FW POLICY STORE HANDLE hPolicyStore,
         [in, range(FW PROFILE CONFIG ENABLE FW, FW PROFILE CONFIG MAX-1)] FW PROFILE CONFIG
configID,
         [in] FW_PROFILE_TYPE Profile,
linj DWORD
from FW_CONFIG_FLAGS
                                          dwFlags,
                                                                 // Bit-wise combination of flags
         [in, out, unique, size_is(cbData), length_is(*pcbTransmittedLen)]
        [in] DWORD
         BYTE*
                                      pBuffer,
                                      cbData,
         [in,out] LPDWORD pcbTransmittedLen,
[out] LPDWORD pcbRequired,
         [out] FW_RULE_ORIGIN_TYPE * pOrigin
         );
   DWORD
   RRPC FWAddFirewallRule2_10(
        [in] FW CONN HANDLE rpcConnHandle,
         [in] FW_POLICY_STORE_HANDLE hPolicyStore,
        [in] PFW_RULE2_10 pRule,
[out] FW_RULE_STATUS * pStatus
         );
    DWORD
    RRPC FWSetFirewallRule2 10(
         [in] FW CONN HANDLE rpcConnHandle,
         [in] FW POLICY STORE HANDLE hPolicyStore,
        [in] PFW_RULE2_10 pRule,
[out] FW_RULE_STATUS * pStatus
         );
    DWORD
    RRPC FWEnumFirewallRules2 10(
         [in] FW CONN HANDLE
                                        rpcConnHandle,
         [in] FW POLICY STORE HANDLE hPolicyStore,
        [in] DWORDdwFilteredByStatus,[in] DWORDdwProfileFilter, // Bit-flags from FW_PROFILE_TYPE[in] DWORDwFlags, // Bit-flags from ENUM_RULES_FLAGS[out, ref] DWORD*pdwNumRules,[out] PFW_RULE2_10*ppRules
         );
    DWORD
    RRPC FWAddConnectionSecurityRule2 10(
        [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW POLICY STORE_HANDLE hPolicyStore,
         [in] PFW CS RULE2 10
                                             pRule,
```

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```
[out] FW RULE STATUS *
                                    pStatus
         );
    DWORD
    RRPC FWSetConnectionSecurityRule2 10(
         [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,

    [in] FW_POLICY_STORE_HANDLE
    Internet

    [in] PFW_CS_RULE2_10
    pRule,

    [in] PFW_CS_RULE2_10
    pStatus

         );
    DWORD
    RRPC FWEnumConnectionSecurityRules2 10(
         [in] FW CONN HANDLE rpcConnHandle,
         [in] FW_POLICY_STORE_HANDLE hPolicyStore,
         [in] DWORD
                             dwFilteredByStatus,// Bit-flags from
FW RULE STATUS CLASS
                                    dwProfileFilter, // Bit-flags from FW_PROFILE_TYPE
wFlags, // Bit-flags from FW_ENUM_RULES_FLi

         [in] DWORD
         [in] WORD
                                                               // Bit-flags from FW ENUM RULES FLAGS
         [ln] WORD wriags,
[out, ref] DWORD * pdwNumRules,
[out] PFW_CS_RULE2_10* ppRules
         );
    DWORD
    RRPC_FWAddAuthenticationSet2_10(
         [in] FW CONN HANDLE rpcConnHandle,
         [in] FW POLICY STORE HANDLE hPolicyStore,
         [in] PFW_AUTH_SET2_10 pAuth,
[out] FW_RULE_STATUS * pStatus
        );
    DWORD
    RRPC_FWSetAuthenticationSet2_10(
         [in] FW CONN HANDLE rpcConnHandle,
         [in] FW POLICY STORE HANDLE hPolicyStore,
         [in] PFW_AUTH_SET2_10 pAuth,
         [out] FW_RULE_STATUS * pStatus
         );
    DWORD
    RRPC FWEnumAuthenticationSets2 10(
         [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
         [in, range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)] FW IPSEC PHASE
IpSecPhase,
         [in] DWORD
                                            dwFilteredByStatus,// Bit-flags from
FW_RULE_STATUS_CLASS
         [in] WORD
                                                                 // Bit-flags from
                                            wFlags,
FW ENUM RULES FLAGS
         [out] DWORD*
                                           pdwNumAuthSets,
         [out] PFW AUTH SET2 10*
                                            ppAuth
         );
```

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```
DWORD
    RRPC_FWAddCryptoSet2_10(
                                        rpcConnHandle,
         [in] FW CONN HANDLE
         [in] FW POLICY STORE HANDLE hPolicyStore,
         [in] PFW_CRYPTO_SET pCrypto,
