

[MS-DHA-Diff]:

Device Health Attestation Protocol

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Revision Summary

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3/16/2017	2.0	Major	Significantly changed the technical content.
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Table of Contents

1 Introduction	5
1.1 Glossary	5
1.2 References	6
1.2.1 Normative References	6
1.2.2 Informative References	7
1.3 Overview	7
1.4 Relationship to Other Protocols	8
1.5 Prerequisites/Preconditions	9
1.6 Applicability Statement	9
1.7 Versioning and Capability Negotiation	9
1.8 Vendor-Extensible Fields	10
1.9 Standards Assignments.....	10
2 Messages.....	11
2.1 Transport	11
2.2 Common Data Types	11
2.2.1 Namespaces	11
2.2.2 HTTP Methods	12
2.2.3 HTTP Headers	12
2.2.4 XML Elements	12
2.2.4.1 Claims	12
2.2.4.2 HealthCertificateBlob	12
2.2.5 Simple Types	12
2.2.5.1 Boolean_T.....	13
2.2.6 Attributes	13
2.2.6.1 ErrorCode	13
2.2.6.2 ErrorMessage	13
2.2.7 Common Data Structures	13
3 Protocol Details.....	14
3.1 DHA-Enabled Client Details.....	14
3.1.1 Abstract Data Model.....	17
3.1.2 Timers	17
3.1.3 Initialization.....	17
3.1.4 Higher-Layer Triggered Events	17
3.1.5 Message Processing Events and Sequencing Rules	17
3.1.5.1 DHA-Boot-Data.....	18
3.1.5.1.1 POST	18
3.1.5.1.1.1 Request Body	18
3.1.5.1.1.2 Response Body	18
3.1.5.1.1.3 Processing Details	19
3.1.6 Timer Events.....	19
3.1.7 Other Local Events.....	19
3.2 DHA-Service Details	19
3.2.1 Abstract Data Model.....	19
3.2.2 Timers	19
3.2.3 Initialization.....	19
3.2.4 Higher-Layer Triggered Events	19
3.2.5 Message Processing Events and Sequencing Rules	20
3.2.5.1 DHA-Encrypted-Data	20
3.2.5.1.1 POST	20
3.2.5.1.1.1 Request Body	20
3.2.5.1.1.2 Response Body	21
3.2.5.1.1.3 Processing Details	23
3.2.6 Timer Events.....	23

3.2.7	Other Local Events.....	23
4	Protocol Examples.....	24
5	Security	25
5.1	Security Considerations for Implementers	25
5.2	Index of Security Parameters	25
6	Appendix A: Full XML Schema.....	26
6.1	Health CertificateRequestV1 Schema	26
6.2	Health CertificateRequestV3 Schema	26
6.3	Health CertificateRequestV4 Schema	27
6.4	HealthCertificateResponseV1 Schema	29
6.5	HealthCertificateResponseV3 Schema	30
6.6	HealthCertificateResponseV4 Schema	31
6.7	HealthCertificateValidationRequestV1 Schema	31
6.8	HealthCertificateValidationRequestV3 Schema	32
6.9	HealthCertificateValidationRequestV4 Schema	32
6.10	HealthCertificateValidationResponseV1 Schema	33
6.11	HealthCertificateValidationResponseV3 Schema	33
6.12	HealthCertificateValidationResponseV4 Schema	35
7	Appendix B: Product Behavior	40
8	Change Tracking.....	41
9	Index.....	42

1 Introduction

This document specifies the Device Health Attestation (DHA) Protocol.

The DHA protocol enables devices: to submit information about the code/programs that were loaded & executed during boot (the state the device is booted to) to a remote reporting service called Device Health Attestation Service (DHA-Service), and get an encrypted BLOB back that is cached on the device or made available to a MDM service provider.

Sections 1.5, 1.8, 1.9, 2, and 3 of this specification are normative. All other sections and examples in this specification are informative.

1.1 Glossary

This document uses the following terms:

attestation: A process of establishing some property of a computer platform or of a trusted platform module (TPM) key, in part through TPM cryptographic operations.

attestation certificate (AIKCert): An X.509 certificate, issued by a Privacy-CA ([TCG-Cred] section 2.6), that contains the public portion of an Attestation Identity Key signed by a Privacy-CA. It states that the public key is associated with a valid TPM. See [TCG-Cred] section 3.4 for more information.

Attestation Identity Key (AIK): An asymmetric (public/private) key pair that can substitute for the Endorsement Key (EK) as an identity for the trusted platform module (TPM). The private portion of an AIK can never be revealed or used outside the TPM and can only be used inside the TPM for a limited set of operations. Furthermore, it can only be used for signing, and only for limited, TPM-defined operations.

binary large object (BLOB): A discrete packet of data that is stored in a database and is treated as a sequence of uninterpreted bytes.

endorsement certificate (EKCert): An X.509 certificate issued by a platform manufacturer indicating that the trusted platform module (TPM) with the specified endorsement key was built into a specified computer platform. See [TCG-Cred] section 3.2 for more information.

endorsement key (EK): A Rivest-Shamir-Adleman (RSA) public and private key pair, which is created randomly on the trusted platform module (TPM) at manufacture time and cannot be changed. The private key never leaves the TPM, while the public key is used for attestation and for encryption of sensitive data sent to the TPM. See [TCG-Cred] section 2.4 for more information.

Hypertext Transfer Protocol (HTTP): An application-level protocol for distributed, collaborative, hypermedia information systems (text, graphic images, sound, video, and other multimedia files) on the World Wide Web.

Hypertext Transfer Protocol Secure (HTTPS): An extension of HTTP that securely encrypts and decrypts web page requests. In some older protocols, "Hypertext Transfer Protocol over Secure Sockets Layer" is still used (Secure Sockets Layer has been deprecated). For more information, see [SSL3] and [RFC5246].

Secure Sockets Layer (SSL): A security protocol that supports confidentiality and integrity of messages in client and server applications that communicate over open networks. SSL uses two keys to encrypt data—a public key known to everyone and a private or secret key known only to the recipient of the message. SSL supports server and, optionally, client authentication using X.509 certificates. For more information, see [X509]. The SSL protocol is precursor to Transport Layer Security (TLS). The TLS version 1.0 specification is based on SSL version 3.0 [SSL3].

simple type: An element that can contain only text and appears as <simpleType> in an XML document or any attribute of an element. Attributes are considered simple types because they contain only text. See also complex type.

TCP/IP: A set of networking protocols that is widely used on the Internet and provides communications across interconnected networks of computers with diverse hardware architectures and various operating systems. It includes standards for how computers communicate and conventions for connecting networks and routing traffic.

Transport Layer Security (TLS): A security protocol that supports confidentiality and integrity of messages in client and server applications communicating over open networks. TLS supports server and, optionally, client authentication by using X.509 certificates (as specified in [X509]). TLS is standardized in the IETF TLS working group.

trusted platform module (TPM): A component of a trusted computing platform. The TPM stores keys, passwords, and digital certificates. See [TCG-Architect] for more information.

XML namespace: A collection of names that is used to identify elements, types, and attributes in XML documents identified in a URI reference [RFC3986]. A combination of XML namespace and local name allows XML documents to use elements, types, and attributes that have the same names but come from different sources. For more information, see [XMLNS-2ED].

XML namespace prefix: An abbreviated form of an XML namespace, as described in [XML].

XML schema: A description of a type of XML document that is typically expressed in terms of constraints on the structure and content of documents of that type, in addition to the basic syntax constraints that are imposed by XML itself. An XML schema provides a view of a document type at a relatively high level of abstraction.

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as defined in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

Links to a document in the Microsoft Open Specifications library point to the correct section in the most recently published version of the referenced document. However, because individual documents in the library are not updated at the same time, the section numbers in the documents may not match. You can confirm the correct section numbering by checking the Errata.

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.

[MS-MDM] Microsoft Corporation, "Mobile Device Management Protocol".

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

[RFC2818] Rescorla, E., "HTTP Over TLS", RFC 2818, May 2000, <http://www.rfc-editor.org/rfc/rfc2818.txt>

[TCG-Cred] Trusted Computing Group, "TCG Credential Profiles", Specification Version 1.1, Revision 1.014, May 2007, http://www.trustedcomputinggroup.org/wp-content/uploads/IWG-Credential_Profiles_V1_R1_14.pdf

[X509] ITU-T, "Information Technology - Open Systems Interconnection - The Directory: Public-Key and Attribute Certificate Frameworks", Recommendation X.509, August 2005,
<http://www.itu.int/rec/T-REC-X.509/en>

[XMLNS] Bray, T., Hollander, D., Layman, A., et al., Eds., "Namespaces in XML 1.0 (Third Edition)", W3C Recommendation, December 2009, <http://www.w3.org/TR/2009/REC-xml-names-20091208/>

[XMLSCHEMA1] Thompson, H., Beech, D., Maloney, M., and Mendelsohn, N., Eds., "XML Schema Part 1: Structures", W3C Recommendation, May 2001, <http://www.w3.org/TR/2001/REC-xmlschema-1-20010502/>

1.2.2 Informative References

[TPM] Trusted Computing Group, "TPM Work Group",
<https://www.trustedcomputinggroup.org/groups/tpm/>

1.3 Overview

Many enterprises check software state and policy compliance before allowing computers to access corporate network resources. The goal of these checks is to ensure that the operating system (OS) is properly updated, the OS configuration meets company policy, and that antivirus software is up-to-date.

The Device Health Attestation (DHA) protocol provides a way for a device to submit its policy compliance status and software status in a tamper-resistant way to a Device Health Attestation Service (DHA-Service) such that its state can later evaluated by an entity such as an MDM (mobile device management) to determine compliance status.

The following diagram describes the three components that interact in a Device Health Attestation communications.

1. **DHA-Enabled Device:** that supports Trusted Platform Module (TPM) in Firmware or Discreet format.
2. **Device Management Server (MDM):** initiates the Device Health Attestation flow, reviews the Device Health Attestation Report (DHA-Report), and evaluates whether the reported state is equivalent to compliance status.
3. **DHA-Service:** a component that processes Device Health Attestation data, produces DHA-Report.

This document discusses only the interaction between the client and the DHA-Service.

