

[MC-NPR-Diff]: .NET Packet Routing Protocol

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Revision Summary

Date	Revision History	Revision Class	Comments
8/10/2007	0.1	Major	Initial Availability
9/28/2007	0.2	Minor	Clarified the meaning of the technical content.
10/23/2007	0.3	Minor	Clarified the meaning of the technical content.
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6/30/2015	5.0	Major	Significantly changed the technical content.
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<u>3/16/2017</u>	<u>6.0</u>	<u>Major</u>	<u>Significantly changed the technical content.</u>

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1 Introduction

This document specifies the .NET Packet Routing Protocol, which defines a SOAP header for indicating that a SOAP message can safely be treated as a packet or datagram. A .NET Packet Routing Protocol router can select a more efficient routing algorithm to use for the message based on the presence of the SOAP header. The .NET Packet Routing Protocol does not prescribe any specific algorithm or communications infrastructure for forwarding a packet after it has been received by the router.

Sections 1.5, 1.8, 1.9, 2, and 3 of this specification are normative. All other sections and examples in this specification are informative.

1.1 Glossary

This document uses the following terms:

node: An endpoint in the computer network that can receive, send, or process a SOAP message, as specified in [SOAP1.2-2/2007].

packet routing: A routing algorithm that directs a message from a source to an ultimate destination through intermediate routers without guaranteeing that subsequent messages between the source and destination will take the same path.

router: An endpoint that receives messages from a node and forwards those messages to the next node based on a routing algorithm.

routing algorithm: An algorithm used to determine the next destination node for a specific message.

SOAP header: A mechanism for implementing extensions to a SOAP message in a decentralized manner without prior agreement between the communicating parties. See [SOAP1.2-1/2007] section 5.2 for more information.

SOAP message: An XML document consisting of a mandatory SOAP envelope, an optional SOAP header, and a mandatory SOAP body. See [SOAP1.2-1/2007] section 5 for more information.

SOAP mustUnderstand attribute: A global, Boolean attribute that is used to indicate whether a header entry is mandatory or optional for the recipient to process. See [SOAP1.2-1/2007] section 5.2.3 for more information.

SOAP packet: A SOAP message that does not have a behavioral dependency on the path taken to deliver the message from the source to the destination.

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as defined in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

Links to a document in the Microsoft Open Specifications library point to the correct section in the most recently published version of the referenced document. However, because individual documents in the library are not updated at the same time, the section numbers in the documents may not match. You can confirm the correct section numbering by checking the Errata.

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dohelp@microsoft.com. We will assist you in finding the relevant information.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

[SOAP1.1] Box, D., Ehnebuske, D., Kakivaya, G., et al., "Simple Object Access Protocol (SOAP) 1.1", [W3C Note](http://www.w3.org/TR/2000/NOTE-SOAP-20000508/), May 2000, <http://www.w3.org/TR/2000/NOTE-SOAP-20000508/>

[SOAP1.2-1/2007] Gudgin, M., Hadley, M., Mendelsohn, N., et al., "SOAP Version 1.2 Part 1: Messaging Framework (Second Edition)", W3C Recommendation-~~27~~, April 2007, <http://www.w3.org/TR/2007/REC-soap12-part1-20070427/>

[W3C-XSD] World Wide Web Consortium, "XML Schema Part 2: Datatypes Second Edition", October 2004, <http://www.w3.org/TR/2004/REC-xmlschema-2-20041028>

[XML1.0] Bray, T., Paoli, J., Sperberg-McQueen, C.M., and Maler, E., "Extensible Markup Language (XML) 1.0 (Second Edition)", W3C Recommendation, October 2000, <http://www.w3.org/TR/2000/REC-xml-20001006>

1.2.2 Informative References

None.

1.3 Overview

Packet routing is the predominant form of routing on the Internet. Packet routing does not preserve connection-oriented features such as routing paths, message-delivery order, or the presence of a back channel for replies (see the following figure that illustrates Packet routing). Circuit routing does preserve those features but requires more resources to route messages (see the following figure that illustrates circuit routing).