[out] FW_RULE_STATUS * pStatus
         );
    DWORD
    RRPC_FWSetCryptoSet2_10(
                                  rpcConnHandle,
        [in] FW CONN HANDLE
         [in] FW_POLICY_STORE_HANDLE hPolicyStore,
         [in] PFW_CRYPTO_SET pCrypto,
[out] FW_RULE_STATUS * pStatus
         );
    DWORD
    RRPC FWEnumCryptoSets2 10(
         [in] FW CONN HANDLE
                                            rpcConnHandle,
         [in] FW POLICY STORE HANDLE hPolicyStore,
         [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)] FW_IPSEC_PHASE
IpSecPhase,
                                             dwFilteredByStatus,// Bit-flags from
         [in] DWORD
FW_RULE_STATUS_CLASS
        [in] WORD
                                             wFlags,
                                                                 // Bit-flags from
FW_ENUM_RULES_FLAGS
        [out, ref] DWORD*
                                            pdwNumSets,
         [out] PFW CRYPTO SET* ppCryptoSets
         );
    DWORD
    RRPC FWAddConnectionSecurityRule2 20(
        [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
[in] PFW_CS_RULE pRule,
        [in] PFW_CS_RULE pRule,
[out] FW_RULE_STATUS * pStatus
         );
    DWORD
    RRPC_FWSetConnectionSecurityRule2_20(
        [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
[in] PFW_CS_RULE pRule,
[out] FW_RULE_STATUS * pStatus
         );
    DWORD
    RRPC FWEnumConnectionSecurityRules2 20(
         [in] FW_CONN_HANDLE rpcConnHandle,
         [in] FW_POLICY_STORE_HANDLE hPolicyStore,
         [in] DWORD
                           dwFilteredByStatus,// Bit-flags from
FW RULE STATUS CLASS
         [in] DWORD
                                         dwProfileFilter, // Bit-flags from FW PROFILE TYPE
```

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```
wrlags,
pdwNumRules,
        [in] WORD
                                                       // Bit-flags from FW ENUM RULES FLAGS
       [out, ref] DWORD *
        [out] PFW CS RULE*
                                   ppRules
       );
   DWORD
   RRPC FWQueryConnectionSecurityRules2 20(
       [in] FW CONN HANDLE rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in] PFW QUERY
                           pQuery,
                                                          // Query selecting the rules to
return
                                                // Bit-flags from
        [in] WORD
                                       wFlags,
FW ENUM_RULES_FLAGS
                                  pdwNumRules,
ppRules
       [out, ref] DWORD*
       [out] PFW CS RULE *
       );
   DWORD
   RRPC FWAddAuthenticationSet2 20(
       [in] FW CONN HANDLE rpcConnHandle,
        [in] FW POLICY STORE HANDLE hPolicyStore,
       [in] PFW_AUTH_SET pAuth,
[out] FW_RULE_STATUS * pStatus
       );
   DWORD
   RRPC FWSetAuthenticationSet2_20(
       [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
       [in] PFW_AUTH_SET pAuth,
[out] FW_RULE_STATUS * pStatus
       );
   DWORD
   RRPC FWEnumAuthenticationSets2 20(
       [in] FW CONN HANDLE rpcConnHandle,
       [in] FW_POLICY_STORE_HANDLE hPolicyStore,
       [in, range(FW IPSEC PHASE INVALID+1, FW IPSEC PHASE MAX-1)] FW IPSEC PHASE
IpSecPhase,
       [in] DWORD
                                      dwFilteredByStatus,// Bit-flags from
FW RULE STATUS CLASS
       [in] WORD
                                                         // Bit-flags from
                                      wFlags,
FW ENUM RULES FLAGS
       [out] DWORD*
                                      pdwNumAuthSets,
        [out] PFW AUTH SET* ppAuth
       );
   DWORD
   RRPC FWQueryAuthenticationSets2 20(
       [in] FW CONN HANDLE rpcConnHandle,
       [in] FW POLICY STORE HANDLE hPolicyStore,
       [in, range(FW_IPSEC_PHASE_INVALID+1, FW_IPSEC_PHASE_MAX-1)] FW_IPSEC_PHASE
IPsecPhase,
```

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```
[in] PFW QUERY
                                         pQuery,
                                                               // Query selecting the rules to
return
        [in] WORD
                                          wFlags,
                                                               // Bit-flags from