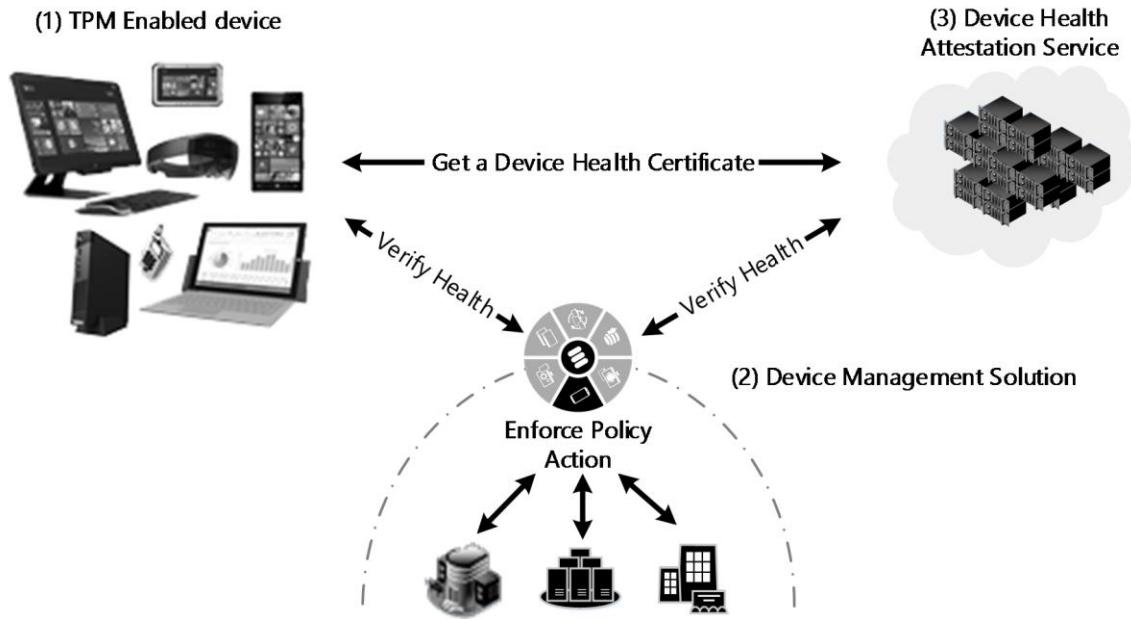


Figure 1: Device health attestation

The following is a sequence diagram that describes how the three components interact, during a Device Health Attestation session.

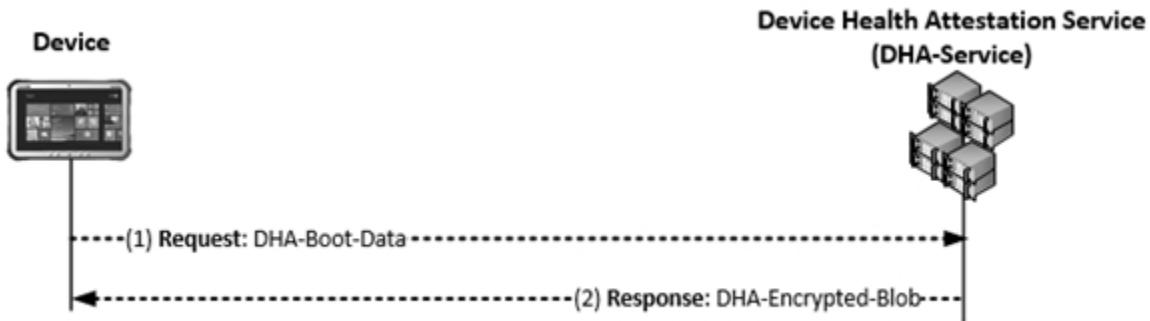


Figure 2: Device, DHA-service communication

1.4 Relationship to Other Protocols

The following figure illustrates the relationship of this protocol to industry-standard protocols.

The Device Health Attestation Protocol depends upon HTTPS [RFC2818] and can be used only with [MS-MDM].

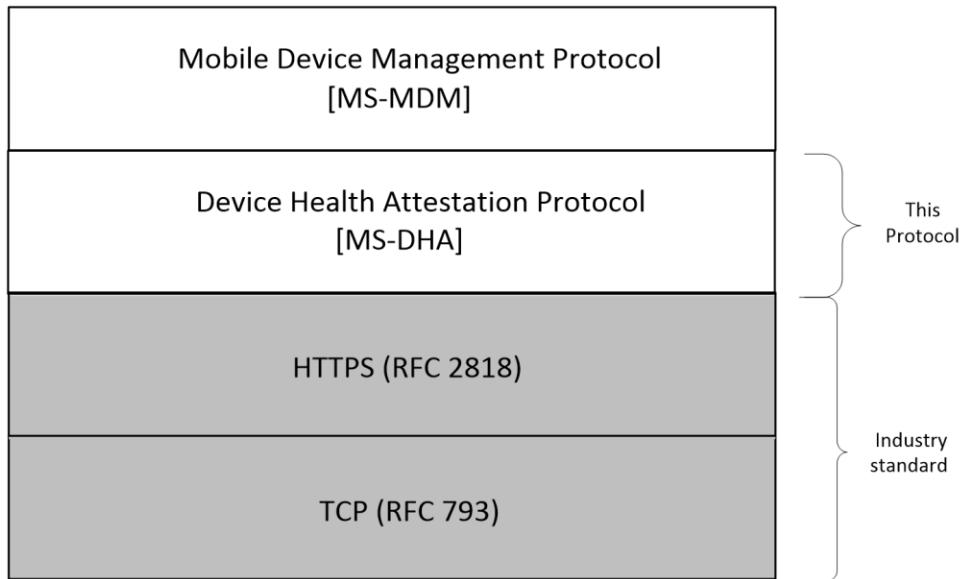


Figure 3: Relationship of DHA protocol to industry-standard protocols

1.5 Prerequisites/Preconditions

The DHA protocol assumes the availability of the following resources:

- An HTTPS channel [RFC2818].
- A mobile device management service.
- Devices MUST support a Trusted Module Platform (TPM) that is designed based on standards specified in [TPM] .

1.6 Applicability Statement

The DHA protocol is applicable for monitoring/assessing the state into which a device is booted, and to monitor/verify if the device is booted to a secure/compliant state.

1.7 Versioning and Capability Negotiation

This document covers versioning issues in the following areas:

- **Supported Transports:** This protocol can be implemented on top of TLS/SSL and HTTPS as discussed in section 2.1.
- **Protocol Versions:** This is version 34.0 of the DHA protocol. It is also compatible with TPM-devices that have standardized around versions 1.2 and 2.0 of the TPM specification. The server implementation of the Device Health Attestation Protocol supports the following client versions:
 - Version 1.0: The first release of the protocol.
 - Version 2.0: An update to support a Security Version Number in the Health report.
 - Version 3.0: An Updateupdate to support TPM 1.2 devices (which results in a TPMVersion node being added to the Health report).
 - Version 4.0: An update to add support for additional security measures.

1.8 Vendor-Extensible Fields

None.

1.9 Standards Assignments

None.

2 Messages

2.1 Transport

DHA is a client-to-server protocol that consists of an HTTP- -based Web service. It supports TLS over HTTPS over TCP/IP [RFC2818], using the following [implementation-specific<1>](#) endpoints:

GET: devicehealthattestation/gethealthcertificate/v1
devicehealthattestation/gethealthcertificate/v3
[devicehealthattestation/gethealthcertificate/v4](#)

VALIDATE: devicehealthattestation/validatehealthcertificate/v1
devicehealthattestation/validatehealthcertificate/v3
[devicehealthattestation/validatehealthcertificate/v4](#)

The TPM-compatible device and the DHA-Service communicate via a TLS/SSL protected communication channel in following format.

- Device Requests use TLS/SSL for forwarding DHA-Boot-Data to DHA-Service
- The DHA-Service Responses use TLS/SSL to forward an encrypted BLOB to the Device

2.2 Common Data Types

XML schema element definitions that are specific to a particular request/response body are described within the corresponding sections.

2.2.1 Namespaces

This specification defines and references various XML namespaces that use the mechanisms specified in [XMLNS]. Although this specification associates a specific XML namespace prefix with each XML namespace that is used, the choice of any particular XML namespace prefix is implementation-specific and not significant for interoperability.

Prefixes and XML namespaces used in this specification are as follows.

Prefix	Namespace URI	Reference
xsd	http://www.w3.org/2001/XMLSchema	[XMLSCHEMA 1]
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/request/v1	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/request/v3	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/request/v4	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/response/v1	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/response/v3	This specification

Prefix	Namespace URI	Reference
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v1	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v3	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v4	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v1	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v3	This specification
xmlns	http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v4	This specification

2.2.2 HTTP Methods

This protocol uses the existing set of standard HTTP methods.

2.2.3 HTTP Headers

None.

2.2.4 XML Elements

2.2.4.1 Claims

<Claims> contain Base64 opaque information that is gathered from the client and returned to the server, upon which the basis of health attestation is established. In the case of HealthCertificateRequest, <Claims> will also contain the TCG (boot) log from the client.

2.2.4.2 HealthCertificateBlob

An encrypted BLOB containing the Health Certificate.

2.2.5 Simple Types

The following table summarizes the set of custom simple type definitions that are included in this specification.

Simple type	Section	Description
Boolean_T	section 2.2.5.1	The contents are either true or false.

2.2.5.1 Boolean_T

```
<xs:simpleType name="Boolean_T">:  
  <xs:restriction base="xs:boolean">:  
    <xs:pattern value="true|false"/>:  
  </xs:restriction>:  
</xs:simpleType>
```

2.2.6 Attributes

The following table summarizes the set of common XML schema attribute definitions that are included in this specification.

Attribute	Section	Description
ErrorCode	section 2.2.6.1	Contains the code that is associated with the error.
ErrorMessage	section 2.2.6.2	Contains a description of the error.

2.2.6.1 ErrorCode

Contains the code that is associated with the error.

2.2.6.2 ErrorMessage

Contains a description of the error.

2.2.7 Common Data Structures

None.

3 Protocol Details

3.1 DHA-Enabled Client Details

The DHA protocol enables Mobile Device Management (MDM) solutions to get a Device Health Report (DHA-Report) from devices that meet the following requirements.

- Support Trusted Module Platform (TPM) version 1.xx or 2.xx in the following formats.
 - Firmware (i.e. Windows phone)
 - Discrete (i.e. PC devices that have a physical TPM chip)

The EK, EKCert and Windows Attestation Identity Key (AIK) and Windows Attestation Certificate (AIKCert), as specified in [TCG-Cred], MUST be provisioned previous to initiating the attestation protocol. The health attestation protocol can be initiated asynchronously after boot once the TPM has been provisioned (i.e. EK, EK Cert, AIK, AIK Cert are created) or it can be initiated as a part of a service request by mobile device management server. For more information about the AIK enrollment process, see [X509].

The Device Health Report (DHA-Report) is device bound and is valid only for the current boot cycle. It will also have a time bounded lifetime to force an attestation check for long-running devices.