If a router receives an application message over a connection, then, without further context about the application or message, the router can infer that the presence of the connection is significant and use circuit routing. However, the application might not require the features of circuit routing for correct delivery of the application message.

The .NET Packet Routing Protocol enables a SOAP message originator to indicate that a message does not have a behavioral dependency on the path taken to deliver the message from the source to the destination. A .NET Packet Routing Protocol router makes use of the indication when selecting among different routing algorithms to apply to the message. The indication provided by the .NET Packet Routing Protocol conveys routing information that enables the router to select a more efficient routing algorithm.

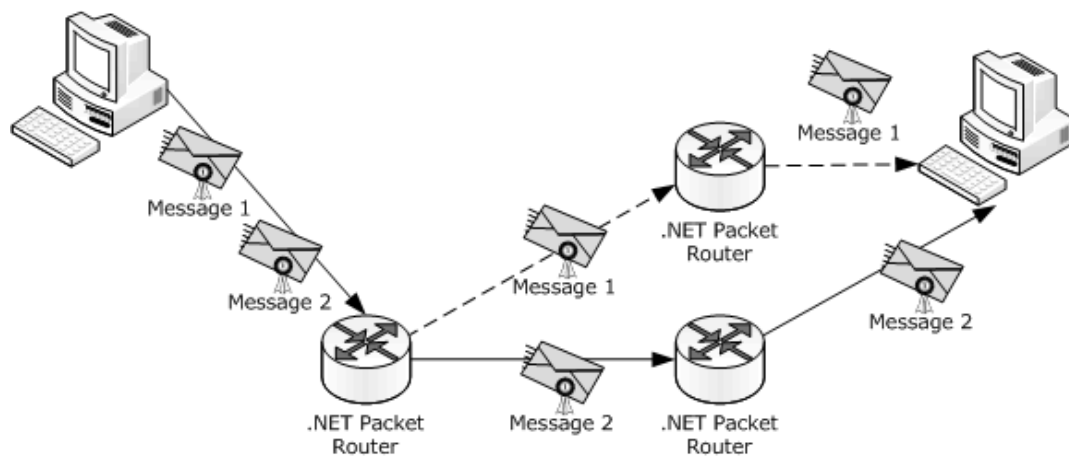


Figure 1: Packet routing of SOAP packets

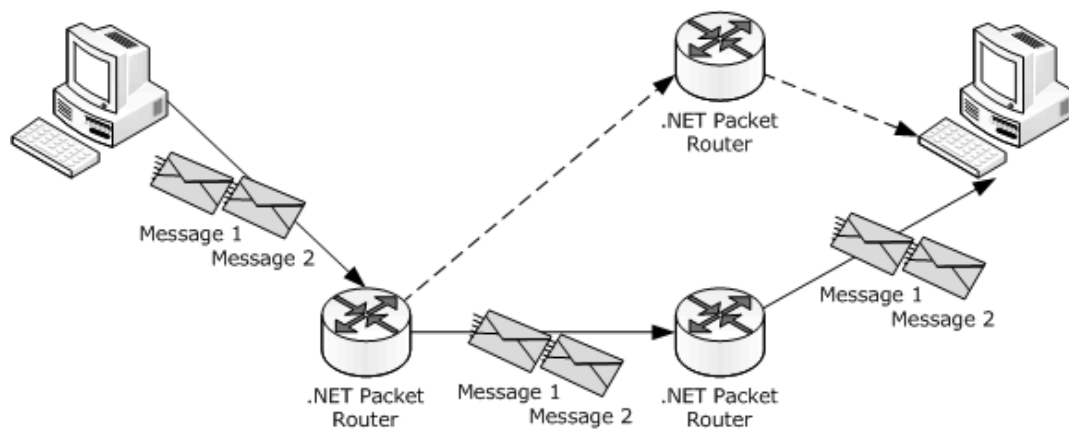


Figure 2: Circuit routing of SOAP messages

1.3.1 Message Type

SOAP messages that use packet routing need to be well-formed and complete. They need to contain the PacketRoutable element in the SOAP header, as specified in section 2.2.2.