FW_ENUM_RULES_FLAGS
        [out, ref] DWORD*
                                         pdwNumSets,
                                       ppAuthSets
        [out] PFW AUTH SET*
        );
   DWORD
   RRPC FWAddFirewallRule2 20(
        [in] FW_CONN_HANDLE rpcConnHandle,
[in] FW_POLICY_STORE_HANDLE hPolicyStore,
        [in] PFW RULE
        [in] PFW_RULE pRule,
[out] FW_RULE_STATUS * pStatus
        );
    DWORD
    RRPC FWSetFirewallRule2 20(
        [in] FW_CONN_HANDLE
                                      rpcConnHandle,
        [in] FW POLICY STORE HANDLE hPolicyStore,
        [in] PFW RULE
                           pRule,
        [out] FW RULE STATUS * pStatus
        );
    DWORD
    RRPC FWEnumFirewallRules2 20(
        [in] FW CONN HANDLE
                                     rpcConnHandle,
        [in] FW POLICY STORE HANDLE hPolicyStore,
                         dwFilteredByStatus,
dwProfileFilter, // Bit-flags from FW_PROFILE_TYPE
wFlags, // Bit-flags from ENUM_RULES_FLAGS
D *pdwNumRules,
*ppRules
        [in] DWORD
        [in] DWORD
        [in] WORD
        [out, ref] DWORD
[out] PFW_RULE
        );
    DWORD
    RRPC FWQueryFirewallRules2 20(
        [in] FW_CONN_HANDLE
                                          rpcConnHandle,
        [in] FW_POLICY_STORE_HANDLE
                                          hPolicyStore,
        [in] PFW QUERY
                                          pQuery,
                                                               // Query selecting the rules to
return
        [in] WORD
                                          wFlags,
                                                              // Bit-flags from
FW ENUM_RULES_FLAGS
        [out, ref] DWORD*
                                          pdwNumRules,
        [out] PFW RULE *
                                          ppRules
        );
}
```

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7 Appendix B: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Windows Vista operating system
- Windows Server 2008 operating system
- Windows 7 operating system
- Windows Server 2008 R2 operating system
- Windows 8 operating system
- Windows Server 2012 operating system
- Windows 8.1 operating system
- Windows Server 2012 R2 operating system

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

<1> Section 1.7: Windows Vista uses version 0x0200. Windows Vista SP1 and Windows Server 2008 use version 0x0201. Windows 7 and Windows Server 2008 R2 use version 0x020A. Windows 8 and Windows Server 2012 use version 0x0214. Windows 8.1 and Windows Server 2012 R2 use version 0x0216.

<2> Section 2.2.6: For Windows Vista SP1, Windows Server 2008, Windows 7, and Windows Server 2008 R2, unspecified addresses are allowed. Unspecified addresses are also allowed on Windows Vista if the Security Update for Windows Vista specified in [MSKB-935807] is applied.

<3> Section 2.2.31: During server initialization, Windows uses default values to initialize the Phase 1 and Phase 2 primary **AuthenticationSet** objects if these objects are not already present in **LocalStore** and **GroupPolicyRSoPStore**. The same defaults are used for both **LocalStore** and **GroupPolicyRSoPStore**. These defaults are as follows:

```
#define FW_DEFAULT_P1_PRIMARY_AUTH_SET_NAME_STR
L"Default Phase1 Primary AuthSet"
#define FW_DEFAULT_P2_PRIMARY_AUTH_SET_NAME_STR
L"Default Phase2 Primary AuthSet"
#define RTL_NUMBER_OF(A) (sizeof(A)/sizeof((A)[0]))
FW_AUTH_SUITE g_DefaultPrimaryAuthSuitePhase1[] =
{
{FW_AUTH_METHOD_MACHINE_KERB, {0}};
FW AUTH_SET g_DefaultPrimaryAuthSetPhase1 =
```

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```
{
   NULL,
    0x0200,
    FW IPSEC PHASE 1,
    L"{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE3}",
    FW DEFAULT P1 PRIMARY AUTH SET NAME STR,
    FW_DEFAULT_P1_PRIMARY_AUTH_SET_NAME_STR,
    NULL,
    RTL NUMBER OF(g DefaultPrimaryAuthSuitePhasel),
    g DefaultPrimaryAuthSuitePhase1,
    FW RULE ORIGIN HARDCODED,
    NULL,
    FW RULE STATUS OK,
    0
};
FW_AUTH_SET g_DefaultPrimaryAuthSetPhase2 =
{
   NULL,
    0x0200,
   FW IPSEC PHASE 2,
    L"{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE4}",