Following is a brief overview of the Device Health Attestation, asynchronous processing flow:

1. Upon Boot the device sends information about its boot state (DHA-Boot-Data) to Device Health Attestation Service (DHA-Service)
2. DHA-Service replies back with an encrypted data BLOB (DHA-Encrypted-Data)
3. When a Device Management Server (MDM-Server) needs to get a Device Health Report (DHA-Report), it sends a request to the TPM-compatible device (that is enrolled to - managed by the MDM-Server), initiates the DHA data validation session
4. The TPM-compatible device sends an alert to the Device Management Server, informs that the Device Health Validation Data (DHA-Validation-Data) is ready for pickup
5. The Device Management Server sends a request to the TPM-compatible device to get the DHA-Validation-Data
6. The TPM-compatible device sends the DHA-Validation-Data to Device Management Server (MDM-Server)
7. The Device Management Server (MDM-Server) adds a "Nonce" to the payload, forwards the DHA-Validation-Data to DHA-Service
8. The DHA-Service review the data, sends a report (DHA-Report) to the Device Management Server (MDM-Server)

Device Health Attestation – Asynchronous Flow

(A) Device sends DHA-Boot-Data when it boots or reboots



(B) Device Management server gets a DHA-Report

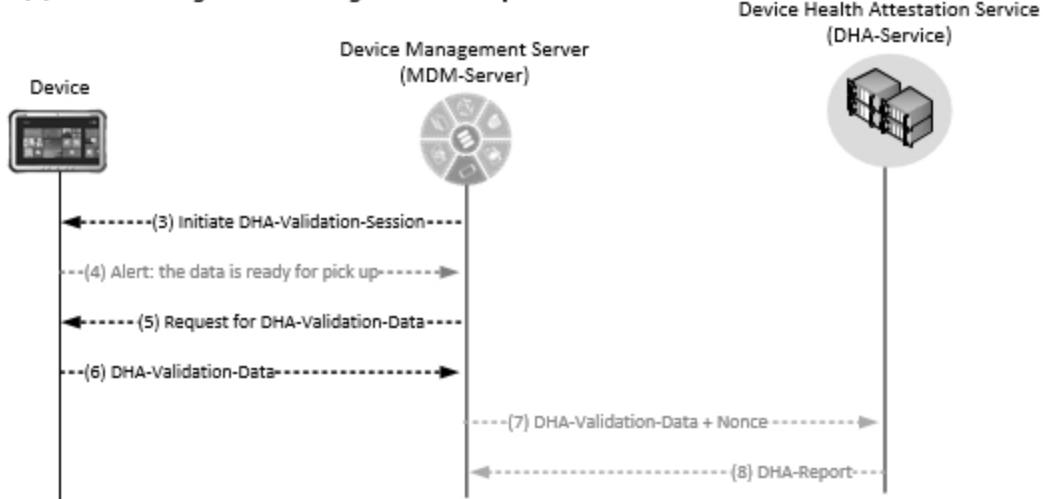


Figure 4: Device health attestation asynchronous processing flow

Following is a brief overview of the Device Health Attestation, synchronous processing flow:

1. The Device Management Server (MDM-Server) sends a request to the TPM-compatible device to initiate the DHA data validation session
2. The TPM-compatible device sends an alert to the Device Management Server (MDM-Server), informs that the data is not ready for pickup
3. The TPM-compatible sends its boot data (DHA-Boot-Data) to the DHA-Service
4. The DHA-Service sends an encrypted BLOB back to the TPM-compatible device
5. The TPM-compatible device sends an alert to the Device Management Server (MDM-Server) informs that DHA data is ready for pickup
6. The Device Management Server sends a request to the TPM-compatible device to get the DHA-Validation-Data
7. The TPM-compatible device sends the DHA-Validation-Data to Device Management Server (MDM-Server)

8. The Device Management Server (MDM-Server) adds a "Nonce" to the payload, forwards the DHA-Validation-Data to DHA-Service
9. The DHA-Service review the data, sends a report (DHA-Report) to the Device Management Server (MDM-Server)

Device Health Attestation – Synchronous Flow

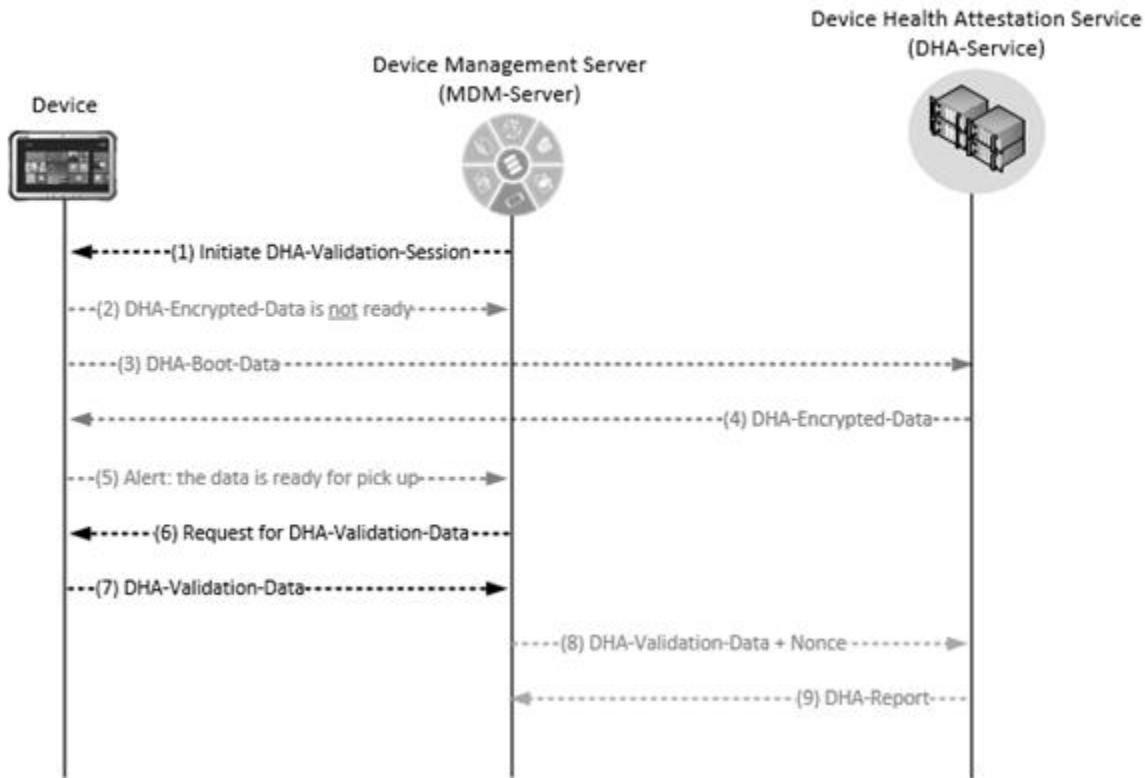


Figure 5: Device health attestation synchronous processing flow

The DHA-enabled client is a computing device that supports TPM in firmware or discrete format, and is enrolled/managed by a Device Management Server (MDM-Server). The following state diagram shows an exchange in a negotiation between the TPM-compatible device and the Device Health Attestation Service (DHA-Service).

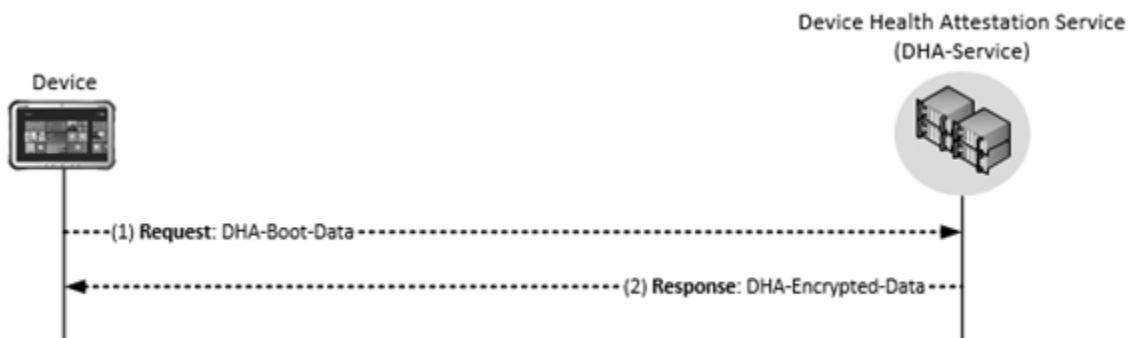


Figure 6: Device Health Attestation

The Device Management Server (MDM-Server) can initiate a request for DHA Data as needed. When the Device Management Server (MDM-Server) sends this request: the TPM-compatible device prepares DHA-Validation-Data, forward it to Device Management Server (MDM-Server)

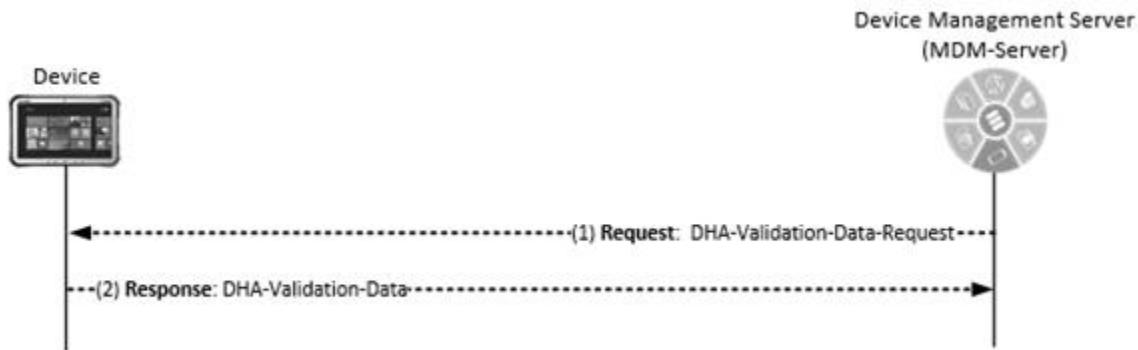


Figure 7: Device to MDM-Server communication

3.1.1 Abstract Data Model

None.

3.1.2 Timers

None.

3.1.3 Initialization

The Device health attestation flow is triggered on a TPM-compatible device under the following conditions.

- When the device boots.
- When the device reboots.

3.1.4 Higher-Layer Triggered Events

The device receives a mobile device management request for device health verification.

3.1.5 Message Processing Events and Sequencing Rules

The following HTTP methods can be performed on this resource.

HTTP method	Section	Description
POST	section 3.1.5.1.1	Send DHA-Boot-Data to DHA-Service

The responses to all the resources can result in the following status codes.

Status code	Reason phrase	Description
200	HTTP OK	Successful request
400	Bad Request	The server cannot or will not process the request due to something that is perceived to be a client error (e.g., malformed request syntax, invalid request message framing, request mismatch or deceptive request routing, invalid BLOB)
500	Internal Server Error	A generic error message, given when an unexpected condition was encountered and no more specific message is suitable. An issue is preventing the service from issuing certificates

3.1.5.1 DHA-Boot-Data

The TPM-compatible client sends DHA-Boot-Data (i.e. TCG logs, PC measurements, a signed certificate) to the DHA-Service (DHA-Service) - receives an Encrypted BLOB from the DHA-Service Provider (DHA-EB).

3.1.5.1.1 POST

This method sends information from the TPM-compatible device to the DHA-Service.