1.4 Relationship to Other Protocols

The .NET Packet Routing Protocol uses any network transport capable of transmitting SOAP 1.1 messages [SOAP1.1] or SOAP 1.2 messages [SOAP1.2-1/2007]. The following figure shows the different layers in a router's stack. At the bottom of the stack is the actual physical communication medium.

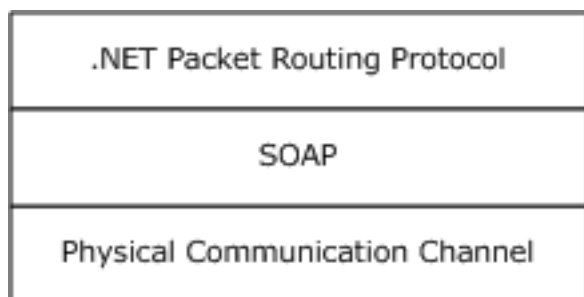


Figure 3: Dependency stack in a SOAP packet router

1.5 Prerequisites/Preconditions

The .NET Packet Routing Protocol requires the communication channel transmitting SOAP packets to be capable of producing a self-contained, independent data entity that carries sufficient information to be routed from the source to the destination computer without reliance on earlier exchanges.

1.6 Applicability Statement

The .NET Packet Routing Protocol can be used when an application determines that circuit routing is consuming more resources than necessary. The application requests a downgrade to packet routing by applying a special XML element to the message's SOAP header. For more information, see section 2.1.

1.7 Versioning and Capability Negotiation

This document covers versioning issues in the following areas:

- Protocol versions: This protocol requires the use of SOAP version 1.1 [SOAP1.1] or SOAP version 1.2 [SOAP1.2-1/2007].
- Capability negotiation: The .NET Packet Routing Protocol does not support negotiation of the version to be used.

1.8 Vendor-Extensible Fields

This protocol has no vendor-extensible fields.

1.9 Standards Assignments

None.

2 Messages

2.1 Transport

The .NET Packet Routing Protocol can be used over any transport protocol that supports SOAP version 1.1 [SOAP1.1] or SOAP version 1.2 [SOAP1.2-1/2007]. This specification uses the term SOAP to mean either SOAP 1.1 or SOAP 1.2. Where the differences between the two versions of SOAP are significant, either SOAP 1.1 or SOAP 1.2 is referenced.

Effective use of this protocol requires transmitting any messages that are to be treated as SOAP packets as self-contained, independent data entities that carry sufficient information to be routed from the source to the destination computer without reliance on earlier exchanges. This protocol does not prescribe any specific algorithm or communications infrastructure for forwarding a packet after it has been received by the router.

2.2 Message Syntax

2.2.1 PacketRoutable

PacketRoutable is an XML element [XML1.0] that indicates a node is permitted to treat a SOAP message as a SOAP packet. The PacketRoutable element is specified by the following XML schema [W3C-XSD].

```
<xs:schema
  attributeFormDefault="unqualified"
  elementFormDefault="unqualified"
  targetNamespace="http://schemas.microsoft.com/ws/2005/05/routing"
  xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="PacketRoutable" />
</xs:schema>
```

2.2.2 .NET Packet

A .NET packet is a SOAP packet that contains a PacketRoutable element as a SOAP header. A .NET packet SHOULD NOT include a SOAP mustUnderstand attribute for the PacketRoutable element.

3 Protocol Details

The client side of the .NET Packet Routing Protocol MUST implement the insertion of the PacketRoutable element into the SOAP header of the message for a .NET Packet Routing Protocol router to treat it as a packet. Figure 4 represents the state transition of a SOAP message into a .NET packet.