    FW DEFAULT P2 PRIMARY AUTH SET NAME STR,
    FW_DEFAULT_P2_PRIMARY_AUTH_SET_NAME_STR,
    NULL,
    Ο,
    NULL,
    FW RULE ORIGIN HARDCODED,
    NULL,
    FW_RULE_STATUS_OK,
    0
};
```

During server initialization, Windows uses default values to initialize the Phase 1 and Phase 2 primary **CryptoSet** objects if these objects are not already present in **LocalStore** or **GroupPolicyRSoPStore**. The same defaults are used for both **LocalStore** and **GroupPolicyRSoPStore**. These defaults are as follows:

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```
NULL,
    0x0200,
    FW IPSEC PHASE_1,
    L"{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE1}",
    FW DEFAULT P1 PRIMARY CRYPTO SET NAME STR,
    FW DEFAULT P1 PRIMARY CRYPTO SET NAME STR,
    NULL,
    {
        0, // flags
        0, // RTL NUMBER OF(g DefaultPrimaryCryptoSuitesPhasel),
        0, // g DefaultPrimaryCryptoSuitesPhase1,
        0, //480,
        0
    },
    FW RULE ORIGIN HARDCODED,
    NULL,
    FW_RULE_STATUS_OK,
    0
};
FW PHASE2 CRYPTO SUITE g DefaultPrimaryCryptoSuitesPhase2[] =
{
    {FW_CRYPTO_PROTOCOL_ESP,
     FW_CRYPTO_HASH_NONE,
     FW CRYPTO HASH SHA1,
     FW CRYPTO ENCRYPTION NONE,
     FW_DEFAULT_CRYPTO_PHASE2_TIMEOUT_MINUTES,
     FW_DEFAULT_CRYPTO_PHASE2_TIMEOUT_KBYTES},
    {FW CRYPTO PROTOCOL ESP,
     FW CRYPTO HASH NONE,
     FW CRYPTO HASH SHA1,
     FW CRYPTO ENCRYPTION AES128,
     FW_DEFAULT_CRYPTO_PHASE2_TIMEOUT MINUTES,
     FW_DEFAULT_CRYPTO_PHASE2_TIMEOUT_KBYTES},
    {FW_CRYPTO_PROTOCOL_ESP,
     FW_CRYPTO_HASH_NONE,
     FW CRYPTO HASH SHA1,
     FW CRYPTO ENCRYPTION 3DES,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT MINUTES,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT KBYTES},
    {FW CRYPTO PROTOCOL AH,
     FW CRYPTO HASH SHA1,
     FW CRYPTO_HASH_NONE,
     FW CRYPTO ENCRYPTION NONE,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT MINUTES,
     FW_DEFAULT_CRYPTO_PHASE2_TIMEOUT_KBYTES }
};
FW CRYPTO SET g DefaultPrimaryCryptoSetPhase2 =
{
    NULL,
    0x0200,
    FW IPSEC PHASE 2,
    L"{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE2}",
    FW DEFAULT P2 PRIMARY CRYPTO SET NAME STR,
    FW DEFAULT P2 PRIMARY CRYPTO SET NAME STR,
    NULL,
```

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{

```
{
            0, // FW PHASE2 CRYPTO PFS DISABLE,
            0, // RTL NUMBER OF(g DefaultPrimaryCryptoSuitesPhase2),
            0, // g DefaultPrimaryCryptoSuitesPhase2
        }
    },
   FW RULE ORIGIN HARDCODED,
   NULL
   FW RULE STATUS OK,
   0
};
void FwDefaultPrimaryCryptoSetsInit()
{
    // Init Phase 1 Crypto.
   g DefaultPrimaryCryptoSetPhase1.dwNumPhase1Suites =
                        RTL NUMBER OF (g DefaultPrimaryCryptoSuitesPhase1);
   g_DefaultPrimaryCryptoSetPhase1.pPhase1Suites =
                        g DefaultPrimaryCryptoSuitesPhase1;
   g_DefaultPrimaryCryptoSetPhase1.dwTimeOutMinutes = 480;
   //Init Phase 2 Crypto
   g DefaultPrimaryCryptoSetPhase2.Pfs =
                        FW_PHASE2_CRYPTO_PFS_DISABLE;
   g DefaultPrimaryCryptoSetPhase2.dwNumPhase2Suites =
                        RTL NUMBER OF(q DefaultPrimaryCryptoSuitesPhase2);
   g DefaultPrimaryCryptoSetPhase2.pPhase2Suites =
                        g DefaultPrimaryCryptoSuitesPhase2;
}
```

<4> Section 2.2.36: Windows uses the three fields of the FW_OS_PLATFORM data type to identify Windows platform types. The fields in this data type correspond to the fields of the Windows OSVERSIONINFOEX data type (for more information, see [MSDN-OSVERSIONINFOEX]). The bPlatform field in this specification corresponds to the dwPlatformId field in MSDN. The bMajorVersion field in this specification corresponds to the dwMajorVersion field in MSDN. The bMinorVersion field in this specification corresponds to the dwMajorVersion field in MSDN. The bMinorVersion field in this specification corresponds to the dwMinorVersion field in MSDN. The Windows firewall and advanced security components extract the OSVERSIONINFOEX values and use them to enforce PlatformValidityList conditions in FW_RULE (section 2.2.36) and FW_CS_RULE (section 2.2.36) rules.