3.1.5.1.1.1 Request Body

The request body from the TPM-compatible device to the DHA-Service is an encrypted BLOB. It resembles the following.

```

<HealthCertificateRequest ProtocolVersion="3.1.4"
  xmlns='http://schemas.microsoft.com/windows/security/healthcertificate/request/v3.1.v4'>

<Claims>AQAAAAQAAAB1AAAABgEAAAAAAAqQAA/1RDR4AYACIAczrZ01nPbX4MkSK708Tm7UYSUM6q51mumDTW/9KK
ir8AAAAAAAALZshCdiDh7rYJANltqkYjzMLfAAAAAQALA///wAUQ3le1GTYuKMMGHqqWhQhOCg+TmQAFAAEAQBp18
y38myfaPpJJ1PlY+bfckDowdGVcjAyYFRF8AJuRdP+c7UpMxE+jnNRseYWYjVXiwqkeQ81ctjKLx/oIFdL2m/s7mRroC
j4C7dUWeqnHiboAgT3+9UF1dgUacBq5Tt/ /BAUwAwEB/zAfBgNVHSMEGDAwgBTv9lbLj+
/1erYd/u0ZnT3FYElIEiLoi+9uCQDOUDVYdpUI7mqxogHVAgMBAAGjggF2MIIBcjASBgkrBgEEAYI3FQEEBQIDAQABMC
MGCSsGAQQBgcjVAgQWBTT4wWu3f3dTsvM1Nx1OoSZ7DyBwgDAdBgNVHQ4EFgQUE62/Qwm9gnCcjNVPMW7ViPiKG9QwGQY
JKwYBBAGCNxQCBAAweCgTAHUAYgBDAEewCwYDVR0PBAQDAgGGMA8GA1UdEwEB/wQFMANBAf8wHwYDVR0jBBgwFoAURWZS
Q+F+WBG/1k6eI1UIOzoiaqgwXAYDVR0fBFUwUzBRoE+
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.
.
.neJUBAAAFAfCQ0wFAAAAAbAAgAEAAAALANgEPWt7ha01jrO2rmqHOrfvI6JjUsXcT6pa7trPXrQbHQAAAEV4axQgQm9v
dCBTZXJ2aWN1cyBJbnZvY2F0aW9uBQAAAACAAIABAAAACwC1T3VCY9hyqBqdneqDmyuNdHx+vV6mYVxA9C9EptviroCgAA
ABFeG10IEJvb3QgU2VydmljZXMcUmV0dXJuZWQgd210aCBTdWNjZXNj</Claims>

<AIKPublic>U1NBMQAIAADAAAAAEEEEAAAAAQAByw9/R0sYTf0SYzKFm7gy2aASjwB/S6L3ztZ+FjEaLifQJ0
77/wVIVLbzI2gB9tVP15G8q+...../xtRyILLzYSXMDx0mwysTwHhbB3zUx1j51mlI0tuAhRtBbccHlaYW0DjCFJ
MFNPZvCbpA2902E+23zWHz01hq==</AIKPublic>
</HealthCertificateRequest>
```

3.1.5.1.1.2 Response Body

The response body from the DHA-Service to the TPM-compatible device is an encrypted BLOB (DHA-Encrypted-Data).

3.1.5.1.1.3 Processing Details

The encrypted BLOB is cached on the client in encrypted format.

3.1.6 Timer Events

None.

3.1.7 Other Local Events

When the TPM-compatible device is booted or rebooted, it triggers an event that sends the DHA-Boot-Data to the DHA-Service over TLS/SSL protected communication channel.

3.2 DHA-Service Details

The DHA-Service consists of two major communication paths:

- The path between the TPM-compatible device and the DHA-Service
- The path between the DHA-Service and the MDM-Server

The following state diagram shows the exchange between the DHA-Service and the TPM-compatible client.

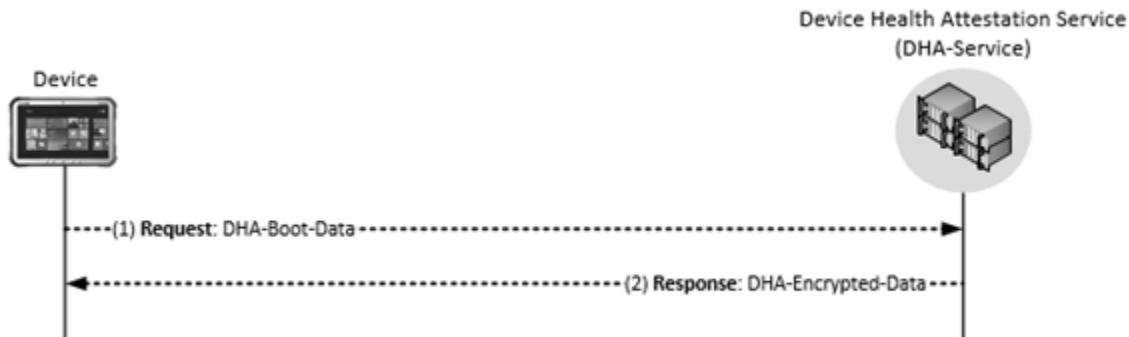


Figure 8: Device to DHA-Service communication

3.2.1 Abstract Data Model

None.

3.2.2 Timers

None.

3.2.3 Initialization

None.

3.2.4 Higher-Layer Triggered Events

None.

3.2.5 Message Processing Events and Sequencing Rules

The following HTTP methods can be performed on this resource.

HTTP method	Section	Description
POST	section 3.2.5.1.1	Sends an encrypted BLOB (DHA-Encrypted-Data to TPM-compatible device upon request)

The responses to all the resources can result in the following status codes.

Status code	Reason phrase	Description
200	HTTP OK	Successful request
400	Bad Request	The server cannot or will not process the request due to something that is perceived to be a client error (e.g., malformed request syntax, invalid request message framing, request mismatch or deceptive request routing, invalid BLOB)
500	Internal Server Error	A generic error message, given when an unexpected condition was encountered and no more specific message is suitable. An issue is preventing the service from issuing certificates

3.2.5.1 DHA-Encrypted-Data

The DHA-Service receives the DHA-Boot-Data from the TPM-compatible device. The DHA-Service reviews the data, creates an Encrypted BLOB (DHA-Encrypted-Data), and sends it to the TPM-compatible device. When the TPM-compatible device receives the DHA-Encrypted-Data, it caches the data in its local storage.

3.2.5.1.1 POST

This method sends information from the DHA-Service to the TPM-compatible device.

3.2.5.1.1.1 Request Body

The health certificate validation request body is specified in section 3.1.5.1.1.1, Boot Data POST Request body.

```
<HealthCertificateValidationRequest ProtocolVersion="1.3.1.4" xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v3.1.v4">
<Claims>
AQAAAQAAAB1AAAABgEAAAAAAAD/VENHgBgAIgALotk7Wc9tfgyRIRs7xNqbtRhJ0zgrmWa6Y
NNb/0oqKvwAEAECAwQFBgcICQoLDA0ODxAAAAAAALIqchCdiDh7rYJANltqkJJzMLfAAAAAQ
ALA///wAUQ3le1GTYuKMMGHqqWhQhOCq+TmQAFAAEAQBwMAodpzmbxpw2kdqfsVF15u4y+9S
65aq6a5LbL8E3DLVaA2FFGXmDS0/vy3XNm8q3UfPNZ99TR+ff7g/IhDLjInGzCEQ04YTP6/V
L+1Fgt9dJvTe8Cm5BRu4QwdM9g+cGGWu3eeTghRAdsG4OdNknMP2IuAihKJF5xrymWw5TtVpn
Fc/MjCzrMqAcQmH3GEBMZRstjr0yTcqZaXsca4Ydn7kCAk8HLuv7GVkcuf9s7C8mlKEfqQMXs
LAF/oyDYWl4QGc4166+anFOFHpnvfA5hYUtBMctOvi0LqCML6yAIJuZnNxI3MdIkVLWAnnOYe
k/YQ//OKtF9Bitz2pGF0A
</Claims>
<HealthCertificateBlob>
77u/PD94bWWgdmVyc2lvbj0iMS4wIiBlbmNvZGlubZ0idXRmLTgiPz48T3BhcXV1SGVhbHRoQ

```

```
2VydGlmaWNhdGUgeG1sbnM6eHNkPSJodHRwOi8vd3d3LnczM9yZy8yMDAxL1hNTFNjaGVtYS
IgeG1sbnM6eHNpPSJodHRwOi8vd3d3LnczM9yZy8yMDAxL1hNTFNThrdFNVMFRWYi9KdWlyO
FFySjgvNWs4dU9ibm5DUWVERhnbC9qVHVXeHJYUTVFESUVobHBISis2WStNVEpBMElvZUwxQ
k9sSktZNGxqSDAvOXZvdnppU0pUZXNLaUI3OCV4RHpHRmtBYjZhb3duWWZxSHBaZWZTd2g3Tn
FpZmJPRUhOSVpOz114NmhfLYtxMk52c11UUWgvNW9yK3Vd3RKMDkwjRiVytMQT09PC9DZXJ
0aWzPzY2F0ZUt1et48SVY+z3Mr.....5SjhQQk9oOuhHNDUxQTFRWmMrNVJTUjVVSUxTNF
EvNktyV1VJN1h6RTU0U0R5cXpST2pXTddXNVVPb1BNbnJCV0ozWVRQS0VKY250ekRmN1BRTEd
JVmg4dkxqWitadmRBZVZML0tRdBza0k2NGttZHRM3Bvdkiyb0xMTjVIaVBRTGFkMGVmOxc5
Ty9sQW9qTXYwv1VQLzJLRjk1bkdvTFNUY1Z6Quw3WmnkSENkQWt4MGh4bkFicUh5ZmowTGJoT
FFWNhNWit2QldJdzNxVXBCEJxUH16aWJzREposW9uQTRRYK20ExnaUpxMzlzK5tejk30U
ovblkxOVVvTjNjbUFDDhRJb1hEMmF2V3NaQ1FFaXJQZjZqMTM4c014eXdQa1FMRnlnajJMeUJ
jUV1WQT09PC9TaWduYXR1cmU+PC9PcGFXdWVIZWFsdGhDZXJ0aWzPzY2F0ZT4=
</HealthCertificateBlob>
</HealthCertificateValidationRequest>
```

3.2.5.1.1.2 Response Body

Response (DHA-Service->MDM-Server): DHA-Service reviews the data, creates a report (DHA-Report), and forwards the report to MDM-Server.