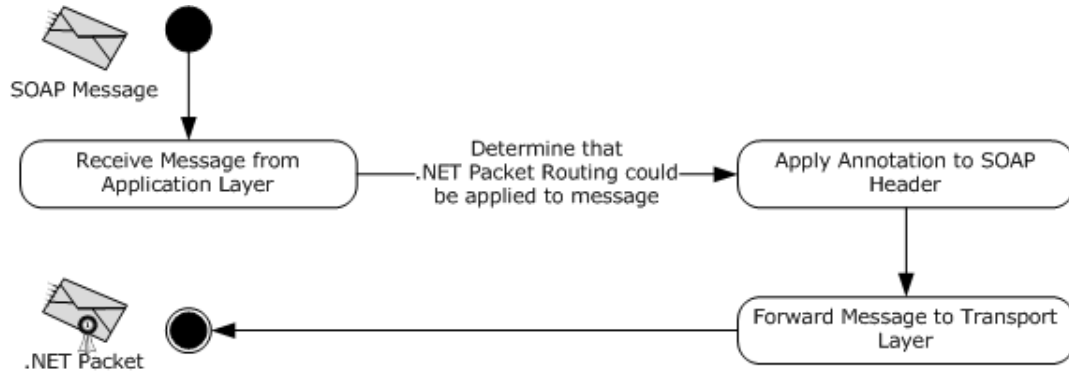


Figure 4: State diagram of client processing of a message

3.1 .NET Packet Router Server Details

Every .NET Packet Routing Protocol router MUST implement processing to receive and send the PacketRoutable element. <1>

When a packet is received by a .NET packet router, and it contains a SOAP header that includes the PacketRoutable element, the router MUST process the XML element and downgrade the routing to packet routing, applying any routing algorithm to determine the next destination of the packet. At this stage, an NPR router MAY also process any vendor-specific extension (such as hop count).

When receiving a message from a sending node to the NPR router, failures are handled based on the specific transport protocol used. For example, if HTTP was selected, an HTTP status code of 200 or 202 signifies that the message was successfully received by the router. An HTTP status code of 500 signifies that the message was not successfully received or processed. The specific handling of errors and .NET Faults is outside the scope of this document.