<5> Section 2.2.36: Rules with wSchemaVersion less than 0x000200 but greater than or equal to 0x000100 are not allowed to be written to the local store.

<6> Section 2.2.36: On Windows 7 and Windows Server 2008 R2 the **wszRuleId** size cannot be greater than or equal to 512 characters. On Windows Vista and Windows Server 2008 it cannot be greater than or equal to 1000 characters.

<7> Section 2.2.37: When Windows is operating in stealth mode, it blocks the following outbound packets:

- ICMP Destination Unreachable
- ICMP Parameter Problem for IPv6 only
- TCP Reset (RST) packets sent because no application is listening on the destination port

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<8> Section 2.2.37: In Windows Vista, Windows Server 2008, Windows 7, and Windows Server 2008 R2, the FW_PROFILE_CONFIG_LOG_IGNORED_RULES option is ignored.

<9> Section 2.2.37: When an application is blocked from listening on a port and inbound notifications are not disabled, Windows displays a notification to the user only when there is not an **FW_RULE** object in the Group Policy RSoP, local, or dynamic policy stores with a **wszLocalApplication** field that matches the application.

<<u>10> Section 2.2.42</u>: Windows selects a default value for the profile configuration options and the global configurations options. These configurations default values are secure, and it is recommended to use these values as default values. Profile configuration options default values:

```
FW PROFILE CONFIG ENABLE FW .- TRUE.
FW PROFILE CONFIG DISABLE STEALTH MODE .- FALSE.
FW PROFILE CONFIG SHIELDED .- FALSE.
FW PROFILE CONFIG DISABLE UNICAST RESPONSES TO MULTICAST BROADCAST
                                                          .- FALSE.
FW PROFILE CONFIG LOG DROPPED PACKETS .- FALSE.
FW PROFILE CONFIG LOG SUCCESS CONNECTIONS .- FALSE.
FW PROFILE CONFIG LOG IGNORED RULES .- TRUE.
FW PROFILE CONFIG LOG MAX FILE SIZE .- 1024.
FW_PROFILE_CONFIG_LOG_FILE_PATH .- L"".
FW PROFILE CONFIG DISABLE INBOUND NOTIFICATIONS .- FALSE.
FW PROFILE CONFIG AUTH APPS ALLOW USER PREF MERGE .- TRUE.
FW PROFILE CONFIG GLOBAL PORTS ALLOW USER PREF MERGE .- TRUE.
FW PROFILE CONFIG ALLOW LOCAL POLICY MERGE .- TRUE.
FW_PROFILE_CONFIG_ALLOW_LOCAL_IPSEC_POLICY_MERGE .- TRUE.
FW PROFILE CONFIG DISABLED INTERFACES .- {0}.
FW PROFILE CONFIG DEFAULT OUTBOUND ACTION .- 0 (0 is allow).
FW PROFILE CONFIG DEFAULT INBOUND ACTION. - 1 (1 is block).
```

Global configuration options default values:

```
FW GLOBAL CONFIG POLICY VERSION SUPPORTED .- 0x0200
on Windows Vista.
FW GLOBAL CONFIG POLICY VERSION SUPPORTED .- 0x0201
on Windows Vista SP1 and Windows Server 2008.
FW GLOBAL CONFIG CURRENT PROFILE .- FW PROFILE TYPE PUBLIC.
FW GLOBAL CONFIG DISABLE STATEFUL FTP .- FALSE.
FW GLOBAL CONFIG DISABLE STATEFUL PPTP .- FALSE.
FW GLOBAL CONFIG SA IDLE TIME .- 300.
FW GLOBAL CONFIG PRESHARED KEY ENCODING
                   .- FW GLOBAL CONFIG PRESHARED KEY ENCODING UTF 8.
FW GLOBAL CONFIG IPSEC EXEMPT
                   .- FW GLOBAL CONFIG IPSEC EXEMPT NEIGHBOR DISC.
FW_GLOBAL_CONFIG_CRL_CHECK .- 0.
FW GLOBAL CONFIG IPSEC THROUGH NAT
           .- FW GLOBAL CONFIG IPSEC THROUGH NAT SERVER BEHIND NAT.
FW GLOBAL CONFIG POLICY VERSION .- 0x0200.
FW GLOBAL CONFIG BINARY VERSION SUPPORTED .- 0x201. This value is
present only in Windows Vista SP1 and Windows Server 2008.