The response body from the DHA-Service to the MDM-Server is an encrypted BLOB. It resembles the following.

```
<?xml version="1.0" encoding="utf-8"?>
<HealthCertificateValidationResponse xmlns:xsd="http://www.w3.org/2001/XMLSchema"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" ErrorCode="0" ErrorMessage="DHA
  validation report was generated successfully." ProtocolVersion="4"
  xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v4
">
  <!-- http://www.w3.org/2001/XMLSchema-instance --> ErrorCode="0" ProtocolVersion="3" xmlns=
  "http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v3">
    <HealthCertificateProperties=""/>
      <Issued>2016-03-11T02:15:48.2966134Z<!-- 2017-07-11T22:11:44.6953646Z --></Issued>
        <AIKPresent>false<!-- true -->
        <ResetCount>3359798816<!-- 3 -->
        <RestartCount>3790517769<!-- 4 -->
        <DEPPolicy>0<!-- false -->
        <BitLockerStatus>0<!-- false -->
        <BootManagerRevListVersion>0<!-- 1 -->
        <CodeIntegrityRevListVersion>0<!-- 2 -->
        <SecureBootEnabled>false<!-- true -->
        <BootDebuggingEnabled>false<!-- true -->
        <OSKernelDebuggingEnabled>true<!-- true -->
        <CodeIntegrityEnabled>true<!-- true -->
        <TestSigningEnabled>false<!-- false -->
        <SafeMode>false<!-- true -->
        <WinPE>false<!-- true -->
        <ELAMDriverLoaded>true<!-- true -->
        <VSMEEnabled>false<!-- true -->
        <PCRHashAlgorithmID>0<!-- 0 -->
          <AttestationMethod>EK Certificate<!-- 0 -->
          <BitlockerEnabledAtBoot>false<!-- false -->
          <BitlockerProtector>
            <UnlockType>None<!-- 0 -->
          </BitlockerProtector>
        <BootAppSVN>1<!-- 1 -->
        <BootDebuggingDisabled>true<!-- false -->
        <BootManagerSVN>1<!-- 1 -->
        <TpmVersion>2<!-- 2 -->
        <PCR0>01C385E2752C20EFBD604143BCB1BDE5AC59FE737DE1833A601B3E8595757B79<!-- 0 -->
        <BootRevListInfo>805C93DDF8FAD001200000000B00C34C19FB857753FBB78B607623F232F8C3BA6AABF862
B9D6251BB2AD19B4F36D005D447A7CC6D10120000000B00CBB56E8B19267E24A2986C4A616CCB58B4D53F6020AC8
FD5FC205C20F2AB0BC<!-- 0 -->
        <CodeIntegrityEnabled>true<!-- true -->
      <CodeIntegrityPolicy>0000000000001002C000B002000000550070006400610074006500520076006B005300
    <!-- 0 -->
```



```

</PublicEK>
<ResetCount>3815208462</ResetCount>
<RestartCount>426123972</RestartCount>
<SecureBootCustomPolicyHash />
<SecureBootEnabled>false</SecureBootEnabled>
<TestSigningDisabled>true</TestSigningDisabled>
<TpmVersion>2.0</TpmVersion>
<UefiSignersUsedDuringBoot hashAlgorithm="SHA256" />
<VBSDecryptionPublicKey>

<Modulus>B2D860A22DAB2F8EF9D3725B6621193FC86D0E1AF13D45DB74DE080A344F91B9241B69E445CFCEB7CDE3
7BD42325D981E006517253C7EB71618145D281C3220E44D1FC7203FA25E9FE8839FB9B5380FA01B863911EF7B4E50
D8E30593B3D34A4980887946F7084C3D55930540F6B1EAAADD8BEA14ED14745203F05CA902712B8AF6F0B15A2F89A
C1DBD204B46335E8B35BD36CB97725F1DDF9DEF87A0A6E447CA73DA1C8176ED87DBCC51BF1B338E537EB6A7B2EC
A64C46A62605BC067289DD696819AD7E37B8F43DC36EAD3244B24737B0E3E3FD83EBD78DDEE6CB3A85E7B072D4825
7433915CD7B313793E56A24E6AC9A256BE1BCCEDBE1A64437EAD3AC3</Modulus>
<Exponent>010001</Exponent>
</VBSDecryptionPublicKey>
<VBSIOMMUEnabled>false</VBSIOMMUEnabled>
<VBSSigningProtectionEnabled>false</VBSSigningProtectionEnabled>
<VBSSigningPublicKey>

<Modulus>F37D9E557BD9BD566E0B9F8AF40C08E7C425D531823876406F3063BDA0EC5C69DF85ED207B7E07DA4745
6E85D4588B865A7EDD75A9D6C5A765B0600001D8C3AE928453FFE8D6E7B0614617BF5D3047156CF9CACE9457BA4A
5CCAADC99FF86D91B77C32AF24032AB7BEBE23B08BD6EBDF351DCC9365BCD919A8A97DC5610B1B596969196D183
8772DF882E557DDEA7A72ED5F0CD1F8A3D0D5FEA124C1CDAD90337C324382E7AFBE62B5E361D852E1AD04D0F93C0F
CB5918C39C08586C5883075AA2F7AA6EF62E4197C5073D86A50E605586CAC3F7CC823CEF23C6F58E6BEE6C144F817
A7F3A5B0989175F0FA10452C4644B2DBC7DE63648048FFC655B4D771</Modulus>
<Exponent>010001</Exponent>
</VBSSigningPublicKey>
<VirtualizationBasedSecurityEnabled>true</VirtualizationBasedSecurityEnabled>

<WindowsBootManagerHash>1c44308B27F5184D8BF42944FC4E10588B7EFBD4D188A01A2F03ECCEDCC02429</WindowsBootManagerHash>

<WindowsOSLoaderHash>6F9F505E5B913A32DC9BA6053F5C64EC1003E84C63D6E3A26A252674BBF9BCF7</WindowsOSLoaderHash>
<SystemProperties>
<SystemProperty name="IntelAMTNotProvisioned">true</SystemProperty>
<SystemProperty name="IntelMEFirmwareVersion">9.1.41.3024</SystemProperty>
<SystemProperty name="IntelsA00075Unaffected">true</SystemProperty>
</SystemProperties>
</HealthCertificateProperties>
</HealthCertificateValidationResponse>

```

3.2.5.1.1.3 Processing Details

The Device Management Server (MDM-Server) adds a "Nonce" to the payload, and forwards the DHA-Validation-Data to DHA-Service. The TCG log that contains health measurements is validated against the Platform Configuration Registers in the TPM (PCR) table. A report is created.

3.2.6 Timer Events

None.

3.2.7 Other Local Events

None.

4 Protocol Examples

5 Security

5.1 Security Considerations for Implementers

None.

5.2 Index of Security Parameters

None.

6 Appendix A: Full XML Schema

For ease of implementation, the following are the XML schemas for this protocol.

6.1 Health CertificateRequestV1 Schema

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema id="HealthCertificateRequest"
    xmlns:xs="http://www.w3.org/2001/XMLSchema"
    xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/request/v1"
    targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/request/v1"
    elementFormDefault="qualified">

    <xs:element name="HealthCertificateRequest" type="HealthCertificateRequest_T"/>

    <xs:complexType name="HealthCertificateRequest_T">
        <xs:annotation>
            <xs:documentation>A request for a Health Certificate </xs:documentation>
        </xs:annotation>
        <xs:sequence>
            <xs:element name="Claims" type="NonEmptyBase64Binary"/>
            <!-- AIKCertificate and RSASigningKey are mutually exclusive -->
            <xs:element name="AIKCertificate" type="NonEmptyBase64Binary" minOccurs="0" maxOccurs="1"/>
            <xs:element name="RSASigningKey" type="NonEmptyBase64Binary" minOccurs="0" maxOccurs="1"/>
        </xs:sequence>
        <xs:attribute name="ProtocolVersion" use="required">
            <xs:simpleType>
                <xs:restriction base="xs:int">
                    <xs:minInclusive value="1"/>
                </xs:restriction>
            </xs:simpleType>
        </xs:attribute>
    </xs:complexType>

    <xs:simpleType name="NonEmptyBase64Binary">
        <xs:restriction base="xs:base64Binary">
            <xs:minLength value="1"/>
        </xs:restriction>
    </xs:simpleType>

</xs:schema>
```

6.2 Health CertificateRequestV3 Schema

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema id="HealthCertificateRequest"
    xmlns:xs="http://www.w3.org/2001/XMLSchema"
    xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/request/v3"
    targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/request/v3"
    elementFormDefault="qualified">

    <xs:element name="HealthCertificateRequest" type="HealthCertificateRequest_T"/>

    <xs:complexType name="HealthCertificateRequest_T">
        <xs:annotation>
            <xs:documentation>
                A request for a Health Certificate.
                AIKCertificate, RSASigningKey and EKCertificates are mutually exclusive.
            </xs:documentation>
        </xs:annotation>
    </xs:complexType>
```

```

    Each represents one of the three supported ways of obtaining a Health Certificate
  </xs:documentation>
</xs:annotation>
<xss:sequence>
  <xs:element name="Claims" type="NonEmptyBase64Binary"/>

  <xs:element name="AIKCertificate" type="NonEmptyBase64Binary" minOccurs="0"
maxOccurs="1"/>
    <xs:element name="AIKPublic" type="NonEmptyBase64Binary" minOccurs="0"
maxOccurs="1"/>
      <xs:element name="EKCertificates" type="EKCertificates_T" minOccurs="0"
maxOccurs="1"/>
    </xs:sequence>
  <xs:attribute name="ProtocolVersion" use="required">
    <xs:simpleType>
      <xs:restriction base="xs:int">
        <xs:minInclusive value="3"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:attribute>
</xs:complexType>

<xs:complexType name="EKCertificates_T">
  <xs:annotation>
    <xs:documentation>
      A set of EK certificates (leaf and intermediates) as retrieved from the client TPM.
    </xs:documentation>
  </xs:annotation>
  <xss:sequence>
    <xs:element name="EKCertificate" type="NonEmptyBase64Binary" minOccurs="1"
maxOccurs="1"/>
    <xs:element name="EKIntermediateCA" type="NonEmptyBase64Binary" minOccurs="0"
maxOccurs="10"/>
    </xs:sequence>
    <xs:attribute name="KAClaim" use="required">
      <xs:simpleType>
        <xs:restriction base="NonEmptyBase64Binary"/>
      </xs:simpleType>
    </xs:attribute>
    <xs:attribute name="AIKPublic" use="required">
      <xs:simpleType>
        <xs:restriction base="NonEmptyBase64Binary"/>
      </xs:simpleType>
    </xs:attribute>
  </xs:complexType>

  <xs:simpleType name="NonEmptyBase64Binary">
    <xs:restriction base="xs:base64Binary">
      <xs:minLength value="1"/>
    </xs:restriction>
  </xs:simpleType>
</xs:schema>

```

6.3 Health CertificateRequestV4 Schema

```

<?xml version="1.0" encoding="UTF-8"?>

<xs:schema id="HealthCertificateRequest"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
  xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/request/v4"
  targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/request/v4"
  elementFormDefault="qualified">

  <xs:element name="HealthCertificateRequest" type="HealthCertificateRequest_T"/>

```

```

<xs:complexType name="HealthCertificateRequest T">
    <xs:annotation>
        <xs:documentation>
            A request for a Health Certificate.
            AIKCertificate, RSASigningKey and EKCertificates are mutually exclusive.
            Each represents one of the three supported ways of obtaining a Health
            Certificate
        </xs:documentation>
    </xs:annotation>
    <xs:sequence>
        <xs:element name="Claims" type="NonEmptyBase64Binary"/>
        <xs:element name="AIKCertificate" type="NonEmptyBase64Binary" minOccurs="0"
maxOccurs="1"/>
        <xs:element name="AIKPublic" type="NonEmptyBase64Binary" minOccurs="0"
maxOccurs="1"/>
        <xs:element name="EKCertificates" type="EKCertificates T" minOccurs="0"
maxOccurs="1"/>
        <xs:element name="TCGLogs" type="TCGLogs T"/>
        <xs:element name="SystemProperties" type="SystemProperties T"/>
    </xs:sequence>
    <xs:attribute name="ProtocolVersion" use="required">
        <xs:simpleType>
            <xs:restriction base="xs:int">
                <xs:minInclusive value="4"/>
            </xs:restriction>
        </xs:simpleType>
    </xs:attribute>
</xs:complexType>

<xs:complexType name="SystemProperties T">
    <xs:annotation>
        <xs:documentation>
            A nested structure to allow you to create a series of system properties. This
            is currently used
            to support non attestable Intel-SA-00075 Vulnerability Detection.
        </xs:documentation>
    </xs:annotation>
    <xs:sequence>
        <xs:element name="SystemProperty" minOccurs="0" maxOccurs="unbounded">
            <xs:complexType>
                <xs:simpleContent>
                    <xs:extension base="xs:string">
                        <xs:attribute name="name" type="xs:string" use="required"/>
                    </xs:extension>
                </xs:simpleContent>
            </xs:complexType>
        </xs:element>
    </xs:sequence>
</xs:complexType>

<xs:complexType name="TCGLogs T">
    <xs:annotation>
        <xs:documentation>
            A nested structure to allow you to create a series of TCG logs under a parent
            log with a unique name.
        </xs:documentation>
    </xs:annotation>
    <xs:sequence>
        <xs:element name="Log" minOccurs="1" maxOccurs="unbounded">
            <xs:complexType>
                <xs:simpleContent>
                    <xs:extension base="NonEmptyBase64Binary">
                        <xs:attribute name="type" type="xs:string" use="required"/>
                        <xs:attribute name="state" type="xs:string" use="required"/>
                    </xs:extension>
                </xs:simpleContent>
            </xs:complexType>
        </xs:element>
    </xs:sequence>
</xs:complexType>