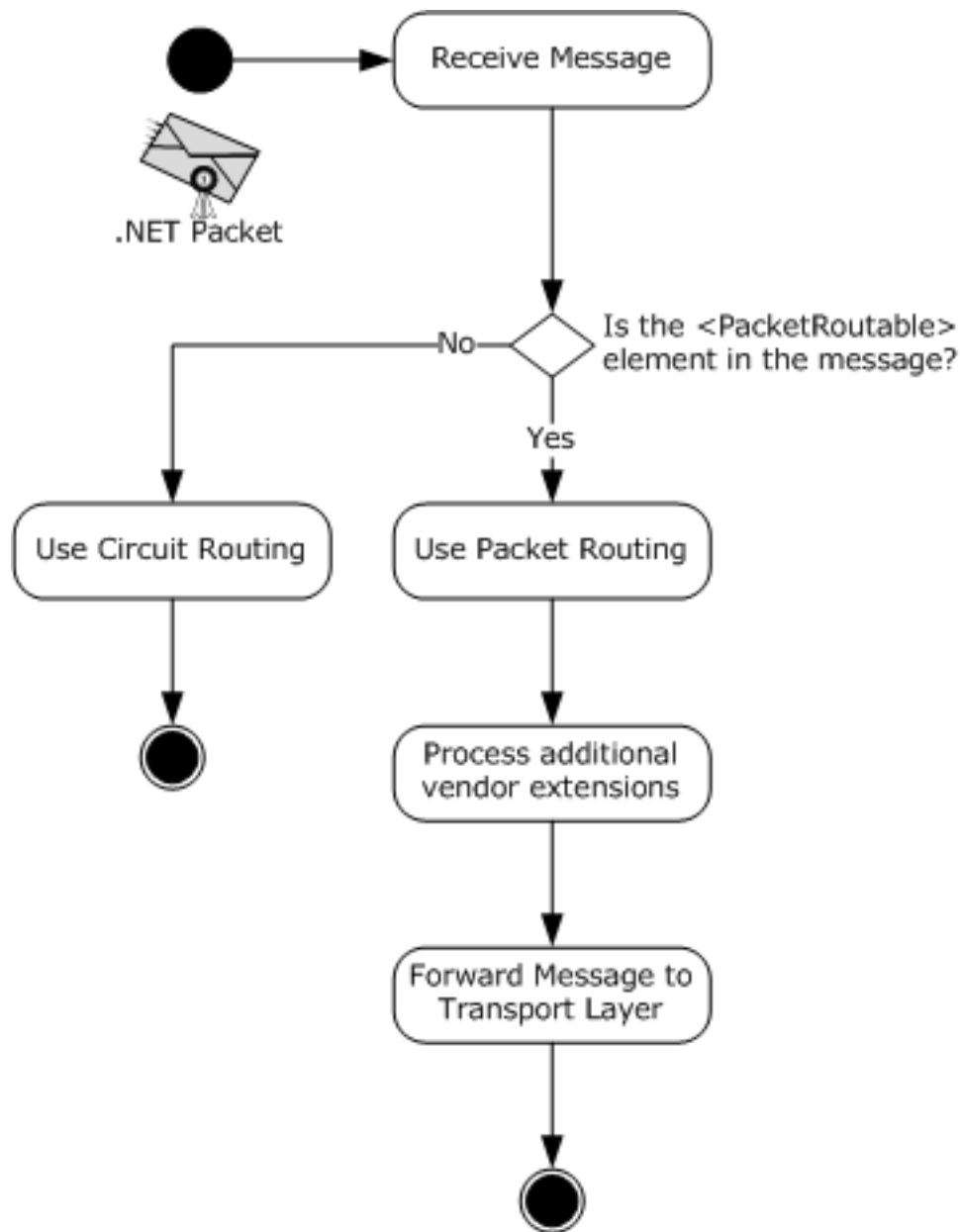


Figure 5: State diagram of the receiving end of a .NET Packet Routing Protocol router

When a .NET Packet Routing Protocol router sends a packet to a destination node, the router **MUST** maintain the PacketRoutable element in the outgoing packet, and it **MAY** maintain other vendor-specific extensions. Any outbound transport **MAY** be chosen based on the available capabilities of either the sending or the receiving router. This protocol does not specify how to determine the appropriate transport protocol or the selection process for the next destination node.

When a .NET Packet Routing Protocol router sends a packet to a destination node, any failure to deliver a message is handled based on the specific transport protocol that is used. For example, if HTTP was selected, an HTTP status code of 200 or 202 may signify that the message was successfully received by the destination node. An HTTP status code of 500 may signify that the message was not successfully received or processed by the destination node.

Vendor extensions to the protocol MAY implement retry mechanism for resending a message upon failure. This protocol does not recommend any specific handling of such failures.

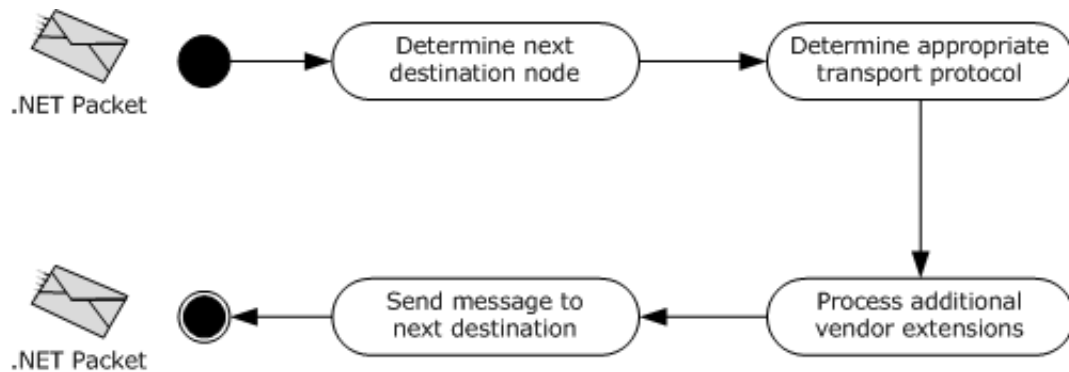


Figure 6: State diagram of the sending side of a .NET Packet Routing Protocol router

3.1.1 Abstract Data Model

None.