```

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<11> Section 2.2.54: Windows uses the three fields of the FW OS PLATFORM data type to identify Windows platform types. The fields in this data type correspond to the fields of the Windows OSVERSIONINFOEX data type (for more information, see [MSDN-OSVERSIONINFOEX]). The bPlatform field in this specification corresponds to the dwPlatformId field in MSDN. The bMajorVersion field in this specification corresponds to the dwMajorVersion field in MSDN. The bMinorVersion field in this specification corresponds to the dwMajorVersion field in MSDN. The bMinorVersion field in this specification corresponds to the dwMinorVersion field in MSDN. The Windows firewall and advanced security components extract the OSVERSIONINFOEX values and use them to enforce PlatformValidityList conditions in FW_RULE (section 2.2.36) and FW_CS_RULE (section 2.2.54) rules.

<12> Section 2.2.54: On Windows 7 and Windows Server 2008 R2 the **wszRuleId** size is less than 512 characters. On Windows Vista and Windows Server 2008 it is less than 1000 characters.

<13> Section 2.2.54: On Windows 7 and Windows Server 2008 R2 the **wszPhase1AuthSet**, **wszPhase2AuthSet**, and **wszPhase2CryptoSet** sizes are less than 255 characters. On Windows Vista and Windows Server 2008 they are less than 1000 characters.

<14> Section 2.2.63: On Windows Vista and Windows Server 2008, the only duplicate check performed is for the anonymous method.

<15> Section 2.2.63: On Windows Vista and Windows Server 2008, the only duplicate check performed is for the anonymous method.

<16> Section 2.2.64: On Windows Vista and Windows Server 2008, the only duplicate check performed is for the anonymous method.

<17> Section 2.2.64: On Windows Vista and Windows Server 2008, the only duplicate check performed is for the anonymous method.

<<u>18> Section 2.2.82</u>: Windows Vista, Windows Server 2008, Windows 7, and Windows Server 2008 R2 set **TransportFilterId** to the filter key of the Windows Filtering Platform filter used to enforce the security association (for more information, see [MSWFPSDK]).

<19> Section 2.2.84: Windows uses the three fields of the FW OS PLATFORM data type to identify Windows platform types. The fields in this data type correspond to the fields of the Windows OSVERSIONINFOEX data type (for more information, see [MSDN-OSVERSIONINFOEX]). The bPlatform field in this specification corresponds to the dwPlatformId field in MSDN. The bMajorVersion field in this specification corresponds to the dwMajorVersion field in MSDN. The bMinorVersion field in this specification corresponds to the dwMinorVersion field in MSDN. The bMinorVersion field in this specification corresponds to the dwMinorVersion field in MSDN. The Windows firewall and advanced security components extract the OSVERSIONINFOEX values and use them to enforce PlatformValidityList conditions in FW RULE (section 2.2.36) and FW CS RULE (section 2.2.54) rules.

<20> Section 2.2.95: By default, Windows uses the IKEv1 and AuthIP keying modules.

<21> Section 2.2.96: In schema version 0x0214, the value for the FW_TRUST_TUPLE_KEYWORD_MAX flag is 0x0004.

<22> Section 3.1.3: During server initialization, Windows uses default values to initialize the Phase 1 and Phase 2 primary AuthenticationSet objects if these objects are not already present in LocalStore or GroupPolicyRSoPStore. The same defaults are used for both LocalStore and GroupPolicyRSoPStore. These defaults are as follows:

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```
L"Default Phase2 Primary AuthSet"
#define RTL NUMBER OF(A) (sizeof(A)/sizeof((A)[0]))
FW AUTH SUITE g DefaultPrimaryAuthSuitePhase1[] =
{
    { FW AUTH METHOD MACHINE KERB, {0} }
};
FW AUTH SET g DefaultPrimaryAuthSetPhase1 =
{
    NULL,
    0x0200,
    FW IPSEC PHASE 1,
    L"{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE3}",
    FW DEFAULT P1 PRIMARY AUTH SET NAME STR,
    FW_DEFAULT_P1_PRIMARY_AUTH_SET_NAME_STR,
    NULL,
    RTL NUMBER OF (g DefaultPrimaryAuthSuitePhase1),
    g DefaultPrimaryAuthSuitePhase1,
    FW RULE ORIGIN HARDCODED,
    NULT.