```

```

<xs:complexType name="EKCertificates_T">
    <xs:annotation>
        <xs:documentation>
            A set of EK certificates (leaf and intermediates) as retrieved from the
            client TPM.
        </xs:documentation>
    </xs:annotation>
    <xs:sequence>
        <xs:element name="EKCertificate" type="NonEmptyBase64Binary" minOccurs="1"
maxOccurs="1"/>
        <xs:element name="EKIntermediateCA" type="NonEmptyBase64Binary" minOccurs="0"
maxOccurs="10"/>
    </xs:sequence>
    <xs:attribute name="KAClaim" use="required">
        <xs:simpleType>
            <xs:restriction base="NonEmptyBase64Binary"/>
        </xs:simpleType>
    </xs:attribute>
    <xs:attribute name="AIKPublic" use="required">
        <xs:simpleType>
            <xs:restriction base="NonEmptyBase64Binary"/>
        </xs:simpleType>
    </xs:attribute>
</xs:complexType>

<xs:simpleType name="NonEmptyBase64Binary">
    <xs:restriction base="xs:base64Binary">
        <xs:minLength value="1"/>
    </xs:restriction>
</xs:simpleType>
</xs:schema>

```

6.36.4 HealthCertificateResponseV1 Schema

```

<?xml version="1.0" encoding="UTF-8"?>
<xs:schema id="HealthCertificateResponse"
    xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/response/v1"
    xmlns:xs="http://www.w3.org/2001/XMLSchema"
    targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/response/v1"
    elementFormDefault="qualified">

    <xs:element name="HealthCertificateResponse" type="HealthCertificateResponse_T"/>

    <xs:complexType name="ResponseCommon_T">
        <xs:attribute name="ErrorCode" type="xs:int" use="required"/>
        <xs:attribute name="ErrorMessage" type="xs:string" use="required"/>
    </xs:complexType>

    <xs:group name="HealthCertificateresponseData">
        <xs:annotation>
            <xs:documentation>Health certificate response data</xs:documentation>
        </xs:annotation>
        <xs:sequence>
            <xs:element name="HealthCertificateBlob" minOccurs="1" maxOccurs="1">
                <xs:annotation>
                    <xs:documentation>
                        The base 64 encoded Health Certificate blob. The Health Certificate
                        blob represents a UTF16 XML string of type OpaqueHealthCertificate_T
                        defined in OpaqueHealthCertificate.xsd. We do not expose the
                        OpaqueHealthCertificate_T explicitly to simplify things for the client.
                    </xs:documentation>
                </xs:annotation>
                <xs:simpleType>
                    <xs:restriction base="NonEmptyBase64Binary">

```

```

                <xs:minLength value="1"/>
            </xs:restriction>
        </xs:simpleType>
    </xs:element>
</xs:sequence>
</xs:group>

<xs:complexType name="HealthCertificateResponse_T" >
    <xs:complexContent>
        <xs:extension base="ResponseCommon_T">
            <xs:group ref="HealthCertificateresponseData" minOccurs="0"/>
        </xs:extension>
    </xs:complexContent>
</xs:complexType>

<xs:simpleType name="NonEmptyBase64Binary">
    <xs:restriction base="xs:base64Binary">
        <xs:minLength value="1"/>
    </xs:restriction>
</xs:simpleType>

</xs:schema>

```

6.46.5 HealthCertificateResponseV3 Schema

```

<?xml version="1.0" encoding="UTF-8"?>
<xs:schema id="HealthCertificateResponse"
    xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/response/v3"
    xmlns:xs="http://www.w3.org/2001/XMLSchema"
    targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/response/v3"
    elementFormDefault="qualified">

    <xs:element name="HealthCertificateResponse" type="HealthCertificateResponse_T"/>

    <xs:complexType name="ResponseCommon_T">
        <xs:attribute name="ErrorCode" type="xs:int" use="required"/>
        <xs:attribute name="ErrorMessage" type="xs:string" use="required"/>
        <xs:attribute name="ProtocolVersion" use="required">
            <xs:simpleType>
                <xs:restriction base="xs:int">
                    <xs:minInclusive value="3"/>
                </xs:restriction>
            </xs:simpleType>
        </xs:attribute>
    </xs:complexType>

    <xs:group name="HealthCertificateresponseData">
        <xs:annotation>
            <xs:documentation>Health certificate response data</xs:documentation>
        </xs:annotation>
        <xs:sequence>
            <xs:element name="HealthCertificateBlob" type ="HealthCertificateBlob_T"
minOccurs="1" maxOccurs="1"/>
        </xs:sequence>
    </xs:group>

    <xs:complexType name="HealthCertificateResponse_T" >
        <xs:complexContent>
            <xs:extension base="ResponseCommon_T">
                <xs:group ref="HealthCertificateresponseData" minOccurs="0"/>
            </xs:extension>
        </xs:complexContent>
    </xs:complexType>

```

```

<xs:complexType name="HealthCertificateBlob_T" >
  <xs:simpleContent>
    <xs:extension base="NonEmptyBase64Binary">
      <xs:attribute name="IV" type ="NonEmptyBase64Binary" use="optional"/>
      <xs:attribute name="EKChallenge" type ="NonEmptyBase64Binary" use="optional"/>
    </xs:extension>
  </xs:simpleContent>
</xs:complexType>

<xs:simpleType name="NonEmptyBase64Binary">
  <xs:restriction base="xs:base64Binary">
    <xs:minLength value="1"/>
  </xs:restriction>
</xs:simpleType>

</xs:schema>

```

6.6 HealthCertificateResponseV4 Schema

The is no new schema for the v4 response. It is the same as the schema for v3.

6.56.7 HealthCertificateValidationRequestV1 Schema

```

<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v1"
  targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/validation/r
  equest/v1"
  elementFormDefault="qualified"
  attributeFormDefault="unqualified">

  <xs:element name="HealthCertificateValidationRequest"
  type="HealthCertificateValidationRequest_T"/>

  <xs:complexType name="HealthCertificateValidationRequest_T">
    <xs:annotation>
      <xs:documentation>A request for Health Certificate validation </xs:documentation>
    </xs:annotation>
    <xs:sequence>
      <xs:element name="Nonce" type="xs:hexBinary"/>
      <xs:element name="Claims" type="NonEmptyBase64Binary"/>
      <xs:element name="HealthCertificateBlob" type="NonEmptyBase64Binary"/>
    </xs:sequence>
    <xs:attribute name="ProtocolVersion" use="required">
      <xs:simpleType>
        <xs:restriction base="xs:int">
          <xs:minInclusive value="1"/>
        </xs:restriction>
      </xs:simpleType>
    </xs:attribute>
  </xs:complexType>

  <xs:simpleType name="NonEmptyBase64Binary">
    <xs:restriction base="xs:base64Binary">
      <xs:minLength value="1"/>
    </xs:restriction>
  </xs:simpleType>

</xs:schema>

```

6.66.8 HealthCertificateValidationRequestV3 Schema

```
<?xml version="1.0" encoding="UTF-8"?>
<xsschema xmlns:xs="http://www.w3.org/2001/XMLSchema"
           xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v3"
           targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v3"
           elementFormDefault="qualified"
           attributeFormDefault="unqualified">

    <xs:element name="HealthCertificateValidationRequest"
                type="HealthCertificateValidationRequest_T"/>

    <xs:complexType name="HealthCertificateValidationRequest_T">
        <xs:annotation>
            <xs:documentation>A request for Health Certificate validation </xs:documentation>
        </xs:annotation>
        <xs:sequence>
            <xs:element name="Nonce" type="xs:hexBinary"/>
            <xs:element name="Claims" type="NonEmptyBase64Binary"/>
            <xs:element name="HealthCertificateBlob" type="NonEmptyBase64Binary"/>
        </xs:sequence>
        <xs:attribute name="ProtocolVersion" use="required">
            <xs:simpleType>
                <xs:restriction base="xs:int">
                    <xs:minInclusive value="3"/>
                </xs:restriction>
            </xs:simpleType>
        </xs:attribute>
    </xs:complexType>

    <xs:simpleType name="NonEmptyBase64Binary">
        <xs:restriction base="xs:base64Binary">
            <xs:minLength value="1"/>
        </xs:restriction>
    </xs:simpleType>

```

</xs:schema>

6.9 HealthCertificateValidationRequestV4 Schema

```
<?xml version="1.0" encoding="UTF-8"?>
<xsschema xmlns:xs="http://www.w3.org/2001/XMLSchema"
           xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v4"
           targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v4"
           elementFormDefault="qualified"
           attributeFormDefault="unqualified">

    <xs:element name="HealthCertificateValidationRequest"
                type="HealthCertificateValidationRequest_T"/>

    <xs:complexType name="HealthCertificateValidationRequest_T">
        <xs:annotation>
            <xs:documentation>A request for Health Certificate validation </xs:documentation>
        </xs:annotation>
        <xs:sequence>
            <xs:element name="Nonce" type="xs:hexBinary" minOccurs="0" maxOccurs="1"/>
            <xs:element name="Claims" type="NonEmptyBase64Binary"/>
        </xs:sequence>
    </xs:complexType>

```

```

<xs:element name="HealthCertificateBlob" type="NonEmptyBase64Binary"/>
</xs:sequence>
<xs:attribute name="ProtocolVersion" use="required">
<xs:simpleType>
<xs:restriction base="xs:int">
<xs:minInclusive value="4"/>
</xs:restriction>
</xs:simpleType>
</xs:attribute>
</xs:complexType>

<xs:simpleType name="NonEmptyBase64Binary">
<xs:restriction base="xs:base64Binary">
<xs:minLength value="1"/>
</xs:restriction>
</xs:simpleType>

</xs:schema>