3.1.2 Timers

None.

3.1.3 Initialization

None.

3.1.4 Higher-Layer Triggered Events

None.

3.1.5 Message Processing Events and Sequencing Rules

The .NET Packet Routing Protocol does not specify how or when to open an outbound connection when a router receives a packet. For example, an implementation of the .NET Packet Routing Protocol MAY require that a packet be fully received before an outbound connection is opened and the packet is forwarded. Another implementation MAY open an outbound connection and start forwarding the data in the packet as it is received on the inbound connection.

3.1.6 Timer Events

None.

3.1.7 Other Local Events

None.

4 Protocol Examples

Messages might have no relation to the underlying transport connection. By inserting the `PacketRoutable` element into the SOAP header, packet routing would be used instead of circuit routing to deliver the message. Treating the message as a packet enables optimizations such as coalescing messages together or load balancing across multiple back ends to improve reliability, scalability, and performance. The following is an example of a SOAP message that contains the `PacketRoutable` element in the SOAP header.

```
<s:Envelope xmlns:s="http://www.w3.org/2003/05/soap-envelope"
  xmlns:a="http://www.w3.org/2005/08/addressing">
  <s:Header>
    <PacketRoutable
      xmlns="http://schemas.microsoft.com/ws/2005/05/routing">
    </PacketRoutable>
    <a:Action s:mustUnderstand="1">
      http://tempuri.org/IService/MyOperation
    </a:Action>
    <ActivityId CorrelationId="592d7dfd-aafe-4df8-adfc-003db32efc7
c" xmlns="http://schemas.microsoft.com/2004/09/ServiceModel/Diagnostic
s">9df08e0a-a956-46d9-a859-d6571139ff0f
    </ActivityId>
    <a:To s:mustUnderstand="1">http://localhost:8080/service1
    </a:To>
  </s:Header>
  <s:Body>
    <MyOperation xmlns="http://tempuri.org/">
      <myValue>Some Value</myValue>
    </MyOperation>
  </s:Body>
</s:Envelope>
```

5 Security

5.1 Security Considerations for Implementers

The .NET Packet Routing Protocol does not carry any security consideration. A vendor can extend the protocol to provide additional security considerations as long as the default and basic routing scenarios, as specified by this document, function as expected. In addition, vendors and implementers of this protocol need to account for the fallback scenario when a message is routed through a .NET Packet Routing Protocol router that does not support the additional security extensions.

5.2 Index of Security Parameters

None.

6 Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs.

This document specifies version-specific details in the Microsoft .NET Framework. For information about which versions of .NET Framework are available in each released Windows product or as supplemental software, see [MS-NETOD] section 4.

- Microsoft .NET Framework 3.0
- Microsoft .NET Framework 3.5
- Microsoft .NET Framework 4.0
- Microsoft .NET Framework 4.5
- Microsoft .NET Framework 4.6
- [Microsoft .NET Framework 4.7](#)

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms **"SHOULD"** or **"SHOULD NOT"** implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term **"MAY"** implies that the product does not follow the prescription.

<1> Section 3.1: The Windows implementation of this specification does not include a .NET Packet Routing Protocol router.

7 Change Tracking

~~No table of This section identifies changes is available. The that were made to this document is either new or has had no changes since its the last release. Changes are classified as Major, Minor, or None.~~

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements.
- A document revision that captures changes to protocol functionality.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **None** means that no new technical changes were introduced. Minor editorial and formatting changes may have been made, but the relevant technical content is identical to the last released version.

The changes made to this document are listed in the following table. For more information, please contact dochelp@microsoft.com.

Section	Description	Revision class
<u>6 Appendix A: Product Behavior</u>	<u>Added the latest version of .NET Framework to the product applicability list.</u>	<u>Major</u>

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