    FW RULE STATUS OK,
    0
};
FW_AUTH_SET g_DefaultPrimaryAuthSetPhase2 =
{
    NULL,
    0x0200,
    FW IPSEC PHASE 2,
    L"{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE4}",
    FW DEFAULT P2 PRIMARY AUTH SET NAME STR,
    FW DEFAULT P2 PRIMARY AUTH SET NAME STR,
    NULL,
    Ο,
    NULL,
    FW_RULE_ORIGIN_HARDCODED,
    NULL,
    FW RULE STATUS OK,
    0
};
```

<23> Section 3.1.3: During server initialization, Windows uses default values to initialize the Phase 1 and Phase 2 primary **CryptoSet** objects if these objects are not already present in **LocalStore** or **GroupPolicyRSoPStore**. The same defaults are used for both **LocalStore** and **GroupPolicyRSoPStore**. These defaults are as follows:

```
#define FW_DEFAULT_P1_PRIMARY_CRYPTO_SET_NAME_STR
	L"Default Phase1 Primary CryptoSet"
#define FW_DEFAULT_P2_PRIMARY_CRYPTO_SET_NAME_STR
	L"Default Phase2 Primary CryptoSet"
FW_PHASE1_CRYPTO_SUITE g_DefaultPrimaryCryptoSuitesPhase1[] =
{
	{FW_CRYPTO_KEY_EXCHANGE_DH2,
	FW_CRYPTO_ENCRYPTION_AES128,
	FW_CRYPTO_HASH_SHA1},
	{FW CRYPTO KEY_EXCHANGE DH2,
```

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```
FW CRYPTO ENCRYPTION 3DES,
     FW CRYPTO HASH SHA1}
};
FW CRYPTO SET g DefaultPrimaryCryptoSetPhase1 =
{
    NULL,
    0x0200,
    FW IPSEC PHASE 1,
    L"{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE1}",
    FW DEFAULT P1 PRIMARY CRYPTO SET NAME STR,
    FW_DEFAULT_P1_PRIMARY_CRYPTO_SET_NAME_STR,
    NULL,
    {
        0, //flags
        0, //RTL NUMBER OF(g DefaultPrimaryCryptoSuitesPhase1),
        0, //g DefaultPrimaryCryptoSuitesPhase1,
        0, // 480,
        Ω
    },
    FW RULE ORIGIN HARDCODED,
    NULL,
    FW RULE STATUS OK,
    0
};
FW PHASE2 CRYPTO SUITE g DefaultPrimaryCryptoSuitesPhase2[] =
{
    {FW CRYPTO PROTOCOL ESP,
    FW CRYPTO HASH NONE,
     FW CRYPTO HASH SHA1,
     FW CRYPTO ENCRYPTION NONE,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT MINUTES,
     FW_DEFAULT_CRYPTO_PHASE2_TIMEOUT_KBYTES},
    {FW_CRYPTO_PROTOCOL_ESP,
     FW CRYPTO HASH NONE,
     FW CRYPTO HASH SHA1,
     FW CRYPTO ENCRYPTION AES128,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT MINUTES,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT KBYTES},
    {FW CRYPTO PROTOCOL ESP,
     FW CRYPTO HASH NONE,
     FW CRYPTO HASH SHA1,
     FW CRYPTO ENCRYPTION 3DES,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT MINUTES,
     FW_DEFAULT_CRYPTO_PHASE2_TIMEOUT_KBYTES},
    {FW CRYPTO PROTOCOL AH,
     FW CRYPTO HASH SHA1,
     FW CRYPTO HASH NONE,
     FW CRYPTO ENCRYPTION NONE,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT MINUTES,
     FW DEFAULT CRYPTO PHASE2 TIMEOUT KBYTES }
};
FW CRYPTO SET g DefaultPrimaryCryptoSetPhase2 =
{
    NULL,
    0x0200,
```

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```
FW IPSEC PHASE 2,
   L"{E5A5D32A-4BCE-4e4d-B07F-4AB1BA7E5FE2}",
   FW DEFAULT P2 PRIMARY CRYPTO SET NAME STR,
   FW DEFAULT P2 PRIMARY CRYPTO SET NAME STR,
   NULL,
    {
            0, // FW PHASE2 CRYPTO PFS DISABLE,
            0, // RTL NUMBER OF(g DefaultPrimaryCryptoSuitesPhase2),
            0, // g DefaultPrimaryCryptoSuitesPhase2
        }
   },
   FW RULE ORIGIN HARDCODED,
   NULL,
   FW RULE STATUS OK,
   0
};
void FwDefaultPrimaryCryptoSetsInit()
{
   // Init Phase 1 Crypto.
   g DefaultPrimaryCryptoSetPhase1.dwNumPhase1Suites =
                        RTL NUMBER OF(g DefaultPrimaryCryptoSuitesPhase1);
   g DefaultPrimaryCryptoSetPhase1.pPhase1Suites =
                        g DefaultPrimaryCryptoSuitesPhase1;
   g DefaultPrimaryCryptoSetPhase1.dwTimeOutMinutes = 480;
   //Init Phase 2 Crypto
   g_DefaultPrimaryCryptoSetPhase2.Pfs =
                        FW PHASE2 CRYPTO PFS DISABLE;
   g DefaultPrimaryCryptoSetPhase2.dwNumPhase2Suites =
                       RTL NUMBER OF(g DefaultPrimaryCryptoSuitesPhase2);
   g DefaultPrimaryCryptoSetPhase2.pPhase2Suites =
                        g DefaultPrimaryCryptoSuitesPhase2;
}
```

<24> Section 3.1.3: Windows selects a default value for the **ProfileConfiguration** option and the **GlobalConfiguration** option. These configuration default values are secure, and it is recommended to use these values as default values. **ProfileConfiguration** option default values:

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```
FW_PROFILE_CONFIG_DISABLED_INTERFACES .- {0}.