```

6.76.10 HealthCertificateValidationResponseV1 Schema

```

<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v1"
  targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/validation/request/v1"
  elementFormDefault="qualified"
  attributeFormDefault="unqualified">

  <xs:element name="HealthCertificateValidationRequest"
    type="HealthCertificateValidationRequest_T"/>

  <xs:complexType name="HealthCertificateValidationRequest_T">
    <xs:annotation>
      <xs:documentation>A request for Health Certificate validation </xs:documentation>
    </xs:annotation>
    <xs:sequence>
      <xs:element name="Nonce" type="xs:hexBinary"/>
      <xs:element name="Claims" type="NonEmptyBase64Binary"/>
      <xs:element name="HealthCertificateBlob" type="NonEmptyBase64Binary"/>
    </xs:sequence>
    <xs:attribute name="ProtocolVersion" use="required">
      <xs:simpleType>
        <xs:restriction base="xs:int">
          <xs:minInclusive value="1"/>
        </xs:restriction>
      </xs:simpleType>
    </xs:attribute>
  </xs:complexType>

  <xs:simpleType name="NonEmptyBase64Binary">
    <xs:restriction base="xs:base64Binary">
      <xs:minLength value="1"/>
    </xs:restriction>
  </xs:simpleType>

</xs:schema>

```

6.86.11 HealthCertificateValidationResponseV3 Schema

```
<?xml version="1.0" encoding="UTF-8"?>
```

```

<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
    xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v3"
    targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v3"
    elementFormDefault="qualified">

    <xs:element name="HealthCertificateValidationResponse"
        type="HealthCertificateValidationResponse_T">

        <xs:complexType name="ResponseCommon_T">
            <xs:attribute name="ErrorCode" type="xs:int" use="required"/>
            <xs:attribute name="ErrorMessage" type="xs:string" use="required"/>
            <xs:attribute name="ProtocolVersion" use="required">
                <xs:simpleType>
                    <xs:restriction base="xs:int">
                        <xs:minInclusive value="3"/>
                    </xs:restriction>
                </xs:simpleType>
            </xs:attribute>
        </xs:complexType>

        <xs:complexType name="HealthCertificatePublicProperties_T">
            <xs:annotation>
                <xs:documentation>Health certificate non machine identifiable properties</xs:documentation>
            </xs:annotation>
            <xs:sequence>
                <xs:element name="Issued" type="xs:dateTime"/>
                <xs:element name="AIKPresent" type="Boolean_T"/>
                <xs:element name="ResetCount" type="xs:unsignedInt"/>
                <xs:element name="RestartCount" type="xs:unsignedInt"/>
                <xs:element name="DEPPolicy" type="xs:unsignedInt"/>
                <xs:element name="BitlockerStatus" type="xs:unsignedInt"/>
                <xs:element name="BootManagerRevListVersion" type="xs:unsignedInt"/>
                <xs:element name="CodeIntegrityRevListVersion" type="xs:unsignedInt"/>
                <xs:element name="SecureBootEnabled" type="Boolean_T"/>
                <xs:element name="BootDebuggingEnabled" type="Boolean_T"/>
                <xs:element name="OSKernelDebuggingEnabled" type="Boolean_T"/>
                <xs:element name="CodeIntegrityEnabled" type="Boolean_T"/>
                <xs:element name="TestSigningEnabled" type="Boolean_T"/>
                <xs:element name="SafeMode" type="Boolean_T"/>
                <xs:element name="WinPE" type="Boolean_T"/>
                <xs:element name="ELAMDriverLoaded" type="Boolean_T"/>
                <xs:element name="VSMEnabled" type="Boolean_T"/>
                <xs:element name="PCRHashAlgorithmID" type="xs:unsignedInt"/>
                <xs:element name="BootAppSVN" type="xs:unsignedInt"/>
                <xs:element name="BootManagerSVN" type="xs:unsignedInt"/>
                <xs:element name="TpmVersion" type="xs:unsignedInt"/>
                <xs:element name="PCR0" type="xs:hexBinary"/>
                <xs:element name="CIPolicy" type="xs:hexBinary" minOccurs="0" maxOccurs="1"/>
                <xs:element name="SBCPHash" type="xs:hexBinary" minOccurs="0" maxOccurs="1"/>
                <xs:element name="BootRevListInfo" type="xs:hexBinary" minOccurs="0" maxOccurs="1"/>
                <xs:element name="OSRevListInfo" type="xs:hexBinary" minOccurs="0" maxOccurs="1"/>
            </xs:sequence>
            <!--
                PCR related values are not sent, per design
                <xs:element name="PCRCOUNT" type="xs:unsignedInt"/>
                <xs:element name="PCRSIZE" type="xs:unsignedShort"/>
                <xs:element name="PCRHashAlgorithmID" type="xs:unsignedShort"/>
                <xs:element name="PCR" type="xs:hexBinary"/>
            -->
        </xs:sequence>
    </xs:complexType>
</xs:schema>

```

```

</xs:complexType>

<xs:complexType name="HealthStatusMismatchFlags_T">
    <xs:annotation>
        <xs:documentation>If there's a status mismatch, these flags will be set</xs:documentation>
    </xs:annotation>
    <xs:sequence>
        <!-- Hibernate/Resume count -->
        <xs:element name="ResumeCount" type="Boolean_T"/>
        <!-- Reboot count -->
        <xs:element name="RebootCount" type="Boolean_T"/>
        <xs:element name="PCR" type="Boolean_T"/>
        <xs:element name="BootAppSVN" type="Boolean_T"/>
        <xs:element name="BootManagerSVNChain" type="Boolean_T"/>
        <xs:element name="BootAppSVNChain" type="Boolean_T"/>
    </xs:sequence>
</xs:complexType>

<xs:complexType name="HealthCertificateValidationResponse_T" >
    <xs:annotation>
        <xs:documentation>Health certificate validation response </xs:documentation>
    </xs:annotation>
    <xs:complexContent>
        <xs:extension base="ResponseCommon_T">
            <xs:sequence>
                <!--Optional element, present only when the certificate can be verified and decrypted-->
                <xs:element name="HealthCertificateProperties" type="HealthCertificatePublicProperties_T" minOccurs="0"/>
                <!--Optional element, present only when the reason for a validation failure is a mismatch between the current health state and the certificate health state-->
                <xs:element name="HealthStatusMismatchFlags" type="HealthStatusMismatchFlags_T" minOccurs="0"/>
            </xs:sequence>
        </xs:extension>
    </xs:complexContent>
</xs:complexType>

<xs:simpleType name="Boolean_T">
    <xs:restriction base="xs:boolean">
        <xs:pattern value="true|false"/>
    </xs:restriction>
</xs:simpleType>
</xs:schema>

```

6.12 HealthCertificateValidationResponseV4 Schema

```

<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
    xmlns="http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v4"
    targetNamespace="http://schemas.microsoft.com/windows/security/healthcertificate/validation/response/v4"
    elementFormDefault="qualified">

    <xs:element name="HealthCertificateValidationResponse" type="HealthCertificateValidationResponse_T"/>

    <xs:complexType name="ResponseCommon_T">

```

```

<xs:attribute name="ErrorCode" type="xs:int" use="required"/>
<xs:attribute name="ErrorMessage" type="xs:string" use="required"/>
<xs:attribute name="ProtocolVersion" use="required">
  <xs:simpleType>
    <xs:restriction base="xs:int">
      <xs:minInclusive value="4"/>
    </xs:restriction>
  </xs:simpleType>
</xs:attribute>
</xs:complexType>

<xs:complexType name="HealthCertificatePublicProperties_T">
  <xs:annotation>
    <xs:documentation>Health certificate non machine identifiable properties.</xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="Issued" type="xs:dateTime"/>
    <xs:element name="AIKPresent" type="Boolean T"/>
    <xs:element name="AttestationMethod" type="xs:string"/>
    <xs:element name="BitlockerEnabledAtBoot" type="Boolean T"/>
    <xs:element name="BitlockerProtector" type="BitlockerUnlockFlags T"/>
    <xs:element name="BootAppSVN" type="xs:unsignedInt"/>
    <xs:element name="BootDebuggingDisabled" type="Boolean T"/>
    <xs:element name="BootManagerSVN" type="xs:unsignedInt"/>
    <xs:element name="BootRevListInfo" type="xs:hexBinary"/>
    <xs:element name="CodeIntegrityEnabled" type="Boolean T"/>
    <xs:element name="CodeIntegrityPolicy" type="xs:hexBinary"/>
    <xs:element name="CrashDumpEncryptionEnabled" type="Boolean T"/>
    <xs:element name="CredentialGuardEnabled" type="Boolean T"/>
    <xs:element name="DataExecutionPreventionPolicy" type="xs:unsignedInt"/>
    <xs:element name="ELAMDriverHash" type="xs:hexBinary"/>
    <xs:element name="ELAMDriverLoaded" type="Boolean T"/>
    <xs:element name="ELAMDriverName" type="xs:string"/>
    <xs:element name="ELAMSignerName" type="xs:string"/>
    <xs:element name="FlightSigningNotEnabled" type="Boolean T"/>
    <xs:element name="MemoryScrubbingProtectionEnabled" type="Boolean T"/>
    <xs:element name="NoSecureBootCustomPolicy" type="Boolean T"/>
    <xs:element name="NotBootedIntoSafeMode" type="Boolean T"/>
    <xs:element name="NotBootedIntoWinPE" type="Boolean T"/>
    <xs:element name="OSKernelDebuggingDisabled" type="Boolean T"/>
    <xs:element name="OSRevListInfo" type="xs:hexBinary"/>
    <xs:element name="PageFileEncryptionEnabled" type="Boolean T"/>
    <xs:element name="PCRS" type="PCRS T"/>
    <xs:element name="ProductionSignedBootManager" type="Boolean T"/>
    <xs:element name="PublicAIK" type="PubKeyInfo T"/>
    <xs:element name="PublicEK" type="PubKeyInfo T"/>
    <xs:element name="ResetCount" type="xs:unsignedInt"/>
    <xs:element name="RestartCount" type="xs:unsignedInt"/>
    <xs:element name="SecureBootCustomPolicyHash" type="xs:hexBinary"/>
    <xs:element name="SecureBootEnabled" type="Boolean T"/>
    <xs:element name="TestSigningDisabled" type="Boolean T"/>
    <xs:element name="TpmVersion" type="xs:string"/>
    <xs:element name="UefiSignersUsedDuringBoot" type="SignerThumbprintList T"/>
    <xs:element name="VBSDecryptionPublicKey" type="PubKeyInfo T"/>
    <xs:element name="VBSIOMMUEnabled" type="Boolean T"/>
    <xs:element name="VBSMemoryScrubbingProtectionEnabled" type="Boolean T"/>
    <xs:element name="VBSSigningPublicKey" type="PubKeyInfo T"/>
    <xs:element name="VirtualizationBasedSecurityEnabled" type="Boolean T"/>
    <xs:element name="WindowsBootManagerHash" type="xs:hexBinary"/>
    <xs:element name="WindowsOSLoaderHash" type="xs:hexBinary"/>
    <xs:element name="SystemProperties" type="SystemProperties T"/>
    <xs:element name="HealthProperty" type="HealthProperty T"/>
  <minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
```

```

<xs:complexType name="PubKeyInfo_T">
    <xs:sequence>
        <xs:element name="Modulus" type="xs:hexBinary" />
        <xs:element name="Exponent" type="xs:hexBinary" />
    </xs:sequence>
</xs:complexType>

<xs:complexType name="SystemProperties_T">
    <xs:annotation>
        <xs:documentation>
            A nested structure to allow you to create a series of system properties. This
is currently used
            to support non attestable Intel-SA-00075 Vulnerability Detection.
        </xs:documentation>
    </xs:annotation>
    <xs:sequence>
        <xs:element name="SystemProperty" minOccurs="0" maxOccurs="unbounded">
            <xs:complexType>
                <xs:simpleContent>
                    <xs:extension base="xs:string">
                        <xs:attribute name="name" type="xs:string" use="required"/>
                    </xs:extension>
                </xs:simpleContent>
            </xs:complexType>
        </xs:element>
    </xs:sequence>
</xs:complexType>

<xs:complexType name="BitlockerUnlockFlags_T">
    <xs:annotation>
        <xs:documentation>
            Bitlocker unlock supports various mechanisms for unlocking the system drive
these include,
            none, cached, media, tpm, nkp, pin, external, recovery, passphrase and npb.
These flags may be
            extended into the future. This structure allows an extensible way to show
these types.
        </xs:documentation>
    </xs:annotation>
    <xs:sequence>
        <xs:element name="UnlockType" type="xs:string" minOccurs="0"
maxOccurs="unbounded"/>
    </xs:sequence>
</xs:complexType>

<xs:complexType name="SignerThumbprintList_T">
    <xs:annotation>
        <xs:documentation>
            A signer thumbprint list is a named list of signer owners and the SHA256 hash
of their provided
            X509 Certificate. These X509 certificates are the chosen entries from the
UEFI db used to boot
            the system.
        </xs:documentation>
    </xs:annotation>
    <xs:sequence>
        <xs:element name="SignerThumbprint" minOccurs="0" maxOccurs="unbounded">
            <xs:complexType>
                <xs:simpleContent>
                    <xs:extension base="xs:hexBinary">
                        <xs:attribute name="name" type="xs:string" use="required"/>
                        <xs:attribute name="owner" type="xs:string" use="required"/>
                    </xs:extension>
                </xs:simpleContent>
            </xs:complexType>
        </xs:element>
    </xs:sequence>
    <xs:attribute name="hashAlgorithm" type="xs:string" use="required"/>