FW_PROFILE_CONFIG_DEFAULT_OUTBOUND_ACTION .- 0 (0 is allow).
FW_PROFILE_CONFIG_DEFAULT_INBOUND_ACTION.- 1 (1 is block).
```

GlobalConfiguration options default values:

```
FW GLOBAL CONFIG POLICY VERSION SUPPORTED .- 0x0200
on Windows Vista.
FW GLOBAL CONFIG POLICY_VERSION_SUPPORTED .- 0x0201
on Windows Vista SP1 and Windows Server 2008.
FW GLOBAL CONFIG CURRENT PROFILE .- FW PROFILE TYPE PUBLIC.
FW GLOBAL CONFIG DISABLE STATEFUL FTP .- FALSE.
FW GLOBAL CONFIG DISABLE STATEFUL PPTP .- FALSE.
FW GLOBAL CONFIG SA IDLE TIME .- 300.
FW GLOBAL CONFIG PRESHARED KEY ENCODING
                  .- FW GLOBAL CONFIG PRESHARED KEY ENCODING UTF 8.
FW GLOBAL CONFIG IPSEC EXEMPT
                  .- FW GLOBAL CONFIG IPSEC EXEMPT NEIGHBOR DISC.
FW GLOBAL CONFIG CRL CHECK .- 0.
FW GLOBAL CONFIG IPSEC THROUGH NAT
           .- FW GLOBAL CONFIG IPSEC THROUGH NAT SERVER BEHIND NAT.
FW GLOBAL CONFIG POLICY VERSION .- 0x0200.
FW GLOBAL CONFIG BINARY VERSION SUPPORTED .- 0x201. This value is
present only in Windows Vista SP1 and Windows Server 2008.
```

<25> Section 3.1.4: In Windows Vista, Windows Server 2008, Windows 7, and Windows Server 2008 R2, security principals are identified by SIDs (see [MS-DTYP] section 2.4.2). The authorized clients are represented by the S-1-5-32-544 and the S-1-5-32-556 SIDs. If the client's identity token (see [MS-DTYP] section 2.5.2) does not contain at least one of these SIDs, the server fails the call.

<26> Section 3.1.4.6: Path validations were not performed in Windows Vista and Windows Server 2008 at edit time.

<27> Section 3.1.4.47: Path validations were not performed in Windows Vista and Windows Server 2008 at edit time.

<28> Section 3.1.6.5: Windows determines whether it is operating in common criteria mode by calling the BCryptGetFipsAlgorithmMode API. For more information, see [MSDN-CryptGetFipsAlgorithmMode].

<29> Section 3.1.6.6: Windows enforces the effective firewall policy by converting the settings to Windows Filtering Platform filters. For more information, see [MSWFPSDK].

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8 Change Tracking

This section identifies changes that were made to the [MS-FASP] protocol document between the February 2014 and May 2014 releases. Changes are classified as New, Major, Minor, Editorial, or No change.

The revision class **New** means that a new document is being released.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements or functionality.
- The removal of a document from the documentation set.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **Editorial** means that the formatting in the technical content was changed. Editorial changes apply to grammatical, formatting, and style issues.

The revision class **No change** means that no new technical changes were introduced. Minor editorial and formatting changes may have been made, but the technical content of the document is identical to the last released version.

Major and minor changes can be described further using the following change types:

- New content added.
- Content updated.
- Content removed.
- New product behavior note added.
- Product behavior note updated.
- Product behavior note removed.
- New protocol syntax added.
- Protocol syntax updated.
- Protocol syntax removed.
- New content added due to protocol revision.
- Content updated due to protocol revision.
- Content removed due to protocol revision.
- New protocol syntax added due to protocol revision.
- Protocol syntax updated due to protocol revision.
- Protocol syntax removed due to protocol revision.

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• Obsolete document removed.

Editorial changes are always classified with the change type **Editorially updated.**

Some important terms used in the change type descriptions are defined as follows:

- Protocol syntax refers to data elements (such as packets, structures, enumerations, and methods) as well as interfaces.
- **Protocol revision** refers to changes made to a protocol that affect the bits that are sent over the wire.

The changes made to this document are listed in the following table. For more information, please contact <u>dochelp@microsoft.com</u>.

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
<u>2.2.95</u> FW KEY MODULE	Clarified that the FW_KEY_MODULE_MAX symbolic constant has a value of 4.	Y	Content updated.

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