```

```

</xs:complexType>

<xs:complexType name="PCRS_T">
  <xs:annotation>
    <xs:documentation>
      A nested structure that contains all of the PCRs in the system the nested
      type itself has its own
      attribute name.
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="PCR" minOccurs="0" maxOccurs="unbounded">
      <xs:complexType>
        <xs:simpleContent>
          <xs:extension base="xs:hexBinary">
            <xs:attribute name="n" type="xs:unsignedInt" use="required"/>
          </xs:extension>
        </xs:simpleContent>
      </xs:complexType>
    </xs:element>
  </xs:sequence>
  <xs:attribute name="hashAlgorithm" type="xs:string" use="required"/>
</xs:complexType>

<xs:complexType name="HealthStatusMismatchFlags_T">
  <xs:annotation>
    <xs:documentation>If there's a status mismatch, these flags will be
    set</xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="ResumeCount" type="Boolean T"/>
    <xs:element name="RebootCount" type="Boolean T"/>
    <xs:element name="PCR" type="Boolean T"/>
    <xs:element name="BootAppSVN" type="Boolean T"/>
    <xs:element name="BootManagerSVNChain" type="Boolean T"/>
    <xs:element name="BootAppSVNChain" type="Boolean T"/>
  </xs:sequence>
</xs:complexType>

<xs:complexType name="HealthCertificateValidationResponse_T" >
  <xs:annotation>
    <xs:documentation>Health certificate validation response </xs:documentation>
  </xs:annotation>
  <xs:complexContent>
    <xs:extension base="ResponseCommon_T">
      <xs:sequence>
        <!--Optional element, present only when the certificate can be verified
        and decrypted-->
        <xs:element name="HealthCertificateProperties"
        type="HealthCertificatePublicProperties_T" minOccurs="0"/>
        <!--Optional element, present only when the reason for a validation
        failure is a mismatch between the
        current health state and the certificate health state-->
        <xs:element name="HealthStatusMismatchFlags"
        type="HealthStatusMismatchFlags_T" minOccurs="0"/>
      </xs:sequence>
    </xs:extension>
  </xs:complexContent>
</xs:complexType>

<xs:simpleType name="Boolean_T">
  <xs:restriction base="xs:boolean">
    <xs:pattern value="true|false"/>
  </xs:restriction>
</xs:simpleType>

<xs:complexType name="HealthProperty_T">
  <xs:annotation>

```

```
<xs:documentation>Generic health properties strings can be added to this with  
unique names.</xs:documentation>  
</xs:annotation>  
<xs:simpleContent>  
<xs:extension base="xs:string">  
<xs:attribute name="Name" type="xs:string" use="required"/>  
</xs:extension>  
</xs:simpleContent>  
</xs:complexType>  
  
<xs:simpleType name="NonEmptyBase64Binary">  
<xs:restriction base="xs:base64Binary">  
<xs:minLength value="1"/>  
</xs:restriction>  
</xs:simpleType>  
  
</xs:schema>
```

7 Appendix B: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include ~~released service packs~~^{updates} to those products.

The terms "earlier" and "later", when used with a product version, refer to either all preceding versions or all subsequent versions, respectively. The term "through" refers to the inclusive range of versions. Applicable Microsoft products are listed chronologically in this section.

Client Releases

- Windows 10 operating system

Server Releases

- Windows Server 2016 operating system
- Windows Server operating system

Exceptions, if any, are noted ~~below in this section~~. If ~~a~~^{an} update version, service pack or ~~Quick Fix Engineering (QFE)~~ Knowledge Base (KB) number appears with ~~the~~^a product ~~version, name, the~~ behavior changed in that ~~service pack or QFE update~~. The new behavior also applies to subsequent ~~service packs of the product~~^{updates} unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms "SHOULD" or "SHOULD NOT" implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term "MAY" implies that the product does not follow the prescription.

<1> Section 2.1: Version 4 (v4) is not supported in Windows 10 v1703 operating system or earlier client implementations, or in Windows Server 2016.

8 Change Tracking

No table of This section identifies changes is available. The that were made to this document is either new or has had no changes since it's the last release. Changes are classified as Major, Minor, or None.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements.
- A document revision that captures changes to protocol functionality.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **None** means that no new technical changes were introduced. Minor editorial and formatting changes may have been made, but the relevant technical content is identical to the last released version.

The changes made to this document are listed in the following table. For more information, please contact dochelp@microsoft.com.

Section	Description	Revision class
1.7 Versioning and Capability Negotiation	Updated for this release of Windows.	Major
2.1 Transport	Updated for this release of Windows.	Major
2.2.1 Namespaces	Updated for this release of Windows.	Major
3.1.5.1.1.1 Request Body	Updated for this release of Windows.	Major
3.2.5.1.1.2 Response Body	Updated for this release of Windows.	Major
3.2.5.1.1.2 Response Body	Updated for this release of Windows.	Major
6.3 Health CertificateRequestV4 Schema	Updated for this release of Windows.	Major
6.6 HealthCertificateResponseV4 Schema	Updated for this release of Windows.	Major
6.9 HealthCertificateValidationRequestV4 Schema	Updated for this release of Windows.	Major
6.12 HealthCertificateValidationResponseV4 Schema	Updated for this release of Windows.	Major
7 Appendix B: Product Behavior	Updated for this release of Windows.	Major

9 Index

A

- Abstract data model
 - DHA-enabled client 17
 - DHA-service 19
- Applicability 9
- Asynchronous processing flow 14
- Attributes
 - ErrorCode 13
 - ErrorMessage 13

C

- Capability negotiation 9
- Change tracking 41
- Claims 12
- Common data structures 13
- Common data types 11

D

- Data model - abstract
 - DHA-enabled client 17
 - DHA-service 19
- Device Management Server (MDM) – defined 7
- DHA-Boot-Data 18
- Dha-enabled client
 - Abstract data model 17
 - Higher-layer triggered events 17
 - Initialization 17
 - Message processing events and sequencing rules 17
 - Other local events 19
 - overview 14
 - Timer events 19
 - Timers 17
- DHA-Enabled Device – defined 7
- DHA-Service
 - Abstract data model 19
 - communication paths 19
 - defined 7
 - Higher-layer triggered events 19
 - Initialization 19
 - Message processing events and sequencing rules 20
 - Other local events 23
 - overview 19
 - Timer events 23
 - Timers 19
- Diagrams
 - asynchronous processing flows – device health attestation 14
 - device health attestation 7
 - Device to DHA-Service - communication 19
 - device to MDM-Server communication 14
 - device, DHA-service communication 7
 - negotiation exchange - device health attestation 14
 - relationship of DHA protocol to industry-standard protocols 8
 - synchronous processing flows – device health attestation 14

F

- Fields - vendor-extensible 10
- Full XML schema 26

G

Glossary 5

H

HealthCertificateBlob 12
Higher-layer triggered events
 DHA-enabled client 17
 DHA-service 19
HTTP headers 12
HTTP methods 12
 POST - DHA-enabled client details 17
 POST - DHA-service details 20

I

Implementer - security considerations 25
Index of security parameters 25
Informative references 7
Initialization
 DHA-enabled client 17
 DHA-service 19
Initiation of attestation protocol - prerequisite to 14
Introduction 5

L

Local events
 DHA-enabled client 19
 DHA-service 23

M

Message processing
 DHA-enabled client 17
 DHA-service 20
Messages
 attributes 13
 Claims 12
 common data structures 13
 common data types 11
 HealthCertificateBlob 12
 HTTP headers 12
 HTTP methods 12
 namespaces 11
 simple types 12
 transport 11

N

Namespaces 11
Normative references 6

O

Overview (synopsis) 7

P

Parameters - security index 25
Preconditions 9
Prerequisite - before initiation of attestation protocol 14

Prerequisites 9

Processing flow

 asynchronous 14

 synchronous 14

Product behavior 40

Protocol Details

 DHA-Enabled Client 14

 DHA-Service 19

R

References

 informative 7

 normative 6

Relationship to other protocols 8

Request body

 DHA-Enabled client - POST 18

 DHA-Encrypted-Data - POST 20

S

Security

 implementer considerations 25

 parameter index 25

Sequencing rules

 DHA-enabled client 17

 DHA-service 20

Simple types – Boolean_T 12

Standards assignments 10

Status codes for POST (section 3.1.5 17, section 3.2.5 20)

Synchronous processing flow 14

T

Timer events

 DHA-enabled client 19

 DHA-service 23

Timers

 DHA-enabled client 17

 DHA-service 19

Tracking changes 41

Transport 11

 common data types 11

 HTTP headers 12

 HTTP methods 12

 namespaces 11

Triggered events

 DHA-enabled client 17

 DHA-service 19

V

Vendor-extensible fields 10

Versioning 9

X

XML schema 26

 HealthCertificateRequestV1 26

 HealthCertificateRequestV3 26

 HealthCertificateResponseV1 29

 HealthCertificateResponseV3 30

 HealthCertificateValidationRequestV1 31

 HealthCertificateValidationRequestV3 32

HealthCertificateValidationResponseV1 33
HealthCertificateValidationResponseV3